The disciplinary sanctions outlined in this document are reference for Board consideration during a circumstance that may merit censure or discipline. However, each matter is considered on its individual merits and the Board has discretion to use or modify these guidelines at any time. Approved by the Board of Social Work Examiners on May 8th, 2023.

SOCIAL WORK DISCIPLINARY MATRIX/FINE SCHEDULE

| Category | License type | Violation | Authority | Disciplinary Sanctions |
|-------------------|--------------|-----------------------------|------------------------|-------------------------------------|
| Deceit, Fraud, | LCSW | Securing a license through | Sec. 08.95.050(a)(1-6) | 1 st or Minor Offense: |
| Misrepresentation | LMSW | deceit, fraud, or | | -Non-disciplinary letter of |
| | LBSW | intentional | | advisement or up to |
| | | misrepresentation. | | \$1500 imposition of Civil |
| | | | | Fine |
| | | Engaged in deceit, fraud, | | 2 nd or Serious Offense: |
| | | or intentional | | -Consent agreement: |
| | | misrepresentation while | | -Up to \$5,000 fine |
| | | providing professional | | -Up to 3 years probation |
| | | services or in the course | | -Suspension |
| | | of professional activities. | | -Reprimand |
| | | | | -Revocation |
| | | Advertise professional | | |
| | | services in a false or | | |
| | | misleading manner. | | |
| | | Violating this chapter, a | | |
| | | regulation adopted under | | |
| | | this chapter, or an order | | |
| | | issued by the board. | | |
| | | Is the subject of a | | |
| | | disciplinary sanction or | | |
| | | other adverse licensing | | |
| | | action in another | | |
| | | jurisdiction relating to a | | |
| | | social work license. | | |

| | | Failure to report to the board that the licensee is or was the subject of a disciplinary proceeding or other adverse licensing action in another jurisdiction relating to a social work license. | | |
|-------------------|----------------------|---|----------------------|---|
| Criminal Action – | LCSW LMSW LBSW | Has been convicted of a felony or has been convicted of a misdemeanor that reflects on the licensee's ability to practice competently and professionally | Sec. 08.95.050(a)(7) | Seriousness of crime should be considered: -Consent Agreement: -Up to \$10,000 Fine -Up to 5 years probation -Suspension -Limits on Practice -Education -Reprimand -Revocation |
| Professional | LCSW | Intentionally or | Sec. 08.95.050(a) | -Non-disciplinary letter of |
| Incompetence | LMSW | negligently engaged in, or permitted persons under the licensee's supervision to engage in, client care that did not conform to minimum professional standards or to the standards of practice adopted by the board regardless of whether actual injury to the client occurred. | (8)(9)(A,B,D) | advisement or up to \$2500 imposition of Civil Fine 2nd or Serious Offense: -Consent agreement: -Up to \$7,000 fine -Up to 3 years probation -Suspension -Up to 10 hours Education -Reprimand -Revocation |

| | | Physical or mental disability | | |
|----------------------------------|----------------------|--|---|--|
| Substance Abuse | LCSW LMSW LBSW | Addiction to or severe dependency on alcohol or other drugs that impairs the ability to practice safely. | Sec. 08.95.050(a)(9)(c) | -Consent Agreement: -Up to \$10,000 Fine -Up to 5 years probation -Suspension -Limits on Practice -Education -Reprimand -Revocation |
| Sexual Misconduct | LCSW LMSW LBSW | Engaging in lewd, immoral, or unethical conduct in connection with the delivery of professional services to clients. While licensed to practice social work, engaged in sexual contact with a person during the time period that the person was a client or within two years after termination of the licensee's professional relationship with the client. | Sec. 08.95.050(a)(10)(11) | -Consent Agreement: -Up to \$10,000 Fine -Up to 5 years probation -Suspension -Limits on Practice -Up to 10 hours Education -Reprimand -Revocation |
| Violating Professional Ethics | LCSW LMSW LBSW | A social worker licensed in this state shall adhere to the code of ethics adopted by the board under this section. The | 12 AAC 18.150 AS 08.95.030 AS 08.95.110 | Non-disciplinary letter of advisement or up to \$2500 imposition of Civil Fine 2 nd or Serious Offense: |

| | | board adopts by reference | | -Consent agreement: |
|------------------------|------|-----------------------------|---------------|-------------------------------------|
| | | the Code of Ethics of the | | -Up to \$7,000 fine |
| | | National Association of | | -Up to 3 years probation |
| | | Social Workers, Inc., 2021 | | -Suspension |
| | | edition, as the code of | | -Up to 10 hours |
| | | ethics for social workers | | Education |
| | | licensed in this state. | | -Reprimand |
| | | | | -Revocation |
| Violating Standards of | LCSW | A social worker licensed in | 12 AAC 18.160 | Non-disciplinary letter of |
| Practice | LMSW | this state shall adhere to | AS 08.95.030 | advisement or up to |
| | LBSW | the standards of practice | | \$2500 imposition of Civil |
| | | adopted by the board | | Fine |
| | | under this section. The | | 2 nd or Serious Offense: |
| | | board adopts by reference | | -Consent agreement: |
| | | the Model Social Work | | -Up to \$7,000 fine |
| | | Practice Act Model Law | | -Up to 3 years probation |
| | | Task Force, 1996 – 1997, | | -Suspension |
| | | with amendments, 1998 – | | -Up to 10 hours |
| | | 2012, with amendments, | | Education |
| | | 2013 – 2015, with | | -Reprimand |
| | | amendments, 2018, and | | -Revocation |
| | | the Model Regulatory | | |
| | | Standards for Technology | | |
| | | and Social Work Practice | | |
| | | ASWB International | | |
| | | Technology Task Force, | | |
| | | 2013 – 2014 published by | | |
| | | the Association of Social | | |
| | | Work Boards, as the | | |
| | | standards of practice for | | |
| | | social workers licensed in | | |
| | | this state. | | |