

Notice of Violation

(3AAC 304.525)

This form, all information provided and responses are public documents per Alaska Public Records ACT AS 40.25

Date: 1-10-18

License #/Type: #5571 / Common Carrier-Seasonal

Licensee: Holland America Line N.V., LLC

Address: Alaskan Waters

DBA: ms Oosterdam

AMCO Case #: AB18-0050

This is a notice to you as licensee that an alleged violation has occurred. If the Alcoholic Beverage Control Board decides to act against your license, under the provisions of AS 44.62.330 - AS 44.62.630 (Administrative Procedures Act) you will receive an Accusation and Notice of your right to an Administrative Hearing.

Note: This is not an accusation or a criminal complaint.

Licensee has an unreported change of ownership. Details are as follows:

Change in ownership:

HAL Antillen N.V. – originally 100%,

SSC Shipping and Air Services (Curacao) N.V. – now the 100% owner

Date of unreported change of ownership: 12/8/2017

Your attention is referred to AS 04.11.040: Board approval of transfers

You are directed to respond in writing to this Notice of Violation within 10 days of receipt to explain what action you have taken to prevent a re-occurrence of this violation. FAILURE TO RESPOND TO THIS NOTICE OF VIOLATION WITHIN 10 DAYS WILL RESULT IN YOUR APPEARANCE, EITHER IN PERSON OR TELEPHONICALLY, BEFORE THE ABC BOARD AT THEIR NEXT REGULARLY SCHEDULED BOARD MEETING.

***Please send your response to the address below and include your alcohol license number in your response.**

3 AAC 304.525 (B) provides that upon receipt of a Notice of Violation, a licensee may request to appear before the Director and be heard regarding the Notice of Violation. The request must be made within ten days after receipt of the Notice and the Director must grant an appearance within ten days after receipt of a request. A Licensee shall respond, either orally or in writing, to the Notice.

Alcohol & Marijuana Control Office

ATTN: Enforcement

550 W. 7th Ave, Suite 1600

Anchorage, Alaska 99501

amco.enforcement@alaska.gov

Issuing Investigator: J. Hamilton

Received by:

SIGNATURE: *FRH*

SIGNATURE:

Delivered VIA: Mail

Date:



600 University Street, Suite 3600
Seattle, WA 98101
T. 206.624.0900
F. 206.386.7500
www.stoel.com

STEPHANIE J. MEIER
D. 206.386.7546
stephanie.meier@stoel.com

January 18, 2018

VIA UPS OVERNIGHT

Alaska Alcohol & Marijuana Control Office
ATTN: Enforcement
550 West 7th Avenue, Suite 1600
Anchorage, AK 99501

Re: Notices of Violation - Holland America Line N.V. LLC
License Numbers: 4590, 4009, 4126, 4197, 4399, 4591, 5571

Dear Sir or Madam:

We represent Holland America Line N.V. LLC ("HAL"), a current Common Carrier Seasonal licensee in the State of Alaska. We are submitting this letter to address the Notices of Violation received on January 17, 2018 regarding an unreported change of ownership. On December 8, 2017, the biennial report filed by HAL's registered agent erroneously listed SSC Shipping and Air Services (Curacao) N.V. as the sole shareholder of Holland America Line N.V. LLC. The correct and unchanged 100% shareholder is HAL Antillen N.V. A Certificate of Correction addressing this incorrect filing was filed with the State of Alaska Division of Corporations, Business and Professional Licensing on January 12, 2018; a conformed copy of the certificate is enclosed for your reference.

Should you have any questions or concerns, please do not hesitate to contact me at the number above.

Best regards,

Stoel Rives LLP

A handwritten signature in blue ink that reads "Stephanie J. Meier".

Stephanie J. Meier

Enclosure





State of Alaska
Division of Corporations, Business and Professional Licensing
CORPORATIONS SECTION
PO Box 110806
Juneau, AK 99811-0806
Phone: (907) 465-2550
Fax: (907) 465-2974
Website: www.commerce.alaska.gov/occ

DO NOT STAMP ABOVE THIS BOX

Office Use Only

CORP

RECEIVED
Juneau

JAN 12 2018

CBPL

CERTIFICATE OF CORRECTION
All Entity Types
AS 10.06.920

☐ \$25.00 Filing Fee (non-refundable)

Pursuant to Alaska Statutes 10.06.920, an entity may create a Certificate of Correction to correct a document that has been filed for record. The filing of the certificate by the commissioner does not alter the effective time of the writing being corrected and does not affect any right or liability accrued or incurred before the filing. A corporate name may not be changed or corrected with this form.

ITEM 1: Name of the Entity:

Alaska Entity #:

Holland America Line N.V. LLC

10002284

ITEM 2: Provide the following information for the document being corrected:

Title of the original document filed for record:

Limited Liability Company 2018 Biennial Report

Date the original document filed for record (mm/dd/yyyy format):

12/08/2017

ITEM 3: State the correction:

On Holland America Line N.V. LLC's Limited Liability Company 2018 Biennial Report, the Manager was inadvertently filed with incorrect information as to the Manager of the company, as follows:

Name: SSC Shipping and Air Services (Curacao) N.V.

Address: Schottegatweg Oost 44, Curacao Netherlands, Netherlands

% Owned: 100

Titles: Manager, Member

The correct information is as follows:

Name: HAL Antillen N.V.

Address: Schottegatweg Oost 44, Willemstad, Curacao

% Owned: 100

Titles: Manager

Please make the corrections to the Original 2018 Biennial Report.

Attach an additional sheet if necessary.

RECEIVED

JAN 19 2018

ALCOHOL MARIJUANA CONTROL OFFICE
STATE OF ALASKA

COPY

SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
■ Print your name and address on the reverse so that we can return the card to you.
■ Attach this card to the back of the mailpiece, or on the front if space permits.

Holland America Line N.V., LLC
600 University St, Suite 3600
ATTN: Stephanie Meier
Seattle, WA 98101

COMPLETE THIS SECTION ON DELIVERY

A. Signature

☐ Agent
☐ Addressee

B. Received by (Printed Name)

C. Date of Delivery

D. Is delivery address different from item 1?

If YES, enter delivery address below:

☐ Yes
☐ No

3. Service Type

- ☐ Certified Mail® ☐ Priority Mail Express™
☐ Registered ☐ Return Receipt for Merchandise
☐ Insured Mail ☐ Collect on Delivery

4. Restricted Delivery? (Extra Fee)

☐ Yes

2. Article Number

(Transfer from service)

7013 2250 0000 9617 6658

PS Form 3811, July 2013

Domestic Return Receipt

• Sender: Please print your name, address, and ZIP+4® in this box®

Alcohol & Marijuana Control Office
550 W. 7th Avenue, Suite 1600
Anchorage, AK 99501

First-Class Mail
Postage & Fees Paid
USPS
Permit No. G-10

UNITED STATES POSTAL SERVICE



Alaska Alcoholic Beverage Control Board

Common Carrier License

Form AB-17f: 2019/2020 Renewal License Application

Alcohol and Marijuana Control Office
550 W 7th Avenue, Suite 1600
Anchorage, AK 99501
alcohol.licensing@alaska.gov
<https://www.commerce.alaska.gov/web/amco>
Phone: 907.269.0350

What is this form?

This renewal license application form is required for all individuals or entities seeking to apply for renewal of an existing common carrier liquor license that will expire on December 31, 2018. All fields of this form must be complete and correct, or the application will be returned to you in the manner in which it was received, per AS 04.11.270 and 3 AAC 304.105. The Community Council field only should be verified/completed by licensees whose establishments are located within the Municipality of Anchorage or outside of city limits within the Matanuska-Susitna Borough.

This form must be completed correctly and submitted to the Alcohol & Marijuana Control Office (AMCO)'s main office, along with all other required documents and fees, before any renewal license application will be considered complete. Receipt and/or processing of renewal payments by AMCO staff neither indicates nor guarantees that an application will be considered complete, or that a license will be renewed.

Section 1 – Establishment and Contact Information

Enter information for the business seeking to have its license renewed. If any populated information is incorrect, please contact AMCO.

Licensee:	Holland America Line N.V., LLC	License #:	5571
License Type:	Common Carrier - Seasonal	Statute:	AS 04.11.180(c)
Doing Business As:	ms Oosterdam		
Premises Address:	Alaskan Waters		
Local Governing Body:	None		
Community Council:	None		

Mailing Address:	Stoel Rives LLP, Attn: Stephanie Meier, 600 University St., Ste. 3600		
City:	Seattle	State:	WA
		ZIP:	98101

Enter information for the individual who will be designated as the primary point of contact regarding this application. This individual must be a licensee who is required to be listed in and authorized to sign this application.

Contact Licensee:	Kelly Clark	Contact Phone:	206-386-7561
Contact Email:	bevlicensing@stoel.com		

Optional: If you wish for AMCO staff to communicate with individual who is not a licensee named on this form (eg: legal counsel) about this application and other matters pertaining to the license, please provide that person's contact information in the fields below.

Name of Contact:	Attorney Stephanie Meier of Stoel Rives LLP and its employees	Contact Phone:	206-386-7561
Contact Email:	bevlicensing@stoel.com		



Alaska Alcoholic Beverage Control Board

Form AB-17f: 2019/2020 Common Carrier Renewal License Application

Section 2 – Entity or Community Ownership Information

This top subsection must be completed by any licensee that is a corporation or LLC. Corporations and LLCs are required to be in good standing with the Alaska Division of Corporations, Business & Professional Licensing (CBPL). This number is neither your EIN/tax ID number, nor your business license number. You may view your entity's status or find your CBPL entity number by visiting the following site: <https://www.commerce.alaska.gov/cbp/main/search/entities>

General partnerships and local governments should skip to the second half of this page. Licensees who directly hold a license as an individual or individuals should skip to Section 3.

Alaska CBPL Entity #:	10002284
-----------------------	----------

You must ensure that you are able to certify the following statement before signing your initials in the box to the right: Initials

I certify that this entity is in good standing with CBPL and that all current entity officials and stakeholders (listed below) are also currently and accurately listed with CBPL.

This subsection must be completed by any community or entity, including a corporation, limited liability company, partnership, or limited partnership, that is applying for renewal. If more space is needed, please attach additional completed copies of this page.

- If the applicant is a corporation, the following information must be completed for each *stockholder who owns 10% or more of the stock in the corporation*, and for each *president, vice-president, secretary, and managing officer*.
- If the applicant is a limited liability organization, the following information must be completed for each *member with an ownership interest of 10% or more*, and for each *manager*.
- If the applicant is a partnership, including a limited partnership, the following information must be completed for each *partner with an interest of 10% or more*, and for each *general partner*.

Important Note: The information provided in the below fields (including spelling of names, specific titles, and percentages held) must match that which is listed with CBPL. If one individual holds multiple titles mentioned in the bullets above, all titles must be listed for that individual on this application and with CBPL. Failure to list all required titles constitutes an incomplete application.

Name of Official:	HAL Antillen N.V.				
Title(s):	Member	Phone:	206-626-7069	% Owned:	100
Mailing Address:	c/o Holland America Line N.V. 450 Third Avenue West				
City:	Seattle	State:	WA	ZIP:	98119

Name of Official:	Orlando Ashford				
Title(s):	Manager	Phone:	206-626-7069	% Owned:	0
Mailing Address:	450 Third Avenue West				
City:	Seattle	State:	WA	ZIP:	98119

Name of Official:	Kelly Clark				
Title(s):	Manager	Phone:	206-626-7069	% Owned:	0
Mailing Address:	450 Third Avenue West				
City:	Seattle	State:	WA	ZIP:	98119

**Form AB-17f: 2019/2020 Common Carrier Renewal License Application****Section 2 – Entity or Community Ownership Information**

This top subsection must be completed by any licensee that is a corporation or LLC. Corporations and LLCs are required to be in good standing with the Alaska Division of Corporations, Business & Professional Licensing (CBPL). This number is neither your EIN/tax ID number, nor your business license number. You may view your entity's status or find your CBPL entity number by visiting the following site: <https://www.commerce.alaska.gov/cbp/main/search/entities>

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Alaska CBPL Entity #:	10002284
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This subsection must be completed by any community or entity, including a corporation, limited liability company, partnership, or limited partnership, that is applying for renewal. If more space is needed, please attach additional completed copies of this page.

- If the applicant is a corporation, the following information must be completed for each *stockholder who owns 10% or more* of the stock in the corporation, and for each *president, vice-president, secretary, and managing officer*.
- If the applicant is a limited liability organization, the following information must be completed for each *member with an ownership interest of 10% or more*, and for each *manager*.
- If the applicant is a partnership, including a limited partnership, the following information must be completed for each *partner with an interest of 10% or more*, and for each *general partner*.

Important Note: The information provided in the below fields (including spelling of names, specific titles, and percentages held) must match that which is listed with CBPL. If one individual holds multiple titles mentioned in the bullets above, all titles must be listed for that individual on this application and with CBPL. Failure to list all required titles constitutes an incomplete application.

Name of Official:	Natalya Leahy				
Title(s):	Manager	Phone:	206-626-7069	% Owned:	0
Mailing Address:	450 Third Avenue West				
City:	Seattle	State:	WA	ZIP:	98119

Name of Official:	Keith Taylor				
Title(s):	Manager	Phone:	206-626-7069	% Owned:	0
Mailing Address:	450 Third Avenue West				
City:	Seattle	State:	WA	ZIP:	98119

Name of Official:					
Title(s):		Phone:		% Owned:	
Mailing Address:					
City:		State:		ZIP:	



Alaska Alcoholic Beverage Control Board

Form AB-17f: 2019/2020 Common Carrier Renewal License Application

Section 3 – Sole Proprietor Ownership Information

This section must be completed by any licensee who directly holds the license as an individual or multiple individuals and is applying for license renewal. If more space is needed, please attach a separate sheet that includes all of the required information. Entities should skip to Section 4. The following information must be completed for each licensee and each affiliate (spouse).

This individual is an: ☐ applicant ☐ affiliate (spouse)

Name:	N/A	Contact Phone:	
Mailing Address:			
City:		State:	ZIP:
Email:			

This individual is an: ☐ applicant ☐ affiliate (spouse)

Name:	N/A	Contact Phone:	
Mailing Address:			
City:		State:	ZIP:
Email:			

Section 4 – License Operation

Check a single box for each calendar year that best describes how this liquor license was operated:

	2017	2018
The license was regularly operated continuously throughout each year.	☐	☐
The license was regularly operated during a specific season each year.	☒	☐
The license was only operated to meet the minimum requirement of 240 total hours each calendar year. <i>If this box is checked, a complete copy of Form AB-30: Proof of Minimum Operation Checklist, and all necessary documentation must be provided with this application.</i>	☐	☐
The license was not operated at all or was not operated for at least the minimum requirement of 240 total hours each year, during one or both of the calendar years. <i>If this box is checked, a complete copy of Form AB-29: Waiver of Operation Application and corresponding fees must be submitted with this application for each calendar year during which the license was not operated for at least the minimum requirement, unless a complete copy of the form (including fees) has already been submitted for that year.</i>	☐	☒

Section 5 – Violations and Convictions

Applicant violations and convictions in calendar years 2017 and 2018:

	Yes	No
Have any notices of violation (NOVs) been issued to this licensee in the calendar years 2017 or 2018?	☒	☐
Has any person or entity named in this application been convicted of a violation of Title 04, of 3 AAC 304, or a local ordinance adopted under AS 04.21.010 in the calendar years 2017 or 2018?	☐	☒

If "Yes" to either of the previous two questions, attach a separate page to this application listing all NOVs and/or convictions.



Alaska Alcoholic Beverage Control Board

Form AB-17f: 2019/2020 Common Carrier Renewal License Application

Section 6 – USCG Inspections of Marine Vessels

This section must be completed by any license issued to a marine vessel. Other types of common carriers should skip to Section 7.

Check a single box that describes whether this vessel was inspected and/or certified by the United States Coast Guard:

This is a US marine vessel that has been issued a Certificate of Inspection (COI) by the United States Coast Guard. ☐

This is a foreign marine vessel that has been issued a Certificate of Compliance (COC) by the United States Coast Guard. ☒

This is a vessel that does not qualify for a COI or COC but has voluntarily participated in the 5 Star Safety Rating Program and been issued a 5 Star Safety decal by the United States Coast Guard. ☐

This is an uninspected vessel that has not voluntarily participated in the 5 Star Safety Rating Program. ☐

If this vessel has received a COI, COC, or 5 Star Safety decal, please include a copy of the documentation with this application.

Section 7 – Certifications

Read each line below, and then sign your initials in the box to the right of each statement:

Initials

I certify that all current licensees (as defined in AS 04.11.260) and affiliates have been listed on this application, and that in accordance with AS 04.11.450, no one other than the licensee(s) has a direct or indirect financial interest in the licensed business. ☐

I certify that I have not altered the functional floor plan or reduced or expanded the area of the licensed premises, and I have not changed the business name or the ownership (including officers, managers, general partners, or stakeholders) from what is currently approved and on file with the Alcoholic Beverage Control (ABC) Board. ☐

I certify on behalf of myself or of the organized entity that I understand that providing a false statement on this form or any other form provided by AMCO is grounds for rejection or denial of this application or revocation of any license issued. ☐

As an applicant for a liquor license renewal, I declare under penalty of perjury that I have read and am familiar with AS 04 and 3 AAC 304, and that this application, including all accompanying schedules and statements, is true, correct, and complete. I agree to provide all information required by the Alcoholic Beverage Control Board or AMCO staff in support of this application and understand that failure to do so by any deadline given to me by AMCO staff will result in this application being returned to me as incomplete.

Signature of licensee

Kelly W. Clark, Manager

Printed name of licensee

Signature of Notary Public

Notary Public in and for the State of Washington

My commission expires: 2-28-22

Subscribed and sworn to before me this 28 day of November, 2018.

Seasonal License? ☒ Yes ☐ No

If "Yes", write your six-month operating period: May - October

License Fee:	\$ 500.00	Application Fee:	\$ 300.00	TOTAL:	\$ 800.00
Miscellaneous Fees:					
GRAND TOTAL (if different than TOTAL):					



600 University Street, Suite 3600
Seattle, WA 98101
T. 206.624.0900
F. 206.386.7500
www.stoel.com

STEPHANIE J. MEIER
D. 206.386.7546
stephanie.meier@stoel.com

January 18, 2018

VIA UPS OVERNIGHT

Alaska Alcohol & Marijuana Control Office
ATTN: Enforcement
550 West 7th Avenue, Suite 1600
Anchorage, AK 99501

Re: Notices of Violation - Holland America Line N.V. LLC
License Numbers: 4590, 4009, 4126, 4197, 4399, 4591, 5571

Dear Sir or Madam:

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Should you have any questions or concerns, please do not hesitate to contact me at the number above.

Best regards,

Stoel Rives LLP

A handwritten signature in blue ink that reads "Stephanie J. Meier".

Stephanie J. Meier

Enclosure



State of Alaska
Division of Corporations, Business and Professional Licensing
CORPORATIONS SECTION
PO Box 110806
Juneau, AK 99811-0806
Phone: (907) 465-2550
Fax: (907) 465-2974
Website: www.commerce.alaska.gov/occ

DO NOT STAMP ABOVE THIS BOX

Office Use Only

CORP

RECEIVED
Juneau

JAN 12 2018

CBPL

CERTIFICATE OF CORRECTION

All Entity Types

AS 10.06.920

☐ \$25.00 Filing Fee (non-refundable)

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ITEM 1: Name of the Entity:

Alaska Entity #:

Holland America Line N.V. LLC

10002284

ITEM 2: Provide the following information for the document being corrected:

Title of the original document filed for record:

Limited Liability Company 2018 Biennial Report

Date the original document filed for record (mm/dd/yyyy format):

12/08/2017

ITEM 3: State the correction:

On Holland America Line N.V. LLC's Limited Liability Company 2018 Biennial Report, the Manager was inadvertently filed with incorrect information as to the Manager of the company, as follows:

Name: SSC Shipping and Air Services (Curacao) N.V.

Address: Schollegatweg Oost 44, Curacao Netherlands, Netherlands

% Owned: 100

Titles: Manager, Member

The correct information is as follows:

Name: HAL Antillen N.V.

Address: Schollegatweg Oost 44, Willemstad, Curacao

% Owned: 100

Titles: Manager

Please make the corrections to the Original 2018 Biennial Report.

Attach an additional sheet if necessary.

COPY

AMCO

DEC 07 2018