



## MEMORANDUM

TO: Alcoholic Beverage Control Board      DATE: November 12, 2019  
FROM: Erika McConnell, Director      RE: 4109 Fu Do Restaurant

**Requested Action:** Reinstatement; renewal; 1<sup>st</sup>, 2<sup>nd</sup>, and 3<sup>rd</sup> waivers of operation; transfer of controlling interest and location

**Statutory and Regulatory Authority:** AS 04.06.090(b): "The board shall review all applications for licenses made under this title and may order the director to issue, renew, revoke, transfer, or suspend licenses and permits authorized under this title."

AS 04.11.540: "Notwithstanding AS 04.11.680, an application for renewal of a license issued for the two calendar years ending December 31 or of a seasonal license issued for parts of those calendar years may be submitted up until the next February 28. If a complete application for renewal has not been filed by February 28 or the required fees and the penalty fees have not been paid by that date, the license expires at 12:00 midnight February 28. A new license may not be issued to the holder of an expired license for the same premises except on proof satisfactory to the board of good cause for the failure to file and pay."

3 AAC 304.160(e): "For the purposes of AS 04.11.540, if a license has expired for failure to file a complete application for renewal by February 28 or for failure to pay the required fees and penalty fees by that date, the board will consider a written request to reinstate the license if the request is accompanied by

- (1) a complete application;
- (2) a reinstatement fee of \$1,000;
- (3) all other required fees and penalty fees; and
- (4) proof of good cause for the failure to file and pay by February 28."

3 AAC 304.160(f): "The board will deny a request for reinstatement submitted under (e) of this section if

- (1) the license became available in accordance with 3 AAC 304.100(2) and was issued to a different applicant, unless the limit of licenses under AS 04.11.400 has not been reached; or
- (2) the board finds that the failure to timely file or pay was caused by
  - (A) the licensee's failure to notify the board of a change of the licensee's mailing address;
  - (B) a transfer of ownership of the business for which the license was issued without written approval of the board in violation of AS 04.11.040;

(C) a lease of the licensed business to another person in violation of AS 04.11.450(c); or

(D) any other action of the licensee whether active or tacit that the board finds constitutes a failure to lawfully operate the business for which the license was issued.”

3 AAC 304.170(b): “A licensee may apply to the board, requesting that the board waive the operating requirement of AS 04.11.330 (a)(3) or (d). Under AS 04.11.330(a)(3), the board will determine whether, through no fault of the licensee or because the premises are under construction, the licensed premises could not be operated for the required time during the preceding calendar year.”

AS 04.11.040(b): “A license or permit issued under this title may not be transferred to a new location except with the written consent of the board.”

AS 04.11.040(c): “A person may not receive or transfer controlling interest in a liquor license issued to a partnership, including a limited partnership, a limited liability organization, or a corporation under this title, except with the written consent of the board.”

**Staff Rec.:** Deny reinstatement and renewal as applicant does not have right to licensed premises

**Background:** License #4109 for Fu Do Restaurant, a beverage dispensary license in Anchorage held by Mong Chen, Inc., did not submit a renewal application for the 2019/2020 licensing period. The expiration warning letter required by AS 04.11.550 was mailed to the address on file on February 8, 2019. A notice of license expiration was mailed to the same address on March 18, 2019.

AMCO was first alerted to the potential for a reinstatement request for this license in early August. On August 22, 2019, we received information that Dana Yu Shiang Ma, sole owner of Mong Chen, Inc., died on March 1, 2017, and that the license was not operated in 2017 or 2018. The personal representatives of Ms. Ma’s estate, her two children, are seeking to reinstate the license in order to sell it. In addition they have filed for renewal for 2019/2020, for waivers of operation for the 2017, 2018, and 2019 operating years, a transfer of controlling interest of Mong Chen, Inc., from Ms. Ma to themselves (Edward Hsu and Stephanie Hsu), and a transfer of location to No Premises.

At issue is the fact that the premises that was previously licensed, at 2600 E. Tudor Road, is no longer under the control of the entity that held the license (Mong Chen, Inc.) or the personal representatives of Ms. Ma. The property at 2600 E. Tudor Road was formerly owned by Ms. Ma and her husband and was leased to Mong Chen Inc. Now the property is owned by 2600 LLC and is leased to Great Northern Retail Stores, Inc. which is opening a marijuana retail store at the premises. The marijuana retail store has received approval with delegation from the Marijuana Control Board.

AS 04.11.260(a)(2) requires that an application must include “a description of the premises for which the license or permit is desired, giving the address by street and number, or other information, so that the location of the premises can be definitely determined.” 3 AAC 304.105(b) states, “An application for a new license or for renewal, relocation, or transfer of an existing license, must contain the information required by AS 04.11.260 - 04.11.290. In addition, as appropriate, the application must contain the following:...(6) copies of deeds, lease agreements, or other documents

that show right or title to, or interest in, land and buildings at the location of the business to be licensed.” Even though the applicants intend to transfer the license to No Premises immediately after reinstatement and renewal, how can the license be reinstated and renewed even for a brief period, when the applicants don’t have a right to the location of the license?

Certainly the circumstances are unfortunate, but AS 04.11.660 states that a “license issued under this title is a personal privilege, not a property right.” It is clear that liquor licenses are not meant to be commodities, but to be operated for the benefit of the public. To reinstate a license at a location that is no longer possible to be a licensed premises, in order for the license to be sold as a commodity, goes against the intent of Title 4. Additionally, this would set a precedent that a licensee who lost right or title to, or interest in their premises and allowed their license to expire, could reinstate the license essentially at a different location, which again, does not appear to be consistent with the intent and structure of Title 4 and 3 AAC 304. How is reinstatement at a different location functionally different from an application for a new license?

**Attachment:** Request for reinstatement  
Renewal application  
Waiver applications  
Transfer application

**COFFEY CONSULTING, LLC**  
AN ALASKAN LIMITED LIABILITY COMPANY  
3713 Helvetia Drive  
Anchorage, Alaska 99508

(907) 306-6001

[dancoffey@gci.net](mailto:dancoffey@gci.net)

**MEMO TO ABC BOARD**  
**10-21-19**

**INTRODUCTION:**

Coffey Consulting represents Mong-Chen, Incorporated, an Alaskan Corporation, which is the owner of an expired beverage dispensary liquor license, # 4109.

Ms. Ma died on March 1, 2017. Prior to her death, she was the sole shareholder of Mong-Chen. (Certificate of Death attached).

Our clients are Ms. Ma's two children, Eddie and Stephanie Hsu. They are asking the Board to reinstate and renew the beverage dispensary liquor license and grant three (3) operating waivers (2017, 2018 & 2019).

All of the required forms and related documents were filed with the staff of the ABC Board prior to the October 25<sup>th</sup> deadline for the Board meeting on November 12, 2019.

All of the fees associated with these three (3) requests, the sum of FOURTEEN THOUSAND, SIX HUNDRED FORTY-SIX DOLLARS & 50 Cents (\$14,646.50) were paid current with the filing of the required forms and related documents for reinstatement, renewal and waivers.

**FACTS:**

Ms. Ma's children were not involved in any way, shape or form in their mother's business. At the time of Ms. Ma's death, they were totally unaware of the requirements of the statutes and regulations of the ABC Board.

Ms. Ma's husband, Thomas Hsu, suffered a stroke prior the Ms. Ma's death. As a result, he lost his ability to speak English (Korean is his native language) and suffers other stroke related disabilities. As a result of his stroke and the resulting physical and mental disabilities, Mr. Hsu was not involved in Ms. Ma's business

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nor is he actively involved in Ms. Ma's probate case. That role falls on Stephanie and Eddie Hsu.

The Law Firm of Foley, Foley & Person, provides legal services for its clients that are limited to estate planning and probate. The Foley Law Firm does not have any experience in or knowledge of ABC Board matters. The Firm was totally unaware of AS 04.11.030 "Death of Licensee" and the 90-day "forfeiture" provision in subsection (b) of that statute. (See attached copy of AS 04.11.030).

As a result of the foregoing circumstances, when Letters of Administration were issued by the Probate Dated on March 13, 2017, Ms. Ma's two children were appointed Co-Personal Representatives of the estate. (See attached Letters of Administration). At the time of their appointment both Edward and Stephanie were in their twenties and totally unfamiliar with their Mother's numerous businesses.

Prior to Dana Ma's death, the liquor license was renewed for 2017-2018, but did not operate in either of those two years due to Ms. Ma's death in March of 2017. That is the reason for the first 2 requested waivers.

On February 8, 2019, the staff of the ABC Board sent a notice to 2440 East Tudor Road #1116, Anchorage, Alaska 99507, advising Mong Chen that its "LIQUOR LICENSE EXPIRES FEBRUARY 28, 2019" (See attached letter).

Subsequently, on March 18, 2019 the staff of the ABC Board sent a second notice to Mong Chen, Inc. at 2440 East Tudor Road #1116, Anchorage, Alaska 99507 advising Mong Chen that "YOUR LIQUOR LICENSE HAS EXPIRED" (see attached letter).

Neither letter was seen by either Stephanie or Eddie. Copies were subsequently obtained from the staff of the ABC Board after the Law Firm was retained by Stephanie and Eddie.

Our Law firm was first contacted by the Eddie and Stephanie in late March, 2019. The Firm immediately began the process of fact gathering so as to reinstate and renew the Beverage Dispensary License and obtain the necessary operating waivers.

**THE FORFEITURE STATUTE: AS 04.11.030:**

It is hard to imagine a State Statute that is a bigger "trap for the unwary" than this section of the Alaska law. This is particularly true in circumstances when the Licensee/Corporate shareholder, is "a sole licensee".

Envision two young people whose mother, the sole shareholder of Mong Chen, has just died, and whose father who is disabled. None of these individuals knew anything about the statutory and regulatory requirements of the ABC Board.

To preserve a license in these circumstances, all appointed “executors or administrators of the estate...” are required to seek an extension of time from the ABC Board as provided in subsection (c) of this statute within 90 days of the death of a sole licensee. Failure to file an application for transfer or to request the Board to grant an extension of time result in forfeiture of the license. (see AS 04.11.030 (b)).

In late March, 2017, the heirs had just hired legal counsel and were in the process of being appointed the Co-Personal representatives of their deceased mother’s estate. Having no knowledge of the forfeiture provisions, no request for an extension was requested of the Board.

**NOTICE OF FAILURE TO RENEW:**

In addition to the forfeiture issue, there is a renewal issue for the years 2019-2020.

The Board’s staff sent two notices to Mong Chen in February and March 2019.

The first notice, dated February 8, 2019, advised that the “liquor license will expire at midnight February 28, 2019.

The second notice, dated March 18, 2019 stated that “Your liquor license has expired”.

The notices were sent to the licensed premises at the address on record with the ABC Board (2440 E Tudor #1116, Anchorage which was the location at which Mong Chen operated the licensed business.

Stephanie and Eddie did not know that these notices had been sent and only discovered their existence of these notices in late March when, for the first time, they contacted the ABC Board only to learn that the license was both “forfeited” and had “expired”. (See the two notice letters and the provisions of AS 04.11.030)

**AFFIDAVIT OF ATTORNEY RICHARD FOLEY**  
(Copy of Counsel’s Affidavit attached

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Added to the problems that these young heirs faced, the Board should also consider the difficulties outlined in the affidavit of Richard Foley, the attorney representing the estate. (Copy Attached)

Without repeating what attorney Foley states in his affidavit, the Board should understand that Ms. Ma was, at the time of her death, involved in multiple business deals, real estate investments and related and unrelated business transactions. The initial focus of the Law Firm was to gather information necessary to secure the appoint of the personal representatives and to gather information as to the assets of the Estate. Little did they know that the license has been forfeited.

### **RELATED FILING AND PROCESS ISSUES:**

There are several inter-related licensing issues with regard to this license that need to be addressed at the Board's meeting in November in order to enable the Board to make a decision on the requested reinstatement, the renewal for 2019-2020 and the 3 requested operating waivers.

These issues have been identified and are set out below:

#### 1) Waivers of the 2017, 2018 and 2019 Operating Requirements.

Waiver applications have been prepared and are filed with staff ~~(see attached)~~

#### 2) Renewal of the liquor license for 2019-2020.

A renewal application for 2019-2020 has been prepared and filed with staff. ~~(see attached)~~

#### 3) Reinstatement of the Corporation.

The Corporation is in the process of being reinstated. (see attached).

#### 4) Transfer of Ownership.

Because of the death of Ms. Ma, the license should be transferred to her heirs who are the Co-Personal Representatives. An application to transfer ownership of Mong-Chen, Incorporated to the two Co-Personal representatives has been prepared and filed with the State of Alaska ~~(see attached)~~.

#### 5) Required Fees.

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The fees required by the ABC Board for these issues **(\$14,646.50)** have been paid (~~see attached copy of the Check~~).

6) A Contingent Contract of Purchase and Sale

A Contract for the sale of the Beverage Dispensary has been prepared and signed by the Buyer. Once the ABC Board approves the reinstatement, renewal and waivers that are being requested we will proceed with a transfer of ownership and location for this license which will operate in 2020. (See attached Contract).

**CONCLUSION:**

The two (2) heirs of Ms. Ma are asking that the very valuable liquor license be reinstated and renewed and that operating waivers be granted so that they can sell the license and move on with their lives. Every issue related to reinstatement, renewal and waivers has been addressed. All fees and all costs due the Board have been paid.

Thank you for your consideration of these requests.

DATED at Anchorage, Alaska this 21st day of October, 2019.

  
\_\_\_\_\_  
Dan K. Coffey  
Alaska Bar Number - 75-05011

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## **AFFIDAVIT OF RICHARD H. FOLEY, JR.**

I, Richard H. Foley, Jr. being first duly sworn, depose and say as follows:

- 1) I am an attorney with the law firm of Foley, Foley & Pearson, P.C. and I am licensed to practice law in the State of Alaska.
- 2) Our legal practice is limited to estate and business planning, probate and trust administration. We do not provide legal services outside of these areas. We have never practiced before the alcohol and marijuana control board and consider such legal work to be highly specialized.
- 3) Foley, Foley & Pearson, P.C. represents the Estate of Dana Yu Shiang Ma (Dana Ma) who died on March 1, 2017. Mrs. Ma was survived by her husband, Thomas Tao Cheng Hsu, and her two children, Eddie Hsu and Stephanie Hsu. Thomas Hsu is the sole beneficiary of the estate.
- 4) Eddie Hsu and Stephanie Hsu were appointed as Co-Personal Representatives of the Estate because Thomas Hsu had previously suffered a stroke and did not have a proficient command of the English language.
- 5) The initial legal work on the estate, including Appointment of Representatives, Letters of Administration, Notice to Creditors, and Notice to Heirs was commenced and accomplished by the end of March 2017.
- 6) Notice to creditors was first published on March 26, 2017. By law, creditors have four (4) months to file claims from the date of publication. Other than the statutory \$55,000 statutory allowances to the spouse, creditors and the IRS have a priority to be paid from estate assets. Our practice is to avoid the transfer of any assets until after the creditor claim period runs and after the IRS has accepted the estate tax return.
- 7) At the time of her death, Mrs. Ma was involved in multiple business deals, real estate investments and related transactions. It was a very complex and leveraged estate with substantial debt. There were potential federal estate tax issues, and we determined that an estate tax return should be filed.
- 8) Mrs. Ma did not share the management duties or knowledge of her financial dealings with other family members. Given the way in which Mrs. Ma ran her numerous and often complex businesses, the Personal Representatives had a very difficult time obtaining a clear inventory of the assets of the estate. We spent a substantial amount of time locating and valuing assets, evaluating debts and creditor claims, and trying to figure out the cash necessary to service debt. Cash flow was a problem from the outset and it

was clear that it would be necessary to liquidate real estate. It was a challenge to liquidate the real estate in an orderly fashion and to protect equity and value to the greatest extent possible.

- 9) Due to the complexity of the estate and ignorance of family members regarding the financial dealings of the decedent, we obtained an extension of time to file the estate tax return which was eventually filed 15 months after the date of death.
- 10) Typically, Personal Representatives are required to take over the business operations of the decedent. This is a challenge for any Personal Representative who has their own job and family. It was a particular challenge for Eddie and Stephanie, who are young and inexperienced in matters of business and finance and were never educated by their mother about her financial operations. Eddie and Stephanie did their best to take over the many business dealings and ongoing real estate transactions necessary to keep the estate afloat.
- 11) As a firm, we relied upon Eddie and Stephanie to work with realtors to negotiate sales of business and real estate assets, service existing debts, pay for the expenses of administration, and protect estate assets. We expected them to alert us to any problems that might arise as property was inventoried, valued, protected and liquidated
- 12) Our firm does not practice in the area of liquor control. We were unaware of A.S. 04.11.030 and the requirements to transfer a liquor license within 90 days of the date of death. Since we were focused on the IRS and creditors, we were not planning to transfer assets of the estate early in the administration. Our understanding is the IRS and creditors have a lien on estate assets and a Personal Representative may not transfer assets until all valid creditor claims are resolved.
- 13) Our firm first learned of the problems with regard to the expiration of the liquor license and the dissolution of Mong Chen in April 2019. When Eddie Hsu went to the ABC Board to renew the liquor license in late March or early April, 2019, he learned that the license had expired. Eddie contacted me and I assisted him in engaging attorney Dan Coffey to assist them in the reinstatement of the license.

DATED at Anchorage, Alaska this 21 day of August, 2019.

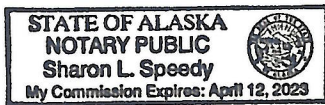


Richard H. Foley, Jr., Attorney

STATE OF ALASKA )  
 ) ss.  
THIRD JUDICIAL DISTRICT )

THIS IS TO CERTIFY that on the 21st day of August, 2019, before me, the undersigned, a Notary Public in and for the State of Alaska, duly commissioned and sworn, personally appeared Richard H. Foley, Jr., to me known and known to be the individual described in and who executed the foregoing Affidavit as the attorney for the Estate of Dana Yu Shiang Ma (deceased) and he acknowledged to me that he signed the same, freely and voluntarily for the uses and purposes therein stated.

IN WITNESS WHEREOF, I have hereunto set my hand and official seal the day and year last above written.



*Sharon L. Speedy*  
\_\_\_\_\_  
Notary Public in and for Alaska

My Commission Expires: 4/12/2023



Copy Filed 8/23/19

Alcohol and Marijuana Control Office  
550 W 7<sup>th</sup> Avenue, Suite 1600  
Anchorage, AK 99501  
[alcohol.licensing@alaska.gov](mailto:alcohol.licensing@alaska.gov)  
<https://www.commerce.alaska.gov/web/amco>  
Phone: 907.269.0350

Alaska Alcoholic Beverage Control Board

## Form AB-17: 2019/2020 Renewal License Application

### What is this form?

This renewal license application form is required for all individuals or entities seeking to apply for renewal of an existing liquor license that will expire on December 31, 2018. All fields of this form must be complete and correct, or the application will be returned to you in the manner in which it was received, per AS 04.11.270 and 3 AAC 304.105. The Community Council field only should be verified/completed by licensees whose establishments are located within the Municipality of Anchorage or outside of city limits within the Matanuska-Susitna Borough.

This form must be completed correctly and submitted to the Alcohol & Marijuana Control Office (AMCO)'s main office, along with all other required documents and fees, before any renewal license application will be considered complete. Receipt and/or processing of renewal payments by AMCO staff neither indicates nor guarantees that an application will be considered complete, or that a license will be renewed.

### Section 1 – Establishment and Contact Information

Enter information for the business seeking to have its license renewed. If any populated information is incorrect, please contact AMCO.

Licensee:	Mong Chen, Inc.	License #:	4109
License Type:	Beverage Dispensary	Legal Ref.:	AS 04.11.090
Doing Business As:	Fu Do Restaurant		
Premises Address:	2600 E Tudor Road		
Local Governing Body:	Municipality of Anchorage		
Community Council:	Campbell Park		
Mailing Address:	1390 Saint Gotthard Ave.		
City:	Anchorage	State:	Alaska
		ZIP:	99508

Enter information for the individual who will be designated as the primary point of contact regarding this application. This individual must be a licensee who is required to be listed in and authorized to sign this application.

Contact Licensee:	Edward Hsu	Contact Phone:	351 8198
Contact Email:	edhsu907@gmail.com		
Personal Representative of Estate Dana Ma			
Optional: If you wish for AMCO staff to communicate with individual who is not a licensee named on this form (eg: legal counsel) about this application and other matters pertaining to the license, please provide that person's contact information in the fields below.			
Name of Contact:	Ernauff a Coffey	Contact Phone:	274 3385
Contact Email:	ashawcross@eclawfirm.org		

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Alaska Alcoholic Beverage Control Board  
**Form AB-17: 2019/2020 Renewal License Application**

**Section 2 – Entity or Community Ownership Information**

This top subsection must be completed by any licensee that is a **corporation** or **LLC**. Corporations and LLCs are required to be in good standing with the Alaska Division of Corporations, Business & Professional Licensing (CBPL). This number is neither your EIN/tax ID number, nor your business license number. You may view your entity's status or find your CBPL entity number by using the following site: <https://www.commerce.alaska.gov/cbp/main/search/entities>

General partnerships and local governments should skip to the second half of this page. Licensees who directly hold a license as an individual or individuals should skip to Section 3.

Alaska CBPL Entity #:	652000
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You must ensure that you are able to certify the following statement before signing your initials in the box to the right: Initials

I certify that this entity is in good standing with CBPL and that all current entity officials and stakeholders (listed below) are also currently and accurately listed with CBPL.

EH

See attch.

This subsection must be completed by any **community** or **entity**, including a corporation, limited liability company, partnership, or limited partnership, that is applying for renewal. If more space is needed, please attach additional completed copies of this page.

- If the applicant is a **corporation**, the following information must be completed for each **stockholder who owns 10% or more** of the stock in the corporation, and for each **president, vice-president, secretary, and managing officer**.
- If the applicant is a **limited liability organization**, the following information must be completed for each **member with an ownership interest of 10% or more**, and for each **manager**.
- If the applicant is a **partnership**, including a limited partnership, the following information must be completed for each **partner with an interest of 10% or more**, and for each **general partner**.

**Important Note:** The information provided in the below fields (including spelling of names, specific titles, and percentages held) must match that which is listed with CBPL. If one individual holds multiple titles mentioned in the bullets above, all titles must be listed for that individual on this application and with CBPL. Failure to list all required titles constitutes an incomplete application.

Name of Official:	Dana Ma				
Title(s):	Treas. or Sec.	Phone:	3518198	% Owned:	100
Mailing Address:	2600 E. Tudor Rd. #116				
City:	Anchorage	State:	AK	ZIP:	99507

Name of Official:					
Title(s):		Phone:		% Owned:	
Mailing Address:					
City:		State:		ZIP:	

Name of Official:					
Title(s):		Phone:		% Owned:	
Mailing Address:					
City:		State:		ZIP:	



Alaska Alcoholic Beverage Control Board  
**Form AB-17: 2019/2020 Renewal License Application**

**Section 3 – Sole Proprietor Ownership Information**

This section must be completed by any licensee who directly holds the license as an **individual or multiple individuals** and is applying for license renewal. If more space is needed, please attach a separate sheet that includes all of the required information. Entities should skip to Section 4. The following information must be completed for each licensee and each affiliate (spouse).

This individual is an:  applicant  affiliate (spouse)

Name:				Contact Phone:	
Mailing Address:					
City:		State:		ZIP:	
Email:					

This individual is an:  applicant  affiliate (spouse)

Name:				Contact Phone:	
Mailing Address:					
City:		State:		ZIP:	
Email:					

**Section 4 – Alcohol Server Education**

This section must be completed only by the holder of a beverage dispensary, club, or pub license or conditional contractor's permit. The holders of all other license types should skip to Section 5.

Read the line below, and then sign your initials in the box to the right of the statement:

Initials

I certify that all licensees, agents, and employees who sell or serve alcoholic beverages or check identification of a patron have completed an alcohol server education course approved by the ABC Board and keep current, valid copies of their course completion cards on the licensed premises during all working hours, as set forth in AS 04.21.025 and 3 AAC 304.465.

EH

**Section 5 – License Operation**

Check a single box for each calendar year that best describes how this liquor license was operated:

- |   | 2017                                | 2018                                |
|---|-------------------------------------|-------------------------------------|
| The license was regularly operated continuously throughout each year.   | <input type="checkbox"/>            | <input type="checkbox"/>            |
| The license was regularly operated during a specific season each year.  | <input type="checkbox"/>            | <input type="checkbox"/>            |
| The license was only operated to meet the minimum requirement of 240 total hours each calendar year. If this box is checked, a complete copy of Form AB-30: Proof of Minimum Operation Checklist, and all necessary documentation must be provided with this application.   | <input type="checkbox"/>            | <input type="checkbox"/>            |
| The license was not operated at all or was not operated for at least the minimum requirement of 240 total hours each year, during one or both of the calendar years. If this box is checked, a complete copy of Form AB-29: Waiver of Operation Application and corresponding fees must be submitted with this application for each calendar year during which the license was not operated for at least the minimum requirement, unless a complete copy of the form (including fees) has already been submitted for that year. | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |



Alaska Alcoholic Beverage Control Board  
**Form AB-17: 2019/2020 Renewal License Application**

**Section 6 – Violations and Convictions**

**Applicant violations and convictions in calendar years 2017 and 2018:**

	Yes	No
Have any notices of violation (NOVs) been issued to this licensee in the calendar years 2017 or 2018?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Has any person or entity named in this application been convicted of a violation of Title 04, of 3 AAC 304, or a local ordinance adopted under AS 04.21.010 in the calendar years 2017 or 2018?	<input type="checkbox"/>	<input checked="" type="checkbox"/>

If "Yes" to either of the previous two questions, attach a separate page to this application listing all NOVs and/or convictions.

**Section 7 – Certifications**

**Read each line below, and then sign your initials in the box to the right of each statement:**

	Initials
I certify that all current licensees (as defined in AS 04.11.260) and affiliates have been listed on this application, and that in accordance with AS 04.11.450, no one other than the licensee(s) has a direct or indirect financial interest in the licensed business.	<input type="checkbox"/> EH
I certify that I have not altered the functional floor plan or reduced or expanded the area of the licensed premises, and I have not changed the business name or the ownership (including officers, managers, general partners, or stakeholders) from what is currently approved and on file with the Alcoholic Beverage Control Board.	<input type="checkbox"/> EH
I certify on behalf of myself or of the organized entity that I understand that providing a false statement on this form or any other form provided by AMCO is grounds for rejection or denial of this application or revocation of any license issued.	<input type="checkbox"/> EH

As an applicant for a liquor license renewal, I declare under penalty of perjury that I have read and am familiar with AS 04 and 3 AAC 304, and that this application, including all accompanying schedules and statements, is true, correct, and complete. I agree to provide all information required by the Alcoholic Beverage Control Board or AMCO staff in support of this application and understand that failure to do so by any deadline given to me by AMCO staff will result in this application being returned to me as incomplete.

Signature of licensee: Edward Hsu  
 Printed name of licensee: personal rep of Est of Dana Ma.

Signature of Notary Public: A B Shawcross  
 Official Seal: **OFFICIAL SEAL A.B. SHAWCROSS NOTARY PUBLIC STATE OF ALASKA My Comm. Expires February 1, 2023**  
 Signature of Notary Public: the State of Alaska.  
 My commission expires: 21/23  
 Subscribed and sworn to before me this 19th day of August, 2019.

Seasonal License?  Yes  No  
 If "Yes", write your six-month operating period: \_\_\_\_\_

License Fee:	\$ 2500.00 ✓	Application Fee:	\$ 300.00 ✓	TOTAL:	\$ 2800.00
Miscellaneous Fees:			late fee		500 = ✓
GRAND TOTAL (if different than TOTAL):					\$ 3300 -

Foley, Foley & Pearson  
A Professional Corporation  
4300 B Street, Suite 400  
Anchorage, AK 99503  
(907) 522-2272

Chelsea Ray Riekkola  
Alaska Bar Assoc. Member #1406061  
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Anchorage, AK 99503  
(907) 522-2272  
chelsea@foleyfoley.com

IN THE SUPERIOR COURT FOR THE STATE OF ALASKA  
THIRD JUDICIAL DISTRICT AT ANCHORAGE

In the Matter of the Estate )  
 )  
 of )  
 )  
 Dana Yu Shiang Ma, )  
 )  
 Deceased. )

Filed in the Trial Courts  
State of Alaska Third Judicial District

MAR 13 2017

Clerk of the Trial Courts  
By: \_\_\_\_\_ Deputy Clerk

Case No. 3AN-17-654 PR

LETTERS OF ADMINISTRATION

The Statement of Informal Appointment of Co-Personal Representatives in Intestacy having been signed by the Probate Master, Edward Hsu and Stephanie Hsu are appointed Co-Personal Representatives of the estate.

Date

3/13/17

Probate Master



ACCEPTANCE

We, Edward Hsu and Stephanie Hsu, accept the duties and promise to perform the duties as required by law of the office of Personal Representative of the estate of Dana Yu Shiang Ma. We acknowledge our duty as Co-Personal Representatives to:

Estate of Dana Yu Shiang Ma  
3AN-17-\_\_\_\_ PR  
Letters of Administration  
Page 1 of 3

AMCO

OCT 22 2018



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A Professional Corporation  
4300 B Street, Suite 400  
Anchorage, AK 99503  
(907) 522-2272

(a) take possession and control of decedent's property as required by AS 13.16.380, determine the liabilities of the estate, and complete an inventory as required by AS 13.16.365;

(b) provide notice to heirs and devisees as required by AS 13.16.360, except as provided by AS 13.16.690;

(c) provide notice to creditors as required by law, publish notice when required, and review and either accept or reject claims as required by AS 13.16.455-.515;

(d) advise the court in writing of our addresses and telephone numbers as required by Probate Rule 8;

(e) pay homestead, exempt property and family allowances as required by AS 13.12.401-.405, costs of administration and other claims as required by AS 13.16.470, and distribute the assets of the estate; and

(f) close the estate as soon as appropriate as required by AS 13.16.620-.670.

We will file any required bond.

3/10/17

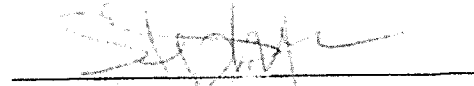
Date



Edward Hsu,  
Co-Personal Representative  
Address: 1350 Saint Gotthard Ave.,  
Anchorage, Alaska 99508  
Phone No.: (907) 351-8198

3 10 17

Date



Stephanie Hsu,  
Co-Personal Representative  
Address: 1350 Saint Gotthard Ave.  
Anchorage, Alaska 99508  
Phone No.: (907) 229-9888

Estate of Dana Yu Shiang Ma  
3AN-17-\_\_\_ PR  
Letters of Administration  
Page 2 of 3

AMCC

OCT 22 2018

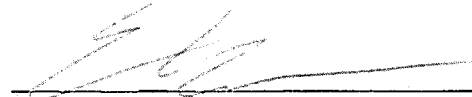
Foley, Foley & Pearson  
A Professional Corporation  
4300 B Street, Suite 400  
Anchorage, AK 99503  
(907) 522-2272


VERIFICATION

STATE OF ALASKA )  
 ) ss.  
THIRD JUDICIAL DISTRICT )

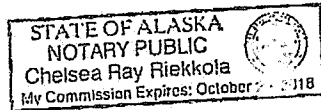
Edward Hsu and Stephanie Hsu, being first duly sworn upon oath, depose and state as follows:


We have reviewed the LETTERS TESTAMENTARY and ACCEPTANCE and believe the same to be true.

  
Edward Hsu

  
Stephanie Hsu

SUBSCRIBED AND SWORN to before me this 10<sup>th</sup> day of March,  
2017, at Anchorage, Alaska.



  
Notary Public in and for Alaska  
My Commission Expires: 10/29/18

I certify that on 3/13/17  
a copy of the above was mailed/handed/faxed to  
each of the following at their address of record:  
D. Cathy Riekkola  
Judicial Assistant

Estate of Dana Yu Shiang Ma  
3AN-17-     PR  
Letters of Administration  
Page 3 of 3

AMCO  
OCT 28 2019

Chelsea Ray Riekkola  
Alaska Bar Assoc. Member #1406061  
FOLEY, FOLEY & PEARSON, P.C.  
4300 B Street, Suite 400  
Anchorage, AK 99503  
(907) 522-2272  
chelsea@foleyfoley.com

FILED  
OCT 22 2019  
CLERK OF SUPERIOR COURT  
ANCHORAGE, ALASKA

IN THE SUPERIOR COURT FOR THE STATE OF ALASKA  
THIRD JUDICIAL DISTRICT AT ANCHORAGE

In the Matter of the Estate )  
 )  
 of )  
 )  
 Dana Yu Shiang Ma, )  
 )  
 Deceased. )

Case No. 3AN-17-\_\_\_\_ PR

**DEATH CERTIFICATE**  
[Original Attached Hereto]

Foley, Foley & Pearson  
A Professional Corporation  
4300 B Street, Suite 400  
Anchorage, AK 99503  
(907) 522-2272

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OCT 22 2019

Details

## ENTITY DETAILS

### Name(s)

Type	Name
Legal Name	MONG-CHEN, INC.

**Entity Type:** Business Corporation

**Entity #:** 65200D

**Status:** Good Standing

**AK Formed Date:** 11/13/1998

**Duration/Expiration:** Perpetual

**Home State:** ALASKA

**Next Biennial Report Due:** 1/2/2020 [File Biennial Report](#)

**Entity Mailing Address:** 1350 ST GOTIHARD AVE, ANCHORAGE, AK 99508

**Entity Physical Address:** 2600 E TUDOR RD, ANCHORAGE, AK 99507

### Registered Agent

**Agent Name:** DANA MA

**Registered Mailing Address:** 2440 E TUDOR, BOX 1116, ANCHORAGE, AK 99507

**Registered Physical Address:** 2600 E TUDOR RD, ANCHORAGE, AK 99507

### Officials

Show Former

AK Entity #	Name	Titles	Owned
	DANA MA	Treasurer, Director, Secretary, President, Shareholder	100.00

### Filed Documents

Date Filed	Type	Filing	Certificate
11/03/1998	Creation Filing	<a href="#">Click to View</a>	
10/06/1999	Biennial Report		
1/31/2001	Biennial Report	<a href="#">Click to View</a>	
1/02/2002	Biennial Report	<a href="#">Click to View</a>	
1/26/2004	Biennial Report	<a href="#">Click to View</a>	
12/30/2005	Biennial Report	<a href="#">Click to View</a>	
12/24/2012	Biennial Report	<a href="#">Click to View</a>	
6/10/2013	Admin Dissolution		<a href="#">Click to View</a>
7/15/2013	Biennial Report	<a href="#">Click to View</a>	
7/15/2013	Biennial Report	<a href="#">Click to View</a>	
7/15/2013	Agent Change	<a href="#">Click to View</a>	
7/15/2013	Reinstatement		<a href="#">Click to View</a>
10/20/2013	Biennial Report	<a href="#">Click to View</a>	
12/09/2015	Biennial Report	<a href="#">Click to View</a>	

Date Filed	Type	Filing	Certificate
9/07/2018	Admin Dissolution		<a href="#">Click to View</a>
10/15/2019	Biennial Report	<a href="#">Click to View</a>	
10/15/2019	Reinstatement		<a href="#">Click to View</a>

[Close Details](#)

[Print Friendly Version](#)



Copy filed 8/23/19

Alcohol and Marijuana Control Office  
550 W 7<sup>th</sup> Avenue, Suite 1600  
Anchorage, AK 99501  
[alcohol.licensing@alaska.gov](mailto:alcohol.licensing@alaska.gov)  
<https://www.commerce.alaska.gov/web/amco>  
Phone: 907.269.0350

Alaska Alcoholic Beverage Control Board

## Form AB-29: Waiver of Operation Application

### What is this form?

This form is the means by which a licensee may request that the Alcoholic Beverage Control (ABC) Board waive the operating requirement of AS 04.11.330(a)(3) or (d). If a recreational site license has not been operated at least once in a calendar year, or if a license of any other type has not been operated for at least 240 hours in a calendar year, then a complete copy of this form and the corresponding fees must be submitted for that calendar year, per 3 AAC 304.170.

This application must be accompanied by a non-refundable waiver application fee of:

- for a 1<sup>st</sup> request, an amount equal to ½ the applicable biennial license fee; or
- for a 2<sup>nd</sup> or subsequent request, double the amount of the fee paid for the previous waiver application.

The ABC Board will determine whether, through no fault of the licensee or because the premises are under construction, the licensed premises could not be operated for the required time during the calendar year. The ABC Board may impose conditions along with the approval of an application for waiver, and it may deny a third or subsequent application for waiver. If an application for waiver is denied, an application for license renewal for the succeeding license period will be denied by the Board. In addition to the waiver application fee, the applicant must pay a late fee of \$1,000 for an application that is received too late for Board consideration at its meeting before November 30 of the year for which the waiver is requested. Please check AMCO's website for meeting agenda deadlines.

Please note that a licensee must submit a separate completed copy of this form and pay a separate corresponding fee for each license and for each calendar year during which a license was not operated in compliance with AS 04.11.330.

### Section 1 – Establishment Information

Enter information for the license that has not been operated for the time required under AS 04.11.330.

Licensee:	Mong Chen, Inc.	License Number:	4109		
License Type:	Beverage Dispensary				
DBA:	Fu Do Restaurant				
Premises Address:	2600 E Tudor Road				
City:	Anchorage	State:	Alaska	ZIP:	99503
Local Governing Body:	MOA				

### Section 2 – Request Number and Calendar Year

1<sup>st</sup> Request     2<sup>nd</sup> Request     3<sup>rd</sup> Request     Other \_\_\_\_\_

Request for Calendar Year 2017

AMCO  
OCT 17 2019



Alcohol and Marijuana Control Office  
 550 W 7<sup>th</sup> Avenue, Suite 1600  
 Anchorage, AK 99501  
[alcohol.licensing@alaska.gov](mailto:alcohol.licensing@alaska.gov)  
<https://www.commerce.alaska.gov/web/amco>  
 Phone: 907.269.0350

Alaska Alcoholic Beverage Control Board

## Form AB-29: Waiver of Operation Application

### Section 3 – Reason for Non-operation

Provide an explanation as to why the licensed premises were not operated:

Sole Licensee of the corporation Mong chen, Inc. Dana Ma passed away.

### Section 4 – Certifications

The following must be completed for establishments located within the boundaries of a local governing body:

Read the line below, and then sign your initials in the box to the right of the statement:

Initials

I certify that I will provide a true copy of this application to the local governing body listed on Page 1 of this form prior to ABC Board consideration of this application.

EH

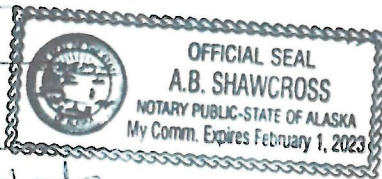
As a liquor licensee, I declare under penalty of perjury that this form, including all attachments, is true, correct, and complete.

Signature of licensee

Edward Hsu

Printed name of licensee

personal Rep. of estate  
for Dana MA.



A.B. Shawcross  
Notary Public in and for the State of Alaska.

My commission expires: 2/1/23

Subscribed and sworn to before me this 19<sup>th</sup> day of August, 2019.

Office Use Only			
Waiver Application Fee:	1,250 <sup>00</sup>	Late Fee:	1000 <sup>00</sup>
		Transaction #:	1160528

Foley, Foley & Pearson  
A Professional Corporation  
4300 B Street, Suite 400  
Anchorage, AK 99503  
(907) 522-2272

Chelsea Ray Riekkola  
Alaska Bar Assoc. Member #1406061  
FOLEY, FOLEY & PEARSON, P.C.  
4300 B Street, Suite 400  
Anchorage, AK 99503  
(907) 522-2272  
chelsea@foleyfoley.com

IN THE SUPERIOR COURT FOR THE STATE OF ALASKA  
THIRD JUDICIAL DISTRICT AT ANCHORAGE

In the Matter of the Estate )  
 )  
 of )  
 )  
 Dana Yu Shiang Ma, )  
 )  
 Deceased. )  
 )

Filed in the Trial Courts  
State of Alaska Third Judicial District  
**MAR 13 2017**  
Clerk of the Trial Courts  
By: \_\_\_\_\_ Deputy Clerk

Case No. 3AN-17-654 PR

LETTERS OF ADMINISTRATION

The Statement of Informal Appointment of Co-Personal Representatives in Intestacy  
having been signed by the Probate Master, Edward Hsu and Stephanie Hsu are appointed  
Co-Personal Representatives of the estate.

Date

3/13/17

Probate Master



ACCEPTANCE

We, Edward Hsu and Stephanie Hsu, accept the duties and promise to perform the  
duties as required by law of the office of Personal Representative of the estate of Dana Yu  
Shiang Ma. We acknowledge our duty as Co-Personal Representatives to:

Estate of Dana Yu Shiang Ma  
3AN-17-\_\_\_\_ PR  
Letters of Administration  
Page 1 of 3

AMCO  
OCT 22 2019



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A Professional Corporation  
4300 B Street, Suite 400  
Anchorage, AK 99503  
(907) 522-2272

(a) take possession and control of decedent's property as required by AS 13.16.380, determine the liabilities of the estate, and complete an inventory as required by AS 13.16.365;

(b) provide notice to heirs and devisees as required by AS 13.16.360, except as provided by AS 13.16.690;

(c) provide notice to creditors as required by law, publish notice when required, and review and either accept or reject claims as required by AS 13.16.455-.515;


(d) advise the court in writing of our addresses and telephone numbers as required by Probate Rule 8;

(e) pay homestead, exempt property and family allowances as required by AS 13.12.401-.405, costs of administration and other claims as required by AS 13.16.470, and distribute the assets of the estate; and

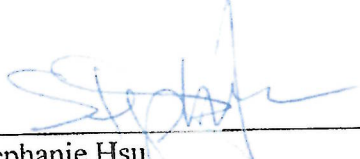
(f) close the estate as soon as appropriate as required by AS 13.16.620-.670.

We will file any required bond.

3/10/17  
Date

  
Edward Hsu,  
Co-Personal Representative  
Address: 1350 Saint Gotthard Ave.,  
Anchorage, Alaska 99508  
Phone No.: (907) 351-8198

3-10-17  
Date

  
Stephanie Hsu,  
Co-Personal Representative  
Address: 1350 Saint Gotthard Ave.  
Anchorage, Alaska 99508  
Phone No.: (907) 229-9888

Estate of Dana Yu Shiang Ma  
3AN-17-\_\_\_\_PR  
Letters of Administration  
Page 2 of 3

AMCO  
OCT 22 2019

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A Professional Corporation  
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Anchorage, AK 99503  
(907) 522-2272


VERIFICATION

STATE OF ALASKA )  
 ) ss.  
THIRD JUDICIAL DISTRICT )

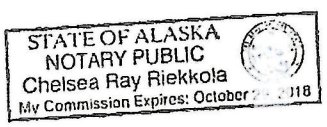
Edward Hsu and Stephanie Hsu, being first duly sworn upon oath, depose and state as follows:


We have reviewed the LETTERS TESTAMENTARY and ACCEPTANCE and believe the same to be true.

  
Edward Hsu

  
Stephanie Hsu

SUBSCRIBED AND SWORN to before me this 10th day of March, 2017, at Anchorage, Alaska.



  
Notary Public in and for Alaska  
My Commission Expires: 10/29/18

I certify that on 3/13/17  
a copy of the above was mailed/handed/faxed to  
each of the following at their address of record:  
D. Riekkola  
Judicial Assistant

Estate of Dana Yu Shiang Ma  
3AN-17-\_\_\_\_PR  
Letters of Administration  
Page 3 of 3

AMCO  
OCT 22 2019



COPY  
Filed 8/23/19

Alcohol and Marijuana Control Office  
550 W 7<sup>th</sup> Avenue, Suite 1600  
Anchorage, AK 99501  
[alcohol.licensing@alaska.gov](mailto:alcohol.licensing@alaska.gov)  
<https://www.commerce.alaska.gov/web/amco>  
Phone: 907.269.0350

Alaska Alcoholic Beverage Control Board

## Form AB-29: Waiver of Operation Application

### What is this form?

This form is the means by which a licensee may request that the Alcoholic Beverage Control (ABC) Board waive the operating requirement of AS 04.11.330(a)(3) or (d). If a recreational site license has not been operated at least once in a calendar year, or if a license of any other type has not been operated for at least 240 hours in a calendar year, then a complete copy of this form and the corresponding fees must be submitted for that calendar year, per 3 AAC 304.170.

This application must be accompanied by a non-refundable waiver application fee of:

- for a 1<sup>st</sup> request, an amount equal to ½ the applicable biennial license fee; or
- for a 2<sup>nd</sup> or subsequent request, double the amount of the fee paid for the previous waiver application.

The ABC Board will determine whether, through no fault of the licensee or because the premises are under construction, the licensed premises could not be operated for the required time during the calendar year. The ABC Board may impose conditions along with the approval of an application for waiver, and it may deny a third or subsequent application for waiver. If an application for waiver is denied, an application for license renewal for the succeeding license period will be denied by the Board. In addition to the waiver application fee, the applicant must pay a late fee of \$1,000 for an application that is received too late for Board consideration at its meeting before November 30 of the year for which the waiver is requested. Please check AMCO's website for meeting agenda deadlines.

Please note that a licensee must submit a separate completed copy of this form and pay a separate corresponding fee for each license and for each calendar year during which a license was not operated in compliance with AS 04.11.330.

### Section 1 – Establishment Information

Enter information for the license that has not been operated for the time required under AS 04.11.330.

Licensee:	Mong Chen, Inc.	License Number:	4109
License Type:	Beverage Dispensary		
DBA:	Fu Do Restaurant		
Premises Address:	2600 E Tudor Road		
City:	Anchorage	State:	Alaska
		ZIP:	99503
Local Governing Body:	MOA		

### Section 2 – Request Number and Calendar Year

1<sup>st</sup> Request     2<sup>nd</sup> Request     3<sup>rd</sup> Request     Other \_\_\_\_\_

Request for Calendar Year 2018



Alaska Alcoholic Beverage Control Board

**Form AB-29: Waiver of Operation Application**

**Section 3 – Reason for Non-operation**

Provide an explanation as to why the licensed premises were not operated:

Sole Licensee of the corporation Mong chen, Inc. Dana Ma passed away.

**Section 4 – Certifications**

The following must be completed for establishments located within the boundaries of a local governing body:

Read the line below, and then sign your initials in the box to the right of the statement:

Initials

I certify that I will provide a true copy of this application to the local governing body listed on Page 1 of this form prior to ABC Board consideration of this application.

EH

As a liquor licensee, I declare under penalty of perjury that this form, including all attachments, is true, correct, and complete.

*[Handwritten Signature]*

Signature of licensee

Edward Hsu

Printed name of licensee

personal rep of Est.  
for Dana MA.



*[Handwritten Signature]*  
Notary Public in and for the State of Alaska.

My commission expires: 2/1/23

Subscribed and sworn to before me this 19<sup>th</sup> day of August, 2019.

Office Use Only			
Waiver Application Fee:	2,500.00	Late Fee:	1,000.00
Transaction #:	1160528		

Foley, Foley & Pearson  
A Professional Corporation  
4300 B Street, Suite 400  
Anchorage, AK 99503  
(907) 522-2272

Chelsea Ray Riekkola  
Alaska Bar Assoc. Member #1406061  
FOLEY, FOLEY & PEARSON, P.C.  
4300 B Street, Suite 400  
Anchorage, AK 99503  
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chelsea@foleyfoley.com

IN THE SUPERIOR COURT FOR THE STATE OF ALASKA  
THIRD JUDICIAL DISTRICT AT ANCHORAGE

In the Matter of the Estate )  
 )  
 of )  
 )  
 Dana Yu Shiang Ma, )  
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Filed in the Trial Courts  
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**MAR 13 2017**  
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By: \_\_\_\_\_ Deputy Clerk

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Estate of Dana Yu Shiang Ma  
3AN-17-\_\_\_\_ PR  
Letters of Administration  
Page 1 of 3

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OCT 22 2019

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A Professional Corporation  
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(907) 522-2272

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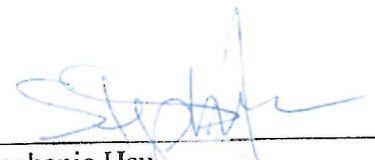
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Address: 1350 Saint Gotthard Ave.,  
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Phone No.: (907) 351-8198

3-10-17  
Date

  
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Phone No.: (907) 229-9888

Estate of Dana Yu Shiang Ma  
3AN-17-\_\_\_\_ PR  
Letters of Administration  
Page 2 of 3

AMCO  
OCT 22 2019


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A Professional Corporation  
4300 B Street, Suite 400  
Anchorage, AK 99503  
(907) 522-2272


VERIFICATION

STATE OF ALASKA )  
 ) ss.  
THIRD JUDICIAL DISTRICT )

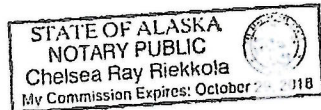
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Notary Public in and for Alaska  
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I certify that on 3/13/17  
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D. Lathy Riekkola  
Judicial Assistant

Estate of Dana Yu Shiang Ma  
3AN-17-\_\_\_PR  
Letters of Administration  
Page 3 of 3

AMCO  
OCT 22 2019



Alaska Alcoholic Beverage Control Board

**Form AB-29: Waiver of Operation Application**

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This form is the means by which a licensee may request that the Alcoholic Beverage Control (ABC) Board waive the operating requirement of AS 04.11.330(a)(3) or (d). If a recreational site license has not been operated at least once in a calendar year, or if a license of any other type has not been operated for at least 240 hours in a calendar year, then a complete copy of this form and the corresponding fees must be submitted for that calendar year, per 3 AAC 304.170.

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Enter information for the license that has not been operated for the time required under AS 04.11.330.

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License Type:	Beverage Dispensary				
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City:	Anchorage	State:	Alaska	ZIP:	99503
Local Governing Body:	MOA				

**Section 2 – Request Number and Calendar Year**

- 1<sup>st</sup> Request     
  2<sup>nd</sup> Request     
  3<sup>rd</sup> Request     
  Other \_\_\_\_\_

Request for Calendar Year 2019

AMCO  
 OCT 17 2019





Alaska Alcoholic Beverage Control Board

# Form AB-29: Waiver of Operation Application

### Section 3 – Reason for Non-operation

Provide an explanation as to why the licensed premises were not operated:

Sole Licensee of the corporation Mong chen, Inc. Dana Ma passed away.

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The following must be completed for establishments located within the boundaries of a local governing body:

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I certify that I will provide a true copy of this application to the local governing body listed on Page 1 of this form prior to ABC Board consideration of this application.



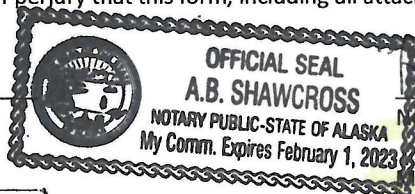
As a liquor licensee, I declare under penalty of perjury that this form, including all attachments, is true, correct, and complete.

Signature of licensee

Edward Hsu.

Printed name of licensee

Personal Rep of Est.  
for Dana Ma.



Notary Public in and for the State of Alaska.

My commission expires: 2/1/23

Subscribed and sworn to before me this 21 day of September, 2019.

Office Use Only			
Waiver Application Fee:	\$, 000 <sup>00</sup>	Late Fee:	
		Transaction #:	1160528

AMCO  
OCT 17 2019

Foley, Foley & Pearson  
A Professional Corporation  
4300 B Street, Suite 400  
Anchorage, AK 99503  
(907) 522-2272

Chelsea Ray Riekkola  
Alaska Bar Assoc. Member #1406061  
FOLEY, FOLEY & PEARSON, P.C.  
4300 B Street, Suite 400  
Anchorage, AK 99503  
(907) 522-2272  
chelsea@foleyfoley.com

IN THE SUPERIOR COURT FOR THE STATE OF ALASKA  
THIRD JUDICIAL DISTRICT AT ANCHORAGE

In the Matter of the Estate )  
 )  
 of )  
 )  
 Dana Yu Shiang Ma, )  
 )  
 Deceased. )  
 )

Filed in the Trial Courts  
State of Alaska Third Judicial District

MAR 13 2017


Clerk of the Trial Courts  
By: \_\_\_\_\_ Deputy Clerk

Case No. 3AN-17-654 PR

LETTERS OF ADMINISTRATION

The Statement of Informal Appointment of Co-Personal Representatives in Intestacy  
having been signed by the Probate Master, Edward Hsu and Stephanie Hsu are appointed  
Co-Personal Representatives of the estate.

3/13/17  
Date

  
Probate Master

ACCEPTANCE

We, Edward Hsu and Stephanie Hsu, accept the duties and promise to perform the  
duties as required by law of the office of Personal Representative of the estate of Dana Yu  
Shiang Ma. We acknowledge our duty as Co-Personal Representatives to:

Estate of Dana Yu Shiang Ma  
3AN-17-\_\_\_\_ PR  
Letters of Administration  
Page 1 of 3

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Anchorage, AK 99503  
(907) 522-2272

(a) take possession and control of decedent's property as required by AS 13.16.380, determine the liabilities of the estate, and complete an inventory as required by AS 13.16.365;

(b) provide notice to heirs and devisees as required by AS 13.16.360, except as provided by AS 13.16.690;

(c) provide notice to creditors as required by law, publish notice when required, and review and either accept or reject claims as required by AS 13.16.455-.515;

(d) advise the court in writing of our addresses and telephone numbers as required by Probate Rule 8;

(e) pay homestead, exempt property and family allowances as required by AS 13.12.401-.405, costs of administration and other claims as required by AS 13.16.470, and distribute the assets of the estate; and

(f) close the estate as soon as appropriate as required by AS 13.16.620-.670.

We will file any required bond.

3/10/17

Date



Edward Hsu,

Co-Personal Representative

Address: 1350 Saint Gotthard Ave.,  
Anchorage, Alaska 99508

Phone No.: (907) 351-8198

3-10-17

Date



Stephanie Hsu,

Co-Personal Representative

Address: 1350 Saint Gotthard Ave.  
Anchorage, Alaska 99508

Phone No.: (907) 229-9888

Estate of Dana Yu Shiang Ma  
3AN-17-\_\_\_\_PR  
Letters of Administration  
Page 2 of 3

AMCO  
OCT 22 2019

Foley, Foley & Pearson  
A Professional Corporation  
4300 B Street, Suite 400  
Anchorage, AK 99503  
(907) 522-2272

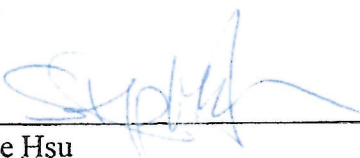
VERIFICATION

STATE OF ALASKA )  
) ss.  
THIRD JUDICIAL DISTRICT )

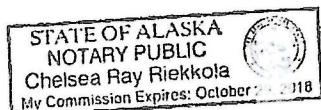
Edward Hsu and Stephanie Hsu, being first duly sworn upon oath, depose and state as follows:

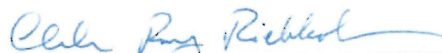
We have reviewed the LETTERS TESTAMENTARY and ACCEPTANCE and believe the same to be true.

  
Edward Hsu

  
Stephanie Hsu

SUBSCRIBED AND SWORN to before me this 10<sup>th</sup> day of March, 2017, at Anchorage, Alaska.



  
Notary Public in and for Alaska  
My Commission Expires: 10/29/18

I certify that on 3/13/17  
a copy of the above was mailed/handed/faxed to  
each of the following at their address of record:  
D. Cathy Riekkola  
Judicial Assistant

Estate of Dana Yu Shiang Ma  
3AN-17-\_\_\_\_\_PR  
Letters of Administration  
Page 3 of 3

AMCO  
OCT 22 2019



Alaska Alcoholic Beverage Control Board

# Form AB-01: Transfer License Application

## What is this form?

This transfer license application form is required for all individuals or entities seeking to apply for the transfer of ownership and/or location of an existing liquor license. Applicants should review **Title 04 of Alaska Statutes** and **Chapter 304** of the **Alaska Administrative Code**. All fields of this form must be completed, per AS 04.11.260, AS 04.11.280, AS 04.11.290, and 3 AAC 304.105.

**This form must be completed and submitted to AMCO's main office, along with all other required forms and documents, before any license application will be considered complete.**

## Section 1 – Transferor Information

Enter information for the **current** licensee and licensed establishment.

Licensee:	Mong-Chen, Inc.	License #:	4109
License Type:	Beverage Dispensary	Statutory Reference:	04.11.090
Doing Business As:	Fu Do Restaurant		
Premises Address:	2600 E Tudor Road		
City:	Anchorage	State:	AK
Local Governing Body:	MOA	ZIP:	99507

### Transfer Type:

- Regular transfer
- Transfer with security interest
- Involuntary retransfer

OFFICE USE ONLY			
Complete Date:	10/23/19	Transaction #:	1160528
Board Meeting Date:	11/12/19	License Years:	19/20
Issue Date:		BRE:	CDC



Alaska Alcoholic Beverage Control Board

**Form AB-01: Transfer License Application**

**Section 2 – Transferee Information**

Enter information for the **new** applicant and/or location seeking to be licensed.

Licensee:	Mong-Chen, Inc.				
Doing Business As:	Fu Do Restaurant				
Premises Address:	No Premises				
City:		State:	AK	ZIP:	
Community Council:	N/A				

Mailing Address:	1350 Saint Gotthard Ave.				
City:	Anchorage	State:	AK	ZIP:	99508

Designated Licensee:	Edward Hsu				
Contact Phone:	907-351-8198	Business Phone:	907-351-8198		
Contact Email:	edhsu907@gmail.com				

Seasonal License?    Yes     No     If "Yes", write your six-month operating period: \_\_\_\_\_

**Section 3 – Premises Information**

Premises to be licensed is:

an existing facility     a new building     a proposed building

The next two questions must be completed by beverage dispensary (including tourism) and package store applicants only:

What is the distance of the shortest pedestrian route from the public entrance of the building of your proposed premises to the outer boundaries of the nearest school grounds? Include the unit of measurement in your answer.

N/A

What is the distance of the shortest pedestrian route from the public entrance of the building of your proposed premises to the public entrance of the nearest church building? Include the unit of measurement in your answer.

N/A



Alaska Alcoholic Beverage Control Board

# Form AB-01: Transfer License Application

## Section 4 – Sole Proprietor Ownership Information

This section must be completed by any sole proprietor who is applying for a license. Entities should skip to Section 5.  
 If more space is needed, please attach a separate sheet with the required information.  
 The following information must be completed for each licensee and each affiliate (spouse).

This individual is an:  applicant  affiliate

Name:					
Address:					
City:		State:		ZIP:	

This individual is an:  applicant  affiliate

Name:					
Address:					
City:		State:		ZIP:	

## Section 5 – Entity Ownership Information

This section must be completed by any entity, including a corporation, limited liability company (LLC), partnership, or limited partnership, that is applying for a license. Sole proprietors should skip to Section 6.  
 If more space is needed, please attach a separate sheet with the required information.

- If the applicant is a corporation, the following information must be completed for each *stockholder who owns 10% or more* of the stock in the corporation, and for each *president, vice-president, secretary, and managing officer*.
- If the applicant is a limited liability organization, the following information must be completed for each *member with an ownership interest of 10% or more*, and for each *manager*.
- If the applicant is a partnership, including a limited partnership, the following information must be completed for each *partner with an interest of 10% or more*, and for each *general partner*.

Entity Official:	Edward Hsu				
Title(s):	President, Director	Phone:	907-351-8198	% Owned:	50
Address:	1350 Saint Gotthard Ave.				
City:	Anchorage	State:	AK	ZIP:	99508

AMCO  
 OCT 17 2019



Alcohol and Marijuana Control Office  
 550 W 7<sup>th</sup> Avenue, Suite 1600  
 Anchorage, AK 99501  
[alcohol.licensing@alaska.gov](mailto:alcohol.licensing@alaska.gov)  
<https://www.commerce.alaska.gov/web/ama>  
 Phone: 907.269.0350

Alaska Alcoholic Beverage Control Board

**Form AB-01: Transfer License Application**

Entity Official:	Stephanie Hsu				
Title(s):	VP, Sec, Tres, Dir	Phone:	907-229-9888	% Owned:	50
Address:	1350 Saint Gotthard Ave.				
City:	Anchorage	State:	AK	ZIP:	99508

Entity Official:					
Title(s):		Phone:		% Owned:	
Address:					
City:		State:		ZIP:	

Entity Official:					
Title(s):		Phone:		% Owned:	
Address:					
City:		State:		ZIP:	

This subsection must be completed by any applicant that is a corporation or LLC. Corporations and LLCs are required to be in good standing with the Alaska Division of Corporations (DOC) and have a registered agent who is an individual resident of the state of Alaska.

DOC Entity #:	65200D	AK Formed Date:	11/ 3/98	Home State:	AK
Registered Agent:	Edward Hsu	Agent's Phone:	907-351-8198		
Agent's Mailing Address:	1350 Saint Gotthard Ave.				
City:	Anchorage	State:	AK	ZIP:	99508

Residency of Agent:

Yes No

Is your corporation or LLC's registered agent an individual resident of the state of Alaska?







Alaska Alcoholic Beverage Control Board

## Form AB-01: Transfer License Application

### Section 6 – Other Licenses

Ownership and financial interest in other alcoholic beverage businesses:

Yes No

Does any representative or owner named as a transferee in this application have any direct or indirect financial interest in any other alcoholic beverage business that does business in or is licensed in Alaska?

If "Yes", disclose which individual(s) has the financial interest, what the type of business is, and if licensed in Alaska, which license number(s) and license type(s):

### Section 7 – Authorization

Communication with AMCO staff:

Yes No

Does any person other than a licensee named in this application have authority to discuss this license with AMCO staff?

If "Yes", disclose the name of the individual and the reason for this authorization:

Law Offices of Ernouf & Coffey, PC is assisting with the transfer application process.

Law Offices of Ernouf & Coffey, PC is assisting with the transfer application process.



Alcohol and Marijuana Control Office  
550 W 7<sup>th</sup> Avenue, Suite 1600  
Anchorage, AK 99501  
[alcohol.licensing@alaska.gov](mailto:alcohol.licensing@alaska.gov)  
<https://www.commerce.alaska.gov/web/amco>  
Phone: 907.269.0350


Alaska Alcoholic Beverage Control Board

# Form AB-01: Transfer License Application

## Section 8 – Transferor Certifications

Additional copies of this page may be attached, as needed, for the controlling interest of the current licensee to be represented.

I declare under penalty of perjury that the undersigned represents a **controlling interest** of the current licensee. I additionally certify that I, as the current licensee (either the sole proprietor or the controlling interest of the currently licensed entity) have examined this application, approve of the transfer of this license, and find the information on this application to be true, correct, and complete.

  
Signature of transferor

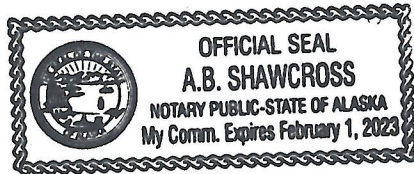
Edward Hsu Pers. Rep of Est.

Dana Ma

Printed name of transferor

Subscribed and sworn to before me this 21 day of SEPTEMBER, 2019.

  
Signature of Notary Public



Notary Public in and for the State of ALASKA

My commission expires: 2/1/23



Signature of transferor

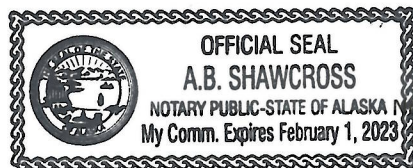
Stephanie Hsu Pers. Rep of Est.

Dana Ma

Printed name of transferor

Subscribed and sworn to before me this 21 day of SEPTEMBER, 2019.

  
Signature of Notary Public



Notary Public in and for the State of ALASKA

My commission expires: 2/1/23

AMCO  
OCT 17 2019



Alaska Alcoholic Beverage Control Board

Form AB-01: Transfer License Application

Section 9 – Transferee Certifications

Read each line below, and then sign your initials in the box to the right of each statement:

Initials

I certify that all proposed licensees (as defined in AS 04.11.260) and affiliates have been listed on this application.

EH

I certify that all proposed licensees have been listed with the Division of Corporations.

EH

I certify that I understand that providing a false statement on this form or any other form provided by AMCO is grounds for rejection or denial of this application or revocation of any license issued.

EH

I certify that all licensees, agents, and employees who sell or serve alcoholic beverages or check the identification of a patron will complete an approved alcohol server education course, if required by AS 04.21.025, and, while selling or serving alcoholic beverages, will carry or have available to show a current course card or a photocopy of the card certifying completion of approved alcohol server education course, if required by 3 AAC 304.465.

EH

I agree to provide all information required by the Alcoholic Beverage Control Board in support of this application.

EH

As an applicant for a liquor license, I declare under penalty of perjury that I have read and am familiar with AS 04 and 3 AAC 304, and that this application, including all accompanying schedules and statements, is true, correct, and complete.

*[Handwritten Signature]*

Signature of transferee

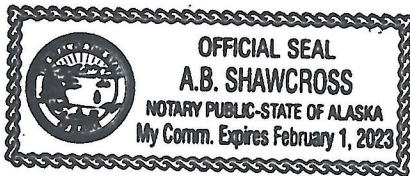
Edward Hsu

Printed name

Subscribed and sworn to before me this 21 day of SEPTEMBER, 2019.

*[Handwritten Signature]*

Signature of Notary Public



Notary Public in and for the State of ALASKA

My commission expires: 2/1/23

AMCO  
OCT 17 2019

Foley, Foley & Pearson  
A Professional Corporation  
4300 B Street, Suite 400  
Anchorage, AK 99503  
(907) 522-2272

Chelsea Ray Riekkola  
Alaska Bar Assoc. Member #1406061  
FOLEY, FOLEY & PEARSON, P.C.  
4300 B Street, Suite 400  
Anchorage, AK 99503  
(907) 522-2272  
chelsea@foleyfoley.com

IN THE SUPERIOR COURT FOR THE STATE OF ALASKA  
THIRD JUDICIAL DISTRICT AT ANCHORAGE

In the Matter of the Estate )  
 )  
 of )  
 )  
 Dana Yu Shiang Ma, )  
 )  
 Deceased. )  
 )

Filed in the Trial Courts  
State of Alaska Third Judicial District  
**MAR 13 2017**  
Clerk of the Trial Courts  
By: \_\_\_\_\_ Deputy Clerk

Case No. 3AN-17-654 PR

LETTERS OF ADMINISTRATION

The Statement of Informal Appointment of Co-Personal Representatives in Intestacy  
having been signed by the Probate Master, Edward Hsu and Stephanie Hsu are appointed  
Co-Personal Representatives of the estate.

3/13/17  
Date

  
Probate Master

ACCEPTANCE

We, Edward Hsu and Stephanie Hsu, accept the duties and promise to perform the  
duties as required by law of the office of Personal Representative of the estate of Dana Yu  
Shiang Ma. We acknowledge our duty as Co-Personal Representatives to:

Estate of Dana Yu Shiang Ma  
3AN-17-\_\_\_\_ PR  
Letters of Administration  
Page 1 of 3

AMCO  
OCT 22 2019

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A Professional Corporation  
4300 B Street, Suite 400  
Anchorage, AK 99503  
(907) 522-2272

(a) take possession and control of decedent's property as required by AS 13.16.380, determine the liabilities of the estate, and complete an inventory as required by AS 13.16.365;

(b) provide notice to heirs and devisees as required by AS 13.16.360, except as provided by AS 13.16.690;

(c) provide notice to creditors as required by law, publish notice when required, and review and either accept or reject claims as required by AS 13.16.455-.515;

(d) advise the court in writing of our addresses and telephone numbers as required by Probate Rule 8;

(e) pay homestead, exempt property and family allowances as required by AS 13.12.401-.405, costs of administration and other claims as required by AS 13.16.470, and distribute the assets of the estate; and

(f) close the estate as soon as appropriate as required by AS 13.16.620-.670.

We will file any required bond.

3/10/17

Date



Edward Hsu,

Co-Personal Representative

Address: 1350 Saint Gotthard Ave.,  
Anchorage, Alaska 99508

Phone No.: (907) 351-8198

3-10-17

Date



Stephanie Hsu,

Co-Personal Representative

Address: 1350 Saint Gotthard Ave.  
Anchorage, Alaska 99508

Phone No.: (907) 229-9888

Estate of Dana Yu Shiang Ma  
3AN-17-\_\_\_\_PR  
Letters of Administration  
Page 2 of 3

AMCO

OCT 22 2019


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A Professional Corporation  
4300 B Street, Suite 400  
Anchorage, AK 99503  
(907) 522-2272


### VERIFICATION

STATE OF ALASKA )  
 ) ss.  
THIRD JUDICIAL DISTRICT )

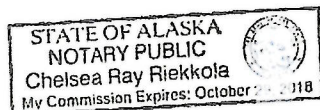
Edward Hsu and Stephanie Hsu, being first duly sworn upon oath, depose and state as follows:


We have reviewed the LETTERS TESTAMENTARY and ACCEPTANCE and believe the same to be true.

  
Edward Hsu

  
Stephanie Hsu

SUBSCRIBED AND SWORN to before me this 10<sup>th</sup> day of March,  
2017, at Anchorage, Alaska.



  
Notary Public in and for Alaska  
My Commission Expires: 10/29/18

I certify that on 3/13/17  
a copy of the above was mailed/handed/faxed to  
each of the following at their address of record:  
D. Lohy / Riekkola  
Judicial Assistant

Estate of Dana Yu Shiang Ma  
3AN-17-\_\_\_\_PR  
Letters of Administration  
Page 3 of 3

AMCO  
OCT 22 2019

Chelsea Ray Riekkola  
Alaska Bar Assoc. Member #1406061  
FOLEY, FOLEY & PEARSON, P.C.  
4300 B Street, Suite 400  
Anchorage, AK 99503  
(907) 522-2272  
chelsea@foleyfoley.com

FILED  
10/22/19  
CLERK OF COURT  
JUDICIAL DISTRICT AT ANCHORAGE

IN THE SUPERIOR COURT FOR THE STATE OF ALASKA  
THIRD JUDICIAL DISTRICT AT ANCHORAGE

In the Matter of the Estate )  
 )  
 of )  
 )  
 Dana Yu Shiang Ma, )  
 )  
 Deceased. )  
 )  
 ) Case No. 3AN-17-\_\_\_\_ PR

**DEATH CERTIFICATE**  
[Original Attached Hereto]

Foley, Foley & Pearson  
A Professional Corporation  
4300 B Street, Suite 400  
Anchorage, AK 99503  
(907) 522-2272

AMCO  
OCT 22 2019