

Deering Activity Report

Community Overview

Lead RUBA Staff: Margaret Hansen, Kotzebue Office

2002 Population: 129

Region: Northwest Arctic

Local Governments: Second Class City



The City of Deering operates the piped water and sewer system, central watering point and water delivery haul system. The City Council is the policy making body for the utility.

Water is derived from the Inmachuk River; is treated and pumped to a 400,000-gallon insulated storage tank. Water is delivered to home tanks or hauled from the watering point. Major improvements are under construction for a water haul and vacuum sewer system. A new washeteria and water treatment plant are nearing completion. Archaeological remains were discovered while excavating for the new system. The City would like to purchase an incinerator with waste heat recovery to reduce the volume of refuse.

RUBA Activity This Quarter

RUBA staff received a call from the clerk who needed assistance completing the Certified Financial Statement for 02. I had her email it to me. Once I reviewed it and received the profit and loss info, I assisted her in completing and correcting their June 30, 2002, monthly financial report.

A trip to provide on-site assessment and assistance was planned but no council quorum was available for the dates scheduled.

The Mayor called and asked for the onsite training and assistance to be rescheduled in January.

Capacity Indicators

Finances

Essential Indicators

- | Yes | No | |
|-------------------------------------|--------------------------|--|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | All revenue and expenses for the utility are listed in the utility budget. |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | The utility has adopted a balanced realistic budget. |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Monthly financial reports are prepared and submitted to the policy making body. |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | The utility is receiving revenues (user fees or other sources) sufficient to cover operating expenses. |

Sustainable Indicators

- | | | |
|-------------------------------------|-------------------------------------|---|
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | The utility is receiving revenues (user fees or other sources) sufficient to cover operating expenses and Repair & Replacement (R&R) costs. |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | YTD revenues are at a level equal to or above those budgeted. |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | YTD expenditures are at a level equal to or below those budgeted. |

- A monthly manager’s report is prepared.
- Budget amendments are completed and adopted as necessary.

Finances Comments: Although the clerk was on extensive leave due to family problems, she was able to keep up with her monthly financial reports.

Accounting Systems

Essential Indicators

- The utility meets all essential indicators.**
-

Sustainable Indicators

- The utility meets all sustainable indicators.

Accounting Comments: No problems.

Tax Problems

Essential Indicators

Yes No NA

- The utility has a system to accurately calculate, track, and report payroll tax liabilities.**
- The utility is current on filing tax reports.**
- The utility is current on making tax deposits.**
- If there are any past tax liabilities, a repayment agreement has been signed and repayments are current.**

Tax Problems Comments: No tax problems.

Personnel System

Essential Indicators

Yes No

- The utility has a posted workers compensation insurance policy in effect.**
-

Sustainable Indicators

- The utility has adopted and uses a Personnel Policy, which has been reviewed by an attorney, AML, or DCED for topics and language.
- The utility has adequate written job descriptions for all positions.
- The utility has adopted and follows a written personnel evaluation process that ties the job description to the evaluation.
- The utility has an adequate written hiring process.
- The utility has personnel folders on every employee that contain at least: I-9, Job Application and Letter of Acceptance.
- The utility has a probationary period for new hires that includes orientation, job-training/oversight, and evaluations.
- The utility provides training opportunities to staff as needed and available.

Personnel System Comments: No problems.

Organizational Management

Essential Indicators

- | Yes | No | |
|-------------------------------------|--------------------------|--|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | The entity that owns the utility is known and the entity that will operate the utility is set. |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | The policy making body is active in policy making of the utility. |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | The policy making body enforces utility policy. |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | The utility has an adequately trained manager. |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | The utility has an adequately trained bookkeeper. |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | The utility has an adequately trained operator(s). |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | The utility has adopted the necessary ordinances (or rules and regulations) necessary to give it the authority to operate. |

Sustainable Indicators

- | | | |
|-------------------------------------|-------------------------------------|--|
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | The utility has adopted an organizational chart that reflects the current structure. |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | The policy making body meets as required. |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | The utility complies with the open meeting act for all meetings. |

Organizational Management Comments: Still need to have the council review options for administrator.

Operation of Utility

Essential Indicators

- | Yes | No | |
|-------------------------------------|--------------------------|---|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | The utility operator(s) are actively working towards necessary certification. |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | The utility has a preventative maintenance plan developed for the existing sanitation facilities. |

Sustainable Indicators

- | | | |
|-------------------------------------|-------------------------------------|---|
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | The manager receives a monthly O&M report from the utility operator and routinely “spot checks” the facilities to see that the maintenance items are being completed. |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | The utility has a safety manual and holds safety meetings. |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | Utility facilities have not suffered any major problems/outages due to management issues that are still unresolved. |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | The utility is operating at the level of service that was proposed. |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | The operator provides status reports to the manager on a routine basis. |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | The utility has completed and distributed its <u>Community Confidence Report</u> (CCR). |
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | The utility is not on the <u>Significant Non-Compliance</u> (SNC) list. |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | The utility maintains an inventory control list. |
| <input checked="" type="checkbox"/> | <input type="checkbox"/> | The utility maintains a critical spare parts list. |

Operation of Utility Comments: The City has terminated their long-term water plant operator. Hopefully they will have hired one by the time I get to Deering in January.

RUBA Activities For The Coming Quarter

The Kotzebue RUBA staff is still trying to schedule travel to Deering this next quarter to provide on-site training and assistance to the council on utility roles and responsibilities, review their current organizational structure, and approve a new organizational chart. Margaret will also review their current rate charged and encourage them to save funds in an account for repair and replacement. RUBA staff will also provide them with their options to hire an administrator or have a strong Mayor form of government since they have not been successful for the last year and a half.