



MEMORANDUM

DATE: July 12, 2017
 TO: Alaska State Board of Registration for Architects, Engineers and Land Surveyors
 THRU: Angela Birt, Chief Investigator *AB*
 FROM: John Savage, Investigator
 RE: Investigative Report for the August 3-4, 2017, Meeting

The following information was compiled as an investigative report to the Board for the period of April 11, 2017 through July 12, 2017. This report includes all investigations, complaints, and intake matters handled since the last report. The Division **opened 4 matters and closed 18 matters. 13 matters** remain on-going and under active investigation or are pending litigation (as indicated by italics).

Matters opened by the Paralegal in Juneau, regarding continuing education audits and license action resulting from those matters are not covered in this report.

<u>CASE #</u>	<u>OPENED</u>	<u>COMPLAINT/INVESTIGATION</u>	<u>PROFESSION</u>
2015-001629	09/02/2015	Unlicensed practice or activity	CORPORATE AUTH
2016-000923	07/06/2016	Violation of licensing regulation	ENGINEER
2016-000933	07/07/2016	Unlicensed practice or activity	ARCHITECT
2016-001295	10/25/2016	Unprofessional conduct	ENGINEER
2016-001300	10/26/2016	Unlicensed practice or activity	ELECTRICAL ENGINEER
2016-001384	12/02/2016	Unlicensed practice or activity	CORP AUTH
2016-001387	12/05/2016	Unlicensed practice or activity	LAND SURVEYOR
2016-001389	12/05/2016	Unlicensed practice or activity	CORP AUTH
2016-001391	12/06/2016	Unlicensed practice or activity	CORP AUTH
2017-000084	01/27/2017	Violation of licensing regulation	ENGINEER
2017-000138	02/14/2017	Unethical conduct	ENGINEER
2017-000232	03/10/2017	Unlicensed practice or activity	LAND SURVEYOR
2017-000332	03/24/2017	Violation of licensing regulation	ARCHITECT

OPEN: TOTAL = 13 (does not include intakes)

INVESTIGATIVE ACTIONS CLOSED SINCE LAST MEETING

2015-001702	Closed-Investigation	Advisement Letter
2016-000799	Closed-Investigation	Advisement Letter
2016-001307	Closed-Investigation	Advisement Letter
2016-001321	Closed-Investigation	Advisement Letter
2016-001395	Closed-Investigation	Advisement Letter
2016-001470	Closed-Investigation	Advisement Letter
2017-000008	Closed-Investigation	Advisement Letter
2017-000080	Closed-Investigation	Advisement Letter
2017-000130	Closed-Investigation	No Action - No Violation
2017-000141	Closed-Investigation	No Action - No Violation
2017-000147	Closed-Investigation	No Action - No Violation
2017-000191	Closed-Investigation	Advisement Letter
2017-000222	Closed-Investigation	Advisement Letter
2017-000402	Closed-Investigation	Advisement Letter
2017-000477	Closed-Investigation	No Action - No Violation

CLOSED TOTAL = 15 *(does not include intakes)*

INTAKES OPENED OR CLOSED

STATUS

2017-000288	Closed-Intake
2017-000644	Closed-Intake
2017-000651	Closed-Intake

PROBATION CASES: TOTAL = 0

END OF REPORT



THE STATE
of ALASKA
GOVERNOR BILL WALKER

Department of Commerce, Community,
and Economic Development

ALASKA REAL ESTATE COMMISSION

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SAMPLE

AELS Board Member Review

CONFIDENTIAL

Deliberative Process

DATE:

TO: Reviewing Board Member
Architect, Engineer, and Land Surveyor (AELS) Board

FROM: John R. Savage, Investigator

SUBJECT: Review of Case No.

This complaint, including the attached records and material, is provided to you as a licensed member of the AELS Board to review complaints. Your review is necessary to assist the Division in determining violations, appropriate action or if further review may be necessary.

Please provide written answers to the following questions.

1. Do you have any personal or professional conflict relating to this matter which would preclude you from reviewing this file?
2. Do you feel this persons actions cause them to be in violation of AELS Statutes or Regulations based on your review?

Yes ___ No ___ If your answer is yes, please cite the specific violation.

3. If a violation was committed, please indicate what you believe is the appropriate action:
(Letter of Advisement, Training in Lieu, Civil Fine, Consent Agreement, Voluntary Surrender, License Suspension, License Revocation)

4. Do you feel this matter should be reviewed by another Board member or an expert?

Yes ____ No ____ . If your answer is yes, please explain why.

5. Did your review of this matter raise any other concerns jurisdictional to the AELS Board which you believe require further attention?

Yes ____ No ____ . If your answer is yes, please describe.

AELS Board Member Printed Name

Date

Signature

SAMPLE