

Annual Report Fiscal Year 2006

Board of Registration for Architects, Engineers and Land Surveyors

August 2006



DIVISION OF CORPORATIONS, BUSINESS AND PROFESSIONAL LICENSING

BOARD OF REGISTRATION FOR ARCHITECTS, ENGINEERS AND LAND SURVEYORS

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ARCHITECTS, ENGINEERS, LAND SURVEYORS, AND LANDSCAPE ARCHITECTS **BOARD ROSTER**

Board of Architects, Engineers and Land Surveyors – Alaska Statute 08.48; ten members appointed by the Governor; four-year term; member holds position until term ends or until his/her successor has been appointed.

| Kenneth D. Maynard, FAIA, Architect | March 1, 2008 (Home) 272-3357 (Work) 343-5282 (Cell) 227-2535 (Fax) 258-4653 (E-mail) kmaynard@uskh.com |
|---|---|
| Clifford E. Baker, PS, Surveyor Integrity Surveys 8195 Kenai Spur Hwy. Kenai, AK 99611-8902 | March 1, 2008 (Home) 283-4849 (Work) 283-9047 (Fax) 283-9071 (E-mail) intgrty@ptialaska.net |
| Boyd J. Brownfield, PE, Civil Engineer Vice-Chair 1758 Morningtide Court Anchorage, AK 99501 | (Home) 277-0069 (Cell) 301-9011 (E-mail) BoBrnfld@msn.com |
| Craig Fredeen, PE, Mechanical Engineer | March 1, 2008 (Home) 694-5110 (Work) 561-1666 (Fax) 561-7028 (E-mail) craigfredeen@pdceng.com |
| Richard C. Heieren, PS, Surveyor | |
| Harley H. Hightower, Architect | March 1, 2009 (Work) (907) 644-0798 (Fax) 644-0799 (Home) 243-3021 (E-mail) hhh@gci.net |
| Mark Morris, PE, Electrical Engineer. Principal Electrical Engineer Morris Engineering Group, LLC P.O. Box 32858 Juneau, AK 99803 | March 1, 2009 (Work) 780-6520 (Fax) 780-6552 (cell) 321-3354 (E-mail) mark@morrisengineeringgroup.com |
| Burdett B. Lent, Landscape Architect | June 30, 2009 (Home) 376-6298 (Work) 376-6298 (Fax) 376-6281 (E-mail) g3d@gci.net |
| Terry Gorlick, Public Member | March 1, 2010 (Home) 563-1962 (Work) 563-3424 (Fax) 563-4474 (E-mail) terryg@acsalaska.net |
| Daniel E. Walsh, PE, Mining Engineer Professor University of Alaska Fairbanks P.O. Box 73008 Fairbanks, AK 99707-3008 | March 1, 2008 (Home) 479-3735 (Work) 474-6746 (Fax) 474-5400 (E-mail) ffdewl@uaf.edu |
| Charles A. Leet, Civil Engineer. Alaska Rim Engineering, Inc. P.O. Box 2749 Palmer, AK 99645 | March 1, 2010 (Home) 745-8371 (Work) 745-0222 (Cell) 355-3848 (FAX) 746-0222 (E-mail) chuck@alaskarim.com |

BOARD STAFF

| Ginger Morton, Executive Administrator | (Work) 465-1676 (E-mail) ginger_morton@commerce.state.ak.us |
|---|---|
| Vern Jones, Licensing Examiner (A-K) | (E-mail) richard_jones@commerce.state.ak.us |
| Vacant, Licensing Examiner (L-Z) | (Work) 465-2691 |
| Division of Corporations, Business & Professional Licensing P.O. Box 110806 Juneau, AK 99811-0806 | Front Desk 465-2534 (Fax) 465-2974 |
| Naseer Dhaamin, Investigator | (Work) 269-8614 (Fax) 269-8195 (E-mail) naseer_dhaamin@commerce.state.ak.us |

BOARD OF REGISTRATION FOR ARCHITECTS, ENGINEERS AND LAND SURVEYORS

NARRATIVE STATEMENT

BOARD MISSION

To protect the public health, safety, and welfare through the regulation of the practice of architecture, engineering, land surveying, and landscape architecture by:

- Ensuring that those entering these practices meet minimum standards of competency, and maintain such standards during their practice;
- Requiring licensure to practice in the State of Alaska; and
- Enforcing both the licensure and competency requirements in a fair and uniform manner. (Dated 2/15/00)

<u>Board Meetings</u>: The Board of Registration for Architects, Engineers and Land Surveyors held three 2-day meetings and one 3-day meeting in FY 06. The board met in August and November 2005 in Anchorage; February 2006 in Juneau and May 2006 in Fairbanks.

<u>Board Appointments</u>: An 11-member board, the Governor appointed four new members in FY 06: Terry Gorlick, public member, Charles Leet, Civil Engineer, Burdett Lent, Landscape Architect, and Daniel Walsh, Mining Engineer. At the writing of this report there are no vacancies on the board.

NCEES Exam Administration: A significant board accomplishment this fiscal year was the successful negotiation of a contract with Engineer and Land Surveying Examination Services (ELSES), an affiliate of the National Council of Examiners for Engineering and Surveying (NCEES), to assume administration of the NCEES examination. This transfer of exam administration responsibilities is of no expense to the Board, and most importantly erases the liability risk that was involved in the shipping, handling and security of the exams throughout Alaska. ELSES will continue to administer the NCEES exams in Fairbanks, Anchorage and Juneau twice a year.

Investigations/Disciplinary Processes. The AELS Board considers investigation procedures and enforcement to be one of its most important responsibilities. During FY 06 the Board appointed a subcommittee to reevaluate the current investigative and disciplinary process and has become actively involved in these processes. The subcommittee is working to develop a procedure manual for investigators to follow. Also this year the Board has adopted a "Board Committee Review" plan whereby two board members meet monthly with division investigators to determine merit and disposition of complaints.

During FY 06 the board adopted an Administrative Law Judge Proposed Decision to deny one request for a waiver of the Fundamentals of Engineering, which is a requirement for registration as an engineer. The board entered into one Memorandum of Agreement for incompetence and one Cease & Desist was upheld on appeal to the Superior Court.

<u>National and Regional Meetings</u>: To keep abreast of national trends, other state's standards for registration and emerging issues board members attended meetings and conferences sponsored by the following national organizations:

- National Council of Architect Registration Boards (NCARB)
- American Society of Landscape Architects (ASLA)
- National Council of Examiners for Engineering and Surveying (NCEES)
- Council for Landscape Architect Registration Boards (CLARB)
- Western Council of Architect Registration Boards (WCARB)
- Association of Professional Engineers, Geologists and Geophysicists of Alberta (APEGGA)

Following completion of board-related travel the attending members write in-depth reports for presentation at the next board meeting so that all board members benefit from attendance at these conferences and meetings.

To encourage member board attendance at these conferences sponsoring organizations provide funding for many members as part of the dues structure paid by the Board for membership.

In addition, the AELS Board is hosting the NCEES 85th Annual Meeting in Anchorage in September 2006, with an expected turnout of approximately 325 delegates and 125 guests.

<u>Application Review</u>: During FY 06 the board reviewed 496 applications for registration and/or examination. Four hundred eighty three applications for registration and/or examination were approved, one application was denied and 12 applications were found incomplete.

For complete statistics please refer to the Statistical Overview at pages 6-8.

Regulations Adopted: The board adopted regulations requiring continuing education (CE) for surveyors as a condition of registration renewal effective with the 12/31/07 renewal. The board is now finalizing regulations to also require mandatory continuing education for architects, engineers and landscape architects as a condition for registration renewal.

Board Policies Adopted:

- 1. The board adopted a policy to nominate former board members for Emeritus Status to NCEES, NCARB or CLARB on a yearly basis when the former board member notifies the board they will be serving on an NCEES, NCARB or CLARB committee.
- 2. The board adopted a "Board Committee Review" plan whereby two board members will meet monthly with division investigators to determine merit and disposition of complaints.
- 3. The board adopted a policy defining "close proximity" in 12 AAC 36.185(d) to mean that the date on stamped plans must be placed within two inches of the seal.

<u>Staff</u>: A new Executive Administrator, Ginger Morton, was appointed to the position in August 2005. In addition during this year the board's two licensing examiners, Julie Adams and Eleanor Vinson left their positions. A new licensing examiner, Vernon Jones, assumed one examiner position in October 2005. At present the second licensing examiner position remains vacant as the Division and the Executive Administrator reassess the need to fill this position.

FISCAL YEAR 2006 STATISTICAL OVERVIEW

Program: Architects, Engineers, and Land Surveyors

AS 08.48

12 AAC 36

Name of Individual Completing Report: Richard V. Jones

Date: June 30, 2006

| CATEGORY | DURING FY 2006 | TOTAL AS OF 6/30/2006 | NOTES |
|---|----------------|--------------------------|---------------------------|
| A. Current Active Licenses, Permits, Apprentice/Student Permits, etc (List type and if issued by examination) | • | - | |
| 1. Architects | 22 | 523 | Comity – 20 Exam – 2 |
| 2. Landscape Architects | 5 | 42 | Comity – 5 Exam – 0 |
| 3. Land Surveyors | 11 | 556 | Comity – 4 Exam – 7 |
| 4. Chemical Engineers | 7 | 103 | Comity – 5 Exam – 2 |
| 5. Civil Engineers | 128 | 2681 | Comity – 73 Exam – 55 |
| 6. Electrical Engineers | 33 | 571 | Comity – 25 Exam – 8 |
| 7. Mechanical Engineers | 38 | 657 | Comity – 24 Exam – 14 |
| 8. Mining Engineers | 0 | 31 | Comity – 0 Exam – 0 |
| 9. Petroleum Engineers | 2 | 111 | Comity – 0 Exam - 2 |
| 10. Certificates of Authority - Corp | 32 | 335 | |
| 11. Certificates of Authority - LLC | 15 | 57 | |
| 12. Certificates of Authority – LLP | 1 | 1 | |
| TOTAL | 294 | 5670 | Comity – 156 Exam – 90 |

| CATEGORY | ISSUED DURING FY 2006 | TOTAL AS OF 06/30/06 | NOTES |
|------------|--------------------------|----------------------|-------|
| B. Retired | 141 | 667 | |

C. Other action taken during fiscal year for which a fee was required

(License verifications processed, roster requests, name changes, etc.)

| TYPE OF ACTION | NUMBER PROCESSED |
|------------------------------|------------------|
| 1. Applications Received | 226 |
| 2. Certified Copies of Files | 2 (estimate) |
| 3. Duplicate Licenses | 11 (estimate) |
| 4. Exam Reviews | 0 |
| 5. License Verifications | 100 |
| 6. Name Changes | 5 (estimate) |
| 7. Proctored Candidates | 21 |
| 8. Roster Requests | 0 |
| 9. Wall Certificates | 204 |

D. Examination Dates

| EXAM TYPE | LOCATION | DATE | CANDIDATES | Number PASSED | Number FAILED |
|---|----------------------------------|----------------------|------------|------------------|------------------|
| Principles & Practice of Engineering | Anchorage Fairbanks Juneau | 10/28/05 | 56 | 27 | 29 |
| Principles & Practice of Land Surveying | Anchorage Fairbanks Juneau | 10/28/05 | 03 | 02 | 01 |
| Fundamentals of Engineering | Anchorage Fairbanks Juneau | 10/29/05 | 60 | 37 | 23 |
| Fundamentals of Land Surveying | Anchorage Fairbanks Juneau | 10/29/05 | 07 | 05 | 02 |
| Principles & Practice of Engineering | Anchorage Fairbanks Juneau | 04/21/06 | 92 | 57 | 35 |
| Principles & Practice of Land Surveying | Anchorage Fairbanks Juneau | 04/21/06 | 08 | 08 | 00 |
| Fundamentals of Engineering | Anchorage Fairbanks Juneau | 04/22/06 | 92 | 70 | 22 |
| Fundamentals of Land Surveying | Anchorage Fairbanks Juneau | 04/22/06 | 07 | 05 | 02 |
| Alaska Land Surveying Exam | Anchorage Fairbanks Juneau | 04/21/06 | 21 | 14 | 07 |
| Landscape Architect Registration Exam Section C & E | Anchorage | 06/12/06 06/13/06 | ** | ** | ** |

^{**} Scores not available

E. Board Meetings and Teleconferences

| DATE | LOCATION (indicate if teleconference) |
|------------------------|---------------------------------------|
| August 18 & 19, 2005 | Anchorage |
| November 17 & 18, 2005 | Anchorage |
| February 09 & 10, 2006 | Juneau |
| May 24 - 26, 2006 | Fairbanks |

BOARD OF REGISTRATION FOR ARCHITECTS, ENGINEERS AND LAND SURVEYORS

EXPENDITURE REPORT

Attached.

DEPARTMENT OF COMMERCE, COMMUNITY, AND ECONOMIC DEVELOPMENT CORPORATIONS, BUSINESS AND PROFESSIONAL LICENSING

EXPENDITURE AND REVENUE REPORT FOR ARCHITECTS, ENGINEERS, AND LAND SURVEYORS

As of April 25, 2006

| ARCHITECTS, ENGINEERS & LAND SURVEYORS | SURVEYO | ORS | | | | | | TO DATE: |
|--|--------------------|------|---------------------|---------------------|------|---------------------|---------------------------|-----------|
| (In Thousands) | | RFWD | FISCAL YEAR 2002 | FISCAL YEAR 2003 | RFWD | FISCAL YEAR 2004 | YEAR 2005 TO DATE RFWD | |
| (71000) PERSONAL SVCS EXPENSES | Direct Indirect | | 212.2 149.2 | 227.0 163.3 | | 254.4 172.3 | 268.3 | 208.9 |
| Total: | | | \$361.4 | \$390.3 | | \$426.7 | \$423.7 | \$329.8 |
| (72000) | Direct | | 49.5 | 54.3 | | 50.8 | 36.4 | 29.9 |
| I NAVEL EAFENSES Total: | Indirect | | 1.4 \$50.9 | 2.3 \$56.6 | | 2.0 \$52.8 | \$38.5 | \$31.4 |
| (73000) CONTRACTUAL SVCS EXPENSES | Direct Indirect | | 106.7 96.9 | 107.5 104.7 | | 168.2 | 146.2 | 101.6 |
| Total: | | | \$203.6 | \$212.2 | | \$296.5 | \$281.8 | \$220.9 |
| (74000) SUPPLIES EXPENSES | Direct | | 2.1 5.8 67.0 | 1.9 6.6 6.8 | | 1.0 | 1.5 | 0.7 |
| | | | D | | | Ø.7 0 | ا ا ا | \$13.4 |
| (75000) EQUIPMENT EXPENSES Total: | Direct Indirect | | 0.0 | 3.9 | | 5.2 | 0.0 | 0.0 |
| | | | | | | | | 2 |
| Tot: Total | Total Direct: | | \$370.5 \$264.5 | \$391.4 | | \$474.4 | \$452.4 | \$341.1 |
| TOTAL EXPENSES: | | | \$635.0 | \$672.2 | | \$788.8 | \$755.3 | \$595.5 |
| TOTAL REVENUE: | | | \$1,143.6 | \$169.3 | | \$1,174.5 | \$170.1 | \$1,724.8 |
| BALANCE (Revenue - Expenses) : | | | 508.6 | (502.9) | | 385.7 | (585.2) | 1,129.3 |
| Biennium: Roll-Forward Balance: | | 60.4 | | 5.7 | 66.1 | (199.5) | (133.4) | |

AELSFY06.xls/RFWD

DEPARTMENT OF COMMERCE, COMMUNITY, AND ECONOMIC DEVELOPMENT CORPORATIONS, BUSINESS AND PROFESSIONAL LICENSING

EXPENDITURE AND REVENUE REPORT FOR ARCHITECTS, ENGINEERS, AND LAND SURVEYORS

PERSONAL SERVICES: Expenses consist of employee salaries and benefits.

TRAVEL: Expenses include transportation, food and lodging for board meetings, complaint investigations, disciplinary hearings and national meetings.

CONTRACTUAL: Expenses include services purchased from sources outside the Division, such as telephone calls, postage, expert

SUPPLIES: Expenses include paper, envelopes, cassette tapes, and other office supplies. witnesses, and legal costs.

EQUIPMENT: Expenses include purchase and repair of computers, software, copy machines, telephones, and other office equipment.

Fiscal Years for Alaska's state government begin July 1 and end June 30.

This chart shows the figures in the state accounting system on the day the chart was prepared. Some bills are not received and entered in he system for several months after the expense occurs. Figures are in thousands of dollars and are rounded to the nearest hundred. For example, \$1.3 means \$1,300.00. AR YTD ACTUALS & ENCUMBERED PROJECT EXPENDITURES BY ACCOUNT 28006 ARC; ENG; LS (AELS)

LEVEL 30

| COA | : 2006 | YTD | YTD |
|-----|-----------------------------|------------|------------|
| | ENTITY NUMBER - DESCRIPTION | ACTUALS | ENCUMBERED |
| | | | |
| S** | 70000 TOTAL EXPENDITURES | 341,073.15 | 29,254.14 |
| S** | 70009 TOT EXPS-PRECLOSING | 341,073.15 | 29,254.14 |
| S** | 70008 OPERATING ACCT TOTAL | 341,073.15 | 29,254.14 |
| S** | 70100 GROUP CTRL-PER SER | 208,883.55 | .00 |
| S** | 71000 PERSONAL SERVICES | 208,883.55 | .00 |
| S** | 70200 GROUP CTRL - OTHER | 132,189.60 | 29,254.14 |
| S** | 70201 GC-OTHER-NONGRANT | 132,189.60 | 29,254.14 |
| S** | 72000 TRAVEL | 29,935.22 | .00 |
| S** | 73000 SERVICES | 101,556.00 | 29,294.19 |
| S** | 74000 COMMODITIES | 698.38 | 40.05- |

| FOR | NEXT SECTION | ENTER==> | NUMBER | YEA | AR LEVEL | LIMIT | |
|-------|--------------|----------|---------------|---------|----------|-------|---------|
| Enter | -PF1PF2 | PF3PF4 | 4PF5 - | PF6PF7- | PF8PF9- | PF10P | F11PF12 |
| | QUIT | | | RR | PFKY | | HELP |

AR YTD ACTUALS & ENCUMBERED PROJECT EXPENDITURES BY ACCOUNT 28006 ARC; ENG; LS (AELS)

LEVEL 30

| 28006 | ARC; ENG; LS (AELS) | לוחוז | YTD |
|-------|---|-------------------|------------|
| COA:2 | 006 | YTD | |
| | ENTITY NUMBER - DESCRIPTION | ACTUALS | ENCOMBERED |
| | | | .00 |
| D** | 71172 REGULAR PAY | 128,367.34 | .00 |
| D** | 71322 OT STRAIGHT TIME | 130.52 | |
| D** | 71324 OT - TIME & ONE-HALF | | .00 |
| D** | 71670 HOLIDAY PAY | 2,670.08 | .00 |
| D** | 71685 PERSONAL LEAVE | 5,385.13 | .00 |
| D** | 71790 AK SUPPLEMNTL BENEFT | 8,469.29 | .00 |
| D** | 71800 PUBLC EMPL RETIREMNT | 24,338.64 | .00 |
| D** | 71820 UNEMPLYMNT INSURANCE | 991.92 | .00 |
| _ | 71830 GROUP HLTH INSURANCE | 29,872.07 | .00 |
| D** | 71835 BASIC LIFE & TRAVEL | | .00 |
| D** | 71840 WORKERS' COMP INS | 55.01 1,119.26 | .00 |
| D** | 71840 WORKERS COMP INS | 1,365.40 | .00 |
| D** | 71870 LEAVE CASHIN EMPR CH | 2,578.47 | .00 |
| D** | 71871 TERMINAL LV EMPR CHG | | .00 |
| D** | 71795 MEDICARE TAX | 1,930.22 | |
| | | | .00 |
| D** | 71925 ASEA LEGAL TRUST | 242.84 | |
| D** | 71936 ASEA INJURY LV USAGE | 22.99 | |
| D** | 71950 SUPERVSRS LEGAL TRST | .54- | .00 |
| D** | / M _ L L L L L L L L L L L L L L L L L L | 1,755.30 | .00 |
| D** | 72112 SURFACE TRANSPORT | 644.18 | .00, |
| D** | 72113 LODGING | 1,783.00 | .00 |
| D** | 72114 MEALS & INCIDENTALS | 939.00 | .00 |
| D** | 72124 MEALS & INCIDENTALS | 231.00 | .00 |
| - | 72126 NONTAX REIMBURSEMENT | 10,911.67 | .00 |
| D** | 72120 NONIAM MAINDONDA | 1,607.90 | .00 |
| D** | 72411 AIRFARE 72412 SURFACE TRANSPORT | 131.50 | .00 |
| D** | | 862.42 | .00 |
| D** | 72413 LODGING | 560.00 | .00 |
| D** | 72414 MEALS & INCIDENTALS | | .00 |
| D** | /2420 NONTAN KELIEBOTE | 10,496.18 | |
| | | 13.07 | .00 |
| D** | 72930 CASH ADVANCE FEE | | |
| D** | 73026 TRAINING/CONFERENCES | 2,440.00 | |
| D** | | | |
| D** | 73029 MEMBERSHIPS | 19,850.00 | |
| D** | 73051 ACCOUNTING/AUDITING | 15,343.60 | |
| D** | 73052 MGMT/CONSULTING CONTRACTS | 11,555.00 | |
| D** | 73079 EXPERT WITNESS | 8,457.95 | |
| D** | 73083 CASE COSTS | 72.00 | .00 |
| D** | 73401 LONG DISTANCE | 184.71 | 15.18- |
| D** | 73403 DATA/NETWORK | 134.98 | 86.02 |
| _ | | 118.68 | .00 |
| D** | 73226 FREIGHT | 601.91 | .00 |
| D** | 73227 COURIER | 1,510.62 | .00 |
| D** | 73451 ADVERTISING | 1,437.38 | .00 |
| D** | 73668 ROOM/SPACE | 1,437.30 | |
| | | 381.92 | .00 |
| D** | 73752 ECONOMIC/DEVELOPMENT | = | |
| D,** | 73753 PROGRAM MGMT/CONSULT | .00 | 19,876.85 |
| D** | 73755 SAFETY SERVICES | 420.00 | .00 |
| D** | 73756 PRINT/COPY/GRAPHICS | 2,555.25 | .00 |
| D** | | 5.00 | .00 |
| D** | 73812 LEGAL | 27,072.35 | .00 |
| D** | 73821 HEARING/MEDIATION | 6,683.40 | .00 |
| _ | 74229 BUSINESS SUPPLIES | 698.38 | 40.05- |
| D** | LIVED DODINEDD POLITICAD | | |
| | COLITANI MOMPLE C | 341,073.15 | 29,254.14 |
| | =====> COLUMN TOTALS | , | - - |

| | | | | -13- | | | | | |
|-------|--------|---------|-----------------|------|---------|-------|---------|------------|---|
| FOR | NEXT S | SECTION | ENTER==> NUMBER | | | | LIMIT . | | |
| Tok | DE1 | DF2 | -PF3PF4PF5- | PF6 | PF7PF8- | PF9 | PF10- | -PF11PF12- | - |
| Firer | -PEI- | FF2 | 123 221 110 | | | PFKYS | | HELP | |
| CONT | OUIT | PGUP | | RR | | PERL | 9 | ***** | |

| AR | YTD | ACTUALS | & | ENCUMBERED | | | RRN:0185895 | RSN: 06366 | 04/24/20 | 006 |
|----|-------------|-----------|------|--|-------|-----------|-------------|------------|----------|-----|
| ממ | \cap TECH | יד משטעמי | 7077 | TO T | 77.77 | 3 CCCTTTT | | | , , - | |

28006 ARC: ENG: IS (AELS)

| 28006 | ARC; | ENG; | LS | (AELS) | LEVEL | 30 | |
|----------|------|------|----|--------|-------|----|----------------|
| CO2 . 21 | 106 | | | | | | T. T. T. T. T. |

| COA: | 2006 | YTD | YTD |
|------|-----------------------------|---------------|------------|
| | ENTITY NUMBER - DESCRIPTION | ACTUALS | ENCUMBERED |
| | | | |
| S** | 50000 TOT RESTRICTD REVENU | 1,724,828.09- | .00 |
| S** | 50006 REST REVS-PRECLOSING | 1,724,828.09- | .00 |
| S** | 50007 OPERATING REST REVS | 1,724,828.09- | .00 |
| S** | 54005 PROGRAM RECEIPTS TOT | 1,724,828.09- | .00 |
| S** | 51060 GF PROGRAM RECEIPTS | 3,435.09- | .00 |
| S** | 55500 OTHER PROG REC GF | 3,435.09- | .00 |
| S** | 51073 RECEIPT SUPPRTD SVCS | 1,721,393.00- | .00 |

| | NEXT SECTION | | | | LEVEL LIMIT | |
|------|--------------|--------|-------|--------|-------------|---------------|
| | | -PF3PF | 4PF5- | PF6PF7 | PF8PF9PF101 | - PF11PF12 |
| CONT | QUIT | | | RR | PFKYS | HELP |

AR YTD ACTUALS & ENCUMBERED PROJECT EXPENDITURES BY ACCOUNT 28086 DIV ALLOC IND EXPENS

LEVEL 30

| | 2006 ENTITY NUMBER - DESCRIPTION | YTD ACTUALS | YTD ENCUMBERED |
|---|--|---|---|
| - * * * * * * * * * * * * * * * * * * * | 70000 TOTAL EXPENDITURES 70009 TOT EXPS-PRECLOSING 70008 OPERATING ACCT TOTAL 70100 GROUP CTRL-PER SER 71000 PERSONAL SERVICES 70200 GROUP CTRL - OTHER 70201 GC-OTHER-NONGRANT 72000 TRAVEL 73000 SERVICES 74000 COMMODITIES 75000 CAPITAL OUTLAY | 2,022,719.49 2,022,719.49 2,022,719.49 961,365.25 961,365.25 1,061,354.24 1,061,354.24 12,154.84 947,935.67 101,323.67 59.94- | 635,751.20 635,751.20 635,751.20 .00 .00 635,751.20 635,751.20 2,499.25 645,727.93 12,535.92- 59.94 |
| ٠٠ ٠٠ س | , | | |

Board:

AELS

Board 's Share of Division Indirect Expenses Year to Date

| | Division Total | FY2006 Board % | Board Share |
|------------------|-------------------|-------------------|----------------|
| Personal Svcs | 961,365.25 | 12.58% | 120,939.75 |
| Travel | 12,154.84 | 12.58% | 1,529.08 |
| Contractual Svcs | 947,935.67 | 12.58% | 119,250.31 |
| Supplies | 101,323.67 | 12.58% | 12,746.52 |
| Equipment | -59.94 | 12.58% _ | -7.54 |
| Total | 2,022,719.49 | | 254,458.11 |

BOARD OF REGISTRATION FOR ARCHITECTS, ENGINEERS AND LAND SURVEYORS

INVESTIGATIVE UNIT REPORT

| INVESTIGATION | | | | | |
|------------------------------|----|----------------------------|----|--|--|
| Cases open at start of FY 06 | 72 | Cases closed during FY 06 | 83 | | |
| Cases opened during FY 06 | 63 | Cases open at end of FY 06 | 52 | | |

| LICENSE ACTION | | | | |
|-----------------------------|---|----------------------------|---|--|
| Accusation | | Amended Stipulation | | |
| Amended Accusation | | License Surrender | | |
| Cease & Desist | · | Board Order | | |
| Statement of Issues | | Dismissed | | |
| Amended Statement of Issues | | License Application Denied | 1 | |
| Permit Denied | | Suspensions | | |
| MOA | 1 | | | |
| Amended MOA | | | | |
| Stipulation | | TOTAL | 2 | |

BOARD OF REGISTRATION FOR ARCHITECTS, ENGINEERS AND LAND SURVEYORS

CONTINUING EDUCATION ENFORCEMENT REPORT

N/A

BOARD OF REGISTRATION FOR ARCHITECTS, ENGINEERS AND LAND SURVEYORS

SUNSET PERFORMANCE AUDIT RECOMMENDATIONS (From the 2005 Audit)

Recommendation No. 1: Continuing Education (CE)

Prior Audit Recommendation No. 1, a current recommendation that the board either develop appropriate mandatory CE requirements for its professional registrants or the legislature considers making such requirements mandatory by statute.

In 2005 a bill was passed for enabling legislation for mandatory CE as a requirement for registration renewal. During FY 06 the board adopted regulations requiring CE for surveyors to renew registrations beginning with the January 1, 2008 licensing period. The Board continues to work on drafting CE regulations for the remaining disciplines it regulates; engineers, architects and landscape architects.

Recommendation No. 2: Expand Engineering Disciplines Offered

BRAELS should arrange for a comprehensive study regarding the public benefits and related offsetting costs that may be involved with recognizing additional engineering specialties in the State's licensing structure.

The AELS Board continues, through a subcommittee and discussions at meetings, to determine if it should add additional engineering disciplines to its existing six disciplines or if it should move in the direction of a general professional engineer registration limiting the scope of the practice to the area in which the registrant has had education, experience and examination.

Recommendation No. 3: Consider adding a permanent voting Landscape Architect Board member.

The Legislature should consider making the landscape architect representative to the BRAELS a full, voting member.

The Board is presently discussing if it will or will not move forward with making the landscape architect position on the board a full, voting member position.

BOARD OF REGISTRATION FOR ARCHITECTS, ENGINEERS AND LAND SURVEYORS

GOALS AND OBJECTIVES

GOALS

The goals of the Board will be the framework through which specific objectives are enunciated. The goals of the Board are to:

- Protect the public by:
 - $\sqrt{\ }$ promptly investigating and adjudicating law violations;
 - √ effectively discouraging violations of the statutes and regulations before they occur;
 - $\sqrt{}$ providing information and education;
 - $\sqrt{}$ fostering relationships with other state agencies; and
 - $\sqrt{}$ ensuring registrants meet minimum standards of competency, and maintain such standards during their practice.
- Promote statutes and regulations that are clear, relevant, unambiguous and functional
- Increase public and professional awareness of the Board's:
 - √ Mission;
 - $\sqrt{\ }$ Activities that protect the health, safety and welfare of the public; and
 - $\sqrt{}$ Services to the public and registrants.
- Foster relationships with NCEES, NCARB, CLARB and Member Boards.
- Ensure all materials used to establish competency in professions are appropriate.
- Continue to appoint subcommittees to work on issues of concern to the Board and the public.

Objectives for Fiscal Year 2007

| Goal | Objectives |
|--|--|
| Protect the public by: promptly investigating and adjudicating law violations effectively discouraging violations of law before they occur providing information and education fostering relationships with state agencies ensuring that registrants meet minimum standards of competency and maintain such standards during their practice | Reduce backlog of enforcement cases Pursue investigator training Develop Policies & Procedures for investigators to follow Active board participation in investigations and sanctions Continue to foster working relationship with State Fire Marshal's Office Enhance the Board's website by ensuring information is relevant and current Implement continuing education for architects, engineers and landscape architects |
| Promote statutes and regulations that are clear, relevant, unambiguous and functional | Seek authority for investigators to issue stop work orders Authority for mining engineer seat on board to be filled by a chemical or petroleum engineer Change name of Board to "Board of Registration for Architects, Engineers and Surveyors" |
| Increase public and professional awareness of the Board's - mission - activities that protect the health, safety and welfare of the public - services to the public and registrants | Promote use of the board's list serve function to interested parties Continue to update and improve the Building Official's Manual Continually update the board's website with new and changing information Extend invitations to board meetings |
| Foster relationships with NCEES, NCARB, CLARB and Member Boards | Attend zone and national meetings and participate in committee work Encourage board members and staff to assume leadership positions in national organizations Respond to MBA-list inquiries and provide assistance to other Member Boards |
| Ensure all materials used to establish competency in professions are appropriate | Board member to participate in June AKLS Workshop Consider jurisprudence exam for initial registration Update NCARB educational standards annually in regulation Review Arctic course Review NCEES Model Rule 210.25 |

| Goal | Objectives |
|---|--|
| Continue to appoint subcommittees to work on issues of concern to the Board and the public. | Hold subcommittee meetings between board meetings and have written reports prepared in advance of meetings on the following issues: Additional engineering disciplines Continuing education for architects, engineers and landscape architects Disciplinary process Electronic transmittals Incidental practice Jurisprudence exam Amending comity regulations Legislative changes Stop work orders |
| Ensure adequate fiscal and human resources are available to maintain an effective operational structure so that the Board can meet its Mission and Goals. | Evaluate administrative processes and staffing functions to ensure effective use of human resources and equipment Pursue changes that would allow assessed fines to be kept by the board instead of going into the general fund |

BOARD OF REGISTRATION FOR ARCHITECTS, ENGINEERS AND LAND SURVEYORS

LEGISLATIVE RECOMMENDATIONS

- 1. Change all references to "land surveyors" or "land surveying" in AS 08.48 to "surveyors" or "surveying".
- 2. Authority for division investigators to issue stop work orders for unregistered activity on construction projects.
- 3. Change composition of board to provide expansion of petroleum or chemical engineers for the mining engineering position should a mining engineer not be available to fill the vacant position.
- 4. Add an exemption in AS 08.48.331 to specifically exempt fire protection technicians permitted through NICET from registration in Alaska.
- 5. Requirement that registrants must cooperate with investigators.