

Department of Commerce, Community, and Economic Development

DIVISION OF CORPORATIONS, BUSINESS AND PROFESSIONAL LICENSING

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MEMORANDUM

DATE:

January 15, 2014

TO:

Alaska State Board of Registration for Architects, Engineers, and Land Surveyors

THRU:

Quinten Warren, Chief Investigator

FROM:

John Savage, Investigator

RE:

Investigative Report for the January 30-31, 2014, meeting.

The following information was compiled as an investigative report to the Board for the period from October 24, 2013, through January 15, 2014. Including cases, complaints, and intake matters, since the last report, the Investigator opened 44 files and closed 39 AELS Board matters.

OPEN Cases and Complaints: 29

Case #	Date Opened	Complaint	<u>Profession</u>
2011-000214	02/17/2011	Unlicensed practice or activity	Corp. Authorization
2011-000369	03/31/2011	Violation of licensing regulation	Engineer
2011-000546	06/08/2011	Unlicensed practice or activity	Corp. Authorization
2011-000965	10/24/2011	Unlicensed practice or activity	Corp. Authorization
2011-000966	10/24/2011	Unlicensed practice or activity	Architect
2011-000968	10/24/2011	Unlicensed practice or activity	Engineer
2011-000990	10/28/2011	Unprofessional Conduct	Land Surveyor
2011-000982	12/01/2011	Unlicensed practice or activity	Engineer
2011-001134	12/06/2011	Violation of licensing regulation	Engineer
2011-001181	03/29/2012	Fraud or Misrepresentation	Land Surveyor
2012-000838	08/15/2012	Incompetence	Engineer
2012-001324	11/21/2012	Violation of Licensing Regs	Engineer
2012-001328	11/21/2012	Violation of Professional Ethics	Engineer
2012-001598	01/02/2013	Unlicensed practice or activity	Land Surveyor

OPEN Cases and Complaints: (Cont.)

2013-001710	08/15/2013	Violation of Licensing Regs	Architect
2013-002012	10/15/2013	Unlicensed practice or activity	Engineer
2013-002016	10/15/2013	Violation of Licensing Regs	Corp. Authorization
2013-002020	10/15/2013	Falsified Application	Engineer
2013-002021	10/17/2013	Violation of Licensing Regs	Engineer
2013-002034	10/22/2013	Violation of Licensing Regs	Engineer
2013-002036	10/22/2013	Violation of Licensing Regs	Engineer
2013-002037	10/22/2013	Violation of Licensing Regs	Engineer
2013-002046	10/24/2013	Unlicensed practice or activity	Corp. Authorization
2013-002258	11/21/2013	Violation of licensing regulation	Engineer
2013-002331	12/05/2013	Unlicensed practice or activity	Engineer
2013-002346	12/11/2013	Negligence	Engineer
2013-002354	12/11/2013	Violation of licensing regulation	Engineer
2013-002386	12/18/2013	Violation of licensing regulation	Architect
2013-002412	12/23/2013	Unlicensed practice or activity	Engineer

CLOSED Cases and Complaints: 39

Case #	End Date	Case Status	Closure	Profession
2013-001945	11/20/2013	Closed-Investigation	No Action-No Violation	Engineer
2013-002039	10/28/2013	Closed-Complaint	No Action-No Violation	Engineer
2013-002042	10/28/2013	Closed-Complaint	No Action-No Violation	Engineer
2013-002043	10/28/2013	Closed-Complaint	No Action-No Violation	Engineer
2013-002064	10/29/2013	Closed Complaint	No Action-No Violation	Engineer
2013-002091	11/21/2013	Closed-Investigation	No Action-No Violation	Land Surveyor
2013-002093	10/31/2013	Closed-Complaint	No Action-No Violation	Engineer
2013-002272	12/04/2013	Closed-Complaint	No Action-No Violation	Engineer
2013-002289	12/02/2013	Closed-Complaint	No Action-No Violation	Engineer
2013-002297	12/04/2013	Closed-Complaint	No Action-No Violation	Engineer
2013-002301	12/04/2013	Closed-Complaint	No Action-No Violation	Engineer
2013-002343	12/12/2013	Closed-Complaint	No Action-No Violation	Engineer
2013-002345	12/12/2013	Closed-Complaint	No Action-No Violation	Land Surveyor
2013-002394	12/19/2013	Closed-Complaint	No Action-No Violation	Engineer
2013-002402	12/19/2013	Closed-Complaint	No Action-No Violation	Engineer

Case # 2013-002407	End Date 12/23/2013	Case Status Closed-Investigation	Closure Advisement Letter	Profession Engineer
2013-002441	12/27/2013	Closed-Complaint	No Action-No Violation	Engineer
2013-002445	01/03/2014	Closed-Complaint	No Action-No Violation	Engineer
2013-002450	01/03/2014	Closed-Complaint	No Action-No Violation	Engineer
2013-002453	01/03/2014	Closed-Complaint	No Action-No Violation	Land Surveyor
2013-002454	01/03/2014	Closed-Complaint	No Action-No Violation	Engineer
2014-000002	01/06/2014	Closed-Complaint	No Action-No Violation	Engineer
2014-000006	01/06/2014	Closed-Complaint	No Action-No Violation	Engineer
2014-000030	01/06/2014	Closed-Complaint	No Action-No Violation	Engineer
2014-000031	01/06/2014	Closed-Complaint	No Action-No Violation	Engineer
2014-000032	01/08/2014	Closed-Complaint	No Action-No Violation	Engineer
2014-000033	01/08/2014	Closed-Complaint	No Action-No Violation	Engineer
2014-000034	01/08/2014	Closed-Complaint	No Action-No Violation	Architect
2014-000036	01/08/2014	Closed-Complaint	No Action-No Violation	Engineer
2014-000037	01/08/2014	Closed-Complaint	No Action-No Violation	Engineer
2014-000040	01/08/2014	Closed-Complaint	No Action-No Violation	Architect
2014-000044	01/14/2014	Closed-Complaint	No Action-No Violation	Land Surveyor
2014-000048	01/14/2014	Closed-Complaint	No Action-No Violation	Land Surveyor
2014-000050	01/14/2014	Closed-Complaint	No Action-No Violation	Engineer
2014-000056	01/14/2014	Closed-Complaint	No Action-No Violation	Land Surveyor
2014-000060	01/14/2014	Closed-Complaint	No Action-No Violation	Land Surveyor
2014-000064	01/14/2014	Closed-Complaint	No Action-No Violation	Engineer
2014-000066	01/14/2014	Closed-Complaint	No Action-No Violation	Engineer
2014-000070	01/14/2014	Closed-Complaint	No Action-No Violation	Engineer

Draft Filings Pending Attorney General Action:

- 104-09-001 AG Review (01-18-2011) Allegation that Land Surveyor conducted a recorded survey which was in error and caused the loss of an adjacent land owner's property. (assigned to AAG Silvey).
- 107-09-001 AG Review (01-18-2011) Allegation that Corporation has been practicing engineering without proper licensure and the responsible charge has previously violated this statute. (Assigned to AAG Silvey).