

STATE OF ALASKA
BOARD OF CERTIFIED DIRECT ENTRY MIDWIVES
FEBRUARY 20, 2020 VIDEO/TELECONFERENCE

ZOOM CONFERENCE LINE: 1-669-900-6833
ACCESS: 522 970 039

Meeting Agenda
Thursday, February 20, 2020

	<u>TIME</u>	<u>TOPIC</u>	<u>LEAD PERSON</u>
1.	9:00 a.m.	Call to Order/Roll Call	Chair
2.	9:02 a.m.	Review/Approve Agenda & Previous Minutes	Chair
3.	9:10 a.m.	Ethics Report	Chair
4.	9:15 a.m.	New Member Introduction	Chair
5.	9:30 a.m.	Correspondence	Chair
6.	9:45 a.m.	Board Admin. Business a. Set Upcoming Meeting Dates b. Advise of Incoming Board Members c. Elect New Officers	Chair
7.	10:15 a.m.	Right-touch Regulation Review	Chair
8.	11:30am	Public Comment	Chair
12:00 Break for lunch			
8.	1:00 p.m.	Investigations a. Peer Review Chair b. Executive Session	C. Bond/G. Francois
9.	1:30 p.m.	Division Update a. FY19 4 th Quarter Report b. Fee Setting Discussion	Chair
10.	3:30 p.m.	Adjourn	Chair

Adjourn 3:30 (later if necessary)

1 STATE OF ALASKA
2 DEPARTMENT OF COMMERCE, COMMUNITY AND ECONOMIC DEVELOPMENT
3 DIVISION OF CORPORATIONS, BUSINESS AND PROFESSIONAL LICENSING
4

5 BOARD OF CERTIFIED DIRECT-ENTRY MIDWIVES
6

7 Videoconference
8 October 10, 2019
9

10 **These DRAFT minutes were prepared by the staff of the Division of Corporations, Business
11 and Professional Licensing. They have not been reviewed or approved by the Board.**

12
13 By the authority of AS 08.065.020 and in compliance with the provision of AS 44.62, Article 6, a
14 scheduled videoconference meeting of the Board of Certified Direct-Entry Midwives was held
15 October 10, 2019; 333 Willoughby Ave, 9th Floor Commissioners Conference Room, Juneau, AK.
16

17 **Agenda Item 1 – Call to Order/Roll Call**

18
19 Chair Schneider called the meeting to order at 9:03 AM AKDT.

20 Members present: Dana Brown, Deborah Schneider, Dianna Kristeller

21 Staff Present: Lacey Derr – Licensing Examiner

22 **Agenda Item 2 – Review Agenda/Review Minutes**

23 **A motion was made to amend the draft agenda to move Elections of Officers from the
24 Agenda to an OnBoard Vote by D. Brown; seconded by D. Kristeller, the motion passed
25 unanimously.**

26
27 **A motion was made to approve the draft minutes as written for the June 5, 2019 meeting by
28 D. Brown; seconded by D. Kristeller; the motion passed unanimously.**

29
30 **Agenda Item 3 – Ethics Report**

31
32 No ethics to report.
33

34 **Agenda Item 4 – Peer Review**

35
36 The Board reviewed the 3 applicants that were selected for audit. After reviewing the
37 information provided, it was decided as follows:
38

39
40 Via roll call vote, the following audits were reviewed and approved to be closed by the board.

41 Dana Brown
42 Holly Steiner
43 Kristen Gerrish
44

45 **Agenda Item 5 – Investigations**

46
47 The Board discussed the previous Regulations Project which was tabled due to needing
48 additional and/or clarifying information. It was previously discussed to update the educational
49 requirements of midwives to have educational programs be MEAC accredited. The main
50 questions raised were can the Board make such a change or does it have to go through
51 regulation and does that change still meet the legal requirements of education for the State of
52 Alaska. It was determined yes it is both a regulations change requiring the regulations process
53 and the verbiage used is corrected to remain within legal requirements.
54

55 **A motion was made to approve the Regulations Amendment, 12 AAC 14.200 (a) & (b) Course**
56 **of Study Requirements, to move forward with corrections to the date to be reflected as**
57 **January 1, 2020. Motion by D. Kristeller; seconded by D. Brown, the motion passed**
58 **unanimously by roll call vote.**

59
60 The Board discussed the tabled application for an applicant whose license has been
61 expired/lapsed for 5+ years. There were no guidelines written as to specifically how an
62 applicant would be re-licensed and the Board be able to adequately assess their current
63 knowledge of Midwifery after so many years of inactivity. As per the letter provided by the
64 department of law, there was nothing to prevent the applicant from Re-Applying as a “new”
65 midwife to the State of Alaska. The applicant ended up withdrawing their application. The
66 Board decided to take up a new Regulations Change to add a section to 12 AAC 14.110,
67 requiring additional items to become re-licensed after 5 years or more of a lapsed license.
68

69 **A motion was made to add an amendment to 12 AAC 14.110 to add section (e) as discussed:**

70 **(e) If an applicant has a certification that has been lapsed for more than 5 years, the applicant**
71 **must submit:**

72 **(1) documentation that complies with 12 AAC 14.470(b)(4)(A-D); and**

73 **(2) documentation that complies with 12 AAC 14.470(b)(5); and**

74 **(3) documents completion of**

75 (A) at least 20 preceptor-supervised delivers in the 24 months immediately preceding
76 the application for license in which the applicant was the primary or assisting midwife;
77 in at least ten of the supervised deliveries, the applicant must have been the primary
78 midwife; and
79 (B)at least 10 newborn examinations; and
80 (C) at least 30 prenatal examinations; and
81 (D) at least 10 postpartum examinations, and

82 (4) verification of passing the North American Registry of Midwives Examination (NARM) sent
83 directly to the department from NARM within 12 months of the date of application submittal.
84 Motion by D. Brown; seconded by D. Kristeller, the motion passed unanimously by roll call
85 vote.

86
87 The Board discussed other potential issues they see in their regulations that may need to be
88 addressed while taking on additional regulations projects. It was felt the regulations were in a
89 good position with the addition of the other 2 regulations changes.

90
91 **Agenda Item 6 – Division Update**

92
93 There was no one present in the room or on the phone to provide public comment.

94
95 **Public Comment Closed at 11:10am.**

96 **Agenda Item 7 – Public Comment**

97
98 The Board discussed the application up for vote. It was decided to approve via Board Vote:

99 **J. Newport**

100

101 **Agenda Item 8 – Application Review**

102

103 The Board reviewed the final draft of the Annual Report. After a brief review and discussion, a
104 motion was made:

105

106 **A motion was made to accept the Annual Report as written by D. Espindola; seconded by D.**
107 **Brown, the motion passed unanimously via Roll Call Vote.**

108 **Item 8 – Adjourn**

109

110 **A motion was made to Adjourn the Meeting by D. Brown; seconded by D. Kristeller, the**

111 **motion passed unanimously.**

112

113 **Meeting Adjourned 11:45am**

114

115

116

Respectfully Submitted:

117

118

Lacey Derr, Licensing Examiner

119

120

121

122

Deborah Schneider, CDM Chair

123

124

Date

125

DRAFT

State of Alaska
DEPARTMENT OF LAW

ETHICS ACT PROCEDURES FOR BOARDS & COMMISSIONS

All board and commission members and staff should be familiar with the Executive Branch Ethics Act procedures outlined below.

Who Is My Designated Ethics Supervisor (DES)?

Every board or commission subject to the Ethics Act¹ has several ethics supervisors designated by statute.

- The chair serves as DES for board or commission members.
- The chair serves as DES for the executive director.
- The executive director serves as DES for the staff.
- The governor is the DES for a chair.²

What Do I Have To Disclose?

The Ethics Act requires members of boards and commissions to disclose:

- Any matter that is a potential conflict of interest with actions that the member may take when serving on the board or commission.
- Any circumstance that may result in a violation of the Ethics Act.
- Any personal or financial interest (or that of an immediate family member) in a state grant, contract, lease or loan that is awarded or administered by the member's board or commission.
- The receipt of certain gifts.

The executive director of the board or commission and its staff, as state employees, must also disclose:

- Compensated outside employment or services.
- Volunteer service, if any compensation, including travel and meals, is paid or there is a potential conflict with state duties.

- For more information regarding the types of matters that may result in violations of the Ethics Act, board or commission members should refer to the guide, *"Ethics Information for Members of Boards and Commissions."* The executive director and staff should refer to the guide, *Ethics Information for Public Employees.* Both guides and disclosure forms may be found on the [Department of Law's ethics website](#).

How Do I Avoid Violations of the Ethics Act?

- Make timely disclosures!
- Follow required procedures!
- Provide all information necessary to a correct evaluation of the matter!³
- When in doubt, disclose and seek advice!
- Follow the advice of your DES!

What Are The Disclosure Procedures for Board and Commission Members?

The procedural requirements for disclosures by members are set out in AS 39.52.220 and 9 AAC 52.120. One goal of these provisions is to help members avoid violations of the Ethics Act. The procedures provide the opportunity for members to seek review of matters in advance of taking action to ensure that actions taken will be consistent with the Act.

Procedure for declaring actual or potential conflicts.

Members must declare potential conflicts and other matters that may violate the Ethics Act **on the public record and in writing to the chair**.

Disclosure on the public record. Members must identify actual and potential conflicts orally at the board or commission's public meeting **in advance** of participating in deliberations or taking any official action on the matter.

- A member must always declare a conflict and may choose to refrain from voting, deliberations or other participation regarding a matter.⁴
- If a member is uncertain whether participation would result in a violation of the Act, the member should disclose the circumstances and seek a determination from the chair.

Disclosure in writing at a public meeting. In addition to an oral disclosure at a board or commission meeting, members' disclosures must be made in writing.

- If the meeting is recorded, a tape or transcript of the meeting is preserved **and** there is a method for identifying the declaration in the record, an oral disclosure may serve as the written disclosure.
- Alternatively, the member must note the disclosure on the Notice of Potential Violation disclosure form and the chair must record the determination.

Confidential disclosure in advance of public meeting. Potential conflicts may be partially addressed in advance of a board or commission's public meeting based on the published meeting agenda or other board or commission activity.

- A member identifying a conflict or potential conflict submits a Notice of Potential Violation to the chair, as DES, in advance of the public meeting.
- This written disclosure is considered confidential.
- The chair may seek advice from the Attorney General.
- The chair makes a written determination, also confidential, whether the disclosed matter represents a conflict that will result in a violation of the Ethics Act if the member participates in official action addressing the matter.⁵
- If so, the chair directs the member to refrain from participating in the matter that is the subject of the disclosure.
- An oral report of the notice of potential violation and the determination that the member must refrain from participating is put on the record at a public meeting.⁶

Determinations at the public meeting. When a potential conflict is declared by a member for the public record, the following procedure must be followed:

- The chair states his or her determination regarding whether the member may participate.
- Any member may then object to the chair's determination.
- If an objection is made, the members present, excluding the member who made the disclosure, vote on the matter.
- *Exception:* A chair's determination that is made consistent with advice provided by the Attorney General may not be overruled.
- If the chair, or the members by majority vote, determines that a violation will exist if the disclosing member continues to participate, the member must refrain from voting, deliberating or participating in the matter.⁷

If the chair identifies a potential conflict, the same procedures are followed. If possible, the chair should forward a confidential written notice of potential violation to the Office of the Governor for a determination in advance of the board or commission meeting. If the declaration is first

made at the public meeting during which the matter will be addressed, the members present, except for the chair, vote on the matter. If a majority determines that a violation of the Ethics Act will occur if the chair continues to participate, the chair shall refrain from voting, deliberating or participating in the matter. A written disclosure or copy of the public record regarding the oral disclosure should be forwarded to the Office of the Governor for review by the chair's DES.

Procedures for Other Member Disclosures

A member's interest in a state grant, contract, lease or loan and receipt of gifts are disclosed by filling out the appropriate disclosure form and submitting the form to the chair for approval. The disclosure forms are found on the [Department of Law's ethics website](#).

What Are The Disclosure Procedures for Executive Directors and Staff?

Ethics disclosures of the executive director or staff are made in writing to the appropriate DES (chair for the executive director and the executive director for staff).

- Disclosure forms are found on the ethics website, noted above.

Notices of Potential Violations. Following receipt of a written notice of potential violation, the DES investigates, if necessary, and makes a written determination whether a violation of the Ethics Act could exist or will occur. A DES may seek advice from the Attorney General. If feasible, the DES shall reassign duties to cure a potential violation or direct divestiture or removal by the employee of the personal or financial interests giving rise to the potential violation.

- These disclosures are not required to be made part of the public record.
- A copy of a determination is provided to the employee.
- Both the notice and determination are confidential.

Other Disclosures. The DES also reviews other ethics disclosures and either approves them or determines what action must be taken to avoid a violation of the Act. In addition to the disclosures of certain gifts and interests in the listed state matters, state employees must disclose all outside employment or services for compensation.

- The DES must provide a copy of an approved disclosure or other determination the employee.

How Are Third Party Reports of Potential Violations or Complaints Handled?

Any person may report a potential violation of the Ethics Act by a board or commission member or its staff to the appropriate DES or file a complaint alleging actual violations with the Attorney General.

- Notices of potential violations and complaints must be submitted in **writing** and **under oath**.
- Notices of potential violations are investigated by the appropriate DES who makes a written determination whether a violation may exist.⁸
- Complaints are addressed by the Attorney General under separate procedures outlined in the Ethics Act.
- **These matters are confidential**, unless the subject waives confidentiality or the matter results in a public accusation.

What Are The Procedures for Quarterly Reports?

Designated ethics supervisors must submit copies of notices of potential violations received and the corresponding determinations to the Attorney General for review by the state ethics attorney as part of the quarterly report required by the Ethics Act.

- Reports are due in April, July, October and January for the preceding quarter.
- A sample report may be found on the Department of Law's ethics website.
- An executive director may file a quarterly report on behalf of the chair and combine it with his or her own report.
- If a board or commission does not meet during a quarter and there is no other reportable activity, the DES advises the Department of Law Ethics Attorney by e-mail at ethicsreporting@alaska.gov and no other report is required.

If the state ethics attorney disagrees with a reported determination, the attorney will advise the DES of that finding. If the ethics attorney finds that there was a violation, the member who committed the violation is not liable if he or she fully disclosed all relevant facts reasonably necessary to the ethics supervisor's or commission's determination and acted consistent with the determination.

How Does A DES or Board or Commission Get Ethics Advice?

A DES or board or commission may make a **written request** to the Attorney General for an opinion regarding the application of the Ethics Act. In practice, the Attorney General, through the state ethics attorney, also provides **advice by phone or e-mail** to designated ethics supervisors, especially when time constraints prevent the preparation of timely written opinions.

- A request for advice and the advisory opinion are confidential.
- The ethics attorney endeavors to provide prompt assistance, although that may not always be possible.
- The DES must make his or her determination addressing the potential violation based on the opinion provided.

It is the obligation of each board or commission member, as well as the staff, to ensure that the public's business is conducted in a manner that is consistent with the standards set out in the Ethics Act. We hope this summary assists you in ensuring that your obligations are met.

¹ The Act covers a board, commission, authority, or board of directors of a public or quasi-public corporation, established by statute in the executive branch of state government.

² The governor has delegated the DES responsibility to Guy Bell, Administrative Director of the Office of the Governor.

³ You may supplement the disclosure form with other written explanation as necessary. Your signature on a disclosure certifies that, to the best of your knowledge, the statements made are true, correct and complete. False statements are punishable.

⁴ In most, but not all, situations, refraining from participation ensures that a violation of the Ethics Act does not occur. Abstention does not cure a conflict with respect to a significant direct personal or financial interest in a state grant, contract, lease or loan because the Ethics Act prohibition applies whether or not the public officer actually takes official action.

⁵ The chair must give a copy of the written determination to the disclosing member. There is a determination form available on the Department of Law's ethics web page. The ethics supervisor may also write a separate memorandum.

⁶ In this manner, a member's detailed personal and financial information may be protected from public disclosure.

⁷ When a matter of particular sensitivity is raised and the ramifications of continuing without an advisory opinion from the Attorney General may affect the validity of the board or commission's action, the members should consider tabling the matter so that an opinion may be obtained.

⁸ The DES provides a copy of the notice to the employee who is the subject of the notice and may seek input from the employee, his or her supervisor and others. The DES may seek advice from the Attorney General. A copy of the DES' written determination is provided to the subject employee and the complaining party. The DES submits a copy of both the notice and the determination to the Attorney General for review as part of the DES' quarterly report. If feasible,

the DES shall reassign duties to cure a potential violation or direct divestiture or removal by the employee of the personal or financial interests giving rise to the potential violation.

6/14

The Attorney General and Department of Law staff may not provide legal advice to private citizens or organizations. Please contact an attorney if you need legal advice. The [Alaska Lawyer Referral Service](#) or your local bar association may be able to assist you in locating a lawyer.

Alaska Department of Law

1031 West 4th Avenue, Suite 200

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Ethics Disclosure Form

<p style="text-align: center;">CONFIDENTIAL REQUEST FOR ETHICS DETERMINATION</p>
--

TO: _____, Designated Ethics Supervisor

(Identify Your Department, Agency, Public Corporation, Board, Commission)

I request advice regarding the application of the Executive Branch Ethics Act (AS 39.52.010 - .960) to my situation. The situation involves the following:

I have provided additional information in the attached document(s).

I believe the following provisions of the Ethics Act may apply to my situation:

- AS 39.52.120, Misuse of Official Position
- AS 39.52.130, Improper Gifts
- AS 39.52.140, Improper Use or Disclosure of Information
- AS 39.52.150, Improper Influence in State Grants, Contracts, Leases or Loans
- AS 39.52.160, Improper Representation
- AS 39.52.170, Outside Employment Restricted
- AS 39.52.180, Restrictions on Employment after Leaving State Service
- AS 39.52.190, Aiding a Violation Prohibited

I understand that I should refrain from taking any official action relating to this matter until I receive your advice. If the circumstances I described above may result in a violation of AS 39.52.110 - .190, I intend that this request serve as my disclosure of the matter in accordance with AS 39.52.210 or AS 39.52.220.

I certify to the best of my knowledge that my statement is true, correct, and complete. In addition to any other penalty or punishment that may apply, the submission of a false statement is punishable under AS 11.56.200 - AS 11.56.240.

(Signature)

(Date)

(Printed Name)

(Division, Board, Commission)

(Position Title)

(Location)

Designated Ethics Supervisor: Provide a copy of your written determination to the employee advising whether action is necessary under AS 39.52.210 or AS 39.52.220, and send a copy of the determination and disclosure to the attorney general with your quarterly report.

Ethics Disclosure Form

Receipt of Gift

TO: _____, Designated Ethics Supervisor, _____
(Agency, Public Corporation, Board,
Commission or Council)

This disclosure reports receipt of a gift with value in excess of \$150.00 by me or my immediate family member, as required by AS 39.52.130(b) or (f).

1. Is the gift connected to my position as a state officer, employee or member of a state board or commission?

Yes No

2. Can I take or withhold official action that may affect the person or entity that gave me the gift?

Yes No

(If you answer "No" to both questions, you do not need to report this gift. If the answer to either question is "Yes," or if you are not sure, you must complete this form and provide it to your designated ethics supervisor.)

The gift is _____

Identify gift giver by full name, title, and organization or relationship, if any:

Describe event or occasion when gift was received or other circumstance explaining the reason for the gift:

My estimate of its value is \$ _____ The date of receipt was _____

The gift was received by a member of my family. Who? _____

If you checked "Yes" to question 2 above, explain the official action you may take that affects the giver (attach additional page, if necessary):

I certify to the best of my knowledge that my statement is true, correct, and complete. In addition to any other penalty or punishment that may apply, the submission of a false statement is punishable under AS 11.56.200 - AS 11.56.240.

(Signature)

(Date)

(Printed Name)

(Division)

(Position Title)

(Location)

Ethics Supervisor Determination: Approve Disapproved

Designated Ethics Supervisor*

(Date)

**Designated Ethics Supervisor: Provide a copy of the approval or disapproval to the employee. If action is necessary under AS 39.52.210 or AS 39.52.220, attach a determination stating the reasons and send a copy of the determination and disclosure to the attorney general with your quarterly report.*



November 18, 2019

Dear Board of Certified Direct-Entry Midwives,

First, we want to thank you all for your time and service to the residents of Alaska in support of their access to safe and professional midwifery care.

Looking forward to 2020, we as the Midwives Association of Alaska realize that there will be turnover in the CDM board seats, coinciding with the need for a new board Chair. We would like to advocate for continued appointment of a CDM as this allows them to function as the optimal liaison with the Division should there be questions about the standard of practice, and they will be the most up to date with changes in midwifery standards on a national level.

We look forward to continued interactions in supporting midwifery in the State of Alaska.

All the best,

Madi Nolan Grimes, CDM, CPM

Madi Nolan Grimes, President
Midwives Association of Alaska

2020 STATE HOLIDAY CALENDAR

JANUARY

S	M	T	W	R	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

FEBRUARY

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MARCH

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29	30	31				

APRIL

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26	27	28	29	30		

MAY

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JUNE

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28	29	30				

JULY

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AUGUST

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30	31					

SEPTEMBER

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27	28	29	30			

OCTOBER

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				1	2	3
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25	26	27	28	29	30	31

NOVEMBER

S	M	T	W	R	F	S
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22	23	24	25	26	27	28
29	30					

DECEMBER

S	M	T	W	R	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

State Holidays

Date	Holiday
01/01	New Year's Day
01/20	MLK Jr.'s Birthday
02/17	Presidents' Day
03/30	Seward's Day
05/25	Memorial Day
07/04	Independence Day (observed 7/3)



State calendar maintained by the Division of Finance, Department of Administration
<http://doa.alaska.gov/calendars.html>

Biweekly employees please refer to appropriate collective bargaining unit agreement for more information regarding holidays.

State Holidays

Date	Holiday
09/07	Labor Day
10/18	Alaska Day
11/11	Veterans' Day
11/26	Thanksgiving Day
12/25	Christmas Day

EXECUTIVE SESSION MOTION

I, _____, move that the Alaska State Board of Certified Direct-Entry Midwives enter into executive session in accordance with AS 44.62.310(c), and Alaska Constitutional Right to Privacy Provisions, for the purpose of discussing _____

Board staff member(s) _____ to remain during the session.

Off record: _____
On record: _____

Authority: AS 44.62.310(c), Government meetings public

The following subjects may be considered in executive session:

- matters, the immediate knowledge of which would clearly have an adverse effect upon the finances of the public entity;
- **subjects that tend to prejudice the reputation and character of any person, provided the person may request a public discussion;**
- **matters which by law, municipal charter, or ordinance are required to be confidential;**
- matters involving consideration of government records that by law are not subject to public disclosure.

Department of Commerce Community, and Economic Development
Corporations, Business and Professional Licensing
Schedule of Revenues and Expenditures

Board of Certified Direct Entry Midwives	FY 14	FY 15	Biennium	FY 16	FY 17	Biennium	FY 18	FY 19	Biennium
Revenue									
Revenue from License Fees	\$ 3,990	\$ 67,010	\$ 71,000	\$ 27,355	\$ 123,575	\$ 150,930	\$ 24,565	\$ 135,595	\$ 160,160
Allowable Third Party Reimbursements	-	-	-	-	-	-	-	-	-
TOTAL REVENUE	\$ 3,990	\$ 67,010	\$ 71,000	\$ 27,355	\$ 123,575	\$ 150,930	\$ 24,565	\$ 135,595	\$ 160,160
Expenditures									
Non Investigation Expenditures									
1000 - Personal Services	23,660	33,116	56,776	38,682	15,711	54,393	12,504	8,921	21,425
2000 - Travel	5,348	4,280	9,628	4,341	2,523	6,864	-	-	-
3000 - Services	636	3,466	4,102	5,621	3,441	9,062	2,359	2,614	4,973
4000 - Commodities	12	90	102	25	111	136	52	13	65
5000 - Capital Outlay	-	-	-	-	-	-	-	-	-
Total Non-Investigation Expenditures	29,656	40,952	70,608	48,669	21,786	70,455	14,915	11,548	26,463
Investigation Expenditures									
1000 - Personal Services	13,612	18,449	32,061	5,219	3,177	8,396	1,522	2,041	3,563
2000 - Travel	-	-	-	-	-	-	-	-	-
3023 - Expert Witness	-	4,025	4,025	-	-	-	-	-	-
3088 - Inter-Agency Legal	1,829	3,606	5,435	25,790	-	25,790	878	2,419	3,297
3094 - Inter-Agency Hearing/Mediation	-	-	-	-	-	-	-	-	-
3000 - Services other	-	-	-	-	-	-	-	94	94
4000 - Commodities	-	-	-	-	-	-	-	-	-
Total Investigation Expenditures	15,441	26,080	41,521	31,009	3,177	34,186	2,400	4,554	6,954
Total Direct Expenditures	45,097	67,032	112,129	79,678	24,963	104,641	17,315	16,102	33,417
Indirect Expenditures									
Internal Administrative Costs	1,516	5,793	7,309	4,157	3,316	7,473	2,898	2,433	5,331
Departmental Costs	4,900	10,177	15,077	7,072	3,896	10,968	2,598	2,473	5,071
Statewide Costs	4,282	7,577	11,859	3,179	2,319	5,498	1,568	1,150	2,718
Total Indirect Expenditures	10,698	23,547	34,245	14,408	9,531	23,939	7,064	6,056	13,120
TOTAL EXPENDITURES	\$ 55,795	\$ 90,579	\$ 146,374	\$ 94,086	\$ 34,494	\$ 128,580	\$ 24,379	\$ 22,158	\$ 46,537
Cumulative Surplus (Deficit)									
Beginning Cumulative Surplus (Deficit)	\$ (82,700)	\$ (134,505)		\$ (158,074)	\$ (224,805)		\$ (135,724)	\$ (135,538)	
Annual Increase/(Decrease)	(51,805)	(23,569)		(66,731)	89,081		186	113,437	
Ending Cumulative Surplus (Deficit)	\$ (134,505)	\$ (158,074)		\$ (224,805)	\$ (135,724)		\$ (135,538)	(22,101)	
							* Fee analysis required		
Statistical Information									
Number of Licensees	51	64		65	77		61	55	
Additional information:									
<ul style="list-style-type: none"> • Fee analysis required if the cumulative is less than zero; fee analysis recommended when the cumulative is less than current year expenditures; no fee increases needed if cumulative is over the current year expenses * • Most recent fee change: Fee increase FY17 • Annual license fee analysis will include consideration of other factors such as board and licensee input, potential investigation load, court cases, multiple license and fee types under one program, and program changes per AS 08.01.065. 									

Department of Commerce Community, and Economic Development
Corporations, Business and Professional Licensing

Appropriation	(All)
AL Sub Unit	(All)
PL Task Code	MID1

Sum of Budgetary Expenditures Object Name (Ex)	Object Type Name (Ex)			Grand Total
	1000 - Personal Services	3000 - Services	4000 - Commodities	
1011 - Regular Compensation	6,343.57			6,343.57
1023 - Leave Taken	819.86			819.86
1028 - Alaska Supplemental Benefit	439.84			439.84
1029 - Public Employee's Retirement System Defined Benefits	567.21			567.21
1030 - Public Employee's Retirement System Defined Contribution	241.14			241.14
1034 - Public Employee's Retirement System Defined Cont Health Reim	173.16			173.16
1035 - Public Employee's Retirement Sys Defined Cont Retiree Medical	43.11			43.11
1037 - Public Employee's Retirement Sys Defined Benefit Unfnd Liab	551.60			551.60
1039 - Unemployment Insurance	10.12			10.12
1040 - Group Health Insurance	2,268.10			2,268.10
1041 - Basic Life and Travel	3.24			3.24
1042 - Worker's Compensation Insurance	57.45			57.45
1047 - Leave Cash In Employer Charge	165.38			165.38
1048 - Terminal Leave Employer Charge	102.28			102.28
1053 - Medicare Tax	99.63			99.63
1069 - SU Business Leave Bank Contributions	12.42			12.42
1077 - ASEA Legal Trust	6.75			6.75
1079 - ASEA Injury Leave Usage	0.18			0.18
1080 - SU Legal Trst	5.11			5.11
1970 - Personal Services Transfer	(948.23)			(948.23)
3044 - Courier		76.58		76.58
3045 - Postage		21.27		21.27
3046 - Advertising		693.45		693.45
3088 - Inter-Agency Legal		4,102.97		4,102.97
3970 - Contractual Transfer		233.00		233.00
4002 - Business Supplies			13.00	13.00
Grand Total	10,961.92	5,127.27	13.00	16,102.19

FY 2019 CBPL COST ALLOCATIONS

Name	Task Code	Direct Revenues	3rd Party Reimbursement	Total Revenues	Direct Expense	Percentage of board licenses/total licensees:	Division receiving personal services by transaction %:	Department Personal Services - Fiscal Revenue personal services by transaction %	Indirect Expense (Total Non-PCN Allocated)	Percentage of direct personal services:	Total Indirect Expenses	Total Expenses	2019 Annual Surplus (Deficit)
Acupuncture	ACU1	\$ 39,220	\$ -	\$ 39,220	\$ 9,421	\$ 3,211	\$ 372	\$ 728	\$ 4,311	3,130	\$ 7,441	\$ 16,862	\$ 22,358
Architects, Engineer	AEL1	\$ 161,305	\$ 10,892	\$ 172,197	\$ 409,158	\$ 173,072	\$ 11,462	\$ 1,352	\$ 185,886	120,476	\$ 306,362	\$ 715,520	\$ (543,323)
Athletic Trainers	ATH1	\$ 5,005	\$ -	\$ 5,005	\$ 2,348	\$ 1,062	\$ 135	\$ 39	\$ 1,236	860	\$ 2,096	\$ 4,444	\$ 561
Audiology/Speech Pathologists	AUD1	\$ 168,637	\$ -	\$ 168,637	\$ 28,180	\$ 20,728	\$ 4,170	\$ 1,430	\$ 26,328	10,326	\$ 36,654	\$ 64,834	\$ 103,803
Barbers & Hairdressers	BAH1	\$ 439,932	\$ -	\$ 439,932	\$ 389,605	\$ 160,158	\$ 20,975	\$ 3,030	\$ 184,163	124,454	\$ 308,617	\$ 698,222	\$ (258,290)
Behavior Analysts	BEV1	\$ 15,950	\$ -	\$ 15,950	\$ 5,420	\$ 1,464	\$ 327	\$ 650	\$ 2,441	1,804	\$ 4,245	\$ 9,665	\$ 6,285
Chiropractors	CHI1	\$ 211,760	\$ -	\$ 211,760	\$ 77,361	\$ 8,523	\$ 2,784	\$ 1,430	\$ 12,737	24,832	\$ 37,569	\$ 114,930	\$ 96,830
Collection Agencies	COA1	\$ 39,272	\$ -	\$ 39,272	\$ 37,387	\$ 17,022	\$ 2,175	\$ 377	\$ 19,574	12,740	\$ 32,314	\$ 69,701	\$ (30,429)
Concert Promoters	CPR1	\$ 6,625	\$ -	\$ 6,625	\$ 3,948	\$ 661	\$ 203	\$ 52	\$ 916	1,501	\$ 2,417	\$ 6,365	\$ 260
Construction Contractors	CON1	\$ 1,390,292	\$ -	\$ 1,390,292	\$ 551,487	\$ 202,889	\$ 25,246	\$ 4,395	\$ 232,530	108,468	\$ 340,998	\$ 892,485	\$ 497,807
Home Inspectors	HIN1	\$ 5,475	\$ -	\$ 5,475	\$ 8,833	\$ 2,219	\$ 270	\$ 78	\$ 2,567	3,315	\$ 5,882	\$ 14,715	\$ (9,240)
Dental	DEN1	\$ 636,660	\$ 127	\$ 636,787	\$ 332,050	\$ 126,304	\$ 9,028	\$ 2,536	\$ 137,868	88,204	\$ 226,072	\$ 558,122	\$ 78,665
Dietitians/Nutritionists	DTN1	\$ 14,055	\$ -	\$ 14,055	\$ 8,067	\$ 6,988	\$ 1,409	\$ 234	\$ 8,631	2,797	\$ 11,428	\$ 19,495	\$ (5,440)
Direct Entry Midwife	MID1	\$ 135,595	\$ -	\$ 135,595	\$ 16,102	\$ 1,298	\$ 192	\$ 364	\$ 1,854	4,202	\$ 6,056	\$ 22,158	\$ 113,437
Dispensing Opticians	DOP1	\$ 32,558	\$ -	\$ 32,558	\$ 19,010	\$ 2,809	\$ 721	\$ 598	\$ 4,128	7,058	\$ 11,186	\$ 30,196	\$ 2,362
Electrical Administrator	EAD1	\$ 16,781	\$ -	\$ 16,781	\$ 60,352	\$ 22,546	\$ 2,074	\$ 520	\$ 25,140	12,325	\$ 37,465	\$ 97,817	\$ (81,036)
Euthanasia Services	EUT1	\$ 275	\$ -	\$ 275	\$ 813	\$ 331	\$ 23	\$ 78	\$ 432	323	\$ 755	\$ 1,568	\$ (1,293)
Geologists	GEO1	\$ 745	\$ -	\$ 745	\$ 777	\$ 189	\$ 158	\$ 13	\$ 360	273	\$ 633	\$ 1,410	\$ (665)
Guardians/Conservators	GCO1	\$ 8,934	\$ -	\$ 8,934	\$ 6,864	\$ 331	\$ 56	\$ 104	\$ 491	2,357	\$ 2,848	\$ 9,712	\$ (778)
Guide-Outfitters	GUI1	\$ 405,090	\$ -	\$ 405,090	\$ 511,497	\$ 34,633	\$ 12,803	\$ 1,508	\$ 48,944	88,129	\$ 137,073	\$ 648,570	\$ (243,480)
Marine Pilots	MAR1	\$ 128,600	\$ -	\$ 128,600	\$ 102,224	\$ 3,116	\$ 665	\$ 715	\$ 4,496	32,628	\$ 37,124	\$ 139,348	\$ (10,748)
Foreign Pleasure Craft	FPC1	\$ 77,850	\$ -	\$ 77,850	\$ 6,838	\$ -	\$ 237	\$ 156	\$ 393	2,756	\$ 3,149	\$ 9,987	\$ 67,863
Marital & Family Therapy	MFT1	\$ 84,050	\$ -	\$ 84,050	\$ 45,031	\$ 2,408	\$ 383	\$ 520	\$ 3,311	14,422	\$ 17,733	\$ 62,764	\$ 21,286
Massage Therapists	MAS1	\$ 89,770	\$ 1,791	\$ 91,561	\$ 222,447	\$ 30,148	\$ 3,843	\$ 923	\$ 34,914	57,091	\$ 92,005	\$ 314,452	\$ (222,891)
Mechanical Administrator	MEC1	\$ 12,615	\$ -	\$ 12,615	\$ 57,411	\$ 13,811	\$ 1,127	\$ 182	\$ 15,120	9,417	\$ 24,537	\$ 81,948	\$ (69,333)
Medical	MED1	\$ 2,380,618	\$ 184	\$ 2,380,802	\$ 825,304	\$ 198,805	\$ 34,668	\$ 5,136	\$ 238,609	265,208	\$ 503,817	\$ 1,329,121	\$ 1,051,681
Mortuary Science	MOR1	\$ 32,038	\$ -	\$ 32,038	\$ 9,921	\$ 3,565	\$ 169	\$ 351	\$ 4,085	3,529	\$ 7,614	\$ 17,535	\$ 14,503
Naturopaths	NAT1	\$ 4,690	\$ -	\$ 4,690	\$ 8,078	\$ 1,086	\$ 56	\$ 52	\$ 1,194	2,656	\$ 3,850	\$ 11,928	\$ (7,238)
Nurse Aides	NUA1	\$ 242,905	\$ -	\$ 242,905	\$ 232,562	\$ 84,281	\$ 11,857	\$ 794	\$ 96,932	46,226	\$ 143,158	\$ 375,720	\$ (132,815)
Nursing	NUR1	\$ 3,775,420	\$ 731	\$ 3,776,151	\$ 1,347,133	\$ 485,288	\$ 62,507	\$ 8,039	\$ 555,834	394,187	\$ 950,021	\$ 2,297,152	\$ 1,478,999
Nursing Home Administrators	NHA1	\$ 14,105	\$ 389	\$ 14,494	\$ 10,193	\$ 1,393	\$ 338	\$ 377	\$ 2,108	3,096	\$ 5,204	\$ 15,397	\$ (903)
Optometry	OPT1	\$ 131,350	\$ -	\$ 131,350	\$ 52,920	\$ 6,115	\$ 575	\$ 819	\$ 7,509	14,494	\$ 22,003	\$ 74,923	\$ 56,427
Pawnbrokers	PAW1	\$ 1,275	\$ -	\$ 1,275	\$ 1,670	\$ 614	\$ 23	\$ 13	\$ 650	488	\$ 1,138	\$ 2,808	\$ (1,533)
Pharmacy	PHA1	\$ 213,770	\$ 962	\$ 214,732	\$ 304,310	\$ 146,442	\$ 16,196	\$ 2,041	\$ 164,679	98,892	\$ 263,571	\$ 567,881	\$ (353,149)
Physical/Occupational Therapy	PHY1	\$ 125,615	\$ 724	\$ 126,339	\$ 136,965	\$ 49,341	\$ 8,611	\$ 1,612	\$ 59,564	47,892	\$ 107,456	\$ 244,421	\$ (118,082)
Prescription Drug Monitoring Program	PDMP	\$ 90,765	\$ -	\$ 90,765	\$ 6,053	\$ -	\$ -	\$ -	\$ -	-	\$ -	\$ 6,053	\$ 84,712
Professional Counselors	PCO1	\$ 77,200	\$ -	\$ 77,200	\$ 126,737	\$ 18,391	\$ 2,930	\$ 845	\$ 22,166	46,054	\$ 68,220	\$ 194,957	\$ (117,757)
Psychology	PSY1	\$ 141,845	\$ 1,696	\$ 143,541	\$ 98,689	\$ 7,319	\$ 1,533	\$ 923	\$ 9,775	30,578	\$ 40,353	\$ 139,042	\$ 4,499
Public Accountancy	CPA1	\$ 155,871	\$ 2,241	\$ 158,112	\$ 248,291	\$ 40,346	\$ 3,370	\$ 468	\$ 44,184	83,935	\$ 128,119	\$ 376,410	\$ (218,298)
Real Estate	REC1	\$ 282,453	\$ -	\$ 282,453	\$ 224,480	\$ 95,401	\$ 16,331	\$ 767	\$ 112,499	76,027	\$ 188,526	\$ 413,006	\$ (130,553)
Real Estate Appraisers	APR1	\$ 190,565	\$ 4,314	\$ 194,879	\$ 134,408	\$ 7,035	\$ 2,198	\$ 1,014	\$ 10,247	43,708	\$ 53,955	\$ 188,363	\$ 6,516
Social Workers	CSW1	\$ 65,878	\$ 506	\$ 66,384	\$ 108,430	\$ 22,829	\$ 4,001	\$ 1,274	\$ 28,104	36,653	\$ 64,757	\$ 173,187	\$ (106,803)
Storage Tank Workers	UST1	\$ 2,515	\$ -	\$ 2,515	\$ 2,463	\$ 1,487	\$ 135	\$ 65	\$ 1,687	854	\$ 2,541	\$ 5,004	\$ (2,489)
Veterinary	VET1	\$ 292,515	\$ 282	\$ 292,797	\$ 111,675	\$ 22,121	\$ 3,595	\$ 1,612	\$ 27,328	38,851	\$ 66,179	\$ 177,854	\$ 114,943
No longer existent board/commission (ie Athletic)													
Totals All Boards		\$ 12,348,444	\$ 24,839	\$ 12,373,283	\$ 6,902,913	\$ 2,027,780	\$ 269,931	\$ 48,214	\$ 2,345,925	\$ 1,967,216	\$ 4,313,141	\$ 11,216,052	\$ 1,157,231
ABL & Corporations	080801005	\$ 10,034,379	\$ -	\$ 10,034,379	\$ 1,220,779	\$ (32,041)	\$ 267,103	\$ 17,225	\$ 252,287	\$ 259,053	\$ 511,340	\$ 1,732,119	
Total CBPL		\$ 21,834,105	\$ 27,053	\$ 21,861,158	\$ 8,648,055	\$ 1,995,739	\$ 537,034	\$ 65,439	\$ 2,598,212	\$ 2,226,269	\$ 4,824,481	\$ 13,472,534	