

Estates



If the permit holder dies during a fishery opening, can the boat and crew continue to fish?

Yes, if the permit is transferred to another person on an emergency basis due to the holder's death. The spouse or next of kin must complete and sign a request for emergency transfer form and submit documentation of the holder's death. The emergency transfer would be valid for the rest of the fishing season.

Can the permit holder make plans for someone to receive the permit in the case of his or her death?

Yes, in most cases. As long as the permit holder has a transferable permit, it may be bequeathed to an heir named in a will. Additionally, an unmarried permit holder may file a form, "Designation of Permit Recipient Upon Permit Holder's Death", with the commission, designating the intended recipient of the permit. (See more information below.)

What happens to a permit if the permit holder dies without a will?

Under Alaska law, if the permit holder does NOT leave a will, rights to a transferable permit automatically go to the surviving spouse (if there is one). If there is no spouse, the permit becomes part of the decedent's estate (unless the permit holder had filed a "Designation of Permit Recipient" form with the commission - see more information below).

Can a permit be transferred to the holder's spouse if the permit holder dies?

Transferable limited entry permits survive the death of the permit holder. If the permit holder is survived by a spouse, and there is no will specifying that someone other than the spouse is to receive the permit, it may be transferred directly to the spouse by right of survivorship without the estate being probated, provided the surviving spouse does not already hold a permit in the same fishery. However, there is an exception for the salmon fisheries, where a person may hold two salmon permits but may fish only one. The spouse may also wish to consider probate of the estate including the permit.

How is a permit transferred by "right of survivorship"?

At least 90 days after the death of the permit holder, the spouse may request permanent transfer of the permit by right of survivorship. The spouse must complete and submit to the commission the "Request for Permit Transfer by Right of Survivorship" form along with a copy of the death certificate. If the permit holder left a will, a copy must be submitted to the commission.

Does the spouse have to fish the permit if it is received by right of survivorship?

No. The spouse does not have to be physically able to participate in the fishery to receive the permit by survivorship. However, at the time of the transfer, the spouse must indicate if the spouse is able to participate in the fishery and if the individual personally intends to fish the permit.

What happens if the surviving spouse cannot fish the permit?

In this case, the surviving spouse will be allowed to emergency transfer the permit each year for up to three years after the death of the permit holder. After that time, the person who received the permit by right of survivorship can no longer emergency transfer the permit unless the individual can show an extraordinary circumstance for the emergency transfer. As with any limited entry permit, the permit does not have to be fished but it does have to be renewed each year.

Can the surviving spouse permanently transfer the permit once it is in his or her name?

Yes. The procedure is the same as for any other permanent transfer. First, the spouse must file a "Notice of Intent to Transfer." When the spouse reaches an agreement with a buyer, they must file a "Request for Permanent Transfer" form along with a copy of their transfer agreement.

If there is no surviving spouse, what happens to the permit?

If the permit holder is not survived by a spouse, the permit becomes part of the deceased permit holder's estate. In order to transfer the permit to a person other than the spouse, someone must be appointed by the court to act as personal representative of the estate. The personal representative has the authority to emergency transfer the permit annually until the estate has been probated and a permanent transfer completed.

What is probate?

Probate is the court process to distribute property from a deceased person's estate to those designated as beneficiaries in a will or to those entitled to the property, if the person dies without a will.

If the estate isn't settled yet, can a temporary (emergency) transfer be made?

Yes. The personal representative can request an emergency transfer. The personal representative of the estate can complete and sign the "permit holder" sections on the emergency transfer request form and must also submit a copy of the court appointment.

What if a personal representative hasn't been appointed yet?

The spouse or the next of kin may make a request for an emergency transfer of the deceased permit holder's permit. The commission must verify the relationship before the request for emergency transfer can be granted.

Who signs the permit renewal or transfer forms if the permit holder is deceased?

The personal representative has the authority to renew the permit, as well as to request emergency transfers. The permit does not have to be fished but it must be renewed annually.

If the permit holder leaves a will naming someone to inherit the permit, can the permit automatically be transferred if the commission receives a copy of the will?

No. Normally the estate would have to be probated before the permit could be permanently transferred from the estate to an heir. During probate, the permit may be emergency transferred each year until the estate is settled.

Does the estate have to be probated in order for the permit to be transferred?

Not necessarily, but probate is usually necessary when the permit has been bequeathed in a will. If the permit is going to the spouse by right of survivorship, it may be transferred without waiting for the estate to be probated. Also, the permit holder may avoid probate by filing a "Designation of Permit Recipient" form with the commission, in which the permit holder names the person to receive the permit. However, this designation may take effect only if the permit holder is not survived by a spouse.

How does the "Designation of Permit Recipient" form work?

The holder of a transferable limited entry permit, who is not married at the time of death, may complete this form to name a person to receive the permit in the event of the holder's death. The form must be signed by the permit holder before a person authorized under state law to administer an oath (notary public, postmaster, judge, etc...) or witnessed by two competent persons. The commission acknowledges receipt of the form and maintains the original copy in the permit holder's file. When the commission is notified that the permit holder has died, it must verify that there have been no substantive changes which would void the designation (such as marriage of the permit holder or a will written which designates someone else to receive the permit). After 90 days have elapsed, the permit may be transferred to the named recipient.

Can a permit be placed in a trust?

No. Under Alaska law, an entry permit or interim-use permit can only be held by a living person. Person, as defined under the Limited Entry Act, means a natural person and does not include a corporation, company, partnership, firm, association, organization, business trust, or society.

How long can a permit be held in a deceased permit holder's estate?

Generally, probate proceedings must be commenced within three years from the date of the permit holder's death. Emergency transfers can usually be granted while probate is ongoing. However, emergency transfers will not be granted indefinitely. Probate proceedings should be initiated as soon as possible and, when completed, a permanent transfer can take place.

What about estates valued at less than \$15,000?

Under Alaska law, estates valued at less than \$15,000 may be settled without going through probate by using an "Affidavit for Collection of Personal Property." Most permits are valued in excess of \$15,000, so this provision does not apply to many fisheries. If the estate is less than \$15,000, you may wish to consult an attorney regarding the use of an "Affidavit for Collection of Personal Property" in transferring the permit from the estate. Commission transfer technicians can help with this process as well.

What happens if no individual heir is named to receive the permit and more than one heir is entitled to inherit the estate?

A permit can only be held by one individual. In the case of multiple heirs, generally an agreement must be reached by the heirs for one of them to hold the permit. Absent an agreement, the permit could be sold and the proceeds divided. An attorney may be helpful in the process.

What happens if a minor child, not old enough to fish, inherits a permit?

The permit can be permanently transferred into the minor's name, and the minor's legal guardian may annually request emergency transfers of the permit until the minor reaches the age of presumptive ability to participate in the fishery. [For set net fisheries, that age is ten (10) years; for most other fisheries, it is sixteen (16) years.] The guardian can also simply renew the permit annually until the child is old enough to participate in the fishery and to receive a permanent transfer of the permit.

This summary information is presented as a courtesy by the CFEC Licensing Section but may not be read to modify or replace the agency's statutory requirements in AS 16.43 or regulatory requirement in Chapter 5, of Title 20, Alaska Administrative Code.

Commercial Fisheries Entry Commission Request for Emergency Transfer of Entry Permit

PO Box 110302

Juneau, AK 99811-0302

Phone: 907-789-6150/Toll Free: 1-855-789-6150

Fax: 907-789-6170

Website: www.cfec.state.ak.us Transfer email: dfg.cfec.transfers@alaska.gov

General Information:

Emergency Transfers are granted for:

Illness

Required military or government service

Disability

An unavoidable hardship of a temporary, unexpected, and unforeseen nature that

Death prevents the permit holder from participating in the fishery*

**"Hardship," with respect to emergency transfers, means privation and suffering, and does not include the results of a permit holder's own economic decisions, nor the results of economic, biological or regulatory variables which are normally part of the risk of doing business as a fisherman.

Instructions:

To request an emergency transfer:

- The entire form must be completed by both the permit holder and transferee
- The current year permit card (if issued) must be returned or the annual fee must be paid
- A \$50.00 transfer fee must be submitted
- Both parties must have their signatures notarized (they do not have to sign at the same time or place)
- If the transfer is being requested due to illness, injury or disability, the physician who examined the permit holder must complete the required physician's statement page

To request an emergency transfer in the event of the permit holder's death:

- The representative of the estate should complete the form. If a representative has not been appointed, the surviving spouse or next of kin may complete the form
- If the Entry Commission does not have a copy of the death certificate, one must be provided
- The physician's statement DOES NOT need to be completed

If the request is due to an illness, injury or death which occurred in the last 14 days (not an old illness that has flared up) this form MUST be submitted to the Entry Commission, even after business hours, in order for the transfer to be valid.

If it is after business hours, you will need to send an email to yvonne.fink@alaska.gov. Once the email is received a determination will be made and you will be contacted as soon as possible. Only an employee at the Entry Commission may authorize the transferee to begin fishing with a copy of the transfer form after it has been processed and made valid for fishing.

If the transfer is denied, a notice will be given to the permit holder and they will have an opportunity to request an administrative hearing which can be conducted by phone.

If you emergency transfer your salmon permit and the transferee gets demerit points for a fishing violation, you will also receive demerit points.

Payment Information:

Credit card payments are not accepted over the phone, they must be submitted using the attached credit card authorization and submitted via fax, (907) 789-6170, or email, dfg.cfec.transfers@alaska.gov. We accept Mastercard, Visa, and Discover.

We also accept checks, money orders, and cashier's checks which can be submitted with this form and mailed to:

Commercial Fisheries Entry Commission Box 110302 Juneau, AK 99811-0302

Commercial Fisheries Entry Commission Request for Emergency Transfer of Entry Permit

All sections and pages of this form must be completed and submitted with the

ALLOW UP TO 2 WEEKS FOR PROCESSING TIME

Revised July 2015 Form# 05-29A

PO Box 110302 Juneau, AK 99811-0302

Phone: 907-789-6150/Toll Free: 1-855-789-6150

Website: www.cfec.state.ak.us

Fax: 907-789-6170

\$50.00 transfer processing fee, the permit renewal fee, or the current year permit Transfer email: dfg.cfec.transfers@alaska.gov card (if it has been issued). If any of the information is not submitted or if the form is incomplete there will be delays with the request. Date Approved: Transfer Officer: PERMIT HOLDER INFORMATION: Must be Completed by the Current Permit Holder _____, request an emergency transfer of my permit, Name of Permit Holder (print clearly) Permit Number Permanent Mailing Address **CFEC ID Number** City State Zip Date of Birth Phone Number check if unlisted Email Address TRANSFEREE INFORMATION: Must be Completed by the Proposed Transferee Social Security Number Name of Transferee (print clearly) Date of Birth ADF&G Number Permanent Mailing Address City State Zip Phone Number Check if unlisted Email address RESIDENCY: Alaska Resident (You must enclose a copy of your card) Temporary Mailing Address (for this permit) City State Zip AFFIDAVIT: Both Parties must read and sign under oath before a Notary Public (or Postmaster in Alaska). I swear, under penalty of perjury. that the information provided by me on all pages of this form and in all attached statements and materials supporting this request are true and accurately describes the terms of this transfer requested solely due to the emergency situation described in this form, and that I am not prohibited by law or court order from being a party to this transfer. I understand that making a false claim on this transfer form or submitting false documentation in support of this transfer request is a crime under AS 11.56.210 which is punishable by up to one year in prison and/or \$5,000.00 fine and may subject me to administrative fines, suspension of fishing privileges and revocation of any entry permits I may hold. Permit Holder: _____ Transferee: Signature Signature Subscribed and sworn to before me this ______day of ____, 20__ at _____ ____, 20 __ at Notary Public (or Postmaster in Alaska) Notary Public (or Postmaster in Alaska) Commission Expires: Commission Expires: Only the Entry Commission may authorize the transferee to begin fishing with the copy of the transfer form and only if this request is due to an illness, injury or death which occurred in the last 14 days. The form MUST be submitted to the Entry Commission (even after business hours) in order for the transfer to be valid. If it is after business hours, you will need to send an email to yvonne fink@alaska.gov. Once the email is received a determination will be made and you will be contacted as soon as possible. PLEASE MAKE SUFFICIENT COPIES OF THIS CFEC Fishery Permit Number/Alpha Y (CFEC USE ONLY)Year/Sequence/Alpha FORM TO PROVIDE WITH EACH LANDING TO THE PROCESSOR Signature of Agent Name & Title Date valid Valid through

REQUIRED EMERGENCY TRANSFER INFORMATION: Must be Completed by the Permit Holder THE ANSWERS YOU PROVIDE ARE USED IN DETERMINING THE DECISION OF THIS TRANSFER REQUEST 1. Is your permit a nontransferable permit? NO YES If yes, who's gear and site, vessel, or dive operation will be used?___ 2. What is the reason you are requesting this emergency transfer? _____ 3. Please explain why you are unable to participate in the fishery: 4. Did you participate in this fishery last season? NO YES If no, what is the reason you did not participate? If yes, what was the ADF&G number of the vessel you fished on: ____ 5. Did you intend to fish the upcoming season? NO YES If yes, explain what preparations you have made: If no, explain what your intentions were for the fishing season: 6. Have you participated in ANY fishing activity this year? NO YES If yes, provide the fishery and duration of participation: 7. Where will you be during the fishing season? ______ 8. Will you be working at another job during the fishery? NO YES If yes, provide the employer's name, address and telephone number: Explain what type of work will be performed:

REQUIRED EMERGENCY TRANSFER INFORMATION

TO BE COMPLETED ONLY BY THE TRANS	SFEREE	
1. How did you locate this permit for emer	gency transfer? (circle one ans	wer)
Relative or personal friend Casual acquaintance	Permit Broker Fish Processor	Advertisement Other (explain)
2. What is your relationship to the permit	holder? (circle one answer)	
None Business partner/crewmember	Personal friend Member of immediate family	Other relative Other (explain)
3. Who owns the vessel or site that you w	ill be fishing? (circle one answe	er)
Myself Permit Holder	Fish Company or Processor Other (explain)	
4. What are the agreed upon terms for the	use of the permit?	
Flat fee of \$ paid t Percentage of gross earnings. Combination of flat fee and percentage, th Payment in Trade, indicate what is being t No payment to the permit holder (explain) Other (explain)	e flat fee \$a raded and its estimated value:	and percentage%Value S
5. Are you paying a commission or fee to		A CONTRACTOR OF THE CONTRACTOR
If yes, indicate which firm or person is acting	as broker:	
6. How much are you paying in brokers' fe	es or commissions?	
7. Is there any agreement concerning futu If yes, explain	re transfers of this permit?	
TO BE COMPLETED ONLY BY THE PERMI	T HOLDER	
1. Are you using the service of a broker or	other agent to assist with this	transfer? NO YES
If yes, which firm or person is acting as broke	r?	
2. How much are you paying in brokers' fe	es or commissions? \$	
3. Who advised you to seek an emergency Entry Commission staff Dept. of Fish and Game staff Permit Broker	transfer of your permit? (circle Fish Processor Business partner/crewmem Myself	Other (specify)
4. If you are receiving payment for the use At the time of transfer At the end of the season	of the permit, when is to be paid in periodic payments during Other (explain)	d? (circle one answer) g the season
 What is your reason for transferring this Injury or accident Illness or other health problem Immediate family member illness or death 	Death of permit holder Govern Incarceration Financial or	ment/Military service School or Training r economic hardship alternate employment Other:
THIS SECTION IS OPTIONAL, YOU ARE NOT I		
What is your ethnic origin: (circle your ans	swers)	
Permit Holder Alaska Native Cauca Transferee Alaska Native Cauca Inder AS 16 05 815 and AS 16 05 480, social security	asian Black Asian Hisp	anic Other

Under AS 16.05.815 and AS 16.05.480, social security numbers and other information provided on this form may be used for fisheries research, management and licensing purposes and may be disclosed to: the Alaska Department of Fish and Game, the National Marine Fishery Service, staff of the Pacific States Marine Fisheries Commission who are employed by the Alaska Fisheries Information Network project, the North Pacific Fisheries Management Council, Child Support Enforcement Agencies for child support purposes and other agencies or individuals as required by law or court order. Name, address, and licenses held are public information that may be released.

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REQUIRED PHYSICIAN STATEMENT:
By signing this form, the permit holder authorizes the examining physician, clinic and/or hospital
release confidential information regarding his or her medical records to the Entry Commission.
Signature of permit holder Date
Physician's Name:
Address :
Telephone Number: Fax Number:
You are the Patient's: regular physician specialist viltage health aide
emergency medical care provider other, specify
PLEASE PRINT THE INFORMATION BELOW CLEARLY
What date did you examine the patient:
2. What complaint did the patient present and what was the date of onset:
3. What did your examination consist of:
4. What is your diagnosis of the illness or disability:
5. The diagnosis is based upon:information provided verbally by patientmedical records and historyexamination and observation blood work, X-rays, other tests or procedures; specify6. If the diagnosis is back pain or injury to the back, attach clinical notes describing the tests performed and their results:
7. Describe the patient's current physical limitations:
8. Have you previously seen the patient for the same or a related problem?NOYES If yes, indicate when and provide relevant history:
9. What treatment have you prescribed:
10. What is the prognosis and expected duration of the problem?
I certify that the information provided by me above and in all attachments is true and correct to the best of my knowledge and that it is provided solely at the request of the permit holder to enable the Entry Commission to review the attached transfer request on a factual and objective basis. I understand that I may be contacted by the Entry Commission to answer further questions or testify about my diagnosis.
Signature of Physician or Medical Examiner Date

Commercial Fisheries Entry Commission Credit Card Authorization

PO Box 110302 Juneau, AK 99811-0302

Phone: 907-789-6150/Toll Free: 1-855-789-6150

Fax: 907-789-6170 Website: www.cfec.state.ak.us

I authorize the Commercial Fisheries Entry Commission to charge the card indicated on this authorization. This payment is for the items listed below, for the amount indicated below and is valid for a one time use.

Indicate the permit and/or vessel numbers in the space provided and the total amount you are authorizing your card to be charged.

FEES TO BE CHARGED	TO CARD:		File#:	ONLY
Item(s)	Enter Permit &	Vessel Numb	pers	Fee Amount
Permit(s):				\$
Vessel(s):				\$
Transfer Fees:				\$
Duplicate Fees:	· · · · · · · · · · · · · · · · · · ·	1-1		\$
Immediate Fishing Fees:				\$
Check here to have current USPS express ma				agree to pay the
	Total Am	ount to be C	harged:	\$
Name of Cardholder:				
Cardholder Phone Numbe	r:			
Cardholder Signature:	16		Date:	
Card type: Visa	Mastercard	Discover		
Expiration Date:	_			
Credit Card #:			· -	PAGE

Commercial Fisheries Entry Commission Designation of Permit Recipient Upon Holders Death

PO Box 110302 Juneau, AK 99811-0302

Phone: 907-789-6150/Toll Free: 1-855-789-6150 Fax: 907-789-6170

Website: www.cfec.state.ak.us

<u>General Information:</u> This form must be filed with the Entry Commission to become valid. You will receive verification from the Entry Commission once the form is on file.

You can use this form to designate a person to receive your permit after your death if the following criteria apply:

- 1) You have a transferable limited entry permit or a pending application
- 2) You do not have a surviving spouse at the time of your death
- 3) You have not expressed a contrary intent in a will

The identity of the person designated on this form is confidential information while the permit holder is alive. The recipient may apply for the permit transfer 90 days after the permit holder's death by submitting a completed Request for Permanent Transfer by Designation Recipient Upon Death of Permit Holder form, a copy of the permit holder's death certificate, and copy of the will (if a will exists). The recipient will not receive a plastic permit card unless they certify their present ability and intent to participate in the fishery. The recipient may emergency transfer the permit for three years after the permit holder's death. Special note for naming minors: If the recipient is a minor, seventeen years old or younger, the minor may not permanently transfer the permit away unless the minor's transfer is approved by a court. The minor will receive title to the permit but not a plastic permit card until the minor has reached the age of presumptive ability to participate (ten years old for set net and sixteen years old for most other fisheries); unless the minor can demonstrate the present ability and intent to participate in the fishery. At the discretion of the Entry Commission, the minor may transfer the permit in an emergency basis until they reach the age of presumptive ability to participate.

Permit Recipient Information:									
Permit Recipient Name (please print)	7486	Relati	onship to Permit	Holder	Date of Birth				
Permanent Mailing Address	City	State	Zip	Tele	phone Number	check if unlisted			
Declarations and Authorization by Permit Holder:									
I declare the following:									
I am the current permit holder of the perm from the designated recipient on this form on this form. I understand that the design of the following occur:	ı. I reauest upon	ı mv death th	is permit be perr	nanently	transferred to the	e nerson named			
 I am married at the time of my death I sign a will expressing a contrary inter I revoke this designation in a notarized I subsequently file another form design I permanently transfer this permit away The Entry Commission initiates a proc The designated recipient is not a citized America for employment purposes The designated recipient on this form of 	I statement which nating someone y prior to the dat eeding which re en of the United !	ch is received else to recei te of my deat sults in forfei States of Am	l by the Entry Co ve the permit h ture or revocatio	mmissio n of this	permit	nited States of			
						=			
Permit Holder Name (please print)		CFEC	ID Number		Permit Number				
Permanent Mailing Address	City	State		Zip	Telephone Nun	nber check if unlisted			
AFFIDAVIT: I have read and understand this form and I make this designation voluntarily and without duress. I understand that providing false information on this form is a crime under AS 11.56.210 which is punishable by up to one year in prison and/or a \$5,000.00 fine and may subject me to administrative fines, suspension of fishing privileges and revocation of any entry permit I may hold.									
Permit Holder Signature	bscribed and swo	rn to before m	e on	, 20	, at				
Notary Public (or Postmaster in Alaska)		y Commission	Expires	·					
wised August 2016 Form# 05-26A					·				