

STATE OF ALASKA  
DEPARTMENT OF COMMERCE, COMMUNITY AND ECONOMIC DEVELOPMENT  
DIVISION OF INSURANCE  
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JUNEAU, ALASKA 99801  
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STATE OF ALASKA

DEPARTMENT OF COMMERCE, COMMUNITY, AND ECONOMIC DEVELOPMENT

DIVISION OF INSURANCE

In the Matter of: )  
RICHARD J. EISENBERG )  
Licensee )

Case No. D 18-02

**STIPULATED AGREEMENT AND ORDER**

The Division of Insurance (division) and Richard J. Eisenberg, (Eisenberg), the licensee in this case, stipulate and agree that the unlicensed activity that occurred during the time Eisenberg was not appropriately licensed is resolved as follows:

**I. BACKGROUND**

- A. Eisenberg holds producer license number 101369.
- B. On or about August 2, 2017, the division sent Eisenberg a notice to remind him to renew his license.
- C. On or about October 17, 2017, the division notified Eisenberg that his license for insurance producer authority expired for failure to renew. The expiration notice stated that a person may not act or represent to be an insurance producer during the time a license has expired and that penalties are provided for transacting business without a valid license.
- D. On or about November 20, 2017, the division reinstated Eisenberg's license.

Stipulated Agreement and Order  
Richard J. Eisenberg  
Case No. D 18-02

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E. As part of the filing requirements to reinstate his license, Eisenberg provided a notarized statement to the division indicating whether any business had been transacted while Eisenberg was not appropriately licensed. This statement indicated that one policy was written between October 17, 2017 and November 20, 2017 with \$902.88 earned in compensation. This transaction subjects Eisenberg to civil penalties under AS 21.27.440.

II. TERMS OF AGREEMENT


1. Eisenberg agrees to pay a civil penalty under AS 21.27.440 in the amount of \$902.88 for the unlicensed activity that occurred between October 17, 2017 and November 20, 2017. The penalty of \$902.88 is payable at the time Eisenberg has been notified that the director has signed the order approving this agreement.

2. By signing this agreement, Eisenberg understands and agrees that any failure to comply with the conditions of this agreement will be grounds to revoke, suspend, or not renew Alaska producer license number 101369.

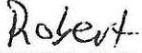
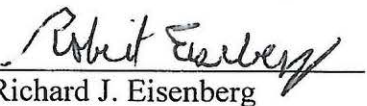
3. Eisenberg understands that this agreement is not binding on the parties unless and until the director signs the order approving the agreement.

DATED: 2/26/18

DIVISION OF INSURANCE

By:   
Chris Murray  
Program Coordinator

DATED: 2/16/2018

  
~~RICHARD J. EISENBERG~~  
R.J.E. By:   
Richard J. Eisenberg

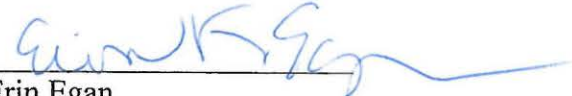
Stipulated Agreement and Order  
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Approved as to form and content:

DATED: 3/05/18

JAHNA LINDEMUTH  
ATTORNEY GENERAL

By:   
Erin Egan  
Assistant Attorney General

ORDER

IT IS ORDERED that this Stipulated Agreement and Order is adopted in full resolution of the issues in this case, and shall constitute the final order in this matter.

DATED this 7<sup>th</sup> day of March, 2018.

  
Lori Wing-Heier  
Director