



STATE OF ALASKA
DEPARTMENT OF
COMMERCE
COMMUNITY AND
ECONOMIC DEVELOPMENT

Sean Parnell, Governor
Susan K. Bell, Commissioner
Don Habeger, Director

Division of Corporations, Business and Professional Licensing - Investigations

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MEMORANDUM

DATE: October 15, 2012
TO: Alaska State Board of Registration for Architects, Engineers, and Land Surveyors
THRU: *John Savage* Quinten Warren, Chief Investigator
FROM: John Savage, Investigator
RE: Investigative Report for the November 1-2, 2012, meeting.

The following information was compiled as an investigative report to the Board for the period from July 20, 2012, through October 14, 2012. Including cases, complaints, and intake matters, since the last report, the Investigator opened 16 files and closed 15 AELS Board matters.

OPEN Cases and Complaints: 20

<u>Case #</u>	<u>Date Opened</u>	<u>Complaint</u>	<u>Profession</u>
10209002	03/27/2009	Violating professional ethics	Engineer
2011-000214	02/17/2011	Unlicensed practice or activity	Corp. Authorization
2011-000369	03/31/2011	Violation of licensing regulation	Engineer
2011-000546	06/08/2011	Unlicensed practice or activity	Corp. Authorization
2011-000965	10/24/2011	Unlicensed practice or activity	Corp. Authorization
2011-000966	10/24/2011	Unlicensed practice or activity	Architect
2011-000968	10/24/2011	Unlicensed practice or activity	Engineer
2011-000971	11/23/2011	Unlicensed practice or activity	Corp. Authorization
2011-000982	12/01/2011	Unlicensed practice or activity	Engineer
2011-000984	12/01/2011	Unlicensed practice or activity	Corp. Authorization
2011-000990	10/28/2011	Unprofessional Conduct	Land Surveyor
2011-001134	12/06/2011	Violation of licensing regulation	Engineer
2011-001181	03/29/2012	Fraud or Misrepresentation	Land Surveyor
2012-000636	05/31/2012	License Application Problem	Engineer

OPEN Cases and Complaints: (Cont.)

2012-000838	07/23/2012	Incompetence	Engineer
2012-000864	07/26/2012	Criminal action – conviction	Engineer
2012-001086	09/25/2012	License Application Problem	Engineer
2012-001140	10/12/2012	License Application Problem	Engineer
2012-001141	10/12/2012	License Application Problem	Engineer
2012-001143	10/12/2012	License Application Problem	Engineer

CLOSED Cases and Complaints: 15

<u>Case #</u>	<u>Date Closed</u>	<u>Complaint</u>	<u>Disposition</u>	<u>Profession</u>
2011-000130	09/11/2012	Lic. Application Problem	Incomplete complaint	Land Surveyor
2012-000856	07/31/2012	Lic. Application Problem	No action/no violation	Engineer
2012-000873	07/31/2012	Lic. Application Problem	No action/no violation	Engineer
2012-000428	08/09/2012	Violation of Licensing Regs	Advisement letter	Land Surveyor
2012-000859	08/09/2012	Lic. Application Problem	Other -see investigator	Engineer
2012-000065	09/10/2012	Falsified Application	No action/no violation	Engineer
2012-000937	09/10/2012	Unlicensed Practice or Activity	Advisement letter	Land Surveyor
2012-000957	09/11/2012	Unlicensed Practice or Activity	No action/no violation	Engineer
2012-000863	09/11/2012	Lic. Application Problem	No action/no violation	Land Surveyor
2012-000425	09/18/2012	Unlicensed Practice or Activity	Advisement letter	Engineer
2012-000540	09/18/2012	Violation of Licensing Regs	Advisement letter	Architect
2012-000541	09/18/2012	Unlicensed Practice or Activity	Advisement letter	Architect
2012-000877	09/21/2012	Unlicensed Practice or Activity	Advisement letter	Engineer
2012-001066	09/24/2012	Falsified Application	Advisement letter	
2012-001083	09/25/2012	Lic. Application Problem	No action/no violation	Engineer

Draft Filings Pending Attorney General Action:

- 103-09-008 AG Review (01-18-2011) – Allegation that Civil Engineer sealed drawings during a period of time in which his professional license was lapsed (assigned to AAG Auth).
- 104-09-001 AG Review (01-18-2011) – Allegation that Land Surveyor conducted a recorded survey which was in error and caused the loss of an adjacent land owner's property (assigned to AAG Silvey).
- 107-09-001 AG Review (01-18-2011) – Allegation that Corporation has been practicing engineering without proper licensure and the responsible charge has previously violated this statute (assigned AAG Silvey).

END OF REPORT