

Division of Corporations, Business and Professional Licensing

DATE: November 3, 2006

TO: Board of Registration for Architects, Engineers, and Land surveyors

THROUGH: Richard Younkins, Chief Investigator

FROM: Naseer K. Dhaamin, Investigator

Subject: Investigative Report for the November 16-17, 2006, meeting. This report reflects the status of investigative files up to and including November 2006.

## **OPEN CASES**

<b>Date Opened</b>	Allegation	Case Number
12/03/03	Violating-Prof. Ethics	10203004
12/19/03	Unlicensed Practice	10303005
08/24/04	Unlicensed Practice	10704005
09/27/04	Unlicensed Practice	10304006
09/27/04	Unlicensed Practice	10304007
09/27/04	Unlicensed Practice	10304008
11/03/04	Unlicensed Practice	10704008
11/04/04	Violating-Prof. Ethics	10804001
12/08/04	Prac. Beyond Scope	10204025
04/28/05	Incompetence	10405001
07/01/05	Unlicensed Practice	10505001
07/18/05	Violating-Prof. Ethics	10205005
08/01/05	Negligence	10205006
09/13/05	Act. In Another ST.	10005003
10/26/05	Unlicensed Practice	10705005
10/28/05	Unlicensed Practice	10705006
11/18/05	Prac. Beyond Scope	10205022
03/07/06	Negligence	10006002

04/20/06	Prac. Beyond Scope	10006003
05/11/06	Incompetence	10206005
05/11/06	Fraud/Misrep.	10006004
05/11/06	Negligence	10006005
06/23/06	Prac. Beyond Scope	10506001
07/19/06	Viol. Of Lic. Req.	10206017
08/02/06	Unlicensed Practice	10106003
08/03/06	Prac. Beyond Scope	10206018
09/27/06	Unlicensed Practice	10306004
10/02/06	Viol. Of Agreement	10206023
10/03/06	ViolProf. Ethics	10206024
10/03/06	Unlicensed Practice	10706005
10/19/06	Negligence	10206028
11/01/06	Unlicensed Practice	10706006
11/01/06	ViolProf. Ethics	10006011

## **CLOSED CASES**

Case Number	Complaint	Disposition
10103009	Unlicensed Practice	No ActInsuf. Evid.
10103010	Unlicensed Practice	Lack of Inv. Resource
10103011	Unlicensed Practice	Warn. Letter
10703001	Unlicensed Practice	Compliance
10304002	Unlicensed Practice	Warn. Letter
10204003	ViolProf. Ethics	Compliance
10405002	ViolProf. Ethics	No ActNo Viol.
10706001	Fraud/Misrep.	Compliance
10206006	Prac. Beyond Scope	Compliance
10106001	Fraud/Misrep.	Warn. Letter
10306001	Unlicensed Practice	Other
10106002	Unlicensed Practice	Other
10206019	Viol. Of Lic. Req.	No ActNo Viol.
10406003	Viol. Of Lic. Req.	Warn. Letter
10006009	Viol. Of Lic. Req.	Warn. Letter
10406004	Viol. Of Lic. Req.	Warn. Letter
10206021	Unlicensed Practice	Other
10206022	Prac. Beyond Scope	No ActNo Viol.
10206025	Viol. Of Lic. Req.	Warn. Letter
10206026	Viol. Of Lic. Req.	Warn. Letter
10206027	Viol. Of Lic. Req.	Warn. Letter
10206029	Viol. Of Lic. Req.	Warn. Letter
10006010	Viol. Of Lic. Req.	Warn. Letter
10206030	Viol. Of Lic. Req.	Warn. Letter

## DRAFT FILING PENDING ATTORNEY GENERAL ACTION

10502002	Allegation of land surveying by an unregistered individual. AG is Karen Hawkins. (Case Opened 10/29/020
10202004	Allegation that a Civil Engineer's work was below minimum professional standards on a project. AG is Gary Gantz. (Case Opened 11/19/02)

## MEMORANDUM OF AGREEMENT PENDING

10402004	Allegation that a land surveyor's work was below minimum professional standards on a project. (Opened 11/19/02)
10403005	Allegation that a land surveyor improperly placed a monument causing a property line to become clouded.
10404001	Allegation that a land surveyor's work was substandard causing a property line to become clouded. (Opened 04/01/04)
10205004	Allegation that an engineer sealed and signed drawings where the work was done by others and without being the responsible in charge of the work. (Opened 07/07/05)

This report reflects the status of investigative files up to and including November 2006. If you have any questions regarding this report, please feel free to contact me directly at 269-8614.