



THE STATE
of **ALASKA**
GOVERNOR BILL WALKER

Department of Commerce, Community,
and Economic Development

DIVISION OF CORPORATIONS, BUSINESS AND
PROFESSIONAL LICENSING

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DATE: October 4, 2016
TO: Alaska State Board of Registration for Architects, Engineers and Land Surveyors
THRU: Angela Birt, Chief Investigator *AB*
FROM: John Savage, Investigator
RE: Investigative Report for the November 3-4, 2016 meeting

The following information was compiled as an investigative report to the Board for the period from July 19, 2016 through October 4, 2016. Including cases, complaints, and intake matters, since the last report, the Investigator opened 10 files and closed 31 AELS Board matters.

OPEN Cases and Complaints: 11

| <u>Case Number</u> | <u>Open Date</u> | <u>Violation Type</u> | <u>Board Profession</u> |
|--------------------|------------------|-----------------------------------|-------------------------|
| 2014-001984 | 10/13/2014 | Unlicensed practice or activity | ENGINEER |
| 2014-002010 | 10/15/2014 | Unlicensed practice or activity | CORP AUTH |
| 2015-001280 | 07/14/2015 | Unlicensed practice or activity | ENGINEER |
| 2015-001424 | 08/04/2015 | Violation of licensing regulation | LAND SURVEYOR |
| 2015-001629 | 09/02/2015 | Unlicensed practice or activity | CORP AUTH |
| 2015-001656 | 09/09/2015 | Violation of licensing regulation | ENGINEER |
| 2015-001702 | 09/15/2015 | Unlicensed practice or activity | ENGINEER |
| 2016-000799 | 06/08/2016 | Unlicensed practice or activity | LAND SURVEYOR |
| 2016-000808 | 06/09/2016 | Unlicensed practice or activity | CORP AUTH |
| 2016-000923 | 07/06/2016 | Violation of licensing regulation | ENGINEER |
| 2016-000933 | 07/07/2016 | Unlicensed practice or activity | ARCHITECT |

CLOSED Cases and Complaints: 31

| <u>Case Number</u> | <u>End Date</u> | <u>Case Status</u> | <u>Closure</u> | <u>Board Profession</u> |
|--------------------|-----------------|--------------------------|------------------|-------------------------|
| 2016-000936 | 08/01/2016 | Review Complete | Closed-Complaint | ENGINEER |
| 2016-001010 | 08/02/2016 | No Action - No Violation | Closed-Intake | ENGINEER |
| 2016-001013 | 08/02/2016 | No Action - No Violation | Closed-Intake | ENGINEER |
| 2016-001020 | 08/03/2016 | No Action - No Violation | Closed-Intake | ENGINEER |
| 2016-001022 | 08/04/2016 | No Action - No Violation | Closed-Intake | ENGINEER |
| 2016-001023 | 08/04/2016 | No Action - No Violation | Closed-Intake | ENGINEER |

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|-------------|------------|--------------------------|----------------------|---------------|
| 2016-001078 | 08/16/2016 | No Action - No Violation | Closed-Intake | ENGINEER |
| 2016-001127 | 08/30/2016 | No Action - No Violation | Closed-Intake | ENGINEER |
| 2016-001138 | 08/30/2016 | No Action - No Violation | Closed-Intake | CTL SYS ENG |
| 2016-001171 | 09/13/2016 | No Action - No Violation | Closed-Intake | CORP AUTH |
| 2014-001451 | 09/01/2016 | Advisement Letter | Closed-Investigation | ENGINEER |
| 2015-000973 | 07/21/2016 | Advisement Letter | Closed-Investigation | ENGINEER |
| 2015-001237 | 09/01/2016 | Advisement Letter | Closed-Investigation | ENGINEER |
| 2015-001257 | 09/01/2016 | Advisement Letter | Closed-Investigation | ENGINEER |
| 2015-001276 | 09/21/2016 | Advisement Letter | Closed-Investigation | ENGINEER |
| 2015-001277 | 07/22/2016 | Advisement Letter | Closed-Investigation | ENGINEER |
| 2015-001279 | 07/22/2016 | Advisement Letter | Closed-Investigation | CORP AUTH |
| 2015-001433 | 09/01/2016 | No Action - No Violation | Closed-Investigation | CORP AUTH |
| 2015-001441 | 09/01/2016 | No Action - No Violation | Closed-Investigation | LAND SURVEYOR |
| 2015-001667 | 08/10/2016 | No Action - No Violation | Closed-Investigation | LAND SURVEYOR |
| 2015-001704 | 08/10/2016 | Advisement Letter | Closed-Investigation | ENGINEER |
| 2016-000489 | 09/22/2016 | No Action - No Violation | Closed-Investigation | ENGINEER |
| 2016-000521 | 09/23/2016 | No Action - No Violation | Closed-Investigation | ENGINEER |
| 2016-000576 | 09/19/2016 | Advisement Letter | Closed-Investigation | ENGINEER |
| 2016-000578 | 09/28/2016 | Advisement Letter | Closed-Investigation | ARCHITECT |
| 2016-000582 | 09/13/2016 | Advisement Letter | Closed-Investigation | ENGINEER |
| 2016-000818 | 07/19/2016 | Advisement Letter | Closed-Investigation | CORP AUTH |
| 2016-000872 | 07/19/2016 | Advisement Letter | Closed-Investigation | ENGINEER |
| 2016-000912 | 07/21/2016 | Advisement Letter | Closed-Investigation | CORP AUTH |
| 2016-001175 | 09/28/2016 | Advisement Letter | Closed-Investigation | ENGINEER |

No Cases Pending Attorney General Action

No AELS Subjects on Probation at this time

END OF REPORT