DATE: November 3, 2005

TO: Board of Registration for Architects, Engineers, and Land Surveyors

THROUGH: Richard C. Younkins, Chief Investigator

FROM: John Clark, Investigator

SUBJECT: Investigative Report for the November 17 and 18, 2005, meeting. This report reflects

the status of investigative files up to and including October 31, 2005.

OPEN CASES

<u>OPEN CASES</u>		
	0100-05-3	Information concerning an architect being subject to license revocation in another jurisdiction. (Opened 09/13/05)
	0100-05-4	Allegation that an architect violated a provision of 12 AAC 36. (Opened 09/27/05)
	0100-05-5	Allegation that an architect sealed and signed engineering drawings. (Opened 10/20/05)
	0100-05-6	Allegation that an architect sealed and signed engineering drawings. (Opened 10/27/05)
	0101-03-6	Allegation that an unlicensed person worked as an architect. (Opened 04/03/03)
	0101-03-9	Allegation that an unlicensed person worked as an architect. (Opened 04/21/03)
	0101-03-10	Allegation that an unlicensed person worked as an architect. (Opened 04/22/03)
	0101-03-11	Allegation that a civil engineer worked as an architect. (Opened 04/29/03)
	0101-03-13	Allegation that an unlicensed individual worked as an architect. (Opened 09/11/03)
	0101-03-15	Allegation that an unlicensed person worked as an architect. (Opened 09/23/03)
	0101-04-2	Allegation that an unlicensed person made architectural decisions on a commercial remodeling project. (Opened 08/30/04)
	0101-05-1	Allegation that an unlicensed person made design decisions on a commercial project. (Opened 05/12/05)
	0102-02-4	Allegation that an engineer was not competent in the design of a structure. (Opened 12/05/02)
	0102-03-1	Allegation that a civil engineer worked outside the scope of his license. (Opened 04/04/03)
	0102-03-4	Allegation that an engineer did not properly sight adapt design documents. (Opened 12/03/03)
	0102-04-2	Allegation that an engineer was incompetent. (Opened 05/25/04)
	0102-04-3	Allegation that an engineer inappropriately sealed plans as a land surveyor. (Opened 07/19/04)
	0102-04-17	Allegation that a licensed engineer violated a provision of 12 AAC 36. (Opened 11/03/04)
	0102-04-25	Allegation that an engineer performed design work beyond the scope of licensure. (Opened 12/08/04)
	0102-05-2	Allegation that an engineer performed design work beyond the scope of licensure. (Opened 05/31/05)

0102-05-4	Allegation that an engineer sealed and signed drawings where the work was done by others and without being in responsible charge of the work. (Opened 07/07/05)
0102-05-5	Allegation that an engineer certified a septic system as operational when the system was installed backwards. (Opened 07/18/05)
0102-05-6	Allegation that an engineer sealed and signed as-built drawings for a waste-water system that did not accurately depict how the system was built. (Opened 08/01/05)
0102-05-7	Allegation that a licensed engineer violated a provision of 12 AAC 36. (Opened 09/27/05)
0102-05-8	Allegation that a licensed engineer violated a provision of 12 AAC 36. (Opened 09/27/05)
0102-05-9	Allegation that a licensed engineer violated a provision of 12 AAC 36. (Opened 09/27/05)
0102-05-10	Allegation that a licensed engineer violated a provision of 12 AAC 36. (Opened 09/27/05)
0103-03-5	Allegation that an unlicensed person represented himself as an engineer. (Opened 12/19/03)
0103-04-1	Allegation that an unlicensed person worked as an engineer on a project in Alaska. (Opened 02/25/04)
0103-04-2	Allegation that an individual was advertising to provide engineering services. (Opened 05/14/04)
0103-04-4	Allegation of unlicensed engineering. (Opened 08/26/04)
0103-04-5	Allegation of unlicensed engineering. (Opened 08/26/04)
0103-04-6	Allegation of an unlicensed individual making engineering decisions. (Opened 09/27/04)
0103-04-7	Allegation of an unlicensed individual making engineering decisions. (Opened 09/27/04)
0103-04-8	Allegation of an unlicensed individual making engineering decisions. (Opened 09/27/04)
0103-05-2	Allegation that an individual engaged in work falling under the definition of engineering without being registered in Alaska. (Opened 04/12/05)
0104-02-5	Allegation that a land surveyor's work is below minimum professional standards. (Opened November 21, 2002)
0104-05-1	Allegation that a land surveyor's work was substandard and delaying the client's project. (Opened 04/28/05)
0104-05-2	Allegation that a land surveyor illegally entered property during the course of surveying an adjacent property. (Opened 08/30/05)
0105-05-1	Allegation that an engineer performed work as a land surveyor without being licensed. (Opened 07/01/05)
0107-03-1	Allegation that a corporation offered engineering services without being registered as an AELS corporation. (Opened 05/06/03)
0107-04-2	Allegation that a corporation practiced engineering in Alaska without being registered as an AELS corporation. (Opened 07/16/04)

- O107-04-5 Allegation of a corporation practicing engineering in Alaska without having a corporate registration. (Opened 08/24/04)
 O107-04-7 Allegation of a corporation practicing architecture in Alaska without having a corporate
- registration. (Opened 09/28/04)
- 0107-04-8 Allegation of a corporation practicing architecture in Alaska without having a corporate registration. (Opened 11/03/04)
- O107-04-9 Allegation of a corporation practicing architecture in Alaska without having a corporate registration. (Opened 12/16/04)
- 0107-05-3 Allegation of a corporation practicing engineering in Alaska without having a corporate registration. (Opened 04/13/05)
- O107-05-5 Allegation of a corporation practicing engineering in Alaska without having a corporate registration. (Opened 10/26/05)
- 0107-05-6 Allegation of a corporation practicing engineering in Alaska without having a corporate registration. (Opened 04/13/05)
- 0108-04-1 Allegation that a landscape architect violated a provision of 12 AAC 36. (Opened 11/04/04)
- 0152-04-1 Allegation that an applicant was not truthful on an application. (Opened 07/08/04)
- 0152-05-1 Applicant was denied and requested a hearing. (Opened 03/10/04)

DRAFT FILINGS PENDING ATTORNEY GENERAL ACTION

0105-02-2 Allegation of land surveying by an unregistered individual. (Opened 10/29/02)

MEMORANDUM OF AGREEMENTS PENDING

- O104-02-4 Allegation that a land surveyor's work was below minimum professional standards on a project. (Opened November 19, 2002)
- O104-03-5 Allegation that a land surveyor improperly placed a monument causing a property line to become clouded. (Opened 11/28/03)
- O104-04-1 Allegation that a land surveyor's work was substandard causing a property line to become clouded. (Opened 04/01/04)

CEASE AND DESIST ORDERS ISSUED

- O101-03-8 Allegation that Mike Tauriainen, a Civil Engineer, worked as an architect on by doing the architectural design for a new building. Investigation established that Tauriainen did architectural work in the process of doing the design work for the project. A cease and desist order was sent to Tauriainen, who has requested a hearing on the matter. (Opened 04/08/03)
- O102-03-3 Allegation that Mike Tauriainen, a Civil Engineer, worked outside the scope of education, training and licensure by doing architectural design work on a building. Investigation established that Tauriainen's design work exceeded the scope of his license as a civil engineer. A cease and desist order was sent to Tauriainen, who has requested a hearing on the matter. (Opened 04/08/03)

OTHER CASES CLOSED:

O100-04-2 Allegation that a licensed architect violated a provision of 12 AAC 36. Investigation verified the violation occurred. An advisory letter was sent to the architect. This closure was coordinated with AELS Board Member Kenneth Maynard. (Closed 08/08/05)

- 0100-04-3 Allegation that a licensed architect violated a provision of 12 AAC 36. Investigation verified the violation occurred. An advisory letter was sent to the architect. This closure was coordinated with AELS Board Member Kenneth Maynard. (Closed 08/09/05)
- 0100-04-4 Allegation that a licensed architect violated a provision of 12 AAC 36. Investigation verified the violation occurred. An advisory letter was sent to the architect. This closure was coordinated with AELS Board Member Kenneth Maynard. (Closed 08/10/05)
- 0100-04-5 Allegation that a licensed architect violated a provision of 12 AAC 36. Investigation verified the violation occurred. An advisory letter was sent to the architect. This closure was coordinated with AELS Board Member Kenneth Maynard. (Closed 08/11/05)
- Allegation that a licensed architect violated a provision of 12 AAC 36. Investigation verified the violation occurred. An advisory letter was sent to the architect. This closure was coordinated with AELS Board Member Kenneth Maynard. (Closed 08/11/05)
- O102-04-4 Allegation that a licensed engineer violated a provision of 12 AAC 36. Investigation verified the violation occurred. An advisory letter was sent to the engineer. This closure was coordinated with AELS Board Member Donald Iverson. (Closed 08/25/05)
- Allegation that a licensed engineer violated a provision of 12 AAC 36. Investigation verified the violation occurred. An advisory letter was sent to the engineer. This closure was coordinated with AELS Board Member Donald Iverson. (Closed 08/17/05)
- 0102-04-6 Allegation that a licensed engineer violated a provision of 12 AAC 36. Investigation verified the violation occurred. An advisory letter was sent to the engineer. This closure was coordinated with AELS Board Member Donald Iverson. (Closed 08/19/05)
- O102-04-7 Allegation that a licensed engineer violated a provisions of AS 08.48 and 12 AAC 36. Investigation verified the violation occurred. An advisory letter was sent to the engineer. This closure was coordinated with AELS Board Member Kenneth Maynard. (Closed 08/26/05)
- O102-04-8 Allegation of unlicensed activity on the part of an engineer previously licensed in Alaska. The allegation was founded. The engineer took immediate steps to renew his license. An advisory letter was sent to the engineer. This closure was coordinated with AELS Board Member Robert Gilfilian. (Closed 10/31/05)
- O102-04-9 Allegation that a licensed engineer violated a provisions of AS 08.48 and 12 AAC 36. Investigation verified the violation occurred. An advisory letter was sent to the engineer. This closure was coordinated with AELS Board Member Kenneth Maynard. (Closed 09/13/05)
- Allegation that a licensed engineer violated a provisions of AS 08.48 and 12 AAC 36. Investigation verified the violation occurred. An advisory letter was sent to the engineer. This closure was coordinated with AELS Board Member Kenneth Maynard. (Closed 09/13/05)
- O102-04-11 Allegation that a licensed engineer violated a provisions of AS 08.48 and 12 AAC 36. Investigation verified the violation occurred. An advisory letter was sent to the engineer. This closure was coordinated with AELS Board Member Kenneth Maynard. (Closed 09/14/05)
- 0102-04-12 Allegation that a licensed engineer violated a provision of 12 AAC 36. Investigation verified the violation occurred. An advisory letter was sent to the engineer. This closure was coordinated with AELS Board Member Kenneth Maynard. (Closed 09/14/05)
- 0102-04-13 Allegation that a licensed engineer violated a provision of 12 AAC 36. Investigation verified the violation occurred. An advisory letter was sent to the engineer. This closure was coordinated with AELS Board Member Kenneth Maynard. (Closed 09/13/05)

- 0102-04-14 Allegation that a licensed engineer violated a provision of 12 AAC 36. Investigation verified the violation occurred. An advisory letter was sent to the engineer. This closure was coordinated with AELS Board Member Kenneth Maynard. (Closed 09/15/05)
- 0102-04-15 Allegation that a licensed engineer violated a provision of 12 AAC 36. Investigation verified the violation occurred. An advisory letter was sent to the engineer. This closure was coordinated with AELS Board Member Kenneth Maynard. (Closed 09/15/05)
- 0102-04-16 Allegation that a licensed engineer violated a provision of 12 AAC 36. Investigation verified the violation occurred. An advisory letter was sent to the engineer. This closure was coordinated with AELS Board Member Kenneth Maynard. (Closed 09/15/05)
- 0102-04-18 Allegation that a licensed engineer violated a provision of 12 AAC 36. Investigation verified the violation occurred. An advisory letter was sent to the engineer. This closure was coordinated with AELS Board Member Kenneth Maynard. (Closed 10/03/05)
- 0102-04-19 Allegation that a licensed engineer violated a provision of 12 AAC 36. Investigation verified the violation occurred. An advisory letter was sent to the engineer. This closure was coordinated with AELS Board Member Kenneth Maynard. (Closed 10/03/05)
- 0102-04-20 Allegation that a licensed engineer violated a provision of 12 AAC 36. Investigation verified the violation occurred. An advisory letter was sent to the engineer. This closure was coordinated with AELS Board Member Kenneth Maynard. (Closed 10/03/05)
- 0102-04-21 Allegation that a licensed engineer violated a provision of 12 AAC 36. Investigation verified the violation occurred. An advisory letter was sent to the engineer. This closure was coordinated with AELS Board Member Kenneth Maynard. (Closed 10/03/05)
- 0102-04-22 Allegation that a licensed engineer violated a provision of 12 AAC 36. Investigation verified the violation occurred. An advisory letter was sent to the engineer. This closure was coordinated with AELS Board Member Kenneth Maynard. (Closed 10/03/05)
- 0102-04-23 Allegation that a licensed engineer violated a provision of 12 AAC 36. Investigation verified the violation occurred. An advisory letter was sent to the engineer. This closure was coordinated with AELS Board Member Kenneth Maynard. (Closed 10/31/05)
- 0102-04-24 Allegation that a licensed engineer violated a provision of 12 AAC 36. Investigation verified the violation occurred. An advisory letter was sent to the engineer. This closure was coordinated with AELS Board Member Kenneth Maynard. (Closed 10/31/05)
- O102-05-1 Allegation that an engineer worked below minimum professional standards. The plans were revised and they addressed the original concern. This closure was coordinated with AELS Board Member Craig Fredeen. (Closed 9/12/05)
- 0104-03-3 Allegation that a professional land surveyor was negligent regarding an as-built.

 Insufficient evidence to determine if land surveyor signed the as –built in question. The closure was coordinated with AELS Board Member Richard Heieren. (Closed 9/20/05)
- O107-04-1 Allegation that a corporation advertised to provide engineering services without being registered as an AELS corporation. An advisory letter was sent to the corporation. This closure was coordinated with AELS Board Member Craig Fredeen. (Closed 9/21/05)
- O107-05-4 Allegation of a corporation practicing engineering in Alaska without having a corporate registration. Investigation verified the violation occurred. The corporation took immediate steps to become licensed. This closure was coordinated with AELS Board Member Harley Hightower. (Closed 09/26/05)