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DATE: August 1, 2002

Board of Registration for Architects, Engineers, and Land Surveyors TO:

THROUGH: Gary Veres, Chief Investigator

FROM: George Weaver & John Clark, Investigators

Investigative Report for the August 22 - 23, 2002, meeting. SUBJECT:

<u>OPEN CASES</u>		
0100-02-4	Allegation that an architect sealed and signed plans prepared by unlicensed individuals without doing the architectural work on the projects. (Opened 05/23/02)	
0100-02-5	Allegation that an architect provided false information on a renewal application. (Opened 06/07/02)	
0101-99-6	Allegation that an unlicensed person worked as an architect. (Opened11/04/99)	
0101-02-2	Allegation that an unlicensed person worked as an architect. (Opened 05/22/02)	
0101-02-3	Allegation that an unlicensed person worked as an architect. (Opened 07/09/02)	
0101-02-4	Allegation that an unlicensed person worked as an architect. (Opened 07/09/02)	
0101-02-5	Allegation that an unlicensed person worked as an architect. (Opened 07/09/02)	
0102-99-7	Allegation that an engineer sealed and signed a report that was not accurate. (Opened 10/05/99)	

0102-01-2	Allegation that an engineer violated professional ethics. (Opened 03/09/01)
0103-02-5	Allegation that an unlicensed person worked as an engineer on a project in Alaska. (Opened 06/19/02)
0103-02-6	Allegation that an unlicensed person worked as an engineer on a project in Alaska. (Opened 06/19/02)
0103-02-7	Allegation that an unlicensed person worked as an engineer on a project in Alaska. (Opened 07/15/02)
0104-96-2	Allegation that a registered land surveyor did not accurately depict the lot sizes in a 1986 plat map. (Opened 04/29/96)
0104-99-3	Allegation that a land surveyor did very poor work on a project. (Opened 11/05/99)
0104-01-2	Allegation that a land surveyor is performing work below minimum industry standard. (Opened 09/26/01)
0104-02-1	Allegation that a licensed land surveyor is sealing and signing work without supervising the person doing the work and preparing the plats (Opened 03/26/02)
0104-02-3	Allegation that a land surveyor prepared an inaccurate as-built. (Opened 07/03/02)
0105-02-1	Allegation that an land surveyor continued to work while his license was lapsed. (Opened 05/23/02)
0107-02-1	Allegation that an unlicensed coprporation agreed to do engineering work on a project in Alaska. (Opened 06/19/02)
0152-01-1	Applicant was denied and requested a hearing. (Opened 03/29/01)
0152-02-1	An individuals application is pending obtaining information from another jurisdiction. (Opened 05/20/02)

## DRAFT ACCUSATIONS PENDING ATTORNEY GENERAL REVIEW

O104-96-1 Allegation that a registered land surveyor's work was below the minimum professional standards for a land surveyor. (Referred 08/21/98)

0104-98-2 Allegation that a registered land surveyor did not provide services in a timely manner. (Referred 08/21/98)

## **ACCUSATION FILED**

- 0103-95-10 Patrick W. Moore, Professional Civil Engineer License 5327, did not renew his license to practice engineering on December 31, 1993, therefore his license lapsed on January 1, 1994. Moore continued to practice engineering but he did not renew his license to practice engineering until January 28, 1996. Moore also continued to advertise in telephone yellow pages under the heading "Engineers-Consulting" as "AM Engineering, Lucas Road, Wasilla.....376-1090" during the period his license lapsed. (Accusation filed 06/21/01)
- Moore again allowed his license to practice engineering to lapse on December 31, 1997. On October 21, 1998, and while his license to practice engineering was lapsed, Moore submitted signed and sealed structural engineering plans and calculations to the Department of Public Work, Municipality of Anchorage that concerned an addition to the Fish & Wildlife Building, 1011 East Tudor road, Anchorage, Alaska. (Accusation filed 06/21/01)

## CASES CLOSED:

- This file was initiated based on information within case file 0103-02-3, which concerns unlicensed engineering. This investigation concerned Bernard C. Coppe working as an architect for the project called "Copper River + Northwestern Co Terminal Ground B" building in Cordova, Alaksa. The plans submitted to the State of Alaska Fire Marshal's Office bore the business name "Building Consulting Services", which is owned by Bernard Coppe. The plans contained architectural work, however, there was no evidence an architect had worked on the project. On May 21, 2002, a cease and desist order was issued to Coppe. Coppe did not contest the cease and desist order, therefore, the order stands as written. (Closed 06/11/02)
- O102-02-1 Allegation that an engineer was untruthful in a report. Investigation failed to substantiate the allegation. Closure of this file was coordinated with AELS Board Member Robert Miller. (Closed 04/15/02)
- O102-02-2 An investigation was initaited based on a licensed engineer reporting a licensing action in another jurisdiction. An advisory letter was sent to the engineer informing the engineer that if similar acts occurred on a project in Alaska the Alaska Board of Registration for Architects, Engineers and Land Surveyors had the option of pursuing a licensing acton. (Closed 06/27/02)

The first four numbers of the case number indicate the profession; the professional codes are as follows:

0100 Architect 0106 Corporate Authorization 0101 Unlicensed Architect 0107 Unlicensed Corporate Authorization 0102 0150 Architect Applicant Engineer 0152 0103 Unlicensed Engineer Engineering Applicant 0104 0154 Land Surveyor Applicant Land Surveyor

- 0103-99-6 Allegation that an architect performed work as a mechanical engineer. Investigation failed to substantiate the allegation. The closure was reviewed with AELS Board Member Kathy Gardner. (Closed 07/17/02)
- Allegation that William L. Johnson offered to provide engineering services to relocate a 500-ton dredge on Chicken Creek, Chicken, Alaska, in exchange for \$25,000. Mr. Johnson used the signature block "William L. Johnson, Professional Engineer" and signed the offer. Johnson subsequently provided the engineering services and the dredge was moved. Mr. Johnson was paid \$25,000 for the engineering work. Mr. Johnson subsequently submitted a bill for an additional \$30,000 and he signed this bill using the signature block "Will Johnson, Professional Engineer." On May 17, 2002, the Board adopted the Stipulation to Levy of Civil Penalty in the amount of \$5,000 concerning Mr. Johnson working as an engineer without a license. On June 20, 2002, Mr. Johnson paid the \$5,000 fine. (Closed 05/17/02)
- O103-02-2 Allegation that an unlicensed engineer sealed plans submitted to the State Fire Marshal's Office. The engineer in question submitted an application for licensure as a civil engineer and was subsequently relicensed. An advisory letter was sent to the engineer. (Closed 06/18/02)
- O103-02-3 Allegation that Bernard C. Coppe submitted plans to the State of Alaska Fire Marshal's Office and that the work involved engineering. Investigation revealed that a person other than Coppe prepared the calculations for the building and that Coppe prepared the drawings for the building. There was sufficient evidence to indicate that Coppe was involved in making some of the engineeing decisions. A cease and desist order was issued to Coppe on May 21, 2002, in conjunction with file 0101-02-1. (Closed 06/11/02)
- Allegation that a registered land surveyor was incompetent. Investigation revealed that Noel F. Stragier, the land surveyor in queston, was doing land surveying work below minimun professional standards. Mr. Stragier was offered the opportunity to surrender his land surveyor license in lieu of pursuing a licensing action under the provisions of AS 08.48. Mr. Stragier agreed to surrender his land surveyor license and on May 17, 2002, at their regularly scheduled meeting the Board of Registration for Architects, Engineers and Land Surveyors accepted Mr. Stragier's surrender. (Closed 05/21/02)
- O104-97-2 Allegation that a registered land surveyor did not set monuments as described on the plat filed with the recorders office. This investigation concerned Mr. Stragie working below minimum professional standards and

was closed after the Board accepted Mr. Stragier's surrender of his land surveyor license. (Closed 05/21/02)

- O104-02-2 Allegation that a registered land surveyor did not set property corners as the surveyor originally agreed to set. Investigation revealed there were significant problems within the subdivison concerned and that the property owner would not pay for the amount of surveying required to correct the problems. The surveyor identified boundaries that allowed the property owner to build a structure. There was no evidence found that would support the complaint. This closure was coordinated with AELS Board Member Scott McLane. (Closed 07/18/02)
- O150-00-1 An architect reported a licensing action taken in another jurisdiction and that other jurisdictions took licensing actions based on the licensing action in the first jurisdicton. An advisory letter was sent to the architect informing the architect that if similar acts occurred on a project in Alaska the Alaska Board of Registration for Architects, Engineers and Land Surveyors had the option of pursuing a licensing acton. (Closed 07/26/02)