

Annual Report

Fiscal Year 2015

BOARD OF CERTIFIED DIRECT-ENTRY MIDWIVES

July 2015



DIVISION OF CORPORATIONS, BUSINESS AND PROFESSIONAL LICENSING

This Annual Performance Report is presented in accordance with Alaska Statute 08.01.070(1) and Alaska Statute 37.07.080(b). Its purpose is to report the accomplishments, activities, and the past and present needs of the licensing program.

**CERTIFIED DIRECT-ENTRY MIDWIVES
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IDENTIFICATION OF BOARD**

<u>Board Member</u>	<u>Date Appointed</u>	<u>Term Expires</u>
Cheryl Corrick Certified Direct-Entry Midwife	March 1, 2008	March 1, 2016
Peggy Downing, MD Physician	May 31, 2007	March 1, 2015
Deborah Schneider Certified Direct-Entry Midwife	March 15, 2012	March 1, 2016
Jennifer Swander Public Member	March 1, 2014	March 1, 2018
Sara Taygan Certified Direct-Entry Midwife	March 1, 2013	March 1, 2017

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IDENTIFICATION OF STAFF

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**CERTIFIED DIRECT-ENTRY MIDWIVES
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NARRATIVE STATEMENT**

The CDM Board in 2014-2015 completed a variety of activities:

This year the Board issued 2 new CDM licenses, reinstated 0 , and issued 8 new apprentice permits.

Several proposed regulation changes, mostly concerning scope of practice, are in the process of being finalized summer 2015.

October 2-3, 2014 Investigations Report: April 24 through September 30, 2014, since last report, the Division opened 1 file and closed 1 Board matter, leaving 12 open investigations. Goal to have any case since 2010 to 2013 closed by end of the year. Board was informed about changes within the Division by new Chief Investigator Angela Birt.

February 12-13, 2015 Investigations Report: September 30, 2014 through January 1, 2015, includes 14 files opened, 14 closed and 11 ongoing. Four files which had been moved to the Attorney General's office were set to be reviewed. One file is still with OSPA for unlicensed practice. Ms. Birt assured the Board that the division is working with the legislative audit recommendations. The Board asked Investigations to send any complaints they were not obligated to pursue to Peer Review as a way of educating or mentoring the midwives involved.

Administrative Officer Martha Hewlett and Director Sara Chambers explained the fiscal report and advised the Board concerning the current deficit.

The Board's goal of supporting legislation to move "required practices" from statute to regulation was accomplished in 2014, and the Governor signed SB 156 on July 25.

The Board continued to revise a disciplinary action matrix in order to communicate to licensees the consequences for not following statutes and regulations and also to assist investigations in case management.

The Board continued to correspond with Health and Social Services concerning the Medicaid audit, regarding the infant care and the apprentice issue, and also the Alaska Medical Board and Bartlett Hospital stating their understanding of Alaska Law for CDM's.

The Board designated Midwives Association of Alaska as the continuing Peer Review committee which will facilitate confidential peer review for all CDM's.

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BUDGET RECOMMENDATIONS FOR FY 2016

TRAVEL REQUESTS: Rank in order of the board's priority. Provide the best estimates based on past actuals and seasonal trends in travel, such as summer hotel rooms or increased fuel costs. Calculate on full costs and note any third-party fiscal offsets. Expand the tables below as needed.

Board Meetings

Date	Location	# of Board Members	# of Staff	Total Estimated Cost <small>(total of airline, hotel, mileage, M&IE, parking, rental car, taxi, etc.)</small>
10/29-30, 2015	Anchorage	4	2	\$3,000.00
2/25-26, 2016	Anchorage	5	1	\$3,500.00

Travel Required to Perform Examinations

Date	Location	# of Board Members	# of Staff	Total Estimated Cost <small>(total of airline, hotel, mileage, M&IE, parking, rental car, taxi, etc.)</small>
N/A				
Description of meeting and its role in supporting the mission of the board:				
Description of meeting and its role in supporting the mission of the board:				

Out-Of-State Meetings and Additional In-State Travel

Rank in order of the board's priority. "Reimbursement" refers to payment to the division to cover travel costs. "Direct" refers to an offer by a company to secure travel arrangements on behalf of the board member.

Rank	Date	Location	# of Board Members	# of Staff	Total Estimated Cost		Total Potential Third-Party Offset
					<small>(total of airline, hotel, mileage, M&IE, parking, rental car, taxi, etc.)</small>	Conference Fee	<input type="checkbox"/> Reimbursement <input type="checkbox"/> Direct
1	N/A						
Description of meeting and its role in supporting the mission of the board:							

NON-TRAVEL BUDGET REQUESTS

Dues/Memberships/Resources/Online Training/Teleconferences/Examination Contracts

Provide details of the budgetary request. Break down into specific events, where applicable.

Product or service:	Provider:	Cost per event:
Teleconferences as needed	Staff facilitates from Juneau	\$200.00
Description of item and its role in supporting the mission of the board:		
Teleconferences are held as needed, on average of 2 per year.		

Other Items with a Fiscal Impact:

Product or service:	Provider:	Cost per event:
N/A		
Description of item and its role in supporting the mission of the board:		

Summary of FY16 Fiscal Requests

Board Meetings	\$6,500.00
Travel for Exams	
Out-Of-State and Additional In-State Travel	
Dues/Memberships/Resources/Online Training/Teleconferences	\$400.00
Total Potential Third-Party Offset	
Other	
Total Requested:	\$6,900.00

Additional information:

Be Sure To Check Your Math

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ANTICIPATED PROPOSED LEGISLATION FOR FY 2016

Complete one sheet per legislative proposal

Focus Area (Check all that apply)

- Economic Development
- Government Within Our Means
- National Regulatory/Industry Changes
- Enhance Public Protection

1. **Subject and Proposed Language (include intent and statutory reference)**

2. **Explain the benefits the proposed legislation would provide.**

3. **Explain the consequences, if any, of not implementing the proposed statutory change.**

4. **Describe any potential negative impacts of this legislation and how they would be minimized.**

5. **Who do you anticipate will support the bill and why? Include municipalities, groups, etc...**

6. **Who do anticipate will oppose the bill and why?**

7. **What other state departments will be affected by this legislation? Have you discussed the impact with the affected departments, and if so who and do they support this bill?**

8. **Identify and describe any previous state or federal legislation or similar efforts in other states which affect or relate to this proposal.**

9. **Has this bill topic been previously introduced in the legislature? If so, what was the final outcome and why?**

10. **In the event questions should be raised during review of this request, please indicate below which board member should be contacted:**

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ANTICIPATED REGULATIONS FOR FY 2016

Briefly explain any regulations projects that the board expects to propose this fiscal year.

The Board anticipates the following regulations changes to carry forward from FY 2015

- Scope of Practice new regulation to define the scope of practice for midwives.
- Amend apprentice requirement to report and additions to preceptors and be required to report on when a change in primary preceptors occurs.
- Repeal requirement for current IV and GBS reporting for license renewal.

**CERTIFIED DIRECT-ENTRY MIDWIVES
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GOALS AND OBJECTIVES**

Goal #1 Carry out assigned duties of the Board

Objective #1

The Board held two meetings in Anchorage to keep costs down. One meeting was held by teleconference. Chair Cheryl Corrick represented the Board during the legislative session.

Obj #2

The Board reviewed applications for licensure and approved those qualified. Apprentice permits were issued to those who met requirements.

Obj #3

SB 156 was passed and signed which moved “required practices” from statute to regulation. The Board is currently working on a regulation project to clarify scope of practice.

Obj #4

The Board continues to communicate with Investigator Howes and Chief Investigator Birt to improve the efficiency of the investigative process. The Board is also finalizing a disciplinary action matrix.

Obj #5

Current continuing education programs were renewed.

List the board’s FY16 goals and objectives. Include any strengths, weaknesses, opportunities, and threats, as well as any resources needed:

Goal #1 Carry out assigned duties of the Board

Objective #1: Conduct two Board meetings a year. Both meetings are scheduled to be held in Anchorage as that is the area where most board members reside and this will keep costs down.

Objective #2: The Board will review applications for licensure and approve those qualified. Apprentice permits will be issued to those who met requirements.

Objective #3: The Board is currently completing a regulation project to clarify scope of practice; amend apprentice requirements to report any additions to preceptors and be required to report only when a change in primary preceptor occurs; and repeal the requirement for current IV and GBS reporting for license renewal. The Board will continue to modify and adopt regulations to reflect current practice and safety protocols.

Objective #4: Review investigative reports, monitor disciplinary actions and provide professional direction to the Division of Occupational Licensing Investigative staff regarding current cases. The Board is developing a disciplinary action matrix.

Objective #5: Review and approve curricula and adopt standards for basic education, training, and apprentice programs and continue to approve new Continuing Education programs that meet the requirements of the regulations.

Goal #2

Objective #1: Continue to explore and encourage the use of a legal defense fund by the Division of Occupational Licensing. The Board is in support of having Investigations under a legal defense fund due to the costs incurred and how it affects their license fees.

Objective #2: Increase professional and public awareness of direct-entry midwifery certificate opportunities. The Board is working on ways to increase awareness and certification.

Goal #3 Future Projects:

Objective #1: Add Certified Professional Midwife (CPM) to future statute project as a way to qualify for licensure. This option for licensure will improve the ability to license by credentials and recognize and adopt the NARM standards of midwifery, allowing for future reciprocity of licensing between states.

In order to join the state in a time of austerity, list the top three areas where the board believes this licensing program could reasonably reduce its costs or services.

1. Reduce Investigative involvement and costs by communicating with Investigative staff in a productive, ongoing manner, finalizing a disciplinary matrix, and education to members of the profession.
2. Increase fees to new and renewing applicants as well as apprentice applicants and renewals as needed.
3. Streamline meetings more effectively to reduce costs to the board, including encouraging board members to use the State travel agency to book travel and hotels for board meetings.
4. Require better accountability for contract services in order to reduce costs incurred by the board for services such as administrative support, licensing staff, legal, etc.

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SUNSET AUDIT RECOMMENDATIONS**

Date of last Legislative Audit: June 30, 2014

Board sunset date: June 30, 2017

Audit Recommendation #1: DCCED's commissioner should take immediate action to pursue disciplinary sanctions for CDM cases as warranted.

Action taken: The Division is aware of this problem and has taken steps to address this issue.
Next Steps:
Date completed:

Audit Recommendation #2: DCBPL, in consultation with the board, should increase licensing fees to eliminate the board's operating deficit.

Action taken: The Division is aware of this problem and has taken steps to address this issue.
Next Steps:
Date completed:

Audit Recommendation #3: The board should communicate certificate requirements to continuing education providers to facilitate compliance with centralized licensing regulations.

Action taken: The Board is aware of this problem and has taken steps to address this issue.
Next Steps: The Board has taken steps to notify the continuing education providers of the certificate requirements and the Board will not accept incomplete certificates in the future.
Date completed:

Audit Recommendation #4: The board should approve apprentice permit applications in accordance with statutes.

Action taken: The Board is aware of this problem and has taken steps to address this issue.
Next Steps: The Board will approve all apprentice permit applications in accordance with statutes.
Date completed: