

# *Annual Report*

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# *Fiscal Year 2016*

## **BOARD OF CERTIFIED DIRECT-ENTRY MIDWIVES**



**DIVISION OF CORPORATIONS, BUSINESS  
AND PROFESSIONAL LICENSING**

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This annual performance report is presented in accordance with  
Alaska statute AS 08.01.070(10).

Its purpose is to report the accomplishments, activities, and the  
past and present needs of the licensing program.

**BOARD OF CERTIFIED DIRECT-ENTRY MIDWIVES  
FY 2016 Annual Report**

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**BOARD OF CERTIFIED DIRECT-ENTRY MIDWIVES  
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**Identification of the Board**

Board Member	Date Appointed	Term Expires
<p><b>Cheryl Corrick</b> Certified Direct Entry Midwife</p>	<p>Mar 01, 2008</p>	<p>Mar 01, 2016</p>
<p><b>Sarah Taygan</b> Registered Nurse Midwife</p>	<p>Mar 01, 2013</p>	<p>Dec 14, 2015</p>
<p><b>Deborah Schneider</b> Certified Direct Entry Midwife</p>	<p>Mar 15, 2012</p>	<p>Mar 01, 2020</p>
<p><b>Jennifer Swander</b> Public Member</p>	<p>Mar 01, 2014</p>	<p>Mar 01, 2018</p>
<p><b>Dana Brown</b> Certified Direct Entry Midwife</p>	<p>May 31, 2016</p>	<p>Mar 01, 2020</p>
<p><b>Dr. Kathryn Ostrom</b> Physician/OB Practice</p>	<p>May 31, 2016</p>	<p>Mar 01, 2019</p>
<p><b>Kathryn Roberts</b> Certified Nurse Midwife</p>	<p>Jan 23, 2016</p>	<p>Mar 01, 2017</p>

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**Identification of Staff**

**Renee Hoffard – Licensing Examiner**

Department of Commerce, Community & Economic Development  
Division of Corporations, Business and Professional Licensing  
Post Office Box 110806  
Juneau, Alaska 99811-0806  
(907) 465-2550

**Dawn Hannasch – Records & Licensing Supervisor**

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**Brian Howes – Investigator**

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Division of Corporations, Business and Professional Licensing  
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**Al Kennedy – Investigator**

Department of Commerce, Community & Economic Development  
Division of Corporations, Business and Professional Licensing  
550 W. 7<sup>th</sup> Ave., Suite 1500  
Anchorage, AK 99501-3567  
(907) 465-2550

**Jun Maiquis – Regulations Specialist**

Department of Commerce, Community & Economic Development  
Division of Corporations, Business and Professional Licensing  
Post Office Box 110806  
Juneau, Alaska 99811-0806  
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**Narrative Statement**

The CDM Board in 2015-2016 completed a variety of activities:

This year the Board issued 8 new CDM licenses, and issued 5 new apprentice permits. Several proposed regulation changes, mostly concerning scope of practice, are in the process of being finalized summer 2016.

October 29-30, 2015 Investigations Report: January 3 through October 8, 2015. Since last report, Division opened 3 files and closed 8 Board matters. Six matters remained ongoing. Goal to have any case since 2010 to 2013 closed by end of the year. Board was informed that all open cases were currently with OSPA.

February 25-26, 2016 Investigations Report: October 8, 2015 through February 19, 2016; including cases, complaints, and intake matters, since the last report, the Division opened 2 files and closed 4 Board matters. Four matters remain ongoing, under investigation or are pending litigation. The Board was informed that 2 cases were close to resolution.

June 3, 2016 Investigations Report: February 20 through June 1; including cases, complaints, and intake matters, since the last report, the Division opened 1 file and closed 2 Board matters. Two matters remain ongoing, under investigation or are pending litigation. The Board was very encouraged to have progress made in the closing of historical cases. The efforts of Investigations have helped address the recommendations of the legislative audit.

Administrative Officer Martha Hewlett and Operations Manager Sara Chambers explained the fiscal report and advised the Board concerning the current deficit. The board is considering a large-sum, one-time payment by each licensee as a special assessment in order to correct the deficit by 2018. The Board reviewed applications for apprentice permits and CDM license renewals on the secure website in order to expedite the process for licensure.

The Board proposed consistent procedure for consent agreements to assist investigations in case resolution.

The Board continued to correspond with Health and Social Services concerning the Medicaid audit, regarding the infant care and the apprentice issue, and also the Alaska Medical Board and Bartlett Hospital stating their understanding of Alaska Law for CDM's.

Deborah Schneider was voted as the chair and Kathryn Roberts, CNM, was added as a new member. Dana Brown, CDM, and Katie Olstrom, MD, are in the process of approval to be added to the Board.

**BOARD OF CERTIFIED DIRECT-ENTRY MIDWIVES  
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**Budget Recommendations for FY 2017**

<b>Board Meeting Date</b>	<b>Location</b>	<b># Board</b>	<b># Staff</b>
Sep 22, 2023	Anchorage	5	1
	<input checked="" type="checkbox"/> Airfare:		\$533.00
	<input checked="" type="checkbox"/> Hotel:		\$1,200.00
	<input checked="" type="checkbox"/> Ground:		\$250.00
	<input checked="" type="checkbox"/> Other:		\$1,000.00
<b>Total Estimated Cost:</b>			<b>\$2,983.00</b>

<b>Board Meeting Date</b>	<b>Location</b>	<b># Board</b>	<b># Staff</b>
Feb 01, 2017	Anchorage	5	1
	<input checked="" type="checkbox"/> Airfare:		\$533.00
	<input checked="" type="checkbox"/> Hotel:		\$1,200.00
	<input checked="" type="checkbox"/> Ground:		\$250.00
	<input checked="" type="checkbox"/> Other:		\$1,000.00
<b>Total Estimated Cost:</b>			<b>\$2,983.00</b>

<b>Board Meeting Date</b>	<b>Location</b>	<b># Board</b>	<b># Staff</b>
	<input type="checkbox"/> Airfare:		\$0.00
	<input type="checkbox"/> Hotel:		\$0.00
	<input type="checkbox"/> Ground:		\$0.00
	<input type="checkbox"/> Other:		\$0.00
<b>Total Estimated Cost:</b>			<b>\$0.00</b>

<b>Board Meeting Date</b>	<b>Location</b>	<b># Board</b>	<b># Staff</b>
	<input type="checkbox"/> Airfare:		\$0.00
	<input type="checkbox"/> Hotel:		\$0.00
	<input type="checkbox"/> Ground:		\$0.00
	<input type="checkbox"/> Other:		\$0.00
<b>Total Estimated Cost:</b>			<b>\$0.00</b>

**BOARD OF CERTIFIED DIRECT-ENTRY MIDWIVES  
Fiscal Year 2016 Annual Report (continued)**

**Budget Recommendations for FY 2017**

**Other Items with a Fiscal Impact**

Not Applicable

Product or Service	Provider	Cost Per Event
Teleconferences as needed	Staff facilitates from Junea	<b>\$200.00</b>

**Description of item and its role in supporting the mission of the Board:**

Teleconferences are held as needed, on average of 2 per year.

**Summary of FY 2017 Fiscal Requests**

Board Meetings	\$5,966.00
Travel for Exams	\$0.00
Out-of-State and Additional In-State Travel	\$0.00
Dues, Memberships, Resources, Training, Teleconferences	\$0.00
Total Potential Third-Party Offsets	\$0.00
Other:	\$200.00
<b>Total Requested:</b>	<b>\$6,166.00</b>

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**Recommendations for Proposed Legislation for FY 2017**

- No Recommendations**  
The Board has no recommendations for proposed legislation at this time.

- Recommendations**  
The Board has the following recommendations for proposed legislation:

**BOARD OF CERTIFIED DIRECT-ENTRY MIDWIVES  
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**Regulation Recommendations for FY 2017**

- No Recommendations**  
The Board has no recommendations for proposed regulations at this time.

- Recommendations**  
The Board has the following recommendations for proposed regulations:

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**Fiscal Year 2016 Annual Report**

**Goals and Objectives**

**Part I**

**FY 2016's goals and objectives, and how they were met:**

**Objective #1:**

Conducted two Board meetings a year. Both meetings were held in Anchorage as that is the area where most board members reside and it enabled to board to keep costs down.

**Objective #2:**

The Board reviewed and approved applications for licensure and for Apprentice permits.

**Objective #3:**

The Board worked towards completing a regulation project to clarify scope of practice; amend apprentice requirements to report any additions to preceptors and be required to report only when a change in primary preceptor occurs; and repeal the requirement for current IV and GBS reporting for license renewal.

**Objective #4:**

Reviewed investigative reports, monitored disciplinary actions and provided professional direction to the Division of Occupational Licensing Investigative staff regarding current cases.

**Objective #5:**

Reviewed and approved curricula and adopted standards for basic education, training, and apprentice programs and continue to approve new Continuing Education programs that meet the requirements of the regulations.

**Objective #6:**

Continued to explore and encouraged the use of a legal defense fund by the Division of Occupational Licensing.

**Objective #7:**

Increased professional and public awareness of direct-entry midwifery certificate opportunities.

**BOARD OF CERTIFIED DIRECT-ENTRY MIDWIVES  
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**Goals and Objectives**

**Part II**

**FY 2017's goals and objectives, and proposed methods to achieve them.**

**Describe any strengths, weaknessness, opportunities, threats and required resources:**

**Objective #1:**

Conducted two Board meetings a year. Both meetings were held in Anchorage as that is the area where most board members reside and it enabled to board to keep costs down.

**Objective #2:**

The Board reviewed and approved applications for licensure and for Apprentice permits.

**Objective #3:**

The Board worked towards completing a regulation project to clarify scope of practice; amend apprentice requirements to report any additions to preceptors and be required to report only when a change in primary preceptor occurs; and repeal the requirement for current IV and GBS reporting for license renewal.

**Objective #4:**

Reviewed investigative reports, monitored disciplinary actions and provided professional direction to the Division of Occupational Licensing Investigative staff regarding current cases.

**Objective #5:**

Reviewed and approved curricula and adopted standards for basic education, training, and apprentice programs and continue to approve new Continuing Education programs that meet the requirements of the regulations.

**Objective #6:**

Continued to explore and encouraged the use of a legal defense fund by the Division of Occupational Licensing.

**Objective #7:**

Increased professional and public awareness of direct-entry midwifery certificate opportunities.

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**Sunset Audit Recommendations**

**Date of Last Legislative Audit:** 6/30/2014  
**Board Sunset Date:** 6/30/2017

**Audit Recommendation:** DCCED's commissioner should take immediate action to pursue disciplinary sanctions for CDM cases as warranted.

**Action Taken:** The Division is aware of this problem and has taken steps to address this issue.

**Next Steps:**

**Date Completed:**

**Audit Recommendation:** DCBPL, in consultation with the board, should increase licensing fees to eliminate the board's operating deficit.

**Action Taken:** The Division is aware of this problem and has taken steps to address this issue.

**Next Steps:**

**Date Completed:**

## Sunset Audit Recommendations (continued)

<b>Audit Recommendation:</b>	The Board should communicate certificate requirements to continuing education providers to facilitate compliance with centralized licensing regulations.
<b>Action Taken:</b>	The Board is aware of this problem and has taken steps to address this issue
<b>Next Steps:</b>	The Board has taken steps to notify the continuing education providers of the certificate requirements and the Board will not accept incomplete certificates in the future.
<b>Date Completed:</b>	

<b>Audit Recommendation:</b>	The Board should approve apprentice permit applications in accordance with statutes.
<b>Action Taken:</b>	The Board is aware of this problem and has taken steps to address this issue.
<b>Next Steps:</b>	The Board will approve all apprentice permit applications in accordance with statutes.
<b>Date Completed:</b>	