

STATE OF ALASKA DEPARTMENT OF COMMERCE, COMMUNITY, AND ECONOMIC DEVELOPMENT DIVISION OF BANKING AND SECURITIES 550 WEST SEVENTH AVENUE, SUITE 1850 ANCHORAGE, ALASKA 99501 (907)269-8140

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Temporary Order stated that "Pursuant to AS 45.55.920(d), if Respondent desires a hearing,
 she must file her request for a hearing within 15 days after receipt of this [Temporary]
 Order."

On May 10, 2017, Respondent received a certified copy of the Temporary Order.

On May 15, 2017, Respondent requested a hearing.

After Respondent requested a hearing and before a hearing was held, the Administrator and Respondent agreed to the terms of this Consent Order; therefore, Respondent withdraws her request for a hearing and the Temporary Order (Order No. 16-

227-S) is hereby VACATED.

## II. FINDINGS OF FACT

1. Respondent is a shareholder of Sitnasuak Native Corporation ("SNC").

2. SNC is organized pursuant to the Alaska Native Claims Settlement Act ("ANCSA"), 43 U.S.C. 1601 *et seq*.

3. SNC has certified to the Administrator that it has more than 500 shareholders
and total assets exceeding \$1,000,000.

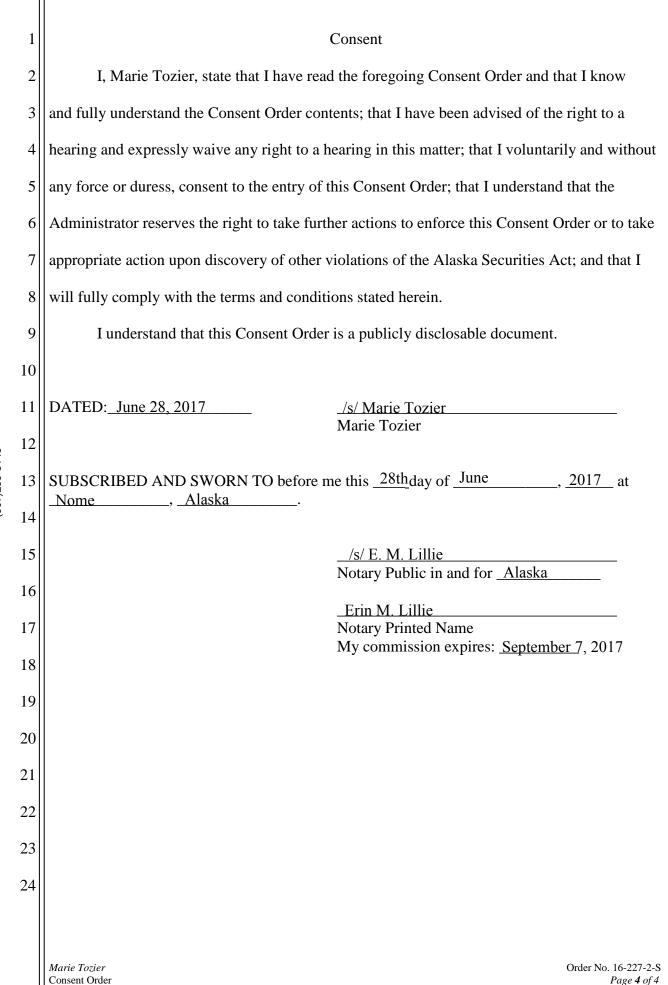
4. On January 12, 2017, Respondent published an advertisement in the Nome Nugget
that encouraged SNC shareholders to "Vote your OWN [*sic*] proxy!" and "Stand up and say
'NO' [*sic*] to SNC Directors that ask you to vote a discretionary proxy." In addition, the
advertisement stated that it was "Paid for by Sitnasuak Shareholders for Positive Change."
5. Respondent did not file with the Administrator a dated, written proxy statement
containing the disclosures required in 3 AAC 08.355.

## III. CONCLUSIONS OF LAW

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1. Respondent is subject to the filing requirements of AS 45.55.139 because she is a
24 shareholder of SNC and SNC is subject to the filing requirements.

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1	2. Respondent violated 3 AAC 08.355 by failing to file with the Administrator
2	required disclosures relating to proxy solicitation.
3	3. Respondent is subject to a civil penalty pursuant to AS 45.55.920(c) because she
4	violated 3 AAC 08.355.
5	III. ORDER
6	Pursuant to AS 45.55.920, and on the basis of the Findings of Fact and Conclusions of
7	Law, Respondent agrees to:
8	1. Pay a civil penalty in the amount of five hundred dollars (\$500),
9	with five hundred dollars (\$500) suspended for a period of one year. If Respondent commits
10	any violation of the Alaska Securities Act during this period, the suspended portion of the
11	civil penalty will be immediately due.
12	2. Comply with all provisions of the Alaska Securities Act, including associated
13	Regulations.
14	This Order is a publicly disclosable document.
15	IT IS SO ORDERED.
16	Chris Hladick, Commissioner Department of Commerce, Community and
17	Economic Development
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19	DATED: June 28, 2017 /s/ Kevin Anselm BY: Kevin Anselm, Director
20	Division of Banking and Securities
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