

# *Annual Report*

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## *Fiscal Year 2019*

### **ALASKA BOARD OF PUBLIC ACCOUNTANCY**



**Department of Commerce, Community  
and Economic Development**

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**Division of Corporations, Business  
and Professional Licensing**

This annual performance report is presented in accordance with  
Alaska statute AS 08.01.070(10).

Its purpose is to report the accomplishments, activities, and the  
past and present needs of the licensing program.

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**Identification of the Board**

<b>Board Member</b>	<b>Duty Station</b>	<b>Date Appointed</b>	<b>Term Expires</b>
<b>Karen Brewer-Tarver, CPA</b> Chair	Juneau	Mar 01, 2012	Mar 01, 2020
<b>Jeffrey Johnson, CPA</b> Secretary/Treasurer (past)	Fairbanks	Mar 01, 2011	Mar 01, 2019
<b>Donovan Rulien II, CPA</b>	Anchorage	Mar 01, 2013	Mar 01, 2021
<b>Leslie Schmitz, CPA</b> Secretary/Treasurer	Anchorage	Mar 01, 2014	Mar 01, 2022
<b>Wayne Hogue</b> Public Member	Kotzebue	Aug 19, 2016	Mar 01, 2020
<b>Karen Smith</b> Public Member	Anchorage	Mar 01, 2018	Mar 01, 2023
<b>Marja Beltrami, CPA</b>	Anchorage	Mar 01, 2017	Mar 01, 2021
<b>Wesley Tegeler, CPA</b>	Wasilla	Mar 01, 2018	Mar 01, 2023

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**Identification of Staff**

**Cori Hondolero – Executive Administrator**

Department of Commerce, Community & Economic Development  
Division of Corporations, Business and Professional Licensing  
550 West 7<sup>th</sup> Ave., Suite 1500  
Anchorage, Alaska 99501  
(907) 269-4712

**Nina Akers – Investigator**

Department of Commerce, Community & Economic Development  
Division of Corporations, Business and Professional Licensing  
550 West 7<sup>th</sup> Ave., Suite 1500  
Anchorage, Alaska 99501  
(907) 375-7798

**Amber Whaley – Senior Investigator**

Department of Commerce, Community & Economic Development  
Division of Corporations, Business and Professional Licensing  
550 West 7<sup>th</sup> Ave., Suite 1500  
Anchorage, Alaska 99501  
(907) 269-0168

**Greg Francois – Chief Investigator**

Department of Commerce, Community & Economic Development  
Division of Corporations, Business and Professional Licensing  
550 West 7<sup>th</sup> Ave., Suite 1500  
Anchorage, Alaska 99501  
(907) 269-7901

**Jun Maiquis – Regulations Specialist**

Department of Commerce, Community & Economic Development  
Division of Corporations, Business and Professional Licensing  
Post Office Box 110806  
Juneau, Alaska 99811-0806  
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**ALASKA BOARD OF PUBLIC ACCOUNTANCY -  
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**Narrative Statement**

The Board's mission to protect the public interest by ensuring that only qualified persons are licensed and appropriate standards of competency and practice are established and enforced. The Board's activities included approving candidates to sit for the Uniform CPA Examination, auditing CPE records, reviewing and approving applications, and supporting documents, for licensure of Certified Public Accountants and firms, for reinstatements of licensure and for Out-of-State permits to practice in the State of Alaska. Disciplinary matters were dealt with, in accordance to Alaska State statutes, and all regulatory matters were accomplished following established procedures.

*Significant issues in fiscal year 2019 included:*

- The Board travel continued to be minimal due to State travel restrictions and members did not participate, at a level Nationally or locally, at their desired level as outlined in its previous annual reports. The Board feels the inability to travel, at the desired level, impacts the Boards participation at the National level and creates barriers to staying involved with industry changes/updates. In addition, the inability to travel, at the desired level, in the State impacts the Board's ability to interact on a direct level with licensees regarding their concerns. The individuals and firms regulated by this Board are diverse and spread throughout the State. By holding meetings in the different regions of our State the Board is able to be available to the licensees and hear their concerns on a face to face level. The Board weighs the value and impact of each request for travel to various in State locations, and does not take lightly the request for travel.
  - Under **Sec 08.04.025 Meetings of Board**. The board shall hold a minimum of four meetings a year.
  - Under **Sec 12 AAC 04.130 MEETINGS**. The board will hold at least four meetings each year at times and places designated by the board.

As noted last year, the current State Travel Policy appears to conflict with the Boards mandate as directed by State Statute and State Regulation.
- The Board recommended no fee increase, or decrease, for the 2019 renewal; the Division is evaluating, and fees are expected to remain the same. This will allow for the Division's calculated equity balance for the Board to remain positive in non-renewal years. The Board will continue to monitor revenues/expenditures and make recommendations for future changes.
- HB147 passed and was approved in early FY19 and the Board worked to complete required regulation changes once the bill became law. The regulation changes were approved and became effective in FY19. The Board continues to work with its licensees, the American Institute of CPAs, as well as monitoring industry changes, for future statute and regulation projects.

**- Submitted by Karen Tarver, Chair  
For Fiscal Year Ended June 30, 2019**

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**Budget Recommendations for FY 2020**

The Budget Recommendations section anticipates the board’s fiscal priorities for the upcoming year. Please complete all parts of this section with details about anticipated meetings, conferences, memberships, supplies, equipment, to other board requests. Meeting expenses that are being funded through third-party reimbursement or direct booking must be identified separately from expenses paid through license fees (receipt-supported services or RSS). Be sure to explain any items listed as “other” so they may be tracked appropriately.

Board Meeting Date	Location	# Board	# Staff
Aug 2019	Anchorage/Fairbanks	7	1
<input checked="" type="checkbox"/> Airfare:			\$2,400.00
<input checked="" type="checkbox"/> Hotel:			\$2,000.00
<input checked="" type="checkbox"/> Ground:			\$100.00
<input checked="" type="checkbox"/> Other: M&IE			\$1,000.00
<b>Total Estimated Cost:</b>			<b>\$5,500.00</b>

Board Meeting Date	Location	# Board	# Staff
Nov 2019	Anchorage	7	1
<input checked="" type="checkbox"/> Airfare:			\$1,000.00
<input checked="" type="checkbox"/> Hotel:			\$1,000.00
<input checked="" type="checkbox"/> Ground:			\$100.00
<input checked="" type="checkbox"/> Other: M&IE			\$450.00
<b>Total Estimated Cost:</b>			<b>\$2,550.00</b>

Board Meeting Date	Location	# Board	# Staff
Feb 2020	ANC/JNU – depends on active legislation	7	1
<input checked="" type="checkbox"/> Airfare:			\$3,000.00
<input checked="" type="checkbox"/> Hotel:			\$2,000.00
<input checked="" type="checkbox"/> Ground:			\$300.00
<input checked="" type="checkbox"/> Other: M&IE			\$1,000.00
<b>Total Estimated Cost:</b>			<b>\$6,300.00</b>

**ALASKA BOARD OF PUBLIC ACCOUNTANCY -  
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**Budget Recommendations for FY 2020**

The Budget Recommendations section anticipates the board’s fiscal priorities for the upcoming year. Please complete all parts of this section with details about anticipated meetings, conferences, memberships, supplies, equipment, to other board requests. Meeting expenses that are being funded through third-party reimbursement or direct booking must be identified separately from expenses paid through license fees (receipt-supported services or RSS). Be sure to explain any items listed as “other” so they may be tracked appropriately.

Board Meeting Date	Location	# Board	# Staff
May 2020	Anchorage	7	1
<input checked="" type="checkbox"/> Airfare:			\$1,000.00
<input checked="" type="checkbox"/> Hotel:			\$1,000.00
<input checked="" type="checkbox"/> Ground:			\$100.00
<input checked="" type="checkbox"/> Other: M&IE			\$450.00
<b>Total Estimated Cost:</b>			<b>\$2,550.00</b>

<b>Travel Required to Perform Examinations</b>			
<input checked="" type="checkbox"/> Not applicable			
Date	Location	# Board	# Staff
Varies	Anchorage/Fairbanks	2-7	1
<b>Description of meeting and its role in supporting the mission of the Board:</b>			
Board completes exam site visits in Anchorage and Fairbanks. These are typically done in conjunction with quarterly Board meetings, so there is no additional cost.			
<input type="checkbox"/> Airfare:			\$0.00
<input type="checkbox"/> Hotel:			\$0.00
<input type="checkbox"/> Ground:			\$0.00
<input type="checkbox"/> Conference:			\$0.00
<input type="checkbox"/> Other:			\$0.00
Describe “Other” (break out all sections):			
<b>Total Estimated Cost:</b>			<b>\$0.00</b>

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**Budget Recommendations for FY 2020 (continued)**

**Out-of-State Meetings and Additional In-State Travel** (Rank in order of importance)

#1 Rank in Importance or  Not Applicable

Date	Location	# Board	# Staff	
Oct 2019	Boston, MA	2-3	1	
<b>Description of meeting and its role in supporting the mission of the Board:</b>				
National Association of State Boards of Accountancy (NASBA) – Annual Meeting. Participation required to keep Board up to date with industry standards and national trends. *One member may be eligible for 3rd party reimbursed travel (if the State would not otherwise be represented).				
Expenditure	License Fees (RSS)	Third-Party Reimbursement	Third-Party Direct Booked	Total
<input checked="" type="checkbox"/> Airfare:	\$3,000.00	\$0.00	\$0.00	\$3,000.00
<input checked="" type="checkbox"/> Hotel:	\$2,500.00	\$0.00	\$0.00	\$2,500.00
<input checked="" type="checkbox"/> Ground:	\$200.00	\$0.00	\$0.00	\$200.00
<input checked="" type="checkbox"/> Conference:	\$2,000.00	\$0.00	\$0.00	\$2,000.00
<input checked="" type="checkbox"/> Other	\$1,000.00	\$0.00	\$0.00	\$1,000.00
Describe "Other" (break out all sections):		M&IE and travel booking fees		
<b>Net Total:</b>	<b>\$8,700.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$8,700.00</b>

**Out-of-State Meetings and Additional In-State Travel**

#2 Rank in Importance or  Not Applicable

Date	Location	# Board	# Staff	
Spring 2020	Unknown	0	1	
<b>Description of meeting and its role in supporting the mission of the Board:</b>				
National Association of State Boards of Accountancy (NASBA) – Executive Directors Conference. Special conference specifically for Board Executive Directors and Legal staff.				
<i>*May be able to request 3rd party reimbursement for majority of costs</i>				
Expenditure	License Fees (RSS)	Third-Party Reimbursement	Third-Party Direct Booked	Total
<input checked="" type="checkbox"/> Airfare:	\$1,000.00	\$0.00	\$0.00	\$1,000.00
<input checked="" type="checkbox"/> Hotel:	\$1,000.00	\$0.00	\$0.00	\$1,000.00
<input checked="" type="checkbox"/> Ground:	\$100.00	\$0.00	\$0.00	\$100.00
<input checked="" type="checkbox"/> Conference:	\$695.00	\$0.00	\$0.00	\$695.00
<input checked="" type="checkbox"/> Other	\$250.00	\$0.00	\$0.00	\$250.00
Describe "Other" (break out all sections):		M&IE and travel booking fees		
<b>Net Total:</b>	<b>\$3,045.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$3,045.00</b>

### Out-of-State Meetings and Additional In-State Travel

#3 Rank in Importance or  Not Applicable

Date	Location	# Board	# Staff	
June 2020	Unknown	2-3	1	
<b>Description of meeting and its role in supporting the mission of the Board:</b>				
National Association of State Boards of Accountancy (NASBA) – Regional Meeting. Participation required to keep the Board up to date with industry standards and national trends. If there are new Board members, they may be eligible for a scholarship for first time attendance at the conference or if the Board would not otherwise be represented.				
Expenditure	License Fees (RSS)	Third-Party Reimbursement	Third-Party Direct Booked	Total
<input checked="" type="checkbox"/> Airfare:	\$3,000.00	\$0.00	\$0.00	\$3,000.00
<input checked="" type="checkbox"/> Hotel:	\$2,500.00	\$0.00	\$0.00	\$2,500.00
<input checked="" type="checkbox"/> Ground:	\$200.00	\$0.00	\$0.00	\$200.00
<input checked="" type="checkbox"/> Conference:	\$2,000.00	\$0.00	\$0.00	\$2,000.00
<input checked="" type="checkbox"/> Other	\$1,000.00	\$0.00	\$0.00	\$1,000.00
Describe "Other" (break out all sections):		M&IE and travel booking fees		
<b>Net Total:</b>	<b>\$8,700.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$8,700.00</b>

### Out-of-State Meetings and Additional In-State Travel

#4 Rank in Importance or  Not Applicable

Date	Location	# Board	# Staff	
May/June 2020	Unknown	1-7	1	
<b>Description of meeting and its role in supporting the mission of the Board:</b>				
Alaska Society of CPAs Annual Meeting. Board presents update of current issues and answers questions from Society members. May be possible to do a day trip; reducing hotel/M&IE expenses (depending on location of meeting).				
Expenditure	License Fees (RSS)	Third-Party Reimbursement	Third-Party Direct Booked	Total
<input checked="" type="checkbox"/> Airfare:	\$1,000.00	\$0.00	\$0.00	\$1,000.00
<input checked="" type="checkbox"/> Hotel:	\$500.00	\$0.00	\$0.00	\$500.00
<input checked="" type="checkbox"/> Ground:	\$100.00	\$0.00	\$0.00	\$100.00
<input checked="" type="checkbox"/> Conference:	\$0.00	\$0.00	\$0.00	\$0.00
<input checked="" type="checkbox"/> Other	\$150.00	\$0.00	\$0.00	\$150.00
Describe "Other" (break out all sections):		M&IE and travel booking fees		
<b>Net Total:</b>	<b>\$1,750.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$1,750.00</b>

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**Budget Recommendations for FY 2020 (continued)**

**Non-Travel Budget Requests**

- Not Applicable                       Resources                       Examinations  
 Membership                       Training                       Other

Product or Service	Provider	Cost Per Event
Annual Membership	National Association of State Boards of Accountancy (NASBA)	\$3,200.00

**Description of item and its role in supporting the mission of the Board:**

Membership required to help keep the Board up to date with industry standards and national trends.

**Summary of FY 2020 Fiscal Requests**

Board Meetings and Teleconferences:	\$16,900.00
Travel for Exams:	\$0.00
Out-of-State and Additional In-State Travel:	\$22,195.00
Dues, Memberships, Resources, Training:	\$3,200.00
Total Potential Third-Party Offsets:	-\$0.00
Other:	\$0.00
<b>Total Requested:</b>	<b>\$42,295.00</b>

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**Legislation Recommendations Proposed Legislation for FY 2020**

**No Recommendations**

The Board has no recommendations for proposed legislation at this time.

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**Recommendations**

The Board has the following recommendations for proposed legislation:

The Board plans to continue reviewing existing statutes during FY20 to identify sections for repeal (no longer relevant/outdated) and areas that could use clarification/cleanup. While there is no active legislation as of the date of this report, the Board is hopeful that legislation will be introduced during FY20.

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**Regulation Recommendations Proposed Legislation for FY 2020**

**No Recommendations**

The Board has no recommendations for proposed regulations at this time.

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**Recommendations**

The Board has the following recommendations for proposed regulations:

If the Board has legislation pass during FY20, they will need to work on regulation updates for any sections impacted and will also review for general cleanup.

**ALASKA BOARD OF PUBLIC ACCOUNTANCY -  
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**Goals and Objectives (continued)**

**Part I**

***FY 2019's goals and objectives, and how they were met:***

**Goal 1**

**Monitor legislation, evaluate and review statutory/regulatory changes related to:**

- a. Update regulations to incorporate HB147 statute changes in 2018/2019.
- b. Review of UAA through a coordinated effort with the ASCPA for recent changes and identify additional areas where Alaska statutes and regulations differ and evaluate impact of differences to include:
  1. Adopt UAA attest definition.
  2. Adopt UAA firm definition.
  3. Adopt peer review requirements for compilations.
- c. Review the definition of 'practice of public accounting'
- d. Conduct CPE random audits.
- e. Complete a review of firm/licensee renewal forms and processes.
- f. Review requirements for CPA firm permits.
- g. Monitor NASBA discussion re: required years of experience.
- h. Review and monitor potential changes to how we use the peer review reports in the future.
- i. Review statutes and regulations for areas of clarification with AKCPA.

The Board completed the required regulation project after HB147 passed (a). The Board is working with both AICPA and the State Society on draft legislation that will hopefully be introduced in FY20 (b, c, f, g, h, i). There will be a renewal during FY20, the Board will audit/review the required percentage of random audits and will continue to review/monitor the mandatory audits (d). The Division has updated the online renewal process, so the upcoming online renewal will be via the newest system. The Board will monitor the process and review forms as necessary (e).

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**Goals and Objectives (continued)**

**Part I** (continued)

***FY 2019's goals and objectives, and how they were met:***

**Goal 2**

**Review and evaluate National Association of State Board of Accountancy (NASBA) services.**

- a. Continue to monitor NASBA CPA Exam Services (CPAES).
- b. Evaluate and analyze other services offered by NASBA.
  - 1. Inquire with the communications department to see how they can assist with an electronic newsletter and possibly other items, such as social media, announcements and the website.

The Division/Board will review/amend the contract as necessary before each renewal; NASBA is requesting a minimal fee increase for FY20 (a). The Board is compiling a list of topics for a future newsletter and will work with NASBA on a final version (b).

**Goal 3**

**Ensure Alaska CPA candidates have positive examination opportunities.**

- a. Monitor testing experiences by visiting sites and using the post-testing survey for all candidates testing at the Anchorage and Fairbanks sites. Monitor that the surveys are delivered timely.
- b. Follow-up on concerns indicated in the surveys.
- c. Keep the ASCPA and the public abreast of new developments.

The Board reviews exam surveys at their quarterly meetings and conducts test site visits at both Alaska locations (Anchorage and Fairbanks) (a). The Board will elevate issues to NASBA/CPAES as necessary (b). The State Society usually has a representative in attendance at the Board meetings, so they are able to hear any concerns or updates that come up regarding testing at Alaska locations (c).

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**Goals and Objectives (continued)**

**Part I** (continued)

***FY 2019's goals and objectives, and how they were met:***

**Goal 4**

**Evaluate and address changes in the professional environment to continue to fulfill our mission to protect public interests.**

- a. Review new rules proposed by NASBA and the AICPA, for impact on Alaska CPAs and make changes to Alaska statutes and regulations as needed.
- b. Represent Alaska CPA concerns at regional, annual, and special meetings and support NASBA committee participation.
  - 1. Promote attendance by staff and new Board members at regional, special and annual meetings to provide understanding of current regulatory issues being dealt with at a national level and a state-by-state level.
  - 2. Promote attendance of continuing Board members at regional and annual meetings to provide input and to obtain information at both national and state levels regarding matters impacting Alaska CPAs.
- c. Mentor executive administrator in developing procedures and goals for timely response to public, CPAs and CPA candidate requests.

The Board reviews proposed changes to the Uniform Accountancy Act (UAA) and has been making attempts to update Alaska statutes/regulations as appropriate (a). The Board continues to make an effort to have both staff and Board members attend NASBA meetings/conferences, but were not approved to participate at their desired level in FY19 (b). Response time has been maintained within Division standards and requests/correspondence requiring Board action were addressed at quarterly meetings (c).

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**Goals and Objectives (continued)**

**Part I** (continued)

***FY 2019's goals and objectives, and how they were met:***

**Goal 5**

**Work with the Division regarding.**

- a. Future licensee fee structure; fees must cover monitoring expenses and operating costs while not being cost prohibitive to licensees.
- b. Board and staff travel and participation at NASBA/AICPA meetings including:
  1. Executive Administrator attendance at NASBA annual and regional meetings and the NASBA Executive Director conference.
  2. No less than three (3) Board members will attend the NASBA regional conference and three (3) Board members will attend the annual NASBA meeting.
  3. One Board member and/or the Executive Administrator will attend any special NASBA or AICPA meetings as they arise.
  4. Investigator will attend NASBA ED/Legal counsel conference.
  5. One or two Board members and the Executive Administrator will attend the Alaska Society of CPAs annual meeting.
  6. Continue outreach to licensees by holding Board meetings in various State locations.
- c. Ongoing improvements and maintenance of the Board website.
- d. Collections of licensee emails for future correspondence and newsletters.
- e. Timely review and resolution of CPE audits.
- f. Obtaining more information with fiscal reports, including personnel and legal costs.
- g. Work with Division on cost saving measures.

The Board recommended no fee changes for the renewal that will take place in FY20 (a). The Board continues to outline travel requests in the annual report and to submit travel requests, but has been unable to meet the goals as outlined above due to ongoing travel restrictions (b). The Board attempts to list relevant information and links to external sources on its website (c). The Division has a new online system for licensees/applicants called MyLicense (accessed through MyAlaska); when a person "opts-in" their email address is collected and can be used as official means of communication (d). The executive administrator/Board attempt to notify licensees of their selection for random CPE as soon as possible and the Board was very timely with their review of CPE audits and resolution of matters during the last renewal (2017). The Board intends to conduct the upcoming audits within the same timelines (e). The Board reviews all quarterly financials prepared by the Division and asks for additional detail if there are questions (f). The Board complies with required State policies and is also mindful of expenses. For in person meetings, members travel on the day the meeting starts, carpools and attempt to save costs wherever they can (g).

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**ALASKA BOARD OF PUBLIC ACCOUNTANCY -  
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**Goals and Objectives (continued)**

**Part I** (continued)

***FY 2019's goals and objectives, and how they were met:***

**Goal 6**

**Pursue public awareness of enforcement activities.**

- a. Timely update of investigative information and statistics on the Board website.
- b. Interact with the investigative unit regarding consistency and monitoring of cases.
- c. Continue participation with the NASBA Accountancy Licensee Database (ALD).

Board actions are updated on the Board website and can now be linked to individual license records that can be viewed using the public license search (a). The Board investigator provides quarterly reports and the Board will ask for additional information when necessary (b). The Board has continued to participate with the ALD database (c).

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**Goals and Objectives (continued)**

**Part II**

**FY 2020's goals and objectives, and proposed methods to achieve them.**

*Describe any strengths, weaknesses, opportunities, threats and required resources:*

**Goal 1**

**Monitor legislation, evaluate and review statutory/regulatory changes related to:**

- a. Review of UAA through a coordinated effort with the AKCPA for recent changes and identify additional areas where Alaska statutes and regulations differ and evaluate impact of differences to include:
  - 1. Adopt UAA attest definition
  - 2. Adopt UAA firm definition
  - 3. Update peer review requirements
  - 4. Adopt firm mobility
- b. Review requirements for CPA firm permits.
- c. Monitor NASBA discussion re: required years of experience.
- d. Review and monitor potential changes to how we use the peer review reports in the future.
- e. Review statutes and regulations for areas of clarification with AKCPA.
- f. Monitor NASBA committee activity.

**Goal 2**

**Review and evaluate National Association of State Board of Accountancy (NASBA) services.**

- c. Continue to monitor NASBA CPA Exam Services (CPAES).
- d. Evaluate and analyze other services offered by NASBA.
  - 1. Inquire with the communications department to see how they can assist with an electronic newsletter and possibly other items, such as social media, announcements and the website.

**Goal 3**

**Ensure Alaska CPA candidates have positive examination opportunities.**

- a. Monitor testing experiences by visiting sites and using the post-testing survey for all candidates testing at the Anchorage and Fairbanks sites. Monitor that the surveys are delivered timely.
- b. Follow-up on concerns indicated in the surveys.
- c. Keep the AKCPA and the public abreast of new developments.

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**ALASKA BOARD OF PUBLIC ACCOUNTANCY -  
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**Goals and Objectives (continued)**

**Part II**

**FY 2020's goals and objectives, and proposed methods to achieve them.**

*Describe any strengths, weaknesses, opportunities, threats and required resources:*

**Goal 4**

**Evaluate and address changes in the professional environment to continue to fulfill our mission to protect public interests.**

- d. Review new rules proposed by NASBA and the AICPA, for impact on Alaska CPAs and make changes to Alaska statutes and regulations as needed.
- e. Represent Alaska CPA concerns at regional, annual, and special meetings and support NASBA committee participation.
  - 3. Promote attendance by staff and new Board members at regional, special and annual meetings to provide understanding of current regulatory issues being dealt with at a national level and a state-by-state level.
  - 4. Promote attendance of continuing Board members at regional and annual meetings to provide input and to obtain information at both national and state levels regarding matters impacting Alaska CPAs.
- f. Mentor executive administrator in developing procedures and goals for timely response to public, CPAs and CPA candidate requests.

**Goal 5**

**Work with the Division regarding:**

- h. Future licensee fee structure; fees must cover monitoring expenses and operating costs while not being cost prohibitive to licensees.
- i. Board and staff travel and participation at NASBA/AICPA meetings including:
  - 1. Executive Administrator attendance at NASBA annual and regional meetings and the NASBA Executive Director conference.
  - 2. No less than four (4) Board members will attend the NASBA regional conference or the annual NASBA meeting.
  - 3. One Board member and/or the Executive Administrator will attend any special NASBA or AICPA meetings as they arise.
  - 4. Investigator will attend NASBA ED/Legal counsel conference.
  - 5. One or two Board members and the Executive Administrator will attend the Alaska Society of CPAs annual meeting.

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**ALASKA BOARD OF PUBLIC ACCOUNTANCY -  
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**Goals and Objectives (continued)**

**Part II**

**FY 2020's goals and objectives, and proposed methods to achieve them.**

*Describe any strengths, weaknesses, opportunities, threats and required resources:*

**Goal 5, continued...**

- 6. Continue outreach to licensees by holding Board meetings in various State locations.
- j. Ongoing improvements and maintenance of the Board website.
- k. Use of MyLicense for renewal and communication with licensees.
- l. Conduct CPE random audits and complete timely review and resolution of CPE audits.
- m. Obtaining more information with fiscal reports, including personnel and legal costs.
- n. Work with Division on cost saving measures.
- o. Complete a review of firm/licensee renewal forms and processes.

**Goal 6**

**Pursue public awareness of enforcement activities.**

- d. Timely update of investigative information and statistics on the Board website.
- e. Interact with the investigative unit regarding consistency and monitoring of cases.
- f. Continue participation with the NASBA Accountancy Licensee Database (ALD).
  - 1. Work with Division on automatic reporting of license action.
- g. Executive Administrator to provide enforcement decisions to the general public.

**ALASKA BOARD OF PUBLIC ACCOUNTANCY -  
Fiscal Year 2019 Annual Report**

**Sunset Audit Recommendations**

**Date of Last Legislative Audit:** 06/01/2012  
**Board Sunset Date:** 06/30/2021

<b>Audit Recommendation:</b>	DCBPL's Director should continue to address deficiencies in the investigative case management system.
<b>Action Taken:</b>	A task force of investigative staff and IT professionals was formed to identify weaknesses and propose solutions.
<b>Next Steps:</b>	Continue to monitor the case management system.
<b>Date Completed:</b>	Ongoing monitoring by Division