Seldovia Bay Activity Report

Community Overview

Lead RUBA Staff: Greg Gould, Anchorage Office

2003 Population: 300

Region: Kenai Peninsula

Local Governments: 1st Class City

Water is derived from the Fish Creek Reservoir, is treated, stored in a tank, and distributed via water mains. Sewage is piped to a community septic tank for primary treatment, then discharges to an ocean outfall. 175 homes and facilities are served by the system; all homes are completely plumbed. Individual wells have been unable to produce potable water. Funds have been requested to provide water and on-site septic tanks to the households with wells, and to protect the community ground water quality. Funds have also been requested to expand the system to areas for new development. A Borough-operated landfill is available.

RUBA Activity This Quarter

RUBA staff made one trip to the community this quarter. The community requested assistance in working through a conflict of interest question as it related to a council member.

Capacity Indicators

Finances

Essential Indicators

Yes	No	
☒	☐	All revenue and expenses for the utility are listed in the utility budget.
☒	☐	The utility has adopted a balanced realistic budget.
☒	☐	Monthly financial reports are prepared and submitted to the policy making body.
☒	☐	The utility is receiving revenues (user fees or other sources) sufficient to cover operating expenses.

Sustainable Indicators

☐	☒	The utility is receiving revenues (user fees or other sources) sufficient to cover operating expenses and Repair & Replacement (R&R) costs.
☒	☐	YTD revenues are at a level equal to or above those budgeted.
☒	☐	YTD expenditures are at a level equal to or below those budgeted.
☒	☐	A monthly manager's report is prepared.
☒	☐	Budget amendments are completed and adopted as necessary.

Finances Comments:

The community operates at a fairly high level of capacity with a long time bookkeeper and a city manager. The system remains stable.

Accounting Systems

Essential Indicators

Yes	No	
☒	☐	The utility has adopted a collection policy and actively follows it.
☒	☐	The utility bills customers on a regular basis.
☒	☐	An accounts receivable system is in place which track customers and reports past due accounts and amounts.
☒	☐	An accounts payable system is in place.
☒	☐	The payroll system correctly calculates payroll and keeps records
☒	☐	A cash receipt system is in place that records incoming money and what it was for.
☒	☐	The Utility has a cash disbursement system that records how money was spent.

Sustainable Indicators

☒	☐	A chart of accounts is used that identifies categories in a reasonable, usable manner.
☒	☐	Monthly bank reconciliations have been completed for all utility accounts.
☒	☐	The utility has a purchasing system that requires approval prior to purchase, and the approval process compares proposed purchases to budgeted amounts.

Accounting Comments:

The community continues to use the QuickBooks system after the full accounting system conversion from DCED.

Tax Problems

Essential Indicators

Yes	No	NA	
☒	☐		The utility has a system to accurately calculate, track, and report payroll tax liabilities.
☒	☐		The utility is current on filing tax reports.
☒	☐		The utility is current on making tax deposits.
☐	☐	☒	If there are any past tax liabilities, a repayment agreement has been signed and repayments are current.

Tax Problems Comments:

There are no known tax issues.

Personnel System

Essential Indicators

Yes	No	
☒	☐	The utility has a posted workers compensation insurance policy in effect.

Sustainable Indicators

☒	☐	The utility has adopted and uses a Personnel Policy, which has been reviewed by an attorney, AML, or DCED for topics and language.
☒	☐	The utility has adequate written job descriptions for all positions.
☒	☐	The utility has adopted and follows a written personnel evaluation process that ties the job description to the evaluation.
☒	☐	The utility has an adequate written hiring process.
☒	☐	The utility has personnel folders on every employee that contain at least: I-9, Job Application and Letter of Acceptance.
☒	☐	The utility has a probationary period for new hires that includes orientation, job-training/oversight, and evaluations.
☒	☐	The utility provides training opportunities to staff as needed and available.

Personnel System Comments:

The city has adequate policies and descriptions for city positions.

Organizational Management

Essential Indicators

Yes	No	
☒	☐	The entity that owns the utility is known and the entity that will operate the utility is set.
☒	☐	The policy making body is active in policy making of the utility.
☒	☐	The policy making body enforces utility policy.
☒	☐	The utility has an adequately trained manager.
☒	☐	The utility has an adequately trained bookkeeper.
☒	☐	The utility has an adequately trained operator(s).
☒	☐	The utility has adopted the necessary ordinances (or rules and regulations) necessary to give it the authority to operate.

Sustainable Indicators

☐	☒	The utility has adopted an organizational chart that reflects the current structure.
☒	☐	The policy making body meets as required.
☒	☐	The utility complies with the open meeting act for all meetings.

Organizational Management Comments:

The city structure has remained stable since the merging of the clerk and treasurer positions.

Operation of Utility

Essential Indicators

Yes	No	
☒	☐	The utility operator(s) are actively working towards necessary certification.
☒	☐	The utility has a preventative maintenance plan developed for the existing sanitation facilities.

Sustainable Indicators

☒	☐	The manager receives a monthly O&M report from the utility operator and routinely "spot checks" the facilities to see that the maintenance items are being completed.
☐	☒	The utility has a safety manual and holds safety meetings.
☒	☐	Utility facilities have not suffered any major problems/outages due to management issues that are still unresolved.
☒	☐	The utility is operating at the level of service that was proposed.
☒	☐	The operator provides status reports to the manager on a routine basis.
☒	☐	The utility has completed and distributed its Community Confidence Report (CCR).
☒	☐	The utility is not on the Significant Non-Compliance (SNC) list.
☐	☒	The utility maintains an inventory control list.
☐	☒	The utility maintains a critical spare parts list.

Operation of Utility Comments:

The facility is a fairly simple system using filtration by avoidance. Water tests are done very frequently and submitted to DEC.

RUBA Activities For The Coming Quarter

Phone and fax assistance will be provided as requested.