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STATE OF ALASKA

DEPARTMENT OF COMMERCE, COMMUNITY, & ECONOMIC DEVELOPMENT

DIVISION OF INSURANCE

In re:)
)
APPLICATION FOR LICENSE)
OF STEVEN P. HOOK,)
_____)

Case No. LD 06-02

STIPULATED AGREEMENT AND ORDER

The Division of Insurance (division) and Steven P. Hook, (Mr. Hook), stipulate and agree to the following:

I. BACKGROUND

A. On or about July 28, 2006, the division received an application for a nonresident individual in a firm producer license from Mr. Hook to transact property/casualty insurance.

B. Question 39(1) of the application asks "Have you ever been convicted of, or are you currently charged with committing a crime, whether or not adjudication was withheld?" At the end of question 39(1) is the following: "If you have answered yes, you must attach to this application: a) a written statement explaining the circumstances of each incident, b) a certified copy of the charging document, and c) a certified copy of the official document, which demonstrates the resolution of the charges or any final judgment."

C. Because Mr. Hook answered "yes" to question 39(1) on the application, he submitted the required information. He disclosed an arrest and charge of felony harassment and malicious mischief in the third degree in Washington in January 1994. On April 15, 1994,

1 under a non-felony suspended judgment and sentence, he was adjudged guilty of the charges
2 and sentenced to 12 months imprisonment suspended conditioned on 30 days converted to 240
3 hours of community service, 12 months probation, and payment of restitution and assessment to
4 the victim

5
6 D. Also in Washington, on or about April 20, 1994, an information was filed
7 against Mr. Hook charging him with the crime of possessing stolen property in the first degree. The
8 court records indicated that on December 9, 1994 Mr. Hook pled guilty to the felony charge, and he
9 was sentenced to 30 days confinement with credit for two days served, with 28 days community
10 service allowed to be substituted. He was also required to serve 12 months community supervision,
11 pay restitution and court costs.

12 E. In July 1999, a warrant was issued for Mr. Hook's arrest for his failure to
13 meet the financial obligations as ordered by the court and he served four days in jail. On
14 September 6, 2005, the court issued a certificate and order for discharge stating that Mr. Hook
15 had completed all the requirements of his sentence.

16 F. Because Mr. Hook's felony conviction was for a crime of dishonesty,
17 under 18 U.S.C. 1033(e)(2), he requested from his home state of Washington express written
18 consent to work in the business of insurance. This consent was granted on August 1, 2005.

19 G. In May 2005, the State of California issued an order of summary
20 revocation of Mr. Hook's license because he failed to disclose his convictions on his license
21 application in that state. He subsequently reapplied and was granted a restricted license in
22 California on January 3, 2006. He was also granted written consent under 18 U.S.C. 1033(e)(2)
23 on October 6, 2005.

24 H. The director has fully reviewed Mr. Hook's file.
25
26

1 II. TERMS OF AGREEMENT

2 1. The director will honor the written consents allowing Mr. Hook to
3 engage in the business of insurance under 18 U.S.C. 1033(e)(2) that were granted to him by the
4 State of Washington on August 1, 2005 and by the State of California on October 6, 2005.
5 Honoring these consents is conditioned on Mr. Hook complying with the terms of this
6 agreement, not violating the insurance code or regulations, and not committing any crimes in
7 the future involving dishonesty or breach of trust or that otherwise bear on his trustworthiness
8 or competency to hold an insurance license.

9
10 2. The division will issue a nonresident individual in a firm insurance
11 producer license for property/casualty authority to Mr. Hook subject to the terms in paragraph 1
12 and following conditions:

13 a. Within six months of the date the director signs the order
14 approving this agreement, Mr. Hook will successfully complete a course in professional ethics
15 in insurance that has been approved in advance by the director or her designee and provide
16 proof of that completion to the division.

17
18 b. Mr. Hook will submit to the division quarterly sworn statements
19 indicating that he has complied with the insurance code and applicable regulations. The
20 statements should be in a form similar to the attached Exhibit A. This condition will remain in
21 effect until December 25, 2009.

22 c. Mr. Hook will provide a copy of the fully executed agreement to
23 the compliance officer of the firm or firms where he is employed.

24 d. Should Mr. Hook attempt to change his place of employment at
25 any time from the issuance of his license until December 25, 2009, he must provide each new
26 employer with a copy of this agreement.

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3. By signing this agreement, Mr. Hook understands and agrees that any failure to comply with the terms of this agreement may be grounds to revoke, suspend, or non-renew Mr. Hook's Alaska insurance license.

DATED: 12/14/06


DIVISION OF INSURANCE
By: 
Linda Brunette
Program Coordinator

DATED: 12/3/04


Steven P. Hook

Approved as to form and content:


DATED: 12/11/06

DAVID W. MARQUEZ
ATTORNEY GENERAL
By: 
Daniel Wilkerson
Assistant Attorney General

ORDER

IT IS ORDERED that this Stipulated Agreement and Order is adopted in full resolution of the issues in this case, and shall constitute the final order in this matter.

DATED this 18th day of December, 2006.


Linda S. Hall
Director