Relocation Report :: Newtok to Mertarvik

Nunaullemteggun ikayuquulluta tamamta, assirluta aknirenritellerkamtenun, nuggtarllemtenun ciunerkamteni

a community that builds together
for the safe and healthy future of Newtok
RELOCATION REPORT:: Newtok to Mertarvik
FINAL DRAFT ISSUED FOR REVIEW

by the Community of Newtok and the Newtok Planning Group, August 2011.
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Cover photo and above photo of Newtok children by PDC Engineers.
Report photos by Agnew::Beck Consulting, or PDC Engineers unless otherwise noted.
Executive Summary

This report presents work to date towards the development of a Strategic Management Plan (SMP) for the relocation of the village of Newtok to a new site at Mertarvik. Newtok is a growing 350-person coastal village fronting on the Ninglick River in western Alaska. The Ninglick River is rapidly eroding and consuming community land and facilities as it advances. The most recent prediction from 2007 is that the river could reach the school by 2017 and several houses in between even sooner.

In general, this project’s scope of work spans two phases. Phase 1, the focus of this report, covers the identification of issues, review of baseline data and the preparation of background planning information. Phase 2 focuses on the development of the guiding principles and overall framework for relocation and the production of a SMP, which will be issued later this year. However, this Phase 1 report previews the beginnings of the SMP, hence the title Relocation Report.

Before summarizing this report’s main conclusions, it is important to pause and examine the broader questions raised by the situation facing Newtok.

**Erosion, Flooding, Thawing Permafrost and the Impact of Climate Change**

A growing body of evidence indicates that climate change is a contributing factor to the erosion threatening many Alaskan communities. In addition to Newtok, five other rural Alaskan communities (Kivalina, Koyukuk, Shaktoolik, Shismaref, and Unalakleet) face “imminent threats of loss of life, loss of infrastructure, loss of public and private property, or health epidemics caused by coastal erosion, thawing permafrost and flooding,” according to a 2009 recommendations report by the Immediate Action Workgroup, an advisory group of the Climate Change Sub-Cabinet, which advises the State of Alaska’s Office of the Governor.

More than 150 predominantly Alaska Native communities face some potential impacts by climate change. (Communities are listed in the 2008 Governor’s Report on the Climate Change Sub-Cabinet.)

- The broad question: How can the work accomplished to date on climate change in Alaska be used to assist Newtok with their relocation efforts?
Governmental Coordination

Responding to the issues of imminently threatened communities requires the coordination of a complex network of organizations, agencies and elected officials. While the agencies and individuals working on this project have done a remarkable job of coordinating their efforts, this success is more testimony to skills at sidestepping bureaucratic constraints than evidence of a well-organized government structure. As was described by Larry Hartig, Commissioner of the Alaska Department of Environmental Conservation, with regard to climate change: “We are constructing the track as we go. We’re trying to find the track and putting it in front of the train.” Lack of a cohesive national and state framework for addressing the issues of imminently threatened villages places the burden on these communities to juggle agencies and funding streams and cobble together solutions to problems that literally threaten the survival of whole communities.

- The broad questions: How can the state and federal government most efficiently and effectively work together to respond to the needs of communities threatened by erosion, flooding, thawing permafrost and climate change-related impacts?
- What sources of technical skill, permitting expertise and funding are available to assist communities hardest hit by these issues and how can they be organized in an efficient and effective way to systematically prioritize and address these issues?

Rural Transformation

Over the last several decades, many rural Alaska villages developed with little thought about economic development and the long-term costs for energy, transportation, water and sewer, freight delivery, air access, and other community infrastructure. In many ways, Newtok’s relocation to Mertarvik presents a unique opportunity to create a new model for a sustainable Alaska Native Village based on current technology and the lessons learned from past decades of community development activity. The challenge is creating and pursuing this vision while respecting the urgency of the situation that Newtok faces and the potential repercussions of not moving quickly enough. The key to developing a successful plan for the relocation is striking a balance between these two at times competing pressures. The risk of focusing solely on expediency is recreating Mertarvik in the image of the unsustainable communities that have been the result of well-intended agency involvement for 50 years. The benefits of balancing urgency with the creation of a new model for rural sustainability would be realized for years to come by Newtok’s future generations and serve as an example for other communities in the state. While climate change is an extremely serious problem, it could have the positive impact of forcing a new paradigm in community sustainability.

- The broad question: Can this current challenge be a catalyst that helps communities become more self-sufficient and prosperous even as the costs of energy, access and construction continue to rise and options for external funding continue to decline?

Below is a summary of this report’s major conclusions and some initial insights into the questions above.

Importance of Local Leadership and External Support
“One thing that we gain from pioneering is continuing and honoring our values. If we rely on the western society’s way of life, that’s forgetting who I am. We need to go back to our way of life. We have to start somewhere.” – Newtok Traditional Council Member

The relocation process will not succeed without support and leadership both within and outside the community. As noted in the report: “In many ways, Newtok has compensated for the lack of a single lead agency, serving as both the glue and the director of efforts to date.” Closely tied to this conclusion is the need to bridge cultural and language gaps and fully engage the community in decision-making processes from the start. This is essential to “getting it right” and saves time, money, and effort.

**Importance of “Pioneering” and Incremental Progress**

Rather than aiming for a wholesale move of the entire village, the move from Newtok to Mertarvik will occur over a period of time through a process that is more incremental and organic in nature. With initial infrastructure in place, the “pioneering” phase will begin. During the pioneering phase, a few families will make the initial move before basic services are in place to establish a new community. The village views pioneering as an opportunity to educate its young people about the core values and traditional ways of life that define the people of Newtok: “One thing that we gain from pioneering is continuing and honoring our values. If we rely on the western society’s way of life, that’s forgetting who I am. We need to go back to our way of life. We have to start somewhere.” The Newtok Traditional Council hopes that seeing family and friends successfully accomplish the transition from Newtok to Mertarvik will inspire and motivate others to move and, in doing so, boost funder confidence for needed infrastructure and services.

**Minimal Environmental Impacts and Regulatory Requirements**

The relocation of an entire village could easily become permanently stuck in a tangle of environmental review and permits. While the move to Mertarvik raises environmental issues that require careful attention and a range of associated agency approvals, the work of the Newtok Planning Group (NPG) has kept this process from crippling the relocation. Key to this success has been selection of the right site, and proactive engagement with environmental and permitting agencies. Removing regulatory barriers under the right circumstances would reduce the cost and time associated with relocating villages facing imminent threats to health, safety and property due to climate change.

**Matching Needs to a Realistic View of Available Resources**

A successful move will require substantial capital investments coupled with a frugal, cost-saving approach that harkens back to Alaska’s traditions of hard work and ingenuity. Newtok has found that capital improvements require both legislative and
traditional agency support. According to one NPG member we interviewed, “Seed money from the legislature has been key for getting Mertarvik moving. Agencies could not have funded the ground laying work … The key to relocation is figuring out how to tap every agency’s traditional funding sources that can be tapped.” As work at Mertarvik moves beyond setting in place initial infrastructure, more agencies are likely to become involved. Housing will drive relocation time lines. Determining how to meet the housing need is the biggest challenge the community faces.

**Need for a Widely Supported, Community-based Strategic Management Plan**

Newtok’s experience shows that although relocating a village takes time, it can be done. Essential to completing this process is a clear, widely supported strategic management plan – which is the goal of this project. We learned from the Newtok Traditional Council and community members during our recent site visit, how the process of relocating a village can strengthen a community’s relationships and core values. The process can enhance the skills and capacity of residents and spark a return to the subsistence lifestyle that is so important to the past and the future of Newtok’s people and culture. We also heard a plan for how the community will drive the relocation efforts and define its own destiny. In the words of one Traditional Council member: “The erosion isn’t waiting for any one agency – we need to start the pioneering.”

**Relocation Phases**

As outlined in more detail in the report, while there has been good progress to date, much more remains to be done. Relocation efforts are expected to span four phases:

1. **Upluteng “Getting Ready”**
   Village population 0

2. **Upagluteng “Pioneering”**
   Upagluteng refers to the traditional practice of moving with the seasons; village population ~25 to 100

3. **Nass’paluteng “Transition”**
   Nass’paluteng refers to periods of transition; village population > 100 people

4. **Piciurluni “Final Stage”**
   Piciurluni means “We made it!” in Yup’ik; village population 350 or more

This report marks another small but important step in the process leading to a successful and sustainable relocation of Newtok. We hope the information contained here can shed helpful light on issues beyond the village of Newtok and its new home across the river in Mertarvik.
Introduction and Purpose
Since its formation in May 2006, the Newtok Planning Group (NPG) has recommended and sought funding for the development of a strategic management plan to guide the community of Newtok’s relocation efforts and ensure that any potential environmental impacts are minimized. In 2010, the Alaska Department of Commerce, Community, and Economic Development successfully secured funding from the federal Coastal Impact Assistance Program for the creation of the Mertarvik Strategic Management Plan. In January 2011, Agnew::Beck Consulting in partnership with PDC Engineers and USKH Inc. were hired to spearhead the effort.

The primary goal of the project is to develop a Strategic Management Plan (SMP) that outlines the community’s vision, guiding principles, strategies, and time lines for relocation. The SMP will guide all relocation planning from a high-level perspective to accomplish the community’s vision for their new home and mitigate the potential impacts of these activities to the surrounding Yukon Delta National Wildlife Refuge. The project’s scope of work spans two phases. Phase 1 focuses on identification of issues, review of baseline data, and the production of the a Background Planning Report. Phase 2 focuses on the development of the guiding principles and overall framework for relocation and the production of a Strategic Management Plan.

During the project kickoff meeting with the NPG, the team solicited feedback about the relocation efforts to date, what was working well, what was not, what concerns existed, and what the project needed to accomplish. Since that meeting, the project team completed interviews with 36 stakeholders and topic experts, reviewed existing baseline data, held two meetings with Newtok’s Traditional Council, and facilitated a community-wide meeting about the relocation (see Appendix A-1 for a list of stakeholder and expert interviews conducted and Appendix A-2 for a list of Newtok community meeting participants).

In our stakeholder interviews, it became clear that a major barrier to current planning efforts and funder confidence was lack of a shared, long-range vision and framework for the relocation efforts. Working with the community of Newtok to establish a vision, framework, and initial plan became a top priority and components of Phase 2 were folded into Phase 1 (see Table 1). The goal of the Relocation Report is to share our findings to date and therefore reflects this change in approach.
Table 1. Objectives of Phases 1 and 2

<table>
<thead>
<tr>
<th>Phase 1 (Background Planning Report)</th>
<th>Phase 2 (Strategic Management Plan)</th>
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<tbody>
<tr>
<td>Assess and summarize baseline data for Mertarvik and the surrounding area.</td>
<td>Establish a vision and framework for the relocation efforts.</td>
</tr>
<tr>
<td>Identify major stakeholders involved with the village relocation and community development activities.</td>
<td>Develop a plan that outlines a clear strategy for the relocation of the Newtok community to Mertarvik.</td>
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<tr>
<td>Document stakeholder issues and develop goals and objectives for the relocation process.</td>
<td>Establish construction windows to reduce environmental impacts.</td>
</tr>
<tr>
<td>Develop a preliminary schedule for the sequencing of pioneer infrastructure.</td>
<td>Identify sequencing and interdependencies between bodies of work, as well as opportunities for efficiencies and cost-sharing.</td>
</tr>
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<td></td>
<td>Research and document funding options.</td>
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Darker shading refers to completed objectives.
Lighter shading refers to significant progress made on objectives.

The first section, “Background Planning Report,” provides an overview of the context for the relocation, describes the accomplishments to date (summarizing investments, challenges and lessons learned), and reports out on two key questions posed to the team during the project kickoff session: What population thresholds must be met to trigger funding for an airport, a school, and mail service and why is “pioneering” or the movement and establishment of an initial population at Mertarvik important? This section also outlines environmental considerations, identifies construction windows and highlights important permitting requirements.

The second section of this report, “Preliminary Strategic Management Plan,” includes drafts of several key elements of the SMP to be produced in Phase 2. The expedited production of these pivotal pieces will provide the community and stakeholders with more time to study, discuss, identify gaps, assess the feasibility of, and ultimately create a SMP that is embraced by the community, its many advocates and supporters, and federal and state agencies.

Newtok’s experience to date shows that although relocating a village takes time, it can be done.
Newtok’s experience to date shows that although relocating a village takes time, it is doable. We learned from the Newtok Traditional Council and community members during our recent site visit how the process of relocating a village can strengthen a community’s relationships and core values, enhance the skills and capacity of its people, and spark a return to the subsistence lifestyle that is so important to the past and the future of Newtok’s people and culture. We also heard a plan for how the community will drive the relocation efforts and define its own destiny. In the words of one Traditional Council member: “The erosion isn’t waiting for any one agency – we need to start the pioneering.”
Introduction and Purpose

Background Planning Report

Preliminary Strategic Management Plan
Background Planning Report

The Urgency of Relocation

Newtok is a Yup’ik Eskimo village located on the Yukon-Kuskokwim Delta along the western coast of Alaska, near the confluence of the Newtok and Ninglick Rivers. While some rural Alaska villages are experiencing population declines, Newtok is growing. Based on the 2010 Census, the current population of Newtok is 354 (compared to the 2000 Census population count of 321). Nearly half (47.2 percent) of the population is 17 years of age or younger and the median age is 20.2 years old. Alaska Natives represent 96 percent of the population. Residents young and old speak Yup’ik and maintain a traditional subsistence lifestyle and local transportation is limited to snowmachines, all-terrain vehicles and boats.

The community’s health and safety are currently threatened by severe coastal erosion and flooding. The Ninglick River, which is tidally influenced and connects Baird Inlet from the Bering Sea, is eroding toward the village at an average pace of 72 feet per year (with an observed rate of up to 300 feet in one year) and has been moving toward the village for decades. In 1996, the dump site was lost to erosion and the barge landing was lost to erosion in 2005. The current dump site is only accessible at high tide and all incoming goods must now be shipped by air, an extremely costly endeavor. Erosion projections (last updated in 2007) indicate that the river could reach the school by 2017.

It was the capture of the Newtok River by the Ninglick River in 1996 though that has had the most dramatic impact on livability of the current village. Nearly overnight, the village became more susceptible to storm surges on the Ninglick River due to the direct hydrologic connection. The Newtok River, which runs along side the village, turned from a free flowing river into a slough. When the slough silted in, commercial vessels could no longer navigate to the village and honey bucket waste dumped into the Newtok River, which would previously flow out with the tides, stopped flowing away and began to simply move back and forth with the tides.

These changes, which are likely exacerbated by climate change and melting permafrost, have increased the frequency and severity of flooding in Newtok during the last decade. According to local residents, the coastal storm season has become longer in recent years, “September and November is typically the storm period but now we are seeing storms as early as mid-July.”

A powerful storm surge can raise tide levels 10 to 15 feet above normal and severe flood events, such as the 20-year flood of 2005 and the lesser flood of 2006, permeate the village water supply, spread contaminated waters through the community, displace residents from homes, destroy subsistence food storage, and shut down essential utilities.\(^5\)

The U.S. Army Corps of Engineers (USACE) predicts that the 50-year flood would flood almost the entire community.\(^6\) High ground is limited and high ground with shelter sufficient to house the community is non-existent. Flood waters wash honey bucket waste from the Newtok River back into the village while the risk of permanent salination of the community’s drinking water source potentially poses the most immediate threat to the community.

In 1994, after more than two decades of studies and analysis, the community contemplated their options and made the difficult decision to relocate. This is not the first time the community has had to relocate. In 1949, the village moved from Old Kealavik to the current site to avoid flooding and find suitable ground for a new school. The current site marks the farthest point up river that the barge carrying materials for a Bureau of Indian Affairs school could reach. Understandably, the community views the move to Mertarvik as their final move. As such, the community has carefully selected the site of their new home with conviction and determination to never move again. In the words of one Traditional Council member, “This will be our final move. Mertarvik is going to be a lifetime permanent location, higher ground with rock underneath.”

The decision to move has had ramifications. In the years since the decision was made, Newtok has seen broad disinvestment from federal and state agencies. This disinvestment was driven by a desire not to waste funds improving and maintaining infrastructure in the existing village when the community intends to move. However, many agencies have since reassessed this policy as evidence suggests that disinvestment has led to poor living conditions and serious public health issues.

As an example, Indian Health Service (IHS) regranted $1.2 million in 2010 for needed sanitation improvements in Newtok. Alaska Department of Environmental Conservation, Village Safe Water Program, as the lead agency, is managing the project that will establish a safe and year round drinking water supply and improve sanitation practices within the existing community.

Between 1994 and 2004, 29 percent of infants were hospitalized with Lower Respiratory Tract Infections, including pneumonia and respiratory syncytial virus (RSV), attributing Newtok with one of the highest rate of lower respiratory tract infections in the state.\(^7\) Public health professionals conducting an assessment of

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\(^7\) Troy Ritter, REHS, MPH, DAAS; Mark Stafford, PE, RS; Jennifer Dobson; Suzanne Edelman, BS, MS, September 2006. Environmental Public Health Assessment: Newtok, Alaska. Report cited with
public health conditions in Newtok in 2006 found that sanitation conditions, which include inadequate potable water for drinking and hygiene, high levels of contamination from honey bucket waste, and household overcrowding, were “grossly inadequate to protect public health.” The team concluded that existing conditions “appear(ed) to result from an initial lack of infrastructure development and failure to properly maintain existing infrastructure.” Perhaps tellingly, when residents talk of Mertarvik and what life will be like there, they talk about the availability of clean drinking water. Appropriately, Mertarvik means “getting water from the spring.”

Recognizing the need to sustain and ensure quality of life for Newtok residents during the transition years as the community works toward full relocation, the SMP will address both the building and populating of Mertarvik and the necessary maintenance and closure of Newtok.

Bearing the weight of the conditions and challenges outlined above, community leaders have made daily progress toward the relocation, the timing of which has now collided with a national economic crisis and federal and state funding environments that are ever-shrinking and ever more competitive. The community of Newtok understands that a successful relocation to Mertarvik is dependent upon residents themselves driving the relocation efforts.

Accomplishments to Date

Background

One of the first and most critical accomplishments of the relocation effort was the selection of a site that would be the new home for Newtok residents. After weighing the decision and several locations for the future townsite, the community confirmed the selection of Mertarvik, a site located nine miles from the existing village on Nelson Island. Through the 1960s, residents spent summers in fish camps on Nelson Island while wintering in Newtok. Positive geotechnical findings and the community’s roots on Nelson Island made Mertarvik a compelling choice. In 1996, the Newtok Native Corporation passed a resolution authorizing the negotiation of a land exchange with USFWS. On November 17, 2003, the land exchange was enacted and Newtok Native Corporation acquired 10,943 acres for the new townsite from the U.S. Department of the Interior (U.S. Public Law 108-129). The land exchange catapulted Newtok into new territory, the first in a line of rural Alaskan communities impacted by climate change to endeavor to relocate a village in this era.

In May 2006, the NPG convened and today the group has representatives from the community; state, federal, and regional agencies; non-governmental organizations; and the legislature (see Appendix A-3 Newtok Planning Group Participants). The NPG
has met regularly since its inception working across agencies to secure funding and establish a framework and strategy for pushing the relocation process forward.

During the past five years, much progress has been made on the relocation effort and much has been learned about the challenges facing communities like Newtok and the possible methods for working around these barriers. Lack of a coordinated national response to climate adaptation, the absence of a single lead agency at the state level to drive the efforts, and the reality that agencies are mandated to fund existing and not future communities are just some of the challenges the NPG faced (see Appendix A.4 for an overview of Newtok village relocation issues and challenges as identified in stakeholders interviews).

Larry Hartig, Commissioner of the Alaska Department of Environmental Conservation summarized the challenges facing Alaskan villages impacted by climate change at this year’s Alaska Forum on the Environment:

The challenge in Alaska is that we are seeing climate change happening right now ... What is immediate here is not yet happening in the lower 48 ... The biggest changes are happening in northern and western Alaska where the costs of developing solutions are high and the populations are small ... We are competing for funding with much larger communities in Louisiana. If we are going to invest $100 million to x community with a population of 600 people, can we say they will be there in 100 years? (With regard to climate change,) we are constructing the track as we go. Trying to find the track and putting it in front of the train.9

Despite these challenges, the NPG and the Immediate Action Work Group under the Governor’s Sub-Cabinet on Climate Change has obtained funding for the community for the development of several initial or groundwork laying infrastructure projects at Mertarvik. The strategy has been to fund and build key infrastructure to jump-start the relocation process and trigger additional investments.

The primary objective of these initial projects, which are in various stages of development, is to protect the health and safety of Newtok residents in case of a catastrophic storm or flood event by constructing an emergency evacuation center and the necessary supporting infrastructure so Mertarvik can serve as safe place for the community. Once community members begin to move to Mertarvik, the Mertarvik Evacuation Center (MEC) will continue to provide emergency shelter for those residents still living in Newtok while also serving as a multi-use facility for residents who have relocated to Mertarvik. The ability of the MEC to serve interim functions will be invaluable to the community during the transition stages of relocation when the need for services is likely to precede appropriate facilities.

In addition to the MEC, a material site has been identified and development of a quarry is slated to begin this summer. A local source for gravel is expected to significantly reduce the cost of developing Mertarvik while also providing local jobs.

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Projects, Investments, and Developing Community Capacity

To date, about $27 million has been invested in Mertarvik and in needed investments in Newtok.\textsuperscript{10} The result is evident. A range of planning initiatives, baseline environmental, water, and geotechnical studies, and infrastructure projects have been completed or are currently underway at Mertarvik. Initial infrastructure projects include the construction of a barge landing, initial roads, the Mertarvik Evacuation Center (MEC), two production water wells, establishment of a construction camp, the planning stages of the future airport, and development of a local gravel source.

The Overview of Investments Table in the Appendix, outlines these contributions by funding source and shows the breadth of projects and investments in Mertarvik (see Appendix A-5 Mertarvik Relocation Investments to Date by Funder and Type of Funding). State of Alaska funding has accounted for approximately 31 percent of the total funding, while federal funding constitutes roughly 69 percent of the overall funding to date.\textsuperscript{11} Of the total investments to date, approximately $6.5 million have come from conventional funding streams while the remainder (about $23 million) have come from non-conventional funding. Only 0.1 percent of the funding has come from non-governmental sources. Recently, the Newtok Traditional Council made the decision to form a tribal nonprofit corporation. The Mertarvik Community Development Corporation was incorporated as a nonprofit organization in the State of Alaska in August 2010. The creation of this organization makes Newtok eligible to pursue a range of promising funding opportunities for the relocation effort, including funding from private foundations, businesses, and individuals.

When asked what was working well about the efforts to date, the NPG agreed that the most important ingredient to date had been the leadership of Newtok through the Traditional Council and through Stanley Tom, the Tribal Administrator: “Stanley and the community are so engaged. They are actively leading the process, not just participating. If there is any takeaway from the last five years, it is that the community has to lead the effort.” Over the course of this effort, the community has set the direction and priorities, working closely with outside contractors to inform the design process for the MEC and develop a community layout plan, independently pursuing funding opportunities, and, most recently, building a skilled local workforce (see Appendices A-6 for Mertarvik Evacuation Center drawings and design highlights and A-7 for a copy of the Mertarvik Community Layout Plan). This type of leadership is what the community of Newtok has become known for.

It is perhaps the building of a skilled local workforce that most demonstrates the community’s commitment to the move. Just recently, 17 community members completed three months of training in Bethel in construction, electrical and mechanical trades. Romy Cadiente, the village postmaster, spearheaded the effort:

I was talking with Stanley one day and we were discussing the relocation and the work ahead. I thought, what are we going to do, just watch as others build our homes and buildings? No. We need to train our people. The results have been overwhelming. One young man (who completed the training) just secured a job on the Slope. His mother came to thank me with tears in her eyes. The paycheck is changing their lives and watching that group of
workers step off the plane in Newtok with certificates in hand has changed my life. I am so happy.

Building on this momentum, Romy Cadiente has secured funding for 20 additional community members and intends to expand the training opportunities to health-related skills and certifications. Newtok residents are gaining the skills they will need to build and maintain a new community.

In addition to the development and employment of a strong local workforce, a workforce of more than 100 military men and women will receive training this summer through the U.S. Department of Defense Innovative Readiness Training Program (IRT) by working on construction projects in Mertarvik. The IRT is in its third year of a five-year commitment to assist the community of Newtok in establishing the initial infrastructure required at Mertarvik.

Lessons Learned

A number of lessons have emerged from the relocation efforts made to date. The first is that local leadership is essential. In many ways, the community has compensated for the lack of a single lead agency, serving as both the glue and the director of efforts to date. The community’s ability and willingness to drive future efforts could not be more critical. Agency missions, cultures, and rules can make needed collaboration difficult. While the collaboration between and across state and federal agencies has at times been challenging, it has also been remarkable. The NPG has set a precedent for groups on how multiple agencies with multiple barriers can cooperatively work together to assist a community. The NPG should also serve as a model for other villages that pursue relocation as a strategy for maintaining the integrity and culture of their community while adapting to climate change.

From a capital funding standpoint, Newtok has found that it takes both legislative and traditional agency support. According to one NPG member we interviewed, “Seed money from the legislature has been key for getting Mertarvik moving. To get to where we are now, we needed the legislature. Agencies could not have funded the ground laying work.” Leveraging traditional funding agency streams and working across agencies to determine how to achieve the best funding results has been critical to securing funds for the relocation efforts: “The key to relocation is figuring out how to tap every agency’s traditional funding sources that can be tapped. We knew the MEC would not fare well if it went through the Department of Transportation (DOT) because that was not a mission critical project compared to other DOT projects. Which agency is best suited to make the request differs by project.” As work at Mertarvik moves beyond pioneer infrastructure, more agencies will become involved.

The relocation planning efforts have reached a point where a SMP is essential for gaining the outside support needed to move forward before Newtok has completely
eroded away. The SMP will serve as a road map and guide for all agencies working at Mertarvik through every phase of relocation. The SMP will clearly communicate the community’s vision and goals, the work to be completed during each phase of the relocation, and the sequence for major bodies of work. It will also help funders, public and private, understand how and when they can invest.

At the ground level, project managers have learned that the cost of mobilizing resources for initial infrastructure is far greater than originally assumed. According to Mike Coffey, Chief of Statewide Maintenance and Operations at the State of Alaska Department of Transportation and Public Facilities (AKDOT+PF), “While mobilizing resources for a project in rural Alaska typically adds 10 percent to the overall cost of a project, mobilizing and demobilizing a camp and construction supplies for Mertarvik (which because of its remote and undeveloped state requires bringing in everything you need for the project, as well as basic supplies such water, food, and tents for lodging) can add 35 percent or more to the construction costs of a project.” The MEC project is currently facing a funding gap, in part due to the unexpectedly high cost of mobilization.

Regulatory barriers can also raise the cost and potentially extend the time lines of projects. For example, the original concept design for the MEC was completed by Cold Climate Housing Research Center in coordination with the community and was intended to be an in-ground structure. Ensuring compliance with State construction codes significantly impacted the design and, therefore, the overall cost of the MEC. A regulatory barrier with broader implications to the relocation effort is compliance with the National Environmental Policy Act (NEPA). The late Senator Ted Stevens recognized that NEPA would pose undue time delays and costs and sought a NEPA exception from Congress for Mertarvik; unfortunately, that request was not granted. USACE has taken the lead in drafting the Environmental Assessments that have covered the work at Mertarvik to date (see Table 2). The following section discusses NEPA and other permitting requirements.

Finally, finding ways to meaningfully engage the community in decision-making processes from the start is essential to “getting it right” and can save time, money, and effort. Meaningful engagement requires bridging the cultural and language gaps that exists between villagers and outsiders to ensure communications are clearly transmitted and truly understood by all parties. For instance, when weighing where exactly to locate the town center, a topographical map of the future site cannot replace a visit to Mertarvik with a group of elders and community leaders. When meeting with the community to discuss the Strategic Management Plan, we found that the use of images was far more effective at quickly communicating our findings and ideas and establishing a common basis for further discussion than translated words or traditional project planning tools.

**Population Thresholds and Pioneering**

This section of the Background Planning Report addresses two key issues raised during the project kickoff meeting with the NPG and in subsequent interviews with different stakeholder groups. First is the issue and belief that certain population
thresholds must be met for federal and state agencies to provide key community services and/or invest in community facilities at Mertarvik. The pioneering concept was coupled with this concern and discussed as a potential solution toward meeting necessary thresholds. From the start of the project it was clear that broad concern and varying perspectives and information existed on these issues.

Specifically, stakeholders were concerned with potential airport, school, and post office thresholds. Hearing this concern and recognizing the need to clarify population requirements, the project team set out on a fact finding mission. Table 2 provides an overview of what we learned. As shown, of the three bodies of work, only the United States Postal Service (USPS) has a set threshold of 25 families or 75 persons before they will provide mail service to a community. This conclusion was good news to the community and helped them to think more creatively about the

Table 2. Population Thresholds for Key Community Services and Infrastructure (What we learned.)

<table>
<thead>
<tr>
<th>Type of Infrastructure</th>
<th>Threshold for Relocation?</th>
<th>Concern</th>
<th>Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>Airport</td>
<td>No established policy.*</td>
<td>FAA expressed concerned that the development of a power source for the airport would trigger the move of the community, thus burdening FAA with the task of completing an Environmental Impact Statement for the full relocation. An Environmental Assessment may suffice if FAA can address solely the impact of the airport.</td>
<td>Community must demonstrate relocation is not triggered by airport by obtaining non-federal funding for energy development and/or by pioneering.</td>
</tr>
<tr>
<td>School</td>
<td>No established policy for school construction in new communities. (The 10 student minimum is a requirement for keeping a school open, not constructing a new school.) For schooling, the community would need to provide a place for instruction and for a place for the teacher to live.</td>
<td>LKSD expressed concerned that some residents may decide to stay in Newtok and they are not willing to work toward building a new school in Mertarvik unless a commitment is made to close the existing school in Newtok at the conclusion of the move.</td>
<td>Unanimous community commitment to move, with a clear plan for how that will happen, including shutting down the existing village and school.</td>
</tr>
<tr>
<td>Post Office</td>
<td>Mail service requires at least 25 families in an identifiable community with a population of approximately 75 or more (seasonal population fluxes not considered in year round numbers) and regularly scheduled transportation to the community.**</td>
<td>USPS is unlikely to provide funding for moving the existing post office or building a new post office.</td>
<td>Once the threshold is met, Newtok will need to negotiate what constitutes regularly scheduled transportation. Newtok should also consider potential locations for the future post office (possibly the MEC).</td>
</tr>
</tbody>
</table>

* Per Gabriel Mahns, FAA (interview conducted on 21 April 2011).
+ Per Kate McIntyre, Lower Kuskokwim School District (interview conducted on 24 February 2011) and Sam Kito, Alaska Department of Education + Early Development Facilities (interview conducted on 2 March 2011).
** Per Kathy Grosshandler, USPS Facilities Engineer (interview conducted on 21 April 2011).
The following paragraphs summarize community feedback on the importance of pioneering for the community and for their existing and potential funding partners. The community has made great strides toward identifying a strategy for the pioneering phase of the relocation process including how and when this first step should take place and who should go. Details of their initial strategy, including evaluation criteria for site and community readiness, are outlined in detail in the preliminary SMP below. The ideas informing the strategy were synthesized from two in-person meetings with the Newtok Traditional Council and from a community-wide work session during which community members gathered to learn and share ideas about the relocation process. Where possible, the direct words of Newtok residents and community leaders are included.

For the community of Newtok, pioneering represents the opportunity to establish a positive foundation for the whole relocation process. As such, the community wishes to focus on the many opportunities the relocation process will bring and less on the challenging aspects of moving. “We need to have a positive mind and believe in the process… If we don’t move, we’re failing our people.” In the view of the community, pioneering is an opportunity to educate its young people about the core values and traditional ways of life that define the people of Newtok: “One thing that we gain from pioneering is continuing and honoring our values. If we rely on the western society’s way of life, that’s forgetting who I am. We need to go back to our way of life. We have to start somewhere.” Pioneering will be one of the first tangible positive steps that give community members living in Newtok the confidence they need as individuals and families to leave. Watching the process and seeing family and friends successfully accomplish the transition from Newtok to Mertarvik will inspire and motivate others to move. The pioneering families will also gain tremendous knowledge as they take this first step and will be able to share the lessons they learn with others along the way.

Pioneering will be the next and most critical phase of the relocation process in many ways. Working together to move the first set of families to their new homes at Mertarvik will also send a clear message to funding agencies and others that the community is serious about the move. Funders will have tangible, measureable evidence that the community is committed and dedicated to moving their community and will be more likely to fund not only next steps in the pioneering phase, but also subsequent phases of the move. Funders will have the confidence they need to commit current and/or future funds to the village relocation process. For example,

“I have confidence about this early move. When I was one or two years old, my family moved. I focus on the time when people decided to move and they moved instead of relying on the agencies. I believe our sovereign way of life will be stronger – we can start a new life, a new village. We shouldn’t wait. We need to encourage ourselves to do it ourselves. The elders have said we need to change ourselves; we need to start a new beginning and new life. Leave hate and anger and put it away and start a new life.” – Newtok Traditional Council Member
a priority for the community is to move a set of families into the three U.S Bureau of Indian Affairs (BIA) houses (built at Mertarvik by Newtok residents in 2007-2008), as well as the three houses slated to be built this summer. Initially, families will live there on a seasonal basis during the summer and winter months, returning to Newtok during the spring and fall when travel back and forth is perilous. Occupancy of these six houses will send the right message to funders that the community values these new homes and has started the pioneering process.

The next steps for the pioneering phase are to share back this summary and the initial strategy outlined in the preliminary relocation SMP that follows with the Newtok Traditional Council, refining it as necessary to solidify the community’s vision, strategy, and criteria for pioneering. Using the evaluation criteria, the community will also need to work together to flesh out immediate tasks that can best prepare the site for pioneers and to select specific pioneering families to make the move. Prior to the first families moving over, a comprehensive support plan must be created and in place.

Summary of Environmental Considerations

The following summary highlights important natural resources within the Mertarvik community site and Nelson Island as documented in several environmental studies completed in and around Mertarvik since 2006. National Environmental Policy Act (NEPA) documents for the Mertarvik Evacuation Center and associated environmental baseline studies outline anticipated impacts to protected resources from the Proposed Actions brought forth to date. Special considerations and anticipated impacts for those actions are included below where appropriate (USACE, 2005; USACE, 2008; USACE, 2011).

Fish and Wildlife

Birds and Waterfowl

The Yukon-Kuskokwim Delta is rich in bird species diversity and is home to a large number of nesting waterfowl. It is also one of the most productive areas in the world for geese. Almost 75 percent of Alaska’s sandhill cranes breed on the Yukon-Kuskokwim Delta. Baird Inlet, about four miles north of Mertarvik is home to a colony of about 4,500 to 10,122 nesting pairs of Pacific black brant. This constitutes about 25 percent of the nesting black brant on the Yukon-Kuskokwim Delta. Birds and waterfowl are the principal animal group of concern for the relocation efforts.

Several waterfowl surveys have been conducted on wetlands within the area and show a variety of waterfowl use the wetlands at Mertarvik; however, area wetlands are not particularly suitable habitat for nesting waterfowl or shore birds. The community site and surrounding area are rich in crowberry tundra habitat that is utilized by emperor geese for food; however, this habitat is abundant in the area and not limited to the project site.
Impacts to waterfowl habitat are anticipated to be minimal. There is potential for temporary and permanent loss of waterfowl habitat through construction of improvements and temporary construction disturbance.

**Threatened and Endangered Species**

The Yukon Delta National Wildlife Refuge (YDNWR) surrounds the Mertarvik community lands. While Threatened and Endangered species are known to be present within the YDNWR, they are not known to occur within the relocation area. Spectacled eiders nest in the refuge but nesting on the delta and/or within the relocation area is a rare occurrence. There are no indications that either the spectacled or the Steller’s eider species nest on or near the relocation area. Small numbers of the marbled murrelet, another threatened species, may be found in the Bering and Chukchi Seas and possibly the Baird Inlet; however, this species is unlikely in the relocation area. The sea otter is not likely to occur near the relocation area. The U.S. Fish and Wildlife Service (USFWS) commented on the Environmental Document pertaining to the evacuation center that the project was not likely to impact critical habitat for these threatened species.

**Bottom Line**

No impacts to Threatened and Endangered species are anticipated. There are potential long-term impacts to wildlife and their habitats from increased access to the general area due to hunting and traffic. United States Fish and Wildlife Service Section 7 consultation will be conducted for any future development to ensure adverse affects are avoided.

**Essential Fish Habitat**

Mertarvik is between the two main streams on Nelson Island. Takikchak Creek is west of the relocation site and flows into Ninglick River, and Chakhok Creek is eight miles south of the site and flows east to Kolavinaruk River. Ninglick River, Takikchak Creek and Chakhok Creek are anadromous fish streams and considered Essential Fish Habitat (EFH) important for the spawning, rearing and migration for essential fish species. Future proposed actions by DOT+PF may include development of a harbor and moorings in the Ninglick River and thus impact EFH. It is not anticipated that any future proposed actions undertaken by the community would require construction within EFH.

**Bottom Line**

Impacts to EFH from the community move are anticipated to be temporary and minimal in nature. Any proposed in-water work by DOT+PF as part of the harbor development could affect a small area of EFH. DOT+PF will assess impacts to EFH and propose conservation and any required mitigation measures during their permitting and review process.

**Wetlands, Vegetation and Water Quality**

Wetlands consist of moist to wet tundra within the majority of relocation area lands. These wetland and vegetation types are typical and widespread throughout higher ground on Nelson Island and are not unique to the site. Any improvements or ground disturbance would likely have an effect on wetland habitat. A salt marsh and freshwater spring (Mertarvik Spring) occur along the shoreline of the new community site. These high value wetlands are outside the immediate development area and would not be directly affected by community
development. There is a potential for sediment laden stormwater runoff during and post construction to degrade water quality. Best Management Practices will need to be implemented during construction, and revegetation techniques will be used to stabilize soils to avoid impacts to water quality.

**Bottom Line**

Temporary and permanent impacts to wetlands are anticipated as a result of community development at Mertarvik. However, these impacts are expected to be minimal through the implementation of avoidance, minimization and mitigation actions as developments plans progress. The community will work with the USACE to determine the most appropriate measures to minimize impacts.

**Historic and Cultural Resources**

Cultural resource surveys conducted in 2002 and 2005 identified several archaeological sites near the mouth of Takikchak Creek, but did not find any sites that would be affected by the evacuation center or associated facilities. The closest archaeological site to the community site is located about one mile northeast of the barge landing. The State Historic Preservation Officer (SHPO) concurred that no historic properties would be affected by the proposed construction of the emergency evacuation center, development of a quarry and proposed road alignments in March 2011. Future Federal actions for development at Mertarvik will be required to obtain such concurrence from the SHPO. Recent coordination efforts under Section 106 will expedite future consultations.

**Bottom Line**

A SHPO Finding of No Historic Properties Affected is anticipated for community development at Mertarvik.

**Cumulative Impacts**

Concerns about cumulative impacts associated with a community move to Mertarvik have been expressed in past environmental documents. A new community at Mertarvik will shift use to the area for berry picking, hunting, and subsistence gathering. Fishing on local streams will increase, as well as hunting for local waterfowl, ptarmigan and other birds. Surrounding wetland habitat may be damaged from increased ATV off-road use. Some of the structures and abandoned equipment at the old town site will remain after relocation to Mertarvik. Some of these areas such as the Newtok landfill, sewage lagoon and other non-movable structures could contribute to pollution of the Baird Inlet area. Coordination of clean up and restoration of the original town site will be an important component of any future development plans at Mertarvik.

**Bottom Line**

Cumulative impacts to protected resources from a community move to Mertarvik are anticipated. Through avoidance, minimization and mitigation plans, including preservation of wetlands and clean up of the old village site, significant cumulative impacts are not anticipated.
Construction Windows

The following table outlines time frames where environmental constraints/permitting requirements would prevent certain construction activities. Certain activities such as site preparation and clearing should happen in early spring or late fall to use the full summer construction season without timing constraints. Building pads if placed in the summer months would facilitate movement of homes and other structures during the winter months when the ground is stable and travel over wetlands results in less impact. Table 3 highlights activities that cannot take place during certain time frames to protect sensitive species.

Important environmental consideration and construction timing windows:

- The USFWS recommends no fill or clearing activities take place within undisturbed tundra between May 5 and July 25 to protect nesting migratory birds. The regulation states that migratory birds may not be “taken.” This requirement can be met by clearing potential nesting habitat before the nesting season or by conducting a nesting survey of construction areas just ahead of construction, with further monitoring during construction to prevent birds from nesting. USFWS should be consulted prior to construction to get any recommended revisions to this window.

- Additional consideration may be required in the fall to avoid impacts to emperor geese that may feed on crowberry tundra habitat in the area. Consultation with USFWS should take place to determine recommended construction windows. Note that USFWS input is advisory, not mandatory, but project proponents could be exposed to legal action if geese were “taken” during construction.
Table 3. Environmental Construction Windows for Mertarvik.

<table>
<thead>
<tr>
<th>Activity</th>
<th>Work Window</th>
</tr>
</thead>
<tbody>
<tr>
<td>Clearing, Site Preparation+</td>
<td>🟢</td>
</tr>
<tr>
<td>Materials Excavation, Stockpiling within previously disturbed areas</td>
<td>🟢</td>
</tr>
<tr>
<td>Construction of Building Pads, placement of fill*</td>
<td>🟢</td>
</tr>
<tr>
<td>Utility installation, vertical construction</td>
<td>🟢</td>
</tr>
<tr>
<td>Revegetation, restoration of disturbed areas</td>
<td>🟢</td>
</tr>
<tr>
<td>Relocation of Structures**</td>
<td>🟢</td>
</tr>
</tbody>
</table>

Shaded areas depict time frames where no future work of that type should occur.

- Orange indicates a work window to protect nesting birds.
- Gray indicates a potential work window to protect Emperor Geese during an important feeding time period.

+ Site preparation includes ground disturbing activities or disturbances.

* Construction of buildings pads/relocation of buildings could commence within no work window if site preparation has been previously completed and it is known that no nesting birds are present.

### Permitting Considerations

Table 4 lists recommended consultations and required permits and clearances from different regulatory agencies and the associated anticipated time frames to complete each process.

Also included are milestones during project progress when certain activities should be initiated. This table does not represent an exhaustive list of requirements but highlights the major milestones in the process.

Much concern has been expressed by interviewed stakeholders about the cumulative impacts of the community’s relocation necessitating the completion of an Environmental Impact Statement – a lengthy and costly process. Our assessment is that an EIS is not inevitable. Given the relatively few concerns outlined above and the careful planning that has characterized relocation efforts to date, it is possible and perhaps even likely that an EA will suffice for any projects requiring NEPA documentation and permitting (e.g., the evacuation center). One important way the community can ensure that no federal agency is responsible for triggering the community’s move and, thus, is required to address the impact of the full relocation in its permitting process, is to ensure the move is initiated by the community and not driven by or dependent upon federally funded projects.
### Table 4. Permitting Considerations for Newtok Relocation Projects

<table>
<thead>
<tr>
<th>Agency</th>
<th>Permit/Clearance/Consultation</th>
<th>When to initiate</th>
<th>Approximate time line to acquire permit/clearance</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Federally Funded Projects</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NEPA Decision Document</td>
<td>Environmental Assessment (EA)</td>
<td>Upon receipt of funding*</td>
<td>1 year</td>
</tr>
<tr>
<td></td>
<td>Environmental Impact Statement (EIS)</td>
<td>Upon finding of significance during EA process, unless funding agency decides to start with an EIS class of action.</td>
<td>3-5 years</td>
</tr>
<tr>
<td>SHPO/Tribes</td>
<td>Initiation of Consultation</td>
<td>Once a set of Alternatives are selected</td>
<td>30 days</td>
</tr>
<tr>
<td></td>
<td>Finding of No Historic Properties Affected</td>
<td>After selection of a preferred alternative</td>
<td>30-120 days</td>
</tr>
<tr>
<td><strong>State and Federally Funded Projects</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>USFWS</td>
<td>Section 7 Consultation for Threatened and Endangered Species</td>
<td>Once a set of alternatives are selected</td>
<td>30-120 days*</td>
</tr>
<tr>
<td></td>
<td>Consultation regarding clearing windows for nesting birds and emperor geese</td>
<td>For any proposed ground disturbing activities</td>
<td>14-30 days</td>
</tr>
<tr>
<td>NMFS</td>
<td>Consultation for Essential Fish Habitat</td>
<td>For any in-water work</td>
<td>14-30 days</td>
</tr>
<tr>
<td>ADF+G</td>
<td>Title 16 Fish Habitat permit</td>
<td>For any work within Takikchak Creek</td>
<td>30-90 days</td>
</tr>
<tr>
<td>USACE</td>
<td>404 Wetlands permit</td>
<td>After a selection of a preferred alternative</td>
<td>120 days</td>
</tr>
<tr>
<td>ADEC</td>
<td>APDES Construction General Permit</td>
<td>1 month prior to construction, once 100% construction documents are complete</td>
<td>30 days**</td>
</tr>
<tr>
<td><strong>Privately Funded Projects</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>ADF+G</td>
<td>Title 16 Fish Habitat permit</td>
<td>For any work within Takikchak Creek</td>
<td>30-90 days</td>
</tr>
<tr>
<td>USACE</td>
<td>404 Wetlands permit, Individual or Nationwide Permit</td>
<td>For any work placing fill within wetlands</td>
<td>120 days</td>
</tr>
<tr>
<td>USFWS</td>
<td>Consultation regarding clearing windows for nesting birds and emperor geese</td>
<td>For any proposed ground disturbing activities</td>
<td>14-30 days</td>
</tr>
<tr>
<td>ADEC</td>
<td>APDES Construction General Permit</td>
<td>For any work that is part of a development plan with greater than 1 acre of disturbance. 1 month prior to construction, once 100% construction documents are complete</td>
<td>30 days**</td>
</tr>
</tbody>
</table>

*Initiation of NEPA document will begin with informal agency scoping and data-gathering. The NEPA process will continue throughout the entire project until a decision document (Finding of No Significant Impact (FONSI) or Record of Decision (ROD)) is obtained.

+Length of consultation will depend on determination of affect by regulatory agency.

**Includes review and approval of SWPPP, pre construction site visit, and submittal of notice of intent.
Preliminary Strategic Management Plan

Introduction

This section of the Relocation Report outlines the structure and initial content of the Mertarvik Strategic Management Plan (SMP). The purpose of the SMP is to provide a guide to the community and its partners for each component of the relocation process. This report contains preliminary information for each of the following main components of the SMP:

- Maligtaquyarat (Guiding Principles for Mertarvik) – Outlines the community’s guiding principles for the relocation process. The community intends for all community members and partners to honor these principles when participating in activities associated with the relocation process.
- Relocation Plan – Provides a graphic depiction of the relocation plan for Mertarvik outlining the five phases of the relocation effort and highlighting the progression of services and infrastructure by major body of work.
- Pioneering – Outlines the community’s initial strategy for the pioneering phase of relocation including a set of draft evaluation criteria for site and community readiness and a time line for moving the first families to Mertarvik.
- Preliminary Relocation Schedule – Details approximate time lines for each major body of work.

Maligtaquyarat (Guiding Principles for Mertarvik)

June 9, 2011, the Newtok Traditional Council unanimously passed and approved a set of guiding principles for the community’s relocation to Mertarvik (see Appendix A-8 Newtok Traditional Council Resolution 11-30). It is the hope and intent that all community residents and partners working toward the relocation will respect and promote these guiding principles.

The relocation of Newtok will be defined by our Yup’ik way of life. Our Guiding Principles are:

- Remain a distinct, unique community – our own community.
- Stay focused on our vision by taking small steps forward each day.
- Make decisions openly and as a community and look to elders for guidance.
- Build a healthy future for our youth.
- Our voice comes first – we have first and final say in making decisions and defining priorities.
• Share with and learn from our partners.
• No matter how long it takes, we will work together to provide support to our people in both Mertarvik and Newtok.
• Development should:
  ‒ Reflect our cultural traditions.
  ‒ Nurture our spiritual and physical well-being.
  ‒ Respect and enhance the environment.
  ‒ Be designed with local input from start to finish.
  ‒ Be affordable for our people.
  ‒ Hire community members first.
  ‒ Use what we have first and use available funds wisely.
• Look for projects that build on our talents and strengthen our economy.

Relocation Plan

“Back in the old days, the people were working together as a unit. They moved the old church from another village by dog team. They didn’t ask for money. They just did it. The BIA made us move here and now they are gone.” – Newtok Traditional Council Member

The project team members Heidi Wailand and Shelly Wade of Agnew::Beck Consulting, Sally Russell Cox of ADCCED/DCRA, and Greg Magee and ADEC/Village Safe Water traveled to Newtok on June 7-8, 2011. The primary goal of the visit was to meet with community residents to establish a vision, framework, and present the draft relocation plan.

Figure 5, the Mertarvik Relocation Plan, is organized by nine bodies of work (site preparation; transportation; health and safety; drinking water, sewer, and solid waste; housing; energy; school and education; communications; and community resources) and four phases of the relocation driven by population levels. During the community meeting, each icon was printed on a single sheet of paper and assembled on the gymnasium wall. Community members were asked to help identify gaps or make changes to the sequencing or content of the icons as they saw fit. In a subsequent meeting with the Newtok Traditional Council, the phases were given Yup’ik titles. This version of the plan reflects the community’s and the Newtok Traditional Council’s feedback. Although no dates are assigned to the phases, the plan reflects a general sense of the timelines that might be associated with major projects, particularly those that are not yet in progress such as a school and a clinic.
This blank page is a place-holder for proper printing of the 11”X 17” Preliminary Relocation Plan graphic.
Mertarvik Relocation Plan

**Upluteng**
- Getting Ready
  - Select site
  - Survey site
  - Quarry
  - Survey layout community

**Upagluteng**
- Pioneering
  - "Seasonal community movements" in Yup'ik
  - 25

**Nass’paluteng**
- Transition
  - 100

**Piciurluni**
- Final Move
  - "We made it!" in Yup'ik
  - 200

**Site Preparation**
- Road to MEC
- Road to quarry
- Large landing
- Small dock
- Float plane
- Pioneer runway
- Runway & harbor
- Runway & shelter

**Transportation**
- Residential wastewater system
- Community water system
- Washing center
- Excavation center
- Health aide
- Communications system
- Village public safety officer (VPSO)
- Clinic
- Tribal court

**Housing**
- Build new houses
- Relocate existing housing
- Build new houses

**Drinking Water, Sewer & Solid Waste**
- Self-haul water (well)
- Honey buckets & bunkers
- Self-haul water (well)
- Burn box
- Community waste water system
- Landfill
- Washeteria

**Health & Safety**
- Cell phone, VHF radio
- MEC mail service

**Communications**
- Satellite phone, VHF radio
- MEC mail service

**Education**
- Correspondence & home school
- Teacher in MEC
- Small school with room to expand
- Teacher in MEC
- Big school

**Energy**
- Woodstove
- Fuel tank
- Fuel tanks
- Alternative energy demonstration projects

**Community Resources**
- Store
- Greenhouse
- Church
- Community serve center
- Evacuation center tribal offices

**Community utility & fuel system**
- Alternative energy system

**Nunaullemteggun ikayuqulluta tamamta, assirtuta aknirtenritellerkamtenun, nuggtarllemtenun ciunerkamteni**
- A community that builds together for the safe and healthy future of Newtok
Phase 1: Uplluteng (Getting Ready)

Population 0

The getting ready phase refers to the current phase of development. The groundwork is being laid for future phases. This phase includes activities and infrastructure such as selecting the site, developing the quarry, completing a Community Layout Plan, drilling two drinking water wells, building a barge landing, houses, roads and the foundation of the MEC. Work streams in this phase are well established with one exception. A gap in the work currently in progress is the “grounding” of the Community Layout Plan or surveying to demarcate the future location of community infrastructure and housing. The Newtok Traditional Council is working with USACE to determine whether some initial surveying can take place in summer of 2011. A first round of surveying would help identify appropriate locations for the three houses slated for construction, as well as the three existing houses (slated to be moved) and also secure locations for future residents wishing to become a part of the pioneer move.
Phase 2: Upagluteng (Pioneering)

Population ~25 to 100 People

Upagluteng refers to the traditional practice of moving with the seasons. The icons depict what life might be like for the first residents living in Mertarvik. Self-haul water, honey buckets, wood stoves, and individual house generators, correspondence and home schooling, and VHF radio are some of the likely characteristics defining early life in Mertarvik. New technologies for waste water treatment and alternative energies might be piloted during this phase. For safety, residents will move back to Newtok during the spring and fall when movement back and forth to Newtok would be too risky.
Phase 3: Nass’paluteng (Transition)

Population ~100 People or More

In Yup’ik, Nass’paluteng refers to periods of transition. During the transition phase, more and more community members will make the move to Mertarvik. Early in this phase, a health aide and teacher(s) might be in place to provide health care and education. The MEC will be completed and serve as a multi-functional community facility. A pioneer runway may be completed and larger-scale demonstration projects might test promising technologies as agencies explore sustainable solutions for basic services. As the population grows, reaching say 200 or more, community systems should be agreed upon and established for water, wastewater, energy, and communications. An airport, a landfill, a small school, a store, and community greenhouse might be set in place during this phase.

Nass’paluteng Transition (early)
Nass’paluteng
Transition (late)

Water, Sewer + Solid Waste

- community water system
- washeteria
- community waste water system
- village public safety officer (VPSO)
- evacuation center
- health aides in MEC
- mail service in MEC

Housing
- build new houses
- relocate existing housing
- landfill

Transportation
- runway
- road to airport + community roads
- boat harbor
- barge landing
- survey site

Community
- quarry
- store
- greenhouse

Education
- teacher in MEC
- small school with room to expand

Energy
- comm. alternative energy system
- community utility and fuel system

Communications

Site Preparation

MERTARVIK :: Relocation Report :: Preliminary Strategic Management Plan
Phase 4: Picurllun (Final Stage)

Population 350 People or More

Picurllun means “We made it!” in Yup’ik. This stage represents the final move of all Newtok residents to the new town site. The systems developed during the Transition phase are scaled to accommodate more people and more houses. Additional community facility projects, such as a large school, a clinic, and a tribal court, are completed.
Pioneering Plan

One of the many positive outcomes of the community site visit in June 2011 was the development of a preliminary pioneering strategy for the relocation process. To ensure quality of life for pioneers, the community developed two sets of evaluation criteria to measure: 1. If the new site is ready and can provide pioneers with the essentials they need, and 2. Which individuals and families are well suited and prepared to pioneer. The criteria are presented in Tables 5 and 6 on the following pages. Following the criteria is a summary of the community’s current thoughts on the timing of the pioneering phase with a focus on what will happen in the near-term, starting this summer.

“We can start subsisting and storing food in Mertarvik now to support the pioneering families.”
Photo by Carolyn George.
### Table 5. Pioneering Evaluation Criteria for Site Readiness

<table>
<thead>
<tr>
<th>Item</th>
<th>Criteria</th>
<th>Community Voices on Criteria</th>
</tr>
</thead>
</table>
| **Housing**         | Housing is the top priority and criterion for site readiness.  
                       During the recent community work session, the idea to move non-elders into the existing BIA homes was discussed and is supported by the elders for whom the new homes were originally built.  
                       Families will also be selected to move into the three additional homes slated to be built this summer.  
                       Homes that are closest to the shoreline should be moved first. (A handful of AVCP rental homes are located close to the shore and thus top candidates for relocation. Working with AVCP to address issues of ownership and securing funds for moving houses could quickly add to the housing stock at Mertarvik.) | “People are overcrowded in Newtok. If we give them (pioneers) the elderly housing (already built at Mertarvik), maybe that will incentivize them.”  
                       “I pay rental on an AVCP house, if I move, will I still have to pay? If these houses are owned by AVCP, we can’t move the houses. If AVCP writes off the rental agreement, we could move those houses. We have to follow the AVCP income guidelines.” |
| **Transportation**  | Reliable transportation to and from Newtok. Although no pioneer runway will exist for emergency transport at the onset of the pioneering phase, this issue may be addressed through the use of float planes. Need to ensure a good float plane base at the new site.                                                                                   | “I would move across and be a pioneer but not having a pioneer runway (is a barrier).”  
                       “That’s how we started the village here. They landed in the lake and on the river. On calm days the water is smooth. Before we had the airport, we had goods air dropped.”                                                                                                                                                                                                 |
| **Food**            | A good supply of food and sufficient food storage.                                                                                                                                                                                                                                                                                                                                                                                                                       | “We can start subsisting and storing food in Mertarvik now to support the pioneering families.”                                                                                                                                                                                                                                                                                                                                 |
| **Energy + Electricity** | Sufficient fuel supply and the right resources to address energy and power needs.  
                       Minimize energy needs through energy efficient construction and living practices.                                                                                                                                                                                                                                                                                                                                                   | “There is plenty of willow over there and they burn a long time. There’s plenty for firewood.”  
                       “Electricity is probably the most important thing that they will need. These houses are very well insulated, wood stove will heat the whole house and they can use Coleman lanterns for light.”                                                                                                                                                                           |
| **Emergency Plans** | Develop a good strategy for working with people remaining in Newtok to address emergency issues.                                                                                                                                                                                                                                                                                                                                                                      | “If there is an emergency, how will we evacuate? If someone gets sick, what kind of communication is in place with Newtok?”                                                                                                                                                                                                                                                                                                                                 |
| **Communication**   | VHF radio is the most reliable form of communication. Mertarvik also has cell phone reception further up the hillside.                                                                                                                                                                                                                                                                                                                                                   | There is the possibility that United Utilities Inc. can put planned broadband for the region in Mertarvik before or instead of Newtok.                                                                                                                                                                                                                                                                                                                                 |
| **Mail Service**    | Basic mail service – Air drop?                                                                                                                                                                                                                                                                                                                                                                                                                                          | “If the pioneers are over there, they need to complete their food stamp and TANF paperwork.”                                                                                                                                                                                                                                                                                                                                 |
| **Support from Newtok** | Pioneering families will need the assistance of families remaining in Newtok to ensure a smooth transition to and from Newtok and to ensure essential supplies and services are accessible.                                                                                                                                                                                                                                              | “Back in the old days, the people were working together as a unit.”                                                                                                                                                                                                                                                                                                                                 |
Table 6. Pioneering Evaluation Criteria for Individual and Family Readiness

<table>
<thead>
<tr>
<th>Criteria</th>
<th>Community Voices on Criteria</th>
</tr>
</thead>
</table>
| Knowledgeable subsistence hunters that are willing to teach others their skills. | “Our local knowledge makes it possible for us to pioneer.”
|                                                                         | “If we have people who don’t subsistence – that will be a problem.” |
| Young families that can learn from others about how to do traditional subsistence activities. Many young families living in Newtok today are heavily dependent on food that comes from outside and food from the store. | “They (the young people) could starve and not have enough food if they move to Mertarvik and do not know how to subsist from the land. Knowledgeable hunters can teach them the old ways of living off the land.” |
| Families that are ready to work together and parents that are dedicated to their children’s education. | Children could attend the Newtok school in person during the spring and fall and work via correspondence visiting periodically during the months that they reside in Mertarvik. “We are also trying to start a family gathering program. We are getting the families together in the fall when school starts to improve how we live in harmony at the village level. We’re targeting the school season because everyone will be home then.” |
| People in good physical health, especially since there will be limited access to a health aide. | “The BIA HIP houses are elder houses; at least two elders are healthy and maybe they could go and teach subsistence to the younger generation families.” |

Six homes will be in place to shelter the first pioneers at Mertarvik. Selecting the right set of families to take over these homes will be key for the success of the pioneering phase.

The first pioneering families will live in Mertarvik during the summer and winter months when travel to Newtok is safe. Photo by Carolyn George.
Timing for Pioneering

The timing for moving the first families to Mertarvik could happen as early as winter 2011. However, this first set of families would not live there full time without the proper infrastructure to ensure their safety. Weather and travel conditions in the fall and spring would limit access to and from Newtok so this would be a “temporary” or transitional move. In the words of tribal leadership, “The spring and the fall are the two obstacles; they could live there in the summer and then move back and then when the snow gets thick enough, they could go back. Families will be in Mertarvik during the summer and winter. They will still have their houses here (in Newtok) and can stay in fall and spring.”

Preliminary Relocation Schedule

In developing the preliminary relocation schedule, the project team examined each body of work, differentiating between work underway and work not yet in progress (see Appendix A-9 Preliminary Relocation Schedule). For work under way, year one is 2011. For work not yet in progress, the time frames are based on similar projects and year one could be 2011, 2012, 2013, etc. The time lines laid out are based on interviews with a range of stakeholders.

Talking with the Traditional Council and a range of agency stakeholders, securing sufficient funding for housing is almost certain to be the biggest challenge of the relocation effort. Housing is the critical path and will dictate the time line for the move. The current village of Newtok contains 75 houses.\(^{10}\) Between six and 22 are believed to be moveable.\(^ {11} \)

If we assume that 80 houses will be needed in order for all residents to move, 15 existing houses in Newtok can be moved, and six houses will have been built in Mertarvik by the end of summer 2011, Newtok residents will need to secure funding for and build approximately 60 homes.\(^ {12} \) In terms of time lines, at the current pace of construction (three houses per year), the move will take 20 years. If the average pace of home construction can be doubled (i.e. six houses per year), it would take 10 years to move.

---

11. Sally Russell Cox, ADCCED/DCRA, cited a study that concluded 6 houses were moveable; Newtok Tribal Administrator Stanley Tom reported that up to 22 houses may be moveable.
12. Given overcrowding and the condition of Newtok’s housing stock, 60 new houses is likely a conservative estimate of need.
Feedback from interviewees suggests that meeting the housing need for Mertarvik will occur incrementally and require: 1. The implementation of a site control and ownership strategy as soon as possible, 2. Homeowner and resident labor and resourcefulness, 3. Relocation of existing houses, 4. Salvaging existing materials for rebuild, 5. Loans coupled with energy efficiency, and 6. Traditional and non-traditional funding for new housing.

Ongoing Maintenance and Closure of Newtok

The community needs to work with its partners to ensure the health and safety of Newtok residents today. Besides current efforts to secure another fresh water supply (a project funded by Indian Health Service and managed by Village Safe Water), the community should inventory other needs that will help keep Newtok residents healthy and safe throughout the relocation process. Additionally, the community must work together to develop a clear plan for the eventual closure of Newtok beginning today with the clean-up of debris that has resulted from recent storm events. Tribal Administrator Stanley Tom expressed his vision for departing from Newtok: “(The community) would like to be able to say a graceful goodbye to Newtok; we won’t be able to see the old village but we will return to some of the same areas for subsistence activities.” A high-level strategy for the closure of Newtok will be folded into the SMP during Phase 2.

Funding Options

A critical component of Phase 2 is conducting a comprehensive inventory of funding opportunities and options. The SMP will lay out various funding scenarios for the different phases and bodies of work in the relocation process. Upon completion, the community and their partners will have a comprehensive inventory of funding opportunities that includes relevant private, federal and state resources. With this base of information, the community can develop a funding plan for the relocation.

Community Planning Process

After the SMP is finalized, the next step will be to implement the comprehensive planning process. The process will include the development of a land use plan that provides the framework and policy direction for land use decisions and builds upon the existing Community Layout Plan.
Appendices

A-1 Mertarvik Strategic Management Plan Stakeholder/Expert Interviews Conducted
A-2 Newtok Community Meeting Participants
A-3 Participants in the Newtok Planning Group
A-4 Newtok Village Relocation Issues and Challenges as Identified in Stakeholder Interviews
A-5 Mertarvik Relocation Investments to Date by Funder and Type of Funding
A-6 Mertarvik Evacuation Center Design Considerations and Highlights
A-7 Moving Newtok: Mertarvik Community Layout Plan
A-8 Newtok Traditional Council Resolution 11-30
A-9 Preliminary Relocation Schedule
<table>
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<tr>
<th>Date</th>
<th>Individual</th>
<th>Stakeholder Organization</th>
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<tr>
<td>1-26-11</td>
<td>Sally Russell Cox, Planner, Newtok Planning Group Coordinator, Mertarvik SMP Project Manager</td>
<td>State of Alaska, Department of Commerce, Community, and Economic Development, Division of Community and Regional Affairs</td>
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<tr>
<td>2-2-11</td>
<td>Laurie Cummings, Planner, Contractor for Mertarvik Community Layout Plan</td>
<td>HDR Alaska, Inc.</td>
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<tr>
<td>2-11-11</td>
<td>Stanley Tom, Tribal Administrator</td>
<td>Newtok Traditional Council</td>
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<td>2-24-11</td>
<td>Kate McIntyre, Project Manager</td>
<td>Lower Kuskokwim School District</td>
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<td>2-25-11</td>
<td>Greg Magee, Village Safe Water Program Manager, Mertarvik SMP Expert Advisor</td>
<td>State of Alaska, Department of Environmental Conservation, Village Safe Water Program</td>
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<tr>
<td>2-25-11</td>
<td>Guy McConnell, Chief, Environmental Resources Section</td>
<td>U.S. Army Corps of Engineers, Alaska District</td>
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<td>2-25-11</td>
<td>Kim Mahoney, Project Manager</td>
<td>State of Alaska, Department of Transportation and Public Facilities, Statewide Public Facilities</td>
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<tr>
<td>3-1-11</td>
<td>David Vought, Sustainability Officer/Native American Program Specialist</td>
<td>U.S. Department of Housing and Urban Development, Office of Native American Programs</td>
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<tr>
<td>3-1-11</td>
<td>Greg Stuckey, Administrator's Advisor</td>
<td>U.S. Department of Housing and Urban Development, Office of Native American Programs</td>
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<tr>
<td>3-2-11</td>
<td>Captain Chad Hailey, Mertarvik IRT Lead</td>
<td>U.S. Marine Corps, Innovative Readiness Training Program</td>
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<td>3-2-11</td>
<td>Sam Kito, Facilities Engineer</td>
<td>State of Alaska, Department of Education and Early Development,</td>
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<tr>
<td>3-3-11</td>
<td>Master Sergeant Charles Stoyer</td>
<td>Air National Guard, REDHORSE Team, Innovative Readiness Training Program</td>
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<td>3-3-11</td>
<td>Mike Black, Director of Program Development</td>
<td>Alaska Native Tribal Health Consortium, Division of Environmental Health and Engineering</td>
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<td>3-3-11</td>
<td>Judy Chapman, Manager, Aviation and Programs</td>
<td>State of Alaska, Department of Transportation and Public Facilities, Central Region Planning</td>
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<td>3-3-11</td>
<td>Don Fancher, Planner, Kuskokwim Area</td>
<td>State of Alaska, Department of Transportation and Public Facilities, Central Region Planning</td>
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<td>3-3-11</td>
<td>Morgan Merritt, Project Manager</td>
<td>State of Alaska, Department of Transportation and Public Facilities, Central Region</td>
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<td>3-3-11</td>
<td>Gabriel Mahns, Central Region Planner</td>
<td>Federal Aviation Administration</td>
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<td>3-3-11</td>
<td>Royce Conlon, Vice President and Principal Civil/Environmental Engineer, Contractor for Newtok Airport Relocation Reconnaissance Study</td>
<td>PDC Inc. Engineers</td>
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<td>3-14-11</td>
<td>Nancy Merriman, Health Facilities Senior Program Manager</td>
<td>Denali Commission</td>
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<tr>
<td>3-14-11</td>
<td>Denali Daniels, Senior Energy Program Manager</td>
<td>Denali Commission</td>
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<td>3-14-11</td>
<td>Tessa DeLong, Director of Programs</td>
<td>Denali Commission</td>
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<td>3-16-11</td>
<td>Mike Coffey, Statewide Maintenance and Operations Chief</td>
<td>State of Alaska, Department of Transportation and Public Facilities, Commissioner's Office</td>
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<td>3-23-11</td>
<td>David Longtin, Village Safe Water Engineer</td>
<td>State of Alaska, Department of Environmental Conservation, Village Safe Water Program</td>
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<td>4-21-11</td>
<td>Andrea Elconin, Project Manager</td>
<td>U.S. Army Corps of Engineers, Alaska District</td>
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<td>4-18-11</td>
<td>Neil Rodriguez, Regulatory Manager</td>
<td>Coastal Villages Region Fund</td>
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(Continued) A-1 Mertarvik Strategic Management Plan Stakeholder/Expert Interview Conducted

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<td>Ruth Carter, Coastal Engineer</td>
<td>State of Alaska, Department of Transportation and Public Facilities, Ports and Harbors</td>
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<td>4-20-11</td>
<td>Craig Boeckman, Regional Geologist</td>
<td>State of Alaska, Department of Transportation and Public Facilities, Central Region Materials</td>
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<td>4-20-11</td>
<td>Dan Hall</td>
<td>Knik Construction</td>
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<td>4-20-11</td>
<td>Ray Richards</td>
<td>Knik Construction</td>
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<td>4-22-11</td>
<td>Cathe Grosshandler, Environmental Coordinator</td>
<td>U.S. Postal Service, Alaska District</td>
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<td>4-26-11</td>
<td>James Jensen, Project Manager</td>
<td>Alaska Energy Authority</td>
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<td>6-7-11</td>
<td>Romy Cadiente, Postmaster</td>
<td>U.S. Postal Service, Newtok Post Office</td>
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<td>6-7-11</td>
<td>Grant Kashatok, School Site Administrator</td>
<td>Lower Kuskokwim School District, Newtok Ayaprun School</td>
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<td>6-24-11</td>
<td>Patrick Snow</td>
<td>US Fish and Wildlife Service</td>
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<tr>
<td>7-11-11</td>
<td>Christine Klein, Chief Operating Officer</td>
<td>Calista Corporation</td>
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</tbody>
</table>

A-2 Newtok Community Meeting Participants: June 7, 2011

1. Norma Andy                  25. Phillip Carl
2. Joseph Mark                 26. Grant Kashatok
3. Walter Kassaiuli            27. George Tom
5. Myra Nevak                   29. Marie P Carl
6. Theresa B. Andy             30. Julia Charles
7. Carolyn George              31. Andy T Patrick
8. Christine Patrick           32. Lucinta Ivon
9. Romy Cadiente               33. Precilla Paniyak
10. Moses Carl                  34. Annie Kassaiuli
11. Elizabeth A Tom             35. Joseph Inakak
12. Stanley Tom                 36. Nellie Andy
13. Sophie John                 37. Bosco Tom
14. Gabriel Patrick             38. Darby John
17. Elsie Stewart              41. Roderick Stewart
18. Lucy Tom                    42. Walter Tom
19. Gabriel Charlie             43. Rita Kilongak
20. Crystal Charlie             44. Marie Carl
21. Margi Earviak              45. Mary George
22. Agnes Earviak               46. Theresa Charles
23. Marie Earviak               47. Leona Albert
A-3 Participants in the Newtok Planning Group

Native Village of Newtok
Newtok Traditional Council
Newtok Native Corporation

State of Alaska
Alaska Department of Commerce, Community, and Economic Development– group coordinator
Alaska Department of Environmental Conservation (DEC)/Village Safe Water Program
Alaska Department of Transportation and Public Facilities
Alaska Department of Military and Veterans Affairs/Division of Homeland Security and Emergency Management
Alaska Department of Education and Early Development
Alaska Department of Health and Social Services
Alaska Industrial Development and Export Authority/Alaska Energy Authority
Alaska Governor’s Office
Alaska Legislative Representatives:
• Senator Lyman Hoffman’s Office
• Representative Herron’s Office

Federal
U.S. Army Corps of Engineers, Alaska District
U.S. Department of Commerce, Economic Development Administration
U.S. Department of Commerce, National Oceanic and Atmospheric Administration
U.S. Department of Defense, Innovative Readiness Training Program
U.S. Department of Agriculture, Rural Development
U.S. Department of Agriculture, Natural Resources Conservation Services
U.S Department of Housing and Urban Development
U.S. Department of the Interior, Bureau of Indian Affairs
U.S Department of Transportation, Federal Aviation Administration
U.S. Environmental Protection Agency
Denali Commission
Alaska Congressional Delegation
• Senator Lisa Murkowski’s Office
• Senator Mark Begich’s Office

Regional Organizations
Association of Village Council Presidents, Regional Housing Authority
Alaska Native Tribal Health Consortium
Coastal Villages Region Fund
Lower Kuskokwim School District
Rural Alaska Community Action Program
Yukon-Kuskokwim Health Corporation
What are the challenges for Newtok? It will be important to have a plan and work on this in a structured way. The community needs to take the lead from inception to driving the nails. Does the village have realistic expectations? It will be important to consider impacts to the environment.

There has to be a need for a school to apply for funding. It's still not clear if the whole community plans to relocate. The village needs to be laid out so relocation work can be done. The community needs to consider relocation assistance. The community has to be very cautious about investing in a boardwalk. For Mertarvik, we would...
<table>
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<th>State $</th>
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<td>Barge Landing + Initial Groundbreaking</td>
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A-6 Mertarvik Evacuation Center Design Considerations and Highlights

Design Considerations

- Building and systems must be designed to go cold and be able to be a turn key evacuation center for up to 300 people for 14 days. Building includes: gathering area, small residential style kitchen, washateria with energy efficient – residential grade washer/dryer, health clinic (small room for private examinations and supplies)
- Overall square footage is reduced to comply with code requirements (new total is 7000 SF). Original Size: 13,000+ square feet
- Generator sized for the project meets half of the design load with design potential to include alternate energy systems once the Village has relocated and the facility is used as a community building.
- Energy efficient design with simple systems the Village can easily maintain and obtain parts for.

South Elevation

Main entry of the MEC with two recessed doors
- Windows for daylighting
- Mechanical louvers
- Red metal siding on end walls
- Gray spray-on coating on roof

North Elevation

- Design incorporates R50 roof/wall assembly with no penetrations in the roof structure maximizing energy efficiency
- Endwalls with windows for daylighting and views still allow for a usable shelter in varying conditions

Rendering based on 65% design – presents a close depiction of design plans
Newtok’s current village site is threatened on the Bering Sea coast for at least 2,000 years. The village will begin to erode into the river within the continuing land erosion from the Ninglick River. 

The community will be relocating to a site on the north side of Nelson Island. A community meeting was held on December 10, 2006, to identify the community’s needs and set goals for the new village. Village residents would like a piped water and sewer system; however, residents need to make alternative energy plans.

In the spring of 2006, a group of representatives from the village interested parties formed the Newtok Planning Group (NP.G). The purpose of the group is to identify agency resources and to establish a strategy for assisting Newtok in its relocation efforts.

In the summer of 2004, Newtok residents began to make plans to relocate as the Ninglick River has occurred for over 100 feet over the past 40 years and now is less than 600 feet from the village site.

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RESOLUTION 11-30

A Resolution of the Native Village of Newtok's Traditional Council adopting Guiding Principles for the relocation to and development of Mertarvik, the new village site.

WHEREAS: The Native Village of Newtok's Traditional Council, hereinafter called the Council, is the State and Federally recognized governing body of Newtok, Alaska; and,

WHEREAS: The Village of Newtok has been threatened for years by the advance of the Ninglick River due to high rates of erosion of the river bank adjacent to the village; and,

WHEREAS: This progressive erosion is recognized as a serious long-term threat to the existence of the village; and,

WHEREAS: Seasonal flooding from coastal storms has exacerbated this situation. Newtok was included in two federal disaster declarations, DR-1571-AK (2004 Bering Sea Storm) and DR-1618-AK (2005 Fall Sea Storm); and,

WHEREAS: Studies performed by the U.S. Army Corps of Engineers and others have concluded that the village must relocate as there is no permanent and cost-effective alternative to remain at the current village site; and,

WHEREAS: The Newtok Traditional Council, by a vote of the people of Newtok, selected Mertarvik, a site on the northern coast of Nelson Island, located within the Yukon Delta National Wildlife Refuge, as the preferred relocation site for the village of Newtok; and,

WHEREAS: The Newtok Native Corporation entered into negotiations with the U.S. Department of the Interior, Fish and Wildlife Service to exchange Newtok Native Corporation land for the Mertarvik site; and,

WHEREAS: In November 2003, the 108th Congress passed S. 924, allowing the Newtok Native Corporation to received title to the Mertarvik land in a land exchange with the U.S. Fish and Wildlife Service; and,

WHEREAS: In May 2006, the Council and the Newtok Native Corporation joined with state, federal and regional agencies and organizations to form the Newtok Planning Group to assist with Newtok’s relocation effort; and,

WHEREAS: In 2008, the Council and the State of Alaska negotiated a commitment with the U.S. Department of Defense, Innovative Readiness Training Program to provide labor on development projects at Mertarvik; and,
WHEREAS: In 2011, the Council, the Newtok Native Corporation and the agencies and organizations involved in the Newtok Planning Group began working with a contractor to develop a Strategic Management Plan for the relocation of Newtok to Mertarvik.

NOW, THEREFORE, LET IT BE RESOLVED; that the Council hereby adopts the following Maligtaquyarat (Guiding Principles, attached) for all agencies and organizations to follow in working with Newtok on the relocation to Mertarvik. The Maligtaquyarat form the basis of our Strategic Management Plan. It is the Newtok Traditional Council’s desire that the relocation of Newtok be defined by our Yup’ik way of life. All proposals for and activities at the new village at Mertarvik must consider, respect, be assessed by, and be carried out according to Newtok’s Guiding Principles.

I the undersigned, hereby certify that the Newtok Traditional Council is composed of _7_ members, of whom _7_ constituting a QUORUM were present and that the foregoing resolution was PASSED AND APPROVED on this _9_ day of _June________, 2011.

Votes: _7_ Yeas _0_ Nays

Signed: __________________________
     Honorable Moses Carl, President, Newtok Traditional Council

Attest: __________________________
     Secretary George Tom, Newtok Traditional Council
MALIGTAQUYARAT
(Guiding Principles for Mertarvik)

The relocation of Newtok will be defined by our Yup’ik way of life. Our Guiding Principles are:

- To remain a distinct, unique community – our own community
- To stay focused on our vision by taking small steps forward each day
- To make decisions openly and as a community and look to elders for guidance
- To build a healthy future for our youth
- Our voice comes first – we have first and final say in making decisions and defining priorities
- To share with and learn from our partners
- No matter how long it takes, we will work together to provide support to our people in both Mertarvik and Newtok
- Development should:
  - Reflect our cultural traditions
  - Nurture our spiritual and physical well-being
  - Respect and enhance the environment
  - Be designed with local input from start to finish
  - Be affordable for our people
  - Hire community members first
  - Use what we have first and use available funds wisely
- To look for projects that build on our talents and strengthen our economy
<table>
<thead>
<tr>
<th>Schedule*</th>
<th>Year 1</th>
<th>Year 2</th>
<th>Year 3</th>
<th>Year 4</th>
<th>Year 5</th>
<th>Year 6</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pioneering</td>
<td>Initial pioneer move will be seasonal; pioneering families will live in Mertarvik during the summer and winter months and move back to Newtok during fall and spring. Pioneering slated to start Winter 2011 or Summer 2012.</td>
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<tr>
<td>Waterfront</td>
<td>Waterfront site assessment (est. completion March 2012)</td>
<td></td>
<td>Vertical construction (best guess: completion in winter 2013)</td>
<td></td>
<td></td>
<td></td>
<td>Per Ruth Carter, AK DOT Coastal Engineering</td>
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<tr>
<td>Evacuation Center</td>
<td>Foundation (slated to be completed 2011)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Per current AK DOT project plans Assume one year delay based on funding setbacks.</td>
</tr>
<tr>
<td>Drinking Water, Sewer + Solid Waste</td>
<td>New well near Mertarvik townsite</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Per Greg Magee, Village Safe Water</td>
</tr>
<tr>
<td>New School Construction</td>
<td>Commitment to move</td>
<td>Planning</td>
<td>Application to legislature</td>
<td>Design + Bid</td>
<td>Construction (2 years)</td>
<td>Students in classroom</td>
<td>Per Kate McIntyre, LKSD Capital Projects</td>
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<tr>
<td>Clinic</td>
<td>Pursue funding to assess feasibility of moving existing clinic</td>
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<td></td>
<td></td>
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<td></td>
<td>Current Newtok clinic was built in 2003. More research is needed to determine potential funding sources and timelines.</td>
</tr>
<tr>
<td>Housing</td>
<td>Financing and grant development; reclamation of materials in Mertarvik</td>
<td>Build new</td>
<td>Relocate Existing</td>
<td>Build new</td>
<td>Relocate Existing</td>
<td>Build new</td>
<td>Relocate existing</td>
</tr>
<tr>
<td>Energy</td>
<td>Pursue funding</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>More research is needed to determine potential funding sources and timelines.</td>
</tr>
<tr>
<td>Airport</td>
<td>Feasibility study (completed 2011)</td>
<td>Environmental Assessment (2.5 years)</td>
<td>Runway Construction (2 years, could be completed by fall 2015)</td>
<td>Runway in service</td>
<td></td>
<td></td>
<td>Timeline assumes FAA approval, resolution of energy source/EIS issues, and no significant construction delays; per Judy Chapman, ADOT+PF.</td>
</tr>
<tr>
<td>Community Serve Center (formerly known as Fishery Support Center)</td>
<td>Needs assessment, design selection, and planning</td>
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<td></td>
<td>Need to establish agreement with float plane service. Newtok to determine whether a pioneer runway is a priority and investigate prospects.</td>
</tr>
<tr>
<td>Mail service</td>
<td>Requires at least 25 families and 75 residents plus regularly scheduled public transportation to the community</td>
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</tbody>
</table>

*Possible time frames for Mertarvik relocation by bodies of work. Work in progress is depicted in green and shows best-guess time estimates assuming year 1 is 2011. Work not yet underway is depicted in blue and assumes year 1 can start at any point in the future. Schedule for work not yet underway is based on agency/funder-informed guidance for similar projects. A number of work streams are not yet included (such as roads). Schedule will be refined and added to during Phase 2.

Green = tentative dates.
Blue = anticipated time line once project is underway.

A-9 Table: Preliminary Relocation Schedule

[Green = tentative dates. Blue = anticipated time line once project is underway.]