

State of Alaska
Department of Commerce, Community and
Economic Development
Division of Corporations, Business and Professional Licensing

BOARD OF PUBLIC ACCOUNTANCY

MINUTES OF MEETING
March 22, 2016

By authority of AS 08.01.070(2), and in compliance with the provisions of AS 44.62, Article 6, a teleconference meeting of the Board of Public Accountancy was held March 22, 2016. The purpose of the teleconference was to review investigative matters.

Call to Order/Roll Call

The meeting was called to order by Don Rulien, Chair, at 3:00 p.m. Those present, constituting a quorum of the Board were:

Karen Brewer-Tarver, CPA – Juneau
Craig Chapman, CPA – Kenai
Andre Horton, Public Member – Anchorage
Don Rulien, CPA – Anchorage
Jeffrey Johnson, CPA - Fairbanks
Leslie Schmitz, CPA - Anchorage

Present from the Department of Commerce, Community and Economic Development, Division of Corporations, Business and Professional Licensing, was:

Cori Hondolero, Executive Administrator
Greg Francois, Investigator III

Review Consent Agreement

The Board had been sent copies of the investigative report and consent agreement for case #2015-000674.

Mr. Chapman wanted to discuss if the fine amount and duration of probation were enough. Mr. Rulien asked why there were such differences between the two cases being reviewed. Ms. Schmitz asked why the reduction in length of the term of probation was approved during the negotiation process. Ms. Hondolero did confirm that this will be listed as license action on the record forever; anyone can inquire and receive a copy of the consent agreement if the Board adopts it.

Ms. Brewer-Tarver did comment that this case had been open for a long time and that in the interest of resolving the matter, it was decided that negotiating some of the terms was acceptable. Mr. Chapman stated that he would like to see

more strict guidelines in the future. Investigator Francois stated that the Board can choose to reject the agreement if they do not agree with the terms.

Upon a motion duly made by Mr. Johnson, seconded by Ms. Schmitz, and approved by roll call vote, it was:

RESOLVED to accept the consent agreement as written for case #2015-000674

Roll Call Vote

Ms. Brewer-Tarver – Abstain
Ms. Schmitz – Yes
Mr. Chapman – Yes
Mr. Horton – Yes
Mr. Rulien – Yes
Mr. Johnson – Yes

The Board had been sent copies of the investigative report and imposition of civil fine for case #2016-000028.

Ms. Schmitz pointed out that the permit holder had completed 5 audits while lapsed and that the penalty appeared light. Ms. Brewer-Tarver did note that the firm is also licensed in Washington State. Mr. Johnson stated that with mobility individuals can move across state lines; this is back to the issue of a person being completely unlicensed (in any State) vs. maintaining their out of State license.

Upon a motion duly made by Mr. Chapman, seconded by Mr. Horton, and approved by roll call vote, it was:

RESOLVED to accept the imposition of civil fine for case #2016-000028.

Roll Call Vote

Mr. Johnson - Abstain
Ms. Brewer-Tarver – Yes
Ms. Schmitz – Yes
Mr. Chapman – Yes
Mr. Horton – Yes
Mr. Rulien – Yes

The Board inquired about the approval for the upcoming May meeting in Juneau. Ms. Hondolero stated that she had submitted the request weeks ago and still had not heard anything. Mr. Johnson noted that he may have to attend telephonically for the main portion of the meeting due to scheduling conflicts.

The meeting adjourned at 3:32 p.m.

Respectfully Submitted:



Cori Hondolero
Executive Administrator

Approved:



Don Rulien, Chair
Board of Public Accountancy

Date: 5/5/16