

STATE OF ALASKA
DEPARTMENT OF COMMUNITY AND ECONOMIC DEVELOPMENT
DIVISION OF INSURANCE
3601 C STREET, SUITE 1324
ANCHORAGE, ALASKA 99503-7900
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STATE OF ALASKA
DEPARTMENT OF COMMUNITY AND ECONOMIC DEVELOPMENT
DIVISION OF INSURANCE

In the Matter of:)
)
VAN REUBEN WILLIS INSURANCE (SITKA))
)
Licensee.)
_____)

Case No. D 01-12

STIPULATED AGREEMENT AND ORDER

The Division of Insurance (division) and Van Reuben Willis Insurance (Sitka) (Willis Insurance), the licensee in this case, stipulate and agree that the failure to timely renew license #9506 and the unlicensed activity that occurred during the time the license was in lapse status are resolved as follows:

1. Willis Insurance admits that it failed to renew its license timely and while the firm insurance license was in lapse status, from March 8, 2001 through March 13, 2001, it transacted the business of insurance, in violation of AS 21.27.380(b).
2. Prior to this instance, Willis Insurance has never allowed its Alaska insurance license to lapse and, to the division's knowledge, it has not engaged in unlicensed activity. However, on March 16, 2001, the division sent Van Reuben Willis, the principal and compliance officer of Willis Insurance, a letter of admonition regarding his signing two insurance license applications for an employee. At the time he signed the applications, on September 25 and October 30, 2000, Mr. Willis was aware that the applicant had been charged

1 with a criminal action in another state but he had no knowledge that the applicant had any
2 criminal convictions, nor did the background check he requested from his State Farm home
3 office reveal any. But the background check by State Farm does not reflect a search for
4 criminal convictions in state jurisdictions outside of Alaska. Although he signed these
5 applications, he failed to read them and did not confirm the accuracy of her responses regarding
6 criminal convictions outside of Alaska. In its letter to Mr. Willis, the division stated that his
7 conduct in signing the inaccurate applications would be considered in any future administrative
8 action involving Mr. Willis.
9

10
11 3. Willis Insurance agrees to pay a civil penalty under AS 21.27.440(a) of
12 \$124.72, which equals the amount of commission it earned for the unlicensed activity that
13 occurred between March 8, 2001 and March 13, 2001, and an additional \$500, because of Mr.
14 Willis' conduct referenced in paragraph 2, with \$250 suspended. The unsuspended portion of
15 the penalty is due and payable at the time this agreement and order is signed by the director.
16

17 4. In the event Willis Insurance violates the insurance code or applicable
18 regulations during the next two years, the suspended portion of the fine referenced in paragraph
19 3 will be reinstated. Willis Insurance also will be subject to any and all sanctions authorized by
20 the insurance code including imposition of additional fines or penalties.
21

22 5. By signing this agreement, Willis Insurance understands and agrees that
23 any failure to comply with the conditions of this agreement will be grounds to revoke, suspend,
24 or not renew Alaska insurance license #9506.


25 6. Willis Insurance understands that this agreement is not binding on the
26 parties unless and until the director signs the order approving the agreement.

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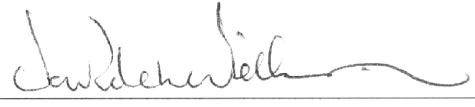
DATED: 11-16-01

DIVISION OF INSURANCE

By: 
Linda Brunette
Licensing Supervisor

DATED: 11-7-2001

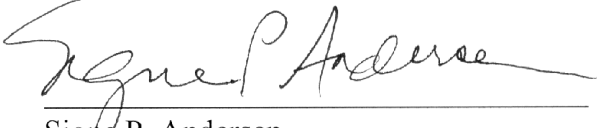
VAN REUBEN WILLIS INSURANCE (SITKA)

By: 
Van Reuben Willis
Principal and Compliance Officer

Approved as to form and content:

DATED: 11/13/01

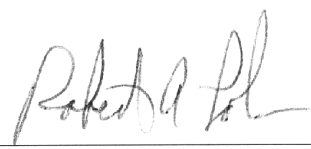
BRUCE M. BOTELHO
ATTORNEY GENERAL

By: 
Signe P. Andersen
Assistant Attorney General

ORDER

IT IS ORDERED that this Stipulated Agreement and Order is adopted in full resolution of the issues in this case, and shall constitute the final order in this matter.

DATED this 19th day of November, 2001.


Robert A. Lohr
Director of Insurance