

# Notice of Violation

(3AAC 304.525)

This form, all information provided and responses are public documents per Alaska Public Records ACT AS 40.25

Date: 11/12/19

License #/Type: 2773 Package Store

Licensee: Williams, Inc.

Address: 3812 Tongass Ave, Ketchikan, AK

DBA: City Lighthouse Liquor Store

AMCO Case #: 19-1703

This is a notice to you as licensee that an alleged violation has occurred. If the Alcoholic Beverage Control Board decides to act against your license, under the provisions of AS 44.62.330 - AS 44.62.630 (Administrative Procedures Act) you will receive an Accusation and Notice of your right to an Administrative Hearing.

**Note: This is not an accusation or a criminal complaint.**

You have an unreported change of ownership. Details are as follows:

New officers added:

Ben Williams Jr - Added Secretary to Titles

Date of unreported change of officers/ownership: 08/27/2019

Your attention is referred to AS 04.11.050(a): Reports required of corporations.

**You are directed to respond in writing to this Notice of Violation within 10 days of receipt to explain what action you have taken to prevent a re-occurrence of this violation. FAILURE TO RESPOND TO THIS NOTICE OF VIOLATION WITHIN 10 DAYS WILL RESULT IN YOUR APPEARANCE, EITHER IN PERSON OR TELEPHONICALLY, BEFORE THE ABC BOARD AT THEIR NEXT REGULARLY SCHEDULED BOARD MEETING.**

**\*Please send your response to the address below and include your alcohol license number in your response.**

3 AAC 304.525 (B) provides that upon receipt of a Notice of Violation, a licensee may request to appear before the Director and be heard regarding the Notice of Violation. The request must be made within ten days after receipt of the Notice and the Director must grant an appearance within ten days after receipt of a request. A Licensee shall respond, either orally or in writing, to the Notice.

**Alcohol & Marijuana Control Office**

**ATTN: Enforcement**

**550 W. 7<sup>th</sup> Ave, Suite 1600**

**Anchorage, Alaska 99501**

**[amco.enforcement@alaska.gov](mailto:amco.enforcement@alaska.gov)**

Issuing Investigator: J. Hamilton

Received by:

SIGNATURE:



SIGNATURE:

Delivered VIA: Mail

Date:

**Williams Incorporated**

**3816 Tongass Ave**

**Ketchikan AK 99901**

November 14, 2019

Alcohol & Marijuana Control Office

Attention: Enforcement

550 W. 7<sup>th</sup> Ave. Ste. 1600

Anchorage, AK 99501

RE: City Lighthouse Liquor Store License # 2773

AMCO Case #: 19-1703

On August 9<sup>th</sup> 2019 Williams Inc., Board of Directors held its annual meeting for election of its officers. On August 22, 2019 a notice of officer change was sent to State of Alaska Division of Corporations, which they received on August 27<sup>th</sup> 2019, notifying them of a change in position for Ben Williams Jr., by adding the title of Secretary and changing Ben Williams title to Treasurer and Juliana Williams as Director.

I was not aware at the time that I also needed to notify AMCO. We had received the renewal notice for City Lighthouse Liquor #2773 by then and knew that the change of officers would be reflected on the renewal.

I am now aware of this procedure should there be any officer title changes in the future.

  
Nellie Marshall

Corporate Office Manager

Williams, Inc.

AMCO  
NOV 18 2019



Alaska Alcoholic Beverage Control Board

**Master Checklist: Renewal Liquor License Application**

Doing Business As:	City Lighthouse Liquor Store	License Number:	2773
License Type:	Package Store		
Examiner:	<i>DAVID</i>	Transaction #:	1162073

Document	Received	Completed	Notes
AB-17: Renewal Application	10/22	<i>12/12</i>	
App and License Fees	10/22	<i>10/22</i>	

Supplemental Document	Received	Completed	Notes
Tourism/Rec Site Statement			
AB-25: Supplier Cert (WS)			
AB-29: Waiver of Operation			
AB-30: Minimum Operation			
AB-33: Restaurant Affidavit			
COI / COC / 5 Star			
FP Cards & Fees / AB-08a			
Late Fee			

*NOV*

Names on FP Cards:	
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Yes No

- Selling alcohol in response to written order (package stores)?  Yes  No
- Mailing address and contact information different than in database (if yes, update database)?  Yes  No
- In "Good Standing" with CBPL (skip this and next question for sole proprietor)?  Yes  No
- Officers and stockholders match CBPL and database (if "No", determine if transfer necessary)?  Yes  No

LGB 1 Response:

LGB 2 Response:

- Waive   
  Protest   
  Lapsed   
  Waive   
  Protest   
  Lapsed



Alaska Alcoholic Beverage Control Board

Package Store License

**Form AB-17b: 2020/2021 Renewal License Application**

**What is this form?**

This renewal license application form is required for all individuals or entities seeking to apply for renewal of an existing package store liquor license that is due to renew by December 31, 2019. All fields of this form must be complete and correct, or the application will be returned to you in the manner in which it was received, per AS 04.11.270 and 3 AAC 304.105. The Community Council field only should be verified/completed by licensees whose establishments are located within the Municipality of Anchorage or outside of city limits within the Matanuska-Susitna Borough.

**This form must be completed and submitted to AMCO's main office before any license renewal application will be reviewed. Receipt and/or processing of renewal payments by AMCO staff neither indicates nor guarantees that an application will be considered complete, or that a license will be renewed.**

**Section 1 – Establishment and Contact Information**

Enter information for the business seeking to have its license renewed. If any populated information is incorrect, please contact AMCO.

Licensee:	Williams, Inc.	License #:	2773
License Type:	Package Store		
Doing Business As:	City Lighthouse Liquor Store		
Premises Address:	3812 Tongass Avenue		
Local Governing Body:	City of Ketchikan (Ketchikan Gateway Borough)		
Community Council:	None		

Mailing Address:	3816 Tongass Ave				
City:	Ketchikan	State:	AK	ZIP:	99901

Enter information for the individual who will be designated as the primary point of contact regarding this application. This individual **must be a licensee** who is required to be listed in and authorized to sign this application.

Contact Licensee:	Ben F. Williams, Jr	Contact Phone:	503-730-8602
Contact Email:	ben.williams@akproudtteam.com		

**Optional:** If you wish for AMCO staff to communicate with an individual who is not a licensee named on this form (eg: legal counsel) about this application and other matters pertaining to the license, please provide that person's contact information in the fields below.

Name of Contact:	Nellie Marshall	Contact Phone:	907-228-7013
Contact Email:	nellie.marshall@akproudtteam.com		



# Form AB-17b: 2020/2021 Package Store Renewal License Application

## Section 2 – Entity or Community Ownership Information

Licensees who directly hold a license as an individual or individuals should skip to Section 3. General partnerships and local governments should skip to the second half of this page. All licensees that are **corporations** or **LLCs** must complete this section. Corporations and LLCs are required to be in good standing with the Alaska Division of Corporations, Business & Professional Licensing (CBPL). The CBPL Entity # below is **neither** your EIN/tax ID number, **nor** your business license number. **You may view your entity's status or find your CBPL entity number by visiting the following site:** <https://www.commerce.alaska.gov/cbp/main/search/entities>

Alaska CBPL Entity #:	34543D
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You must ensure that you are able to certify the following statement before signing your initials in the box to the right: Initials

I certify that this entity is in good standing with CBPL and that all current entity officials and stakeholders (listed below) are also currently and accurately listed with CBPL.

This subsection must be completed by any **community** or **entity**, including a corporation, limited liability company, partnership, or limited partnership, that is applying for renewal. If more space is needed, please attach additional completed copies of this page.

- If the applicant is a **corporation**, the following information must be completed for each **shareholder who owns 10% or more** of the stock in the corporation, and for each **president, vice-president, secretary, and managing officer**.
- If the applicant is a **limited liability organization**, the following information must be completed for each **member with an ownership interest of 10% or more**, and for each **manager**.
- If the applicant is a **partnership**, including a limited partnership, the following information must be completed for each **partner with an interest of 10% or more**, and for each **general partner**.

**Important Note:** The information provided in the below fields (including spelling of names, specific titles, and percentages held) must match that which is listed with CBPL. If one individual holds multiple titles mentioned in the bullets above, all titles must be listed for that individual on this application and with CBPL. Failure to list all required titles constitutes an incomplete application. **You must list ALL of your qualifying officials, additional copies of this page or a separate sheet of paper may be submitted if necessary.**

Name of Official:	Ben F. Williams, Jr.			
Title(s):	Pres / sec / Shareholder	Phone:	503-730-8602	% Owned: 100
Mailing Address:	PO Box 5083			
City:	Ketchikan	State:	AK	ZIP: 99901

Name of Official:	Ben F. Williams			
Title(s):	Treasurer	Phone:	907-254-1201	% Owned:
Mailing Address:	PO Box 19583			
City:	Thorne Bay	State:	AK	ZIP: 99901

Name of Official:	Juliana Williams			
Title(s):	Director	Phone:	503-735-5990	% Owned:
Mailing Address:	PO Box 5083			
City:	Ketchikan	State:	AK	ZIP: 99901



# Form AB-17b: 2020/2021 Package Store Renewal License Application

## Section 3 – Sole Proprietor Ownership Information

Entities, such as corporations or LLCs, should skip this section. This section must be completed by any licensee who directly holds the license as an **individual or multiple individuals** and is applying for license renewal. If more space is needed, please attach a separate sheet that includes all of the required information.

The following information must be completed for each licensee and each affiliate.

This individual is an:  applicant  affiliate

Name:				Contact Phone:	
Mailing Address:					
City:		State:		ZIP:	
Email:					

This individual is an:  applicant  affiliate

Name:				Contact Phone:	
Mailing Address:					
City:		State:		ZIP:	
Email:					

## Section 4 – Alcohol Server Education

Read the line below, and then sign your initials in the box to the right of the statement:

Initials

I certify that all licensees, agents, and employees who sell or serve alcoholic beverages or check identification of a patron have completed an alcohol server education course approved by the ABC Board and keep current, valid copies of their course completion cards on the licensed premises during all working hours, as set forth in AS 04.21.025 and 3 AAC 304.465.

## Section 5 – License Operation

Check a **single box** for each calendar year that best describes how this liquor license was operated:

2018    2019

The license was regularly operated continuously throughout each year.

The license was regularly operated during a specific season each year.

The license was only operated to meet the minimum requirement of 240 total hours each calendar year.

*If this box is checked, a complete copy of Form AB-30: Proof of Minimum Operation Checklist, and all necessary documentation must be provided with this application.*

The license was not operated at all or was not operated for at least the minimum requirement of 240 total hours each year, during one or both of the calendar years.

*If this box is checked, a complete copy of Form AB-29: Waiver of Operation Application and corresponding fees must be submitted with this application for each calendar year during which the license was not operated for at least the minimum requirement, unless a complete copy of the form (including fees) has already been submitted for that year.*



Alaska Alcoholic Beverage Control Board

Form AB-17b: 2020/2021 Package Store Renewal License Application

Section 6 - Written Orders

Written orders in calendar years 2020 and 2021:

Yes No

Do you intend to sell alcoholic beverages and ship them to another location in response to written solicitation in calendar years 2020 and/or 2021?

Yes: [ ] No: [X]

Section 7 - Violations and Convictions

Applicant violations and convictions in calendar years 2018 and 2019:

Yes No

Have any notices of violation (NOVs) been issued for this license in the calendar years 2018 or 2019?

Yes: [ ] No: [X]

Has any person or entity named in this application been convicted of a violation of Title 04, of 3 AAC 304, or a local ordinance adopted under AS 04.21.010 in the calendar years 2018 or 2019?

Yes: [ ] No: [X]

If "Yes" to either of the previous two questions, attach a separate page to this application listing all NOVs and/or convictions.

Section 8 - Certifications

Read each line below, and then sign your initials in the box to the right of each statement:

Initials

I certify that all current licensees (as defined in AS 04.11.260) and affiliates have been listed on this application, and that in accordance with AS 04.11.450, no one other than the licensee(s) has a direct or indirect financial interest in the licensed business.

[Initials]

I certify that I have not altered the functional floor plan or reduced or expanded the area of the licensed premises, and I have not changed the business name or the ownership (including officers, managers, general partners, or stakeholders) from what is currently approved and on file with the Alcoholic Beverage Control (ABC) Board.

[Initials]

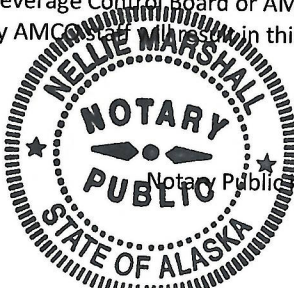
I certify on behalf of myself or of the organized entity that I understand that providing a false statement on this form or any other form provided by AMCO is grounds for rejection or denial of this application or revocation of any license issued.

[Initials]

As an applicant for a liquor license renewal, I declare under penalty of perjury that I have read and am familiar with AS 04 and 3 AAC 304, and that this application, including all accompanying schedules and statements, is true, correct, and complete. I agree to provide all information required by the Alcoholic Beverage Control Board or AMCO staff in support of this application and understand that failure to do so by any deadline given to me by AMCO will result in this application being returned to me as incomplete.

Signature of licensee

Ben F. Williams, Jr.



Signature of Notary Public

Nellie Marshall

Notary Public in and for the State of Alaska

My commission expires: 4-14-2021

Subscribed and sworn to before me this 17 day of Oct, 2019

Seasonal License?

Yes: [ ] No: [X]

If "Yes", write your six-month operating period:

Table with 5 columns: License Fee, Application Fee, TOTAL, Miscellaneous Fees, GRAND TOTAL. Values: License Fee \$1500.00, Application Fee \$300.00, TOTAL \$1800.00, GRAND TOTAL 1800.00

Department of Commerce, Community, and Economic Development  
**CORPORATIONS, BUSINESS &  
PROFESSIONAL LICENSING**

State of Alaska / Commerce / Corporations, Business, and Professional Licensing / Search & Database  
Download / Corporations / Entity Details

## ENTITY DETAILS

### Name(s)

Type	Name
Legal Name	WILLIAMS INCORPORATED

Entity Type: Business Corporation

Entity #: 34543D

Status: Good Standing

AK Formed Date: 12/10/1984

Duration/Expiration: Perpetual

Home State: ALASKA

Next Biennial Report Due: 1/2/2022

Entity Mailing Address: 3816 TONGASS AVE, KETCHIKAN, AK 99901

Entity Physical Address: 3816 TONGASS AVE, KETCHIKAN, AK 99901

### Registered Agent

Agent Name: H. Clay Keene

Registered Mailing Address: 540 WATER STREET, SUITE 302, KETCHIKAN, AK 99901

Registered Physical Address: 540 WATER STREET, SUITE 302, KETCHIKAN, AK 99901

### Officials

Show Former



AK Entity #	Name	Titles	Owned
	Ben Williams	Treasurer	
	Ben Williams, Jr	President, Secretary, Shareholder	100.00
	Juliana Williams	Director	

## Filed Documents

Date Filed	Type	Filing	Certificate
12/10/1984	Creation Filing	Click to View	
2/26/1988	Biennial Report		
1/12/1990	Biennial Report		
11/01/1991	Biennial Report	Click to View	
12/17/1993	Biennial Report	Click to View	
12/15/1997	Biennial Report	Click to View	
12/27/1999	Biennial Report	Click to View	
12/31/2001	Biennial Report	Click to View	
2/02/2004	Biennial Report	Click to View	
11/28/2005	Biennial Report	Click to View	
6/18/2007	Change of Officials	Click to View	
7/08/2009	Biennial Report	Click to View	
7/09/2009	Biennial Report	Click to View	
6/04/2010	Agent Change	Click to View	
6/04/2010	Change of Officials	Click to View	
11/04/2010	Change of Officials	Click to View	
5/04/2012	Biennial Report	Click to View	
9/10/2012	Change of Officials	Click to View	
4/25/2013	Change of Officials	Click to View	
12/26/2013	Biennial Report	Click to View	
12/09/2014	Change of Officials	Click to View	
12/08/2015	Biennial Report	Click to View	
12/07/2017	Biennial Report	Click to View	
2/26/2018	Change of Officials	Click to View	
8/27/2019	Change of Officials	Click to View	
10/18/2019	Biennial Report	Click to View	