



Alaska Alcoholic Beverage Control Board

Master Checklist: Renewal Liquor License Application

Doing Business As:	M/V	Sikumi			License Number:	5254
License Type:	Com	mon Carrier				
Examiner:	K	ristina	S.		Transaction #:	100030944
Document		Received	Completed	Notes	#	10028738
AB-17: Renewal Applic	ation	12/31	10/2/1/21			
App and License Fees		12/31	10/26/21			
Supplemental Docume	ent	Received	Completed	Notes		
Tourism/Rec Site State	ment					
AB-25: Supplier Cert (V	VS)					
AB-29: Waiver of Oper	ation	12/31	10/26/21			
AB-30: Minimum Oper	ation		1-1-1			
AB-33: Restaurant Affi	davit					
COI / COC / 5 Star		6-4-21	6-4-21	need	S. Star Cur	vent
FP Cards & Fees / AB-0	8a	12/31	10/26/21			
Late Fee			70070			
Names on FP Cards:	Sco	H New	omain 1 t	Justi	n mood	(ب
		•				Yes No
Selling alcohol in respo	nse to v	vritten order (pa	ckage stores)?			
Mailing address and co	ntact in	formation differe	ent than in databa	se (if yes, upda	ite database)?	
In "Good Standing" with CBPL (skip this and next question for sole proprietor)?						
Officers and stockholde	ers mato	ch CBPL and data	base (if "No", dete	ermine if transf	er necessary)?	
LGB 1 Response:	V P.	}	LGB 2 Res	oonse:	NIA	
Waive	Protest	Lapsed	Waiv	ve Pro	otest 🗌 Lapsed	



Alaska Alcoholic Beverage Control Board

Form AB-17: 2021/2022 License Renewal Application

- This form and any required supplemental forms must be completed, signed by the licensee, and postmarked no later than 12/31/2020 per AS 04.11.270, 3 AAC 304.160, with all required fees paid in full, or a non-refundable \$500.00 late fee applies.
- Any complete application for renewal or any fees for renewal that have not been postmarked by 02/28/2021 will be expired per AS . 04.11.540,3 AAC 304.160(e).
- All fields of this application must be deemed complete by AMCO staff and must be accompanied by the required fees and all documents required, or the application will be returned without being processed, per AS 04.11.270, 3 AAC 304.105
- Receipt and/or processing of renewal payments by AMCO staff neither indicates nor guarantees in any way that an application will . be deemed complete, renewed, or that it will be scheduled for the next ABC Board meeting.

Establishment Contact Information

Licensee (Owner):	Coastal Alaska Adventures Corporation	License #:	5708 5254 JD
License Type:	Common Carrier		
Doing Business As:	M/V Sikumi		
Premises Address:	Alaskan Waters		
Local Governing Body:	None		
Community Council:	None		A.(

If your mailing address has changed, write the NEW address below:

Mailing Address:		
City:	State:	ZIP:

Section 1 – Licensee Contact Information

Contact Licensee: The individual listed below must be listed in Section 2 or 3 as an Official/Owner/Shareholder of your entity and must be listed on CBPL with the same name and title.

This person will be the designated point of contact regarding this license, unless the Optional contact is completed.

Contact Licensee:	Keegan McCarthy	Contact Phone:	907-723-3006
Contact Email:	akpointer@hotmail.com		

Optional: If you wish for AMCO staff to communicate with anyone other than the Contact Licensee about your license, list them below:

Name of Contact:	Chelsea McCarthy	Contact Phone:	970-217-6359
Contact Email:	Chelsea_Wilburn@yahoo.com		

Name of Contact:	Contact Phone:	
Contact Email:		

Name of Contact:	Contact Phone:	
Contact Email:		and a sub-sub-sub-sub-sub-sub-sub-sub-sub-sub-

[Form AB-17] (rev09/23/2020)

Page 1 of 4

AMCO



Alaska Alcoholic Beverage Control Board Form AB-17: 2021/2022 License Renewal Application

Section 2 - Entity or Community Ownership Information

e Proprietors should skip this Section

Use the link from Corporations, Business and Professional Licensing (CBPL) below to assist you in finding the Entity #.

Alaska CBPL Entity #:	10004203

Directors, Partners, Controlling Interest or Ownership of the business license must be reported to the ABC Board within <u>10 days</u> of the change and <u>must be accompanied by</u> a full set of fingerprints on FBI-approved card stock, AB-OBa's, payment of \$48.25 for <u>each new officer</u> with a date-stamped copy of the CBPL change per AS 04.11.045, 50 & 55, or a Notice of Violation will be issued to your establishment and your application will be returned.

The only exception to this is a Corporation who can meet the requirements set forth in AS 04.11.050(c).

DO NOT LIST OFFICERS OR TITLES THAT ARE NOT REQUIRED FOR YOUR ENTITY TYPE.

- Corporations of any type including non-profit must list ONLY the following:
 - All shareholders who own 10% or more stock in the corporation
 - Each President, Vice-President, Secretary, and Managing Officer regardless of percentage owned
 - Limited Liability Corporations, of <u>any</u> type must list ONLY the following:
 - All Members with an ownership interest of 10% or more
 - All Managers (of the LLC, not the DBA) regardless of percentageowned
 - Partnerships of any type, including Limited Partnerships must list ONLY the following:
 - Each Partner with an interest of 10% or more
 - All General Partners regardless of percentage owned

Important Note:

must list full legal names, all required titles, phone number, percentage of shares owned (if applicable) and a full mailing address for each official of your entity whose information we require <u>If more space is needed: attach additional completed copies of this page. Additional information not on this page will be rejected.</u>

Name of Official:	Keegan McCarthy						
Title(s):	President	Phone:	907-723-3006	% Own	ed:	50	35
Mailing Address:	PO Box 240034						
City:	Douglas	State:	AK	ZIP:	998	24	

Name of Official:	Chelsea McCarthy				Real Manufacture 1 & 10	
Title(s):	Treasurer, vice	Phone:	970-217-6359	% Ow	med: 50	35
Mailing Address:	PO Box 240034			· · · · · · · · · · · · · · · · · · ·		
City:	Douglas	State:	AK	ZIP:	99824	

Name of Official:	Scott Newman			······	
Title(s):	Director	Phone:	907-723-3006	% Owned:	15
Mailing Address:	PO Box 240034, Douglas A	K, 99824	······································		1
City:		State:		ZIP:	
1	Contate di	•			

Name of Official:	Justin Moody	Secretary	Phone: 907-723-3006	%owned:	15
PO Box 240034, I	Douglas AK, 99824			AMCO	
[Form AB-17] (rev09	/23/2020)			OFC B L ODD	Page 2 of 4

AMCO Received 6/4/2021

You



Alaska Alcoholic Beverage Control Board Form AB-17: 2021/2022 License Renewal Application

Section 3 - Sole Proprietor Ownership Information

Corporations, LLC's and Partnerships of ALL kinds should skip this section.

BLAD BIFORT PROCEEDING: Any new or changes to the ownership of the business license must be reported to the ABC Board within 10 days of the change and must be accompanied by a full set of fingerprints on FBI approved cardstock, AB-08a's, payment of \$48.25 for each new owner or officer and a date stamped copy of the CBPL change per AS 04.11.045, or a Notice of Violation will be issued to your establishment and your application will be returned.

Important Note: All entries below must match our records, or your application will be returned per AS 04.11.270, 1.4.4C 304.105. You must list full legal names, phone number, and mailing address for each owner or partner whose information we require.

his individual is an:	Applicant	Affiliate		
Name:			Contact Phone:	
Mailing Address:				
City:		State	e:	ZIP:
Email:				• • • • • • • • • • • • • • • • • • • •

Affiliate

Name:	Contact I	Phone:
Mailing Address:		
City:	State:	ZIP:
Email:	анан талан талан талан талан талар тала Талар талар тала	

Section 4 – License Operation

	ect ONE BOX for EACH CALENDAR YEAR that best describes how this liquor license was operated:	2019	2020
1	The license was regularly operated continuously throughout each year. (Year-round)	\checkmark	
2	The license was only operated during a specific season each year. (Seasonal) If your operation dates have changed, list them below:		
	<u>to</u>		نى <u>مەر</u>
3	The license was only operated to meet the minimum requirement of 240 total hours each calendar year. A complete AB-30: Proof of Minimum Operation Checklist, and all documentation must be provided with this form.		
4	The license was not operated at all or was not operated for at least the minimum requirement of 240 total hours each year, during one or both calendaryears. <u>A complete Form AB-29: Waiver of Operation Application</u> and corresponding fees must be submitted with this opplication for each calendar year during which the license was not operated		\checkmark

If you have not met the minimum number of hours of operation in 2020, you are not required to pay the fees, however a complete AB-29 is required with Section 2 marked "OTHER" and COVID is listed as the reason.

Section 5 - Violations and Convictions

les	No
	\checkmark

Have ANY Notices of Violation been issued for this license OR has ANY person or entity in this application been convicted of a violation of Title 04, 3AAC 304 or a local ordinance adopted under AS 04.21.010 in 2019 or 2020?

If you shesked YES, you MUST attach a list of all Natices of Viulation and/or Convictions per AS 04.11.270(a)(2)

If you are unsure if you have received any Notices of Violation, contact the affice before submitting this form.

[Form AB-17] (rev09/23/2020)

This individual is an: Applicant

Page 3 of 4

AMCO

DEC 31 2020



Alaska Alcoholic Beverage Control Board Form AB-17: 2021/2022 License Renewal Application

Section 6 - Certifications

As an applicant for a liquor license renewal, I declare under penalty of perjury that I have read and am familiar with AS 04 and 3 AAC 304, and that this application, including all accompanying schedules and statements, are true, correct, and complete.

- Lagree to provide all information required by the Alcoholic Beverage Control Board or requested by AMCO staff in support of this application and understand that failure to do so by any deadline given to me by AMCO staff will result in this application being returned and potentially expired if Ldo not comply with statutory or regulatory requirements.
- I certify that all current licensees (as defined in AS 04.11.260) and affiliates have been listed on this application, and that in accordance with AS 04.11.450, no one other than the licensee(s) has a direct or indirect financial interest in the licensed business.
- I certify that this entity is in good standing with Corporations, Business and Professional Licensing (CBPL) and that all entity
 officials and stakeholders are current and accurately listed, and I have provided AMCO with all required changes of
 Shareholders (10% or more), Managers, Corporate Officers/Board of Directors, Partners, Controlling Interest or Ownership of
 the business license, and have provided all required documents for any new or changes in officers.
- I certify that all licensees, agents, and employees who sell or serve alcoholic beverages or check identification of patrons
 have completed an alcohol server education course approved by the ABC Board and keep current, valid copies of their
 course completion cards on the licensed premises during all working hours, <u>if applicable for this license type</u> as set forth
 in AS 04.21.025 and 3 AAC 304.465.
- I certify that I have not altered the functional floor plan or reduced or expanded the area of the licensed premises, and I have not changed the business name from what is currently approved and on file with the Alcoholic Beverage Control Board.

i certify on behalf of myself or of the organized entity that I understand that providing a false statement on this form or any other form provided by AMCO is grounds for rejection or denial of this application or revocation of any license issued.

Kiew Adulty -	Cher Che
Signature of licensee	Signature of Notary Public
Keeran McCarth	Notary Public in and for the State of Co CORADO
	THOMAS JOHN CHANA NOTARY PUBLIC ScribedSand On COLORAty Jore me this 315T day of DECCHBER, 2020, 2020. NOTARY ID 20134056062 COMMISSION DUPLES OCTOBER 11, 2021

Restaurant/Eating Place applications must include a completed AB-33: Restaurant Receipts Affidavit Recreational Site applications must include a completed Recreational Site Statement Tourism applications must include a completed Tourism Statement Wholesale applications must include a completed AB-25: Supplier Certification

All renewal and supplemental forms are available online

Any application that is not complete or does not include ALL required completed forms and fees will not be processed and will be returned per AS 04.11.270, 3 AAC 304.105.

FOR OFFICE USE ONLY

License Fee:	\$ 1000	Application Fee:	\$ 300.00	Misc. Fee:	\$
Total Fees Due:				\$ 1300	

[Form AB-17] (rev09/23/2020)

Page 4 of 4

AMCO

DEC 31 2020



Department of Commerce, Community, and Economic Development CORPORATIONS, BUSINESS & PROFESSIONAL LICENSING

State of Alaska / Commerce / Corporations, Business, and Professional Licensing / Search & Database Download / Corporations / Entity Details

ENTITY DETAILS

Name(s)

Туре	Name	
Legal Name	Coastal A	laska Adventures, Corporation
	Entity Type:	Business Corporation
	Entity #:	10004203
	Status:	Good Standing
	AK Formed Date:	4/3/2012
Du	uration/Expiration:	Perpetual
	Home State:	ALASKA
Next Bie	ennial Report Due:	1/2/2022
Entity	y Mailing Address:	10 NARROWS DRIVE, PETERSBURG, AK 99833
Entity	Physical Address:	10 NARROWS DRIVE, PETERSBURG, AK 99833
Registere	d Agent	
	Agent Name:	Keegan McCarthy
Registered	d Mailing Address:	PO BOX 240034, DOUGLAS, AK 99824
Registered	Physical Address:	9803 NINE MILE CREEK ROAD, JUNEAU, AK 99801

Officials

			□Show Former
AK Entity #	Name	Titles	Owned
	Chelsea McCarthy	Shareholder, Treasurer, Vice President	35.00
	JUSTIN MOODY	Shareholder, Secretary	15.00
	Keegan McCarthy	President, Shareholder	35.00

4/26/2021, 2:24 PM

AK Entity #	Name	Titles	Owned
	SCOTT NEWMAN	Director, Shareholder	15.00

Filed Documents

Date Filed	Туре	Filing	Certificate
4/03/2012	Creation Filing	Click to View	Click to View
4/03/2012	Initial Report	Click to View	
1/03/2014	Biennial Report	Click to View	
12/10/2015	Biennial Report	Click to View	
10/06/2017	Biennial Report	Click to View	
8/06/2018	Change of Officials	Click to View	
2/27/2020	Biennial Report	Click to View	
9/09/2020	Entity Address Change	Click to View	
2/26/2021	Change of Officials	Click to View	
3/16/2021	Change of Officials	Click to View	

COPYRIGHT © STATE OF ALASKA · DEPARTMENT OF COMMERCE, COMMUNITY, AND ECONOMIC DEVELOPMENT ·

From:	Alcohol Licensing, CED ABC (CED sponsored)
То:	Chelsea Wilburn; akpointer@hotmail.com
Cc:	Alcohol Licensing, CED ABC (CED sponsored)
Subject:	RE: #5254 dba MV Sikumi Incomplete Renewal
Date:	Tuesday, April 27, 2021 11:25:05 AM
Attachments:	AB-08a.pdf
	#5254 dba MV Sikumi Incomplete Renewal.pdf

Good morning,

The inclusion of "Alaska Quest" was made in error by me. MV Sikumi should have been added in this sentence. I have redone the wording below:

• Under 3 AAC 304.155 you must provide a current copy of a USCG inspection document such as the USCG 5-Star Program or Certificate of Inspection (COI) for vessel MV Sikumi. For vessels that are not required to be inspected by the US Coast Guard and do not have a US Coast Guard Certificate of Compliance (COC-for foreign vessels), AMCO requires participation in the 5-Star Safety Program, which is a no-cost program where the US Coast Guard conducts a limited inspection of the vessel and upon a successful inspection, provides a two-year 5-Star Decal.

I would also like to address the NOV (Notice of Violation) information that I emailed you in the initial review. Upon re-review and after guidance from our Enforcement Unit it appears that the only notice of violation is for the change of members AS 04.11.050.

As such you are NOT required to submit a transfer application within 30 days.

However, to add the two new members to your license record you need to update the attached renewal application page 2 in section 2 to reflect their names, titles and percentages owned as well as correct the titles and percentages owned by yourself and Keegan McCarthy. Correct the renewal application page match the CBPL ownership structure and return to <u>alcohol.licensing@alaska.gov</u> :

CBPL Ownership Structure

- Chelsea McCarthy Vice President/ Treasurer- 35%
- Keegan McCarthy- President 35%
- Justin Moody- Secretary- 15%
- Scott Newman Director- 15%

In addition before we can deem the renewal application complete for each new member we will need the following submitted:

- AB-08a form (blank form attached)
- Fingerprint Card on FBI approved card stock
- \$48.25 each for a total of \$96.50

Thank you and I apologize for my error.

Kristina Serezhenkov Licensing Examiner Alcohol and Marijuana Control Office 550 West 7th Avenue, Suite 1600 Anchorage, Alaska 99501 907-269-0359

From: Chelsea Wilburn <chelsea_wilburn@yahoo.com>
Sent: Tuesday, April 27, 2021 10:59 AM
To: Alcohol Licensing, CED ABC (CED sponsored) <alcohol.licensing@alaska.gov>
Subject: Re: #5254 dba MV Sikumi Incomplete Renewal

Hello,

Could you please clarify the following below, was this in error? as we don't owner operate or have anything to do with Alaska Quest.

• Under 3 AAC 304.155 you must provide a current copy of a USCG inspection document such as the USCG 5-Star Program or Certificate of Inspection (COI) for vessel Alaska Quest. For vessels that are not required to be inspected by the US Coast Guard and do not have a US Coast Guard Certificate of Compliance (COC-for foreign vessels), AMCO requires participation in the 5-Star Safety Program, which is a no-cost program where the US Coast Guard conducts a limited inspection of the vessel and upon a successful inspection, provides a two-year 5-Star Decal.

Thanks,

Chelsea McCarthy

On Tuesday, April 27, 2021, 12:35:13 PM MDT, Alcohol Licensing, CED ABC (CED sponsored) <<u>alcohol.licensing@alaska.gov</u>> wrote:

Good morning,

I have reviewed the renewal application submitted for license #5254 MV Sikumi on 12/31/2020. At this time, the application is considered incomplete. Please review the following for instructions on how to complete your application.

COI/5 Star

Certificate of Compliance:

• Under 3 AAC 304.155 you must provide a current copy of a USCG inspection document such as the USCG 5-Star Program or Certificate of Inspection (COI) for vessel Alaska Quest. For vessels that are not required to be inspected by the US Coast Guard and do not have a US

Coast Guard Certificate of Compliance (COC-for foreign vessels), AMCO requires participation in the 5-Star Safety Program, which is a no-cost program where the US Coast Guard conducts a limited inspection of the vessel and upon a successful inspection, provides a two-year 5-Star Decal.

It appears that MV Sikumi had an unreported change of members in 2021 which is a violation of AS 04.11.050 and an unreported change in controlling interest in 2021 which is a violation of AS 04.11.040(c). This has been reported to our Enforcement Unit and they will send you separate correspondence on this violation. See below AB-17 Page 2 Section 2 outline for details.

AB-17

- Page 2 Section 2
 - The information provided in this section does not match the records maintained by Corporations, Business and Professional Licensing (CBPL)

- CBPL Ownership Structure

- Chelsea McCarthy Vice President/ Treasurer- 35%
- Keegan McCarthy- President 35%
- Justin Moody- Secretary- 15%
- Scott Newman Director- 15%

- AMCO Ownership Structure

- Chelsea McCarthy Secretary/ Treasurer- 50%
- Keegan McCarthy- President 50%

It is required that you submit a Transfer License Application within 30 days of this notice (5/27/2021) or action may be taken against your license. Forms, fees and instructions can be found on our website at this link: https://www.commerce.alaska.gov/web/amco/ A fingerprint card will be needed for both Justin Moody and Scott Newman with the transfer application.

The AB-08a forms and fingerprint cards submitted with the renewal application for Chelsea McCarthy and Keegan McCarthy are not needed for the renewal process.

Please make the necessary actions and respond to this email no later than close of business May 7, 2021. Completed documents (with the exception of fingerprint cards or payments) may be scanned and emailed, and questions or concerns may be sent to alcohol.licensing@alaska.gov.

Thank you for your immediate diligence toward completing your application.

Kristina Serezhenkov Licensing Examiner Alcohol and Marijuana Control Office 550 West 7th Avenue, Suite 1600 Anchorage, Alaska 99501 907-269-0359 Good morning,

Upon review the renewal application for #5254 dba MV Sikumi only needs the fingerprint cards and fees for Justin Moody and Scott Newman to be deemed complete. If you not already mailed in the fingerprint cards please do so as soon as possible. You may mail in the fingerprint background check fee for \$96.50 (\$48.25 per person) or contact our office to make the payment over the phone at 907-269-0350.

The next board meeting is scheduled for 10/26/2021. Your renewal application must be deemed complete by close of business 10/8/2021 to make it on the agenda for this board meeting.

Thank you,

Kristina Serezhenkov Licensing Examiner Alcohol and Marijuana Control Office 550 West 7th Avenue, Suite 1600 Anchorage, Alaska 99501

From: Alcohol Licensing, CED ABC (CED sponsored) <alcohol.licensing@alaska.gov>
Sent: Wednesday, August 4, 2021 10:21 AM
To: Chelsea Wilburn <chelsea_wilburn@yahoo.com>
Cc: Alcohol Licensing, CED ABC (CED sponsored) <alcohol.licensing@alaska.gov>
Subject: RE: #5254 dba MV Sikumi Incomplete Renewal

Good morning,

We cannot accept faxed or scanned fingerprints. Please mail the actual cards to our office at:

AMCO 500 W 7th Avenue Ste 1600 Anchorage, AK 99501

I will review your application shortly and let you know if anything else is needed.

Thank you,

Kristina Serezhenkov Licensing Examiner Alcohol and Marijuana Control Office 550 West 7th Avenue, Suite 1600 Anchorage, Alaska 99501

From: Chelsea Wilburn <<u>chelsea_wilburn@yahoo.com</u>>
Sent: Wednesday, August 4, 2021 8:12 AM
To: Alcohol Licensing, CED ABC (CED sponsored) <<u>alcohol.licensing@alaska.gov</u>>
Subject: Re: #5254 dba MV Sikumi Incomplete Renewal

Please let us know what else is needed - we have the finger print cards- last time I mailed them and scanned them, you accepted the scanned and mailed me back the originals- You have received the scanned format-and stated you need the originals mailed this time - would a fax work in order for them to be confidential?

Thanks,

Chelsea McCarthy, M.A., CCC-SLP Speech-Language Pathologist Alaska's Midnight Sun Therapy Services, LLC

Owner at Custom Alaska Cruises Chelsea McCarthy Booking Manager/Cruise Planner On Monday, May 3, 2021, 05:59:00 PM MDT, Alcohol Licensing, CED ABC (CED sponsored) <<u>alcohol.licensing@alaska.gov</u>> wrote:

Good afternoon,

As long the documentation and fingerprint card, fee etc... is received by 6/4/2021 I will be able to schedule the renewal application on the next board meeting which is slated for 6/22/2021.

I would say if you can get the information to us by or before 6/4/2021 that is a good timeframe to work with.

Thank you,

Kristina Serezhenkov

Licensing Examiner

Alcohol and Marijuana Control Office

550 West 7th Avenue, Suite 1600

Anchorage, Alaska 99501

Hi Kristina,

The Sikumi is currently out in the field and out of service and has been since April 20. They will be out until at least May 20. Justin Moody is on the vessel and is unable to get Finger Print cards until he returns from the boat. As well as- the sticker for our 5 star cert is on the boat currently, so until it returns into service I can't get a copy to send to you. What is our timeframe to provide you with this information?

Thanks,

Chelsea McCarthy, M.A., CCC-SLP

Speech-Language Pathologist

Alaska's Midnight Sun Therapy Services, LLC

Owner at Custom Alaska Cruises

Chelsea McCarthy Booking Manager/Cruise Planner www.sikumi.com

970-217-6359

On Tuesday, April 27, 2021, 01:25:12 PM MDT, Alcohol Licensing, CED ABC (CED sponsored) <<u>alcohol.licensing@alaska.gov</u>> wrote:

Good morning,

The inclusion of "Alaska Quest" was made in error by me. MV Sikumi should have been added in this sentence. I have redone the wording below:

• Under 3 AAC 304.155 you must provide a current copy of a USCG inspection document such as the USCG 5-Star Program or Certificate of Inspection (COI) for vessel MV Sikumi. For vessels that are not required

Good morning,

I am following up on your renewal application for #5254 dba MV Sikumi. We have not yet received the fingerprint cards or the fees. Have these been submitted yet?

Thank you,

Kristina Serezhenkov Licensing Examiner Alcohol and Marijuana Control Office 550 West 7th Avenue, Suite 1600 Anchorage, Alaska 99501

From: Alcohol Licensing, CED ABC (CED sponsored) <alcohol.licensing@alaska.gov>
Sent: Monday, August 9, 2021 11:35 AM
To: Chelsea Wilburn <chelsea_wilburn@yahoo.com>
Cc: Alcohol Licensing, CED ABC (CED sponsored) <alcohol.licensing@alaska.gov>
Subject: RE: #5254 dba MV Sikumi Incomplete Renewal- Just need fingerprint cards and fees

Good morning,

A temporary license can only be issued once the renewal application is deemed complete so I am unable to send you one yet. However, I am sending a letter from our Director Glen Klinkhart, that you may use in lieu of a temporary license. Once I have deemed your renewal application complete I will email you an actual temporary license.

Thank you,

Good afternoon,

Neither the payment of \$96.50 or the two fingerprint cards were received in our office as of today. As weeks have gone and we have not gotten the cards of the payment by you may need to resubmit both. If you enclosed a payment with the fingerprint cards I advise that you check with your bank to see if the payment has been withdrawn.

Thank you,

Kristina Serezhenkov Licensing Examiner Alcohol and Marijuana Control Office 550 West 7th Avenue, Suite 1600 Anchorage, Alaska 99501

From: Chelsea Wilburn <chelsea_wilburn@yahoo.com>
Sent: Friday, September 17, 2021 6:27 AM
To: Alcohol Licensing, CED ABC (CED sponsored) <alcohol.licensing@alaska.gov>
Subject: Re: #5254 dba MV Sikumi Incomplete Renewal- Just need fingerprint cards and fees- Follow UP

These were mailed weeks ago and show received.

Thanks,

Chelsea McCarthy, M.A., CCC-SLP Speech-Language Pathologist Alaska's Midnight Sun Therapy Services, LLC

Owner at Custom Alaska Cruises Chelsea McCarthy Booking Manager/Cruise Planner On Thursday, September 16, 2021, 02:02:55 PM MDT, Alcohol Licensing, CED ABC (CED sponsored) <<u>alcohol.licensing@alaska.gov</u>> wrote:

Good morning,

I am following up on your renewal application for #5254 dba MV Sikumi. We have not yet received the fingerprint cards or the fees. Have these been submitted yet?

Thank you,

Kristina Serezhenkov

Licensing Examiner

Alcohol and Marijuana Control Office

550 West 7th Avenue, Suite 1600

Anchorage, Alaska 99501

Good morning,

<sup>From: Alcohol Licensing, CED ABC (CED sponsored) <<u>alcohol.licensing@alaska.gov</u>>
Sent: Monday, August 9, 2021 11:35 AM
To: Chelsea Wilburn <<u>chelsea_wilburn@yahoo.com</u>>
Cc: Alcohol Licensing, CED ABC (CED sponsored) <<u>alcohol.licensing@alaska.gov</u>></sup>

Subject: RE: #5254 dba MV Sikumi Incomplete Renewal- Just need fingerprint cards and fees

A temporary license can only be issued once the renewal application is deemed complete so I am unable to send you one yet. However, I am sending a letter from our Director Glen Klinkhart, that you may use in lieu of a temporary license. Once I have deemed your renewal application complete I will email you an actual temporary license.

Thank you,

Kristina Serezhenkov

Licensing Examiner

Alcohol and Marijuana Control Office

550 West 7th Avenue, Suite 1600

Anchorage, Alaska 99501

From: Chelsea Wilburn <<u>chelsea_wilburn@yahoo.com</u>>
Sent: Monday, August 9, 2021 11:22 AM
To: Alcohol Licensing, CED ABC (CED sponsored) <<u>alcohol.licensing@alaska.gov</u>>
Subject: Re: #5254 dba MV Sikumi Incomplete Renewal- Just need fingerprint cards and fees

While this is processing can you resend our temp license for the interim please.

Thanks.

Chelsea McCarthy, M.A. CCC-SLP

Speech-Language Pathologist

Owner/Manager Custom Alaska Cruises

970-217-6359

www.sikumi.com

On Aug 9, 2021, at 10:51 AM, Alcohol Licensing, CED ABC (CED sponsored) <<u>alcohol.licensing@alaska.gov</u>> wrote:

Good morning,

Upon review the renewal application for #5254 dba MV Sikumi only needs the fingerprint cards and fees for Justin Moody and Scott Newman to be deemed complete. If you not already mailed in the fingerprint cards please do so as soon as possible. You may mail in the fingerprint background check fee for \$96.50 (\$48.25 per person) or contact our office to make the payment over the phone at 907-269-0350.

The next board meeting is scheduled for 10/26/2021. Your renewal application must be deemed complete by close of business 10/8/2021 to make it on the agenda for this board meeting.

Thank you,

Kristina Serezhenkov

Licensing Examiner

Alcohol and Marijuana Control Office

550 West 7th Avenue, Suite 1600

Anchorage, Alaska 99501

From: Alcohol Licensing, CED ABC (CED sponsored) <<u>alcohol.licensing@alaska.gov</u>
Sent: Wednesday, August 4, 2021 10:21 AM
To: Chelsea Wilburn <<u>chelsea_wilburn@yahoo.com</u>>
Cc: Alcohol Licensing, CED ABC (CED sponsored) <<u>alcohol.licensing@alaska.gov</u>>
Subject: RE: #5254 dba MV Sikumi Incomplete Renewal

Good morning,

We cannot accept faxed or scanned fingerprints. Please mail the actual cards to our office at:

AMCO

500 W 7th Avenue Ste 1600

Anchorage, AK 99501

I will review your application shortly and let you know if anything else is needed.

Thank you,

Kristina Serezhenkov

Licensing Examiner

Alcohol and Marijuana Control Office

550 West 7th Avenue, Suite 1600

Anchorage, Alaska 99501

From: Chelsea Wilburn <<u>chelsea_wilburn@yahoo.com</u>>
Sent: Wednesday, August 4, 2021 8:12 AM
To: Alcohol Licensing, CED ABC (CED sponsored) <<u>alcohol.licensing@alaska.gov</u>>
Subject: Re: #5254 dba MV Sikumi Incomplete Renewal

Please let us know what else is needed - we have the finger print cards- last time I mailed them and scanned them, you accepted the scanned and mailed me back the originals- You have received the scanned format-and stated you need the originals mailed this time - would a fax work in order for them to be confidential?

Thanks,

Chelsea McCarthy, M.A., CCC-SLP

Speech-Language Pathologist

Alaska's Midnight Sun Therapy Services, LLC

Owner at Custom Alaska Cruises

Chelsea McCarthy Booking Manager/Cruise Planner www.sikumi.com

970-217-6359

On Monday, May 3, 2021, 05:59:00 PM MDT, Alcohol Licensing, CED ABC (CED sponsored) <<u>alcohol.licensing@alaska.gov</u>> wrote:

Good afternoon,

As long the documentation and fingerprint card, fee etc... is received by 6/4/2021 I will be able to schedule the renewal application on the next board meeting which is slated for 6/22/2021.

I would say if you can get the information to us by or before 6/4/2021 that is a good timeframe to work with.

Thank you,

Kristina Serezhenkov

Licensing Examiner

Alcohol and Marijuana Control Office

550 West 7th Avenue, Suite 1600

Anchorage, Alaska 99501

Hi Kristina,

The Sikumi is currently out in the field and out of service and has been since April 20. They will be out until at least May 20. Justin Moody is on the vessel and is unable to get Finger Print cards until he returns from the boat. As well as- the sticker for our 5 star cert is on the boat currently, so until it returns into service I can't get a copy to send to you. What is our timeframe to provide you with this information?

Thanks,

Chelsea McCarthy, M.A., CCC-SLP Speech-Language Pathologist Alaska's Midnight Sun Therapy Services, LLC

Owner at Custom Alaska Cruises

Chelsea McCarthy Booking Manager/Cruise Planner www.sikumi.com

970-217-6359

On Tuesday, April 27, 2021, 01:25:12 PM MDT, Alcohol Licensing, CED ABC (CED sponsored) alcohol.licensing@alaska.gov> wrote:

Good morning,

The inclusion of "Alaska Quest" was made in error by me. MV Sikumi should have been added in this sentence. I have redone the wording below:

• Under 3 AAC 304.155 you must provide a current copy of a

USCG inspection document such as the USCG 5-Star Program or Certificate of Inspection (COI) for vessel MV Sikumi. For vessels that are not required to be inspected by the US Coast Guard and do not have a US Coast Guard Certificate of Compliance (COC-for foreign vessels), AMCO requires participation in the 5-Star Safety Program, which is a no-cost program where the US Coast Guard conducts a limited inspection of the vessel and upon a successful inspection, provides a two-year 5-Star Decal.

I would also like to address the NOV (Notice of Violation) information that I emailed you in the initial review. Upon re-review and after guidance from our Enforcement Unit it appears that the only notice of violation is for the change of members AS 04.11.050.

As such you are NOT required to submit a transfer application within 30 days.

However, to add the two new members to your license record you need to update the attached renewal application page 2 in section 2 to reflect their names, titles and percentages owned as well as correct the titles and percentages owned by yourself and Keegan McCarthy. Correct the renewal application page match the CBPL ownership structure and return to alcohol.licensing@alaska.gov :

CBPL Ownership Structure

- Chelsea McCarthy Vice President/ Treasurer- 35%
- Keegan McCarthy- President 35%
- Justin Moody- Secretary- 15%

• Scott Newman – Director- 15%

In addition before we can deem the renewal application complete for each new member we will need the following submitted:

- AB-08a form (blank form attached)
- Fingerprint Card on FBI approved card stock
- \$48.25 each for a total of \$96.50

Thank you and I apologize for my error.

Kristina Serezhenkov

Licensing Examiner

Alcohol and Marijuana Control Office

550 West 7th Avenue, Suite 1600

Anchorage, Alaska 99501

907-269-0359

From: Chelsea Wilburn <<u>chelsea_wilburn@yahoo.com</u>>
Sent: Tuesday, April 27, 2021 10:59 AM
To: Alcohol Licensing, CED ABC (CED sponsored) <<u>alcohol.licensing@alaska.gov</u>>
Subject: Re: #5254 dba MV Sikumi Incomplete Renewal

Hello,

Could you please clarify the following below, was this in error? as we don't owner operate or have anything to do with Alaska Quest.

 Under 3 AAC 304.155 you must provide a current copy of a USCG inspection document such as the USCG 5-Star Program or Certificate of Inspection (COI) for vessel Alaska Quest. For vessels that are not required to be inspected by the US Coast Guard and do not have a US Coast Guard Certificate of Compliance (COC-for foreign vessels), AMCO requires participation in the 5-Star Safety Program, which is a no-cost program where the US Coast Guard conducts a limited inspection of the vessel and upon a successful inspection, provides a two-year 5-Star Decal.

Thanks,

Chelsea McCarthy

On Tuesday, April 27, 2021, 12:35:13 PM MDT, Alcohol Licensing, CED ABC (CED sponsored) <<u>alcohol.licensing@alaska.gov</u>> wrote:

Good morning,

I have reviewed the renewal application submitted for license #5254 MV Sikumi on 12/31/2020. At this time, the application is considered incomplete. Please review the following for instructions on how to complete your application. COI/5 Star

Certificate of Compliance:

 Under 3 AAC 304.155 you must provide a current copy of a USCG inspection document such as the USCG 5-Star Program or Certificate of Inspection (COI) for vessel Alaska Quest. For vessels that are not required to be inspected by the US Coast Guard and do not have a US Coast Guard Certificate of Compliance (COC-for foreign vessels), AMCO requires participation in the 5-Star Safety Program, which is a no-cost program where the US Coast Guard conducts a limited inspection of the vessel and upon a successful inspection, provides a two-year 5-Star Decal.

It appears that MV Sikumi had an unreported change of members in 2021 which is a violation of AS 04.11.050 and an unreported change in controlling interest in 2021 which is a violation of AS 04.11.040(c). This has been reported to our Enforcement Unit and they will send you separate correspondence on this violation. See below AB-17 Page 2 Section 2 outline for details.

AB-17

• Page 2 Section 2

 The information provided in this section does not match the records maintained by Corporations, Business and Professional Licensing (CBPL)

- CBPL Ownership Structure

- Chelsea McCarthy Vice President/ Treasurer- 35%
- Keegan McCarthy- President 35%
- Justin Moody- Secretary- 15%
- Scott Newman Director- 15%

- AMCO Ownership Structure

- Chelsea McCarthy Secretary/ Treasurer- 50%
- Keegan McCarthy- President 50%

It is required that you submit a Transfer License Application within 30 days of this notice (5/27/2021) or action may be taken against your license. Forms, fees and instructions can be found on our website at this link: <u>https://www.commerce.alaska.gov/web/amco/</u> A fingerprint card will be needed for both Justin Moody and Scott Newman with the transfer application.

The AB-08a forms and fingerprint cards submitted with the renewal application for Chelsea McCarthy and Keegan McCarthy are not needed for the renewal process.

Please make the necessary actions and respond to this email no later than close of business May 7, 2021. Completed documents (with the exception of fingerprint cards or payments) may be scanned and emailed, and questions or concerns may be sent to <u>alcohol.licensing@alaska.gov</u>. Thank you for your immediate diligence toward completing your application.

Kristina Serezhenkov Licensing Examiner Alcohol and Marijuana Control Office 550 West 7th Avenue, Suite 1600 Anchorage, Alaska 99501 907-269-0359