

STATE OF ALASKA

DEPARTMENT OF COMMUNITY AND ECONOMIC DEVELOPMENT

DIVISION OF INSURANCE

In the Matter of:)
)
 GILLINGHAM & ASSOCIATES, INC.)
)
 Licensee.)
 _____)

Case No. D99-13

STIPULATED AGREEMENT AND ORDER

The Division of Insurance, Department of Community and Economic Development (division) and Gillingham & Associates, Inc. (Gillingham), the licensee in this case, stipulate and agree that the failure to timely renew license #13104 and the unlicensed activity that occurred during the time the license was in lapse status are resolved as follows:

1. Gillingham admits that while the firm insurance license was in lapse status, from September 3, 1999 through September 14, 1999, it transacted the business of insurance and received commissions in the amount of \$476.60, in violation of AS 21.27.380(b).
2. Prior to this instance, Gillingham has never allowed its Alaska insurance license to lapse and, to the division's knowledge, it has not engaged in unlicensed activity.
3. Under AS 21.27.420(c), the division agrees to reinstate Gillingham's insurance license subject to the condition that it pay a civil penalty of \$476.60, which is due no later than twelve months from the date this agreement and order is signed by the director.

STATE OF ALASKA
 DEPARTMENT OF COMMUNITY AND ECONOMIC DEVELOPMENT
 DIVISION OF INSURANCE
 3601 C STREET, SUITE 1324
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4. By signing this agreement, Gillingham understands and agrees that any failure to comply with the conditions of this agreement will be grounds to revoke, suspend, or non-renew Alaska insurance license #13104.

DATED: Dec 28, 1999

DIVISION OF INSURANCE

By: Linda Brunette
Linda Brunette
Licensing Supervisor

DATED: Dec 14, 1999

GILLINGHAM & ASSOCIATES, INC.

By: Thomas S. Gillingham
Thomas S. Gillingham, President,
Principal and Compliance Officer

Approved as to form and content:

DATED: January 6, 2000

BRUCE M. BOTELHO
ATTORNEY GENERAL

By: Signe P. Andersen
Signe P. Andersen
Assistant Attorney General


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ORDER

IT IS ORDERED that this Stipulated Agreement and Order is adopted in full resolution of the issues in this case, and shall constitute the final order in this matter.

DATED this 10th day of January, ²⁰⁰⁰~~1999~~. *RL*



Robert A. Lohr
Director of Insurance