1	STATE OF ALASKA
2	DEPARTMENT OF COMMERCE, COMMUNITY, AND ECONOMIC DEVELOPMENT DIVISION OF BANKING AND SECURITIES
3	In the matter of: )
4	) ORDER NO: 15-1200-S
5	VENTURES CAPITAL PARTNERS, LLCORDER IMPOSING A CIVIL PENALTY AND CONSENT TO ORDER
6	
7	
8	Respondent. )
9	The Director of the Department of Commerce, Community, and Economic
10	Development, Division of Banking and Securities (the Administrator), has conducted an
11	investigation into certain business activities of Ventures Capital Partners, LLC (Respondent),
12	and has determined that the Respondent violated certain provisions of the Alaska Securities

13 Act, Alaska Statute (AS) 45.55, by offering and selling securities in Alaska without the

14 securities first being registered with the Administrator, federally covered in compliance with

15 Alaska law, or exempt from registration.

16 Respondent agrees that the Administrator has jurisdiction over the Respondent and
17 these matters pursuant to the Alaska Securities Act.

18 Respondent wishes to resolve and settle this matter with the Administrator. As
19 evidenced by the authorized signature on this Order, the Respondent consents to the entry of
20 this Order imposing a civil penalty based on the Conclusions of Law and Order. The
21 Respondent waives its right to hearing under AS 45.55.920(d).
22 I. FACTS

1. Under AS 45.55.075(d) and 3 AAC 08.506, issuers of federal covered securities
under 15 U.S.C. 77r(b)(4)(D) (Securities Act of 1933), that make sales of such securities in

Alaska must make a notice filing with the Administrator comprised of the SEC form D, a
 consent to service of process signed by the issuer, and a filing fee of \$600 for one year or
 \$1100 for two years. The notice filing must be filed with the Administrator no later than 15
 days from the first sale of the federal covered security in Alaska.

2. On June 30, 2015, Respondent filed the SEC form D with the Administrator along
with \$600 in filing fees. On the SEC form D, Respondent indicated that it was issuing equity
as part of the offering. Respondent notified the administrator that it made one sale on
December 15, 2011 for \$50,000 and another sale on February 22, 2012 for \$10,000.

3. Respondent has fully cooperated with the Administrator in this matter.

## II. CONCLUSIONS OF LAW

The securities offered and sold by the Respondent were federal covered securities
 under 15 U.S.C. 77r(b)(4)(D) (Securities Act of 1933) and subject to the filing requirements
 of AS 45.55.075(d).

14
2. The Respondent violated AS 45.55.075 by selling federal covered securities in the
15
16 State of Alaska without notice filing a SEC form D and paying the required fee within 15
16 days of the first sale.

3. The Respondent is subject to a civil penalty under AS 45.55.920(c) for violating AS
45.55.075.

**ORDER** 

III.

## 19

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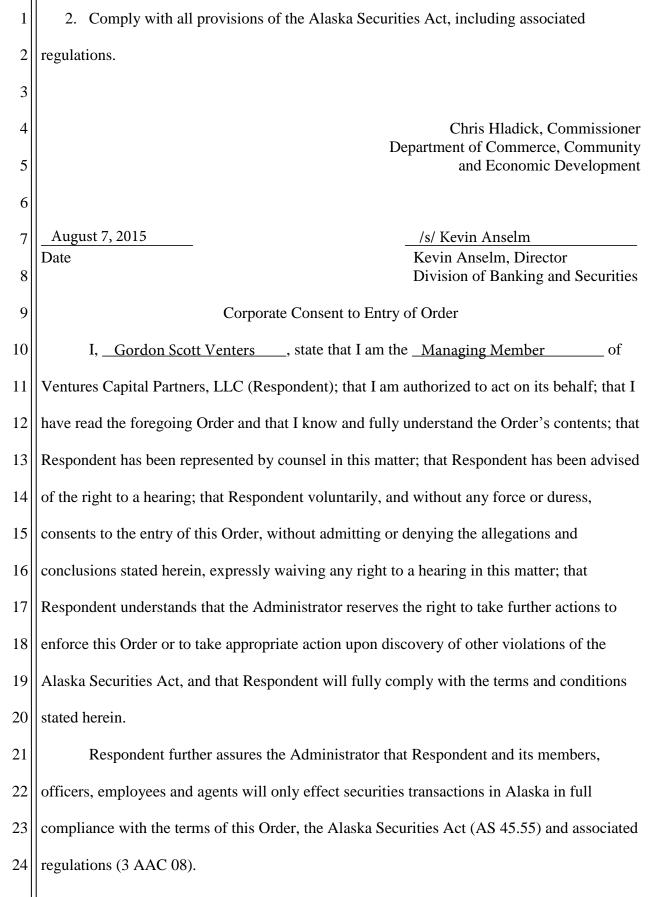
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Pursuant to AS 45.55.920, and on the basis of the Findings of Fact, Conclusions of
Law and Respondent's consent to the entry of this Order, the Administrator ORDERS
Respondent to:

23 1. Pay a civil penalty in the amount of five hundred dollars (\$500).

24 //

Ventures Capital Partners, LLC Order imposing civil penalty and consent to order



DEPARTMENT OF COMMERCE, COMMUNITY, AND ECONOMIC DEVELOPMENT DIVISION OF BANKING and SECURITIES 550 WEST SEVENTH AVENUE, SUITE 1850 ANCHORAGE, ALASKA 99501 PHONE: (907) 269-8140

