



STATE OF ALASKA  
DEPARTMENT OF  
**COMMERCE**  
COMMUNITY AND  
ECONOMIC DEVELOPMENT

Division of Banking and Securities

*Sarah Palin, Governor*  
*Emil Notti, Commissioner*

**FOR IMMEDIATE RELEASE**

Contact: George Humm (907) 269-8141

**State Securities Regulator Reviewing Schwab Funds**

(Anchorage, AK) March 13, 2009: The Alaska Division of Banking & Securities- Securities Section ("Division") is currently investigating the **Charles Schwab & Co.** and the Schwab Yield Plus Select mutual fund (ticker symbol SWYSX).

The Securities Division is requesting any Alaska investors who had financial dealings with Schwab and had this fund recommended to them by a Schwab representative, whether or not they purchased the fund, to contact the Division immediately. The U.S. Securities and Exchange Commission is concurrently conducting an investigation of this fund and Charles Schwab & Co. as well.

If you have financial assets in this fund, you are urged to contact the Securities Division at 550 West 7<sup>th</sup> Avenue, Suite 1940, Anchorage, Alaska, 99501 or by calling George Humm, Securities Examiner, at (907) 269-8140, or by email at [George.Humm@alaska.gov](mailto:George.Humm@alaska.gov), as soon as possible.

Please help in our investigation by contacting the Division immediately.

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