



# State of Alaska SBIR/STTR Grant Program Application

**Fiscal Year 2023  
July 1, 2022 – June 30, 2023**

**State Grant Funds for Federal Small Business Innovation Research (SBIR)  
and Small Business Technology Transfer (STTR) Grantees**

## Applicant Information

<b>Applicant Name</b> (First and Last)	
<b>Applicant Title</b> (Relationship to Applicant Business)	
<b>Applicant Phone Number</b>	
<b>Applicant Email Address</b>	
<b>Is the applicant listed above authorized to obligate the business?</b>	Yes      No
If no, name the company officer authorized to obligate the business	

## Business Information

<b>Business Legal Name</b>	
<b>Business DBA Name</b> (if different from Legal Name)	
<b>Business Mailing Address</b>	

<b>Business Physical Address</b>	
<b>Tax ID Number (EIN/TIN)</b>	
<b>AK Business License Number</b>	
<b>Business Structure</b>	
<b>State of Incorporation</b>	
<b>Alaska Entity Number</b> (issued by the <a href="#">Division of Corporations, Business, and Professional Licensing</a> )	
<b>SAM.gov Unique Entity ID (UEI)</b>	
<b>Industry (NAICS code)</b>	
<b>Number of Years in Business</b>	
<b>Number of Years in Business in Alaska</b>	
<b>Current Number of Employees</b>	

<b>Briefly describe the business and products/services currently offered. (1,000 character limit)</b>

## Federal SBIR/STTR Award Information

- For businesses with an active federal Phase I or Phase II award, complete **this page only** with information about your federal award.
- For businesses seeking bridge funding between federal Phase I and Phase II awards, **complete this page** with information about your federal Phase I award **and the following page** about your federal Phase II application.

<b>Awarding Federal Agency</b>	
<b>Type of Project</b>	SBIR      STTR
If STTR project, provide name of partner research institution	
<b>Phase of Project</b>	Phase I      Phase II
<b>Project Term (Start and End Date)</b>	
<b>Amount of Federal Funding Awarded</b>	
<b>Amount of Federal Award Expended to Date</b>	

<b>Title of Project</b> (120 character limit)

<b>Project Abstract</b> (1,000 character limit)

<b>Describe in layman’s terms the technology being researched and/or developed with federal award.</b> (1,000 character limit)

## Federal SBIR/STTR Phase II Application Information

- For businesses with an active federal Phase I or Phase II award, **do not complete this page.**
- For businesses seeking bridge funding between federal Phase I and Phase II awards, **complete this page** with information about your federal Phase II application.

<b>Federal Agency</b>	
<b>Type of Project</b>	SBIR      STTR
If STTR project, provide name of partner research institution	
<b>Expected Project Term (Start and End Date)</b>	
<b>Amount of Federal Funding Applied For</b>	

<b>Title of Project</b> (120 character limit)

<b>Project Abstract</b> (1,000 character limit)

<b>Describe in layman’s terms the technology being researched and/or developed with federal award.</b> (1,000 character limit)

## Alaska SBIR/STTR Grant Information

**Describe the significance that Alaska SBIR/STTR Grant funding would have on the overall project.** (1,000 character limit)

**Describe the overall significance of the SBIR/STTR project topic to Alaska in terms of economic priorities and needs.** (1,000 character limit)

**Would a state grant be used to leverage additional federal funding?**

Yes      No

If so, how much?

**Describe the activities that will be conducted with State grant funding.** (1,000 character limit)

**Using the *Use of Funds Budget Sheet*, provide a breakdown of how State grant funds will be used and justification for each budget item.** (Note: Provided budget should total to \$25,000, which is the highest award available for a Phase I project or bridge funding and the initial award amount available for a Phase II project)

*Attach completed Use of Funds Budget Sheet to application submittal.*

**If you are a federal Phase II awardee, indicate whether you are interested in a supplemental award if the Department has funding available.** (Note: If yes, the Department will contact you after the May 15 application deadline if there is funding remaining after all awards have been made. You will be asked at that time to provide a budget and explanation of activities to be undertaken with additional funding.)

Yes      No

## Required Documentation

- Be sure to attach the following documents to the email when submitting your application.
- Use the naming convention *Business Name\_Document* for File Name, using abbreviations if necessary. For example, *Company ABC\_Fed. Award Letter*.
- For businesses seeking bridge funding between federal Phase I and Phase II awards, provide documentation for your Phase I award in sections f, h, i, and j.

	Document	File Name	Attached to Email?
a.	<b>Use of Funds Budget Sheet.</b> Note: Provided budget should total to \$25,000, which is the highest award available for a Phase I or bridge funding project and the initial award available for a Phase II project.		
b.	<b>Copy of Alaska business license</b>		
c.	<b>Certificate of Compliance from the Division of Corporations, Business, and Professional Licensing</b>		
d.	<b>Copy of Business Articles of Incorporation or Articles of Organization</b>		
e.	<b>Full detail sheet showing active registration status in SAM.gov</b>		
f.	<b>Federal SBIR/STTR Award proposal.</b> This should include Project Summary, Research Proposal, Budget, Project Team Description, & Commercialization Plan, if applicable.		
g.	<i>For bridge funding applicants only:</i> <b>Federal SBIR/STTR Phase II Award proposal.</b> This should include Project Summary, Research Proposal, Budget, Project Team Description, & Commercialization Plan.		
h.	<b>Federal SBIR/STTR Award letter</b>		
i.	<b>Federal Award Agreement associated with the SBIR/STTR Award</b>		
j.	<b>Documentation from participating federal agency stating compliance with all SBIR/STTR Award requirements</b>		
k.	<i>For bridge funding applicants only:</i> <b>Documentation showing that the Phase II proposal has been submitted to, and received by, the federal agency</b>		

## Submittal

By submitting this application, you make the following representations, authorizations, and certifications on behalf of yourself and the applicant business:

- ✓ I am the business owner or an authorized third party for the business.
- ✓ I am not engaged in any activity that is illegal under Federal, State, or Local law.
- ✓ I certify that this business is currently in operation and intends to stay in operation indefinitely.
- ✓ I certify that this business is an Alaska-based business and intends to remain an Alaska-based business throughout the duration of this grant.
- ✓ I certify that at least fifty-one percent (51%) of the activities conducted under this grant will be performed in Alaska.
- ✓ I certify that this business or business owner is not presently suspended, debarred, proposed for debarment, declared ineligible, voluntarily excluded from participation in a grant program by any Federal department or agency.
- ✓ I certify that this business or business owner is not presently a debtor in any pending bankruptcy and has not filed for bankruptcy in last five years.
- ✓ I understand all information submitted to the Department may be subject to Alaska's Public Records Act and any information submitted that is proprietary/confidential/trade secret should be identified as confidential.
- ✓ I understand, acknowledge, and agree that the State of Alaska may request additional information from me for the purposes of determining eligibility, and that my failure to provide information requested may result in a delay or denial of a grant.
- ✓ I understand that grant funds shall be used only for the payment of eligible expenses, as defined in the Grant Agreement, that have not been claimed or paid by other forms of assistance, including the federal SBIR/STTR award, and that are in compliance with State and Federal law. I understand that if the grant funds are used for unauthorized purposes, the State of Alaska may pursue recovery of grant funds and/or any other civil relief and criminal charges.
- ✓ I agree to comply with all reporting requirements and maintain accurate records.
- ✓ I have read the statements included in this document, I understand them, and they are true and correct to the best of my knowledge, information, and belief.

Do you agree with these statements listed above?                      Yes                      No

Signature \_\_\_\_\_ Date \_\_\_\_\_