



MEMORANDUM

TO: Bob Klein, Chair, and Members of the Alcoholic Beverage Control Board DATE: February 19, 2019

FROM: Erika McConnell, Director RE: 5299 Royal Princess

Requested Action: Transfer of location (to a new ship)

Statutory Authority: AS 04.11.040(b): "A license or permit issued under this title may not be transferred to a new location except with the written consent of the board."

AS 04.11.470: "A person may object to an application for issuance, renewal, transfer of location, or transfer to another person of a license, or for issuance of a permit, by serving upon the applicant and the board the reasons for the objection. The board shall consider the objections and testimony received at a hearing conducted under AS 04.11.510(b)(2) when it considers the application..."

AS 04.11.510(b)(2): "the board may, on its own initiative or in response to an objection or protest, hold a hearing to ascertain the reaction of the public or a local governing body to an application if a hearing is not required under this subsection; the board shall send notice of a hearing conducted under this paragraph 20 days in advance of the hearing to each community council established within the municipality and to each nonprofit community organization entitled to notification under AS 04.11.310(b);"

Staff Rec.: Hold a public hearing; approve with delegation to include lifting of Department of Labor – Employment security objection

Background: An objection to this transfer has been received from the Department of Labor – Employment Security for being non-compliant.

Attachment: Department of Labor – Employment Security objection
Transfer application



THE STATE
of ALASKA

GOVERNOR MICHAEL L. DUNILAVY

Department of Commerce, Community,
and Economic Development

ALCOHOL & MARIJUANA CONTROL OFFICE

550 West Seventh Avenue, Suite 1600
Anchorage, AK 99501
Main: 907.269.0350

January 24, 2019

Department of Revenue
Department of Labor, Employment Security
Department of Labor, Workers' Compensation

Via email: theresa.mitchell@alaska.gov
elizabeth.glooschenko@alaska.gov
rizalina.olde@alaska.gov
velma.thomas@alaska.gov
andrea.mogil@alaska.gov
dor.tax.collections@alaska.gov

License Number:	5299
License Type:	Common Carrier
Applicant:	Princess Cruise Lines, Ltd.
Doing Business As:	Royal Princess
Transferee EIN:	98-0215899 They are switching vessels – same EIN
Transferor EIN:	98-0215899

☐ Transfer of Ownership Application

☐ Transfer of Controlling Interest

AS 04.11.330(b) and AS 04.11.370(a)(6) require that an applicant for a liquor license operate in compliance with each applicable public health, fire, safety, and tax code and ordinance of the state and the local governing body in which the applicant's proposed licensed premises are located.

This letter serves to provide written notice and request for compliance status from the above referenced entities regarding the above application (see attached application documents for more information). Please complete and return this form to the AMCO office at the email below.

REVIEWER: *Liz Glooschenko*

DATE: 1/31/19 PHONE: (907) 269-4836

☐ DOR

☒ Employment Security

☐ Workers' Compensation

☐ Compliant ☒ Non-compliant

COMMENTS: _____

If you have any questions, please send them to the email address below.

Sincerely,

Erika McConnell

Erika McConnell
Director, ABC Board





Alaska Alcoholic Beverage Control Board

Form AB-01: Transfer License Application**What is this form?**

This transfer license application form is required for all individuals or entities seeking to apply for the transfer of ownership and/or location of an existing liquor license. Applicants should review **Title 04 of Alaska Statutes** and **Chapter 304 of the Alaska Administrative Code**. All fields of this form must be completed, per AS 04.11.260, AS 04.11.280, AS 04.11.290, and 3 AAC 304.105.

This form must be completed and submitted to AMCO's main office, along with all other required forms and documents, before any license application will be considered complete.

Section 1 – Transferor Information

Enter information for the **current** licensee and licensed establishment.

Licensee:	Princess Cruise Lines, Ltd.	License #:	5299		
License Type:	Common Carrier - Seasonal	Statutory Reference:	4.11.180		
Doing Business As:	Emerald Princess				
Premises Address:	Alaskan Waters				
City:	Various	State:	AK	ZIP:	Various
Local Governing Body:	Alaskan Waters				

Transfer Type:

- ☒ Regular transfer
- ☐ Transfer with security interest
- ☐ Involuntary retransfer

OFFICE USE ONLY

Complete Date:	1/17/19	Transaction #:	998304
Board Meeting Date:	2/19/19	License Years:	18/19
Issue Date:		BRE:	CDC



Alaska Alcoholic Beverage Control Board

Form AB-01: Transfer License Application**Section 2 – Transferee Information**Enter information for the **new** applicant and/or location seeking to be licensed.

Licensee:	Princess Cruise Lines, Ltd.				
Doing Business As:	Royal Princess				
Premises Address:	Alaskan Waters				
City:	Various	State:	AK	ZIP:	Various
Community Council:	N/A				

Mailing Address:	Stoel Rives LLP, attn: Stephanie Meier, 600 University St., Ste. 3600				
City:	Seattle	State:	WA	ZIP:	98101

Designated Licensee:	Daniel Howard				
Contact Phone:	206-386-7546	Business Phone:	661-753-1563		
Contact Email:	bevlicensing@stoel.com				

Seasonal License? ☒ Yes ☐ No If "Yes", write your six-month operating period: May - Oct

Section 3 – Premises Information

Premises to be licensed is:

☒ an existing facility (Vessel) ☐ a new building ☐ a proposed building

The next two questions must be completed by beverage dispensary (including tourism) and package store applicants only:

What is the distance of the shortest pedestrian route from the public entrance of the building of your proposed premises to the outer boundaries of the nearest school grounds? Include the unit of measurement in your answer.

N/A

What is the distance of the shortest pedestrian route from the public entrance of the building of your proposed premises to the public entrance of the nearest church building? Include the unit of measurement in your answer.

N/A



Alaska Alcoholic Beverage Control Board

Form AB-01: Transfer License Application**Section 4 – Sole Proprietor Ownership Information**

This section must be completed by any sole proprietor who is applying for a license. Entities should skip to Section 5.

If more space is needed, please attach a separate sheet with the required information.

The following information must be completed for each licensee and each affiliate (spouse).

This individual is an: ☐ applicant ☐ affiliate

Name:	N/A				
Address:					
City:		State:		ZIP:	

This individual is an: ☐ applicant ☐ affiliate

Name:	N/A				
Address:					
City:		State:		ZIP:	

Section 5 – Entity Ownership Information

This section must be completed by any entity, including a corporation, limited liability company (LLC), partnership, or limited partnership, that is applying for a license. Sole proprietors should skip to Section 6.

If more space is needed, please attach a separate sheet with the required information.

- If the applicant is a corporation, the following information must be completed for each *stockholder who owns 10% or more* of the stock in the corporation, and for each *president, vice-president, secretary, and managing officer*.
- If the applicant is a limited liability organization, the following information must be completed for each *member with an ownership interest of 10% or more*, and for each *manager*.
- If the applicant is a partnership, including a limited partnership, the following information must be completed for each *partner with an interest of 10% or more*, and for each *general partner*.

Entity Official:	Sunshine Shipping Corporation Ltd.				
Title(s):	Shareholder	Phone:	661-753-1563	% Owned:	100
Address:	Par La Ville Place, 14 Par La Ville Road				
City:	Hamilton HM08	State:	Bermuda	ZIP:	



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Entity Official:	Janet Swartz				
Title(s):	President/Director	Phone:	661-753-1563	% Owned:	0
Address:	24305 Town Center Drive				
City:	Santa Clarita	State:	CA	ZIP:	91355

Entity Official:	Raimond Caluori				
Title(s):	Vice President/Director	Phone:	661-753-1563	% Owned:	0
Address:	24305 Town Center Drive				
City:	Santa Clarita	State:	CA	ZIP:	91355

Entity Official:	Daniel Howard				
Title(s):	Asst. Secretary/VP	Phone:	661-753-1563	% Owned:	0
Address:	24305 Town Center Drive				
City:	Santa Clarita	State:	CA	ZIP:	91355

This subsection must be completed by any applicant that is a corporation or LLC. Corporations and LLCs are required to be in good standing with the Alaska Division of Corporations (DOC) and have a registered agent who is an individual resident of the state of Alaska.

DOC Entity #:	135215	AK Formed Date:	4/26/2011	Home State:	Bermuda
Registered Agent:	Amy Devereux	Agent's Phone:	907-277-1900		
Agent's Mailing Address:	510 L Street, Suite 500				
City:	Anchorage	State:	AK	ZIP:	99501

Residency of Agent:

Yes No

Is your corporation or LLC's registered agent an individual resident of the state of Alaska?





Alaska Alcoholic Beverage Control Board

Form AB-01: Transfer License Application

Entity Official:	Simeon Waldron				
Title(s):	Treasurer/VP	Phone:	661-753-1563	% Owned:	0
Address:	24305 Town Center Drive				
City:	Santa Clarita	State:	CA	ZIP:	91355

Entity Official:					
Title(s):		Phone:		% Owned:	
Address:					
City:		State:		ZIP:	

Entity Official:					
Title(s):		Phone:		% Owned:	
Address:					
City:		State:		ZIP:	

This subsection must be completed by any applicant that is a corporation or LLC. Corporations and LLCs are required to be in good standing with the Alaska Division of Corporations (DOC) and have a registered agent who is an individual resident of the state of Alaska.

DOC Entity #:	135215	AK Formed Date:	4/26/2011	Home State:	Bermuda
Registered Agent:	Amy Devereux	Agent's Phone:	907-277-1900		
Agent's Mailing Address:	510 L Street, Ste. 500				
City:	Anchorage	State:	AK	ZIP:	99501

Residency of Agent:

Yes No

Is your corporation or LLC's registered agent an individual resident of the state of Alaska?

☒☐



Alaska Alcoholic Beverage Control Board

Form AB-01: Transfer License Application

Section 6 – Other Licenses

Ownership and financial interest in other alcoholic beverage businesses:

Yes No

Does any representative or owner named as a transferee in this application have any direct or indirect financial interest in any other alcoholic beverage business that does business in or is licensed in Alaska?



If "Yes", disclose which individual(s) has the financial interest, what the type of business is, and if licensed in Alaska, which license number(s) and license type(s):

Princess Cruise Lines, Ltd. holds seasonal common carrier liquor license numbers 4284, 4701, 5135, 5223, 5298, 5299, 5373.

Section 7 – Authorization

Communication with AMCO staff:

Yes No

Does any person other than a licensee named in this application have authority to discuss this license with AMCO staff?



If "Yes", disclose the name of the individual and the reason for this authorization:

Attorney Stephanie Meier with Stoel Rives LLP and its employees, are authorized to represent Princess Cruise Lines, Ltd. to procure liquor licenses on its behalf.



Alaska Alcoholic Beverage Control Board

Form AB-01: Transfer License Application

Section 8 – Transferor Certifications

Additional copies of this page may be attached, as needed, for the controlling interest of the current licensee to be represented.

I declare under penalty of perjury that the undersigned represents a **controlling interest** of the current licensee. I additionally certify that I, as the current licensee (either the sole proprietor or the controlling interest of the currently licensed entity) have examined this application, approve of the transfer of this license, and find the information on this application to be true, correct, and complete.

Daniel Howard

Signature of transferor

Daniel Howard

Printed name of transferor

Subscribed and sworn to before me this _____ day of _____, 20____.

*see attached
jurat*

Signature of Notary Public

Notary Public in and for the State of _____.

My commission expires: _____

Signature of transferor

Printed name of transferor

Subscribed and sworn to before me this _____ day of _____, 20____.

Signature of Notary Public

Notary Public in and for the State of _____.

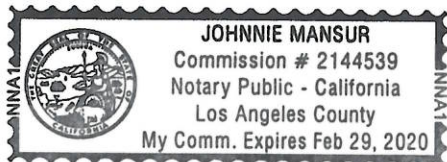
My commission expires: _____


CALIFORNIA JURAT
(Government Code §8202)

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California }
 } ss.
County of Los Angeles }

Subscribed and sworn to before me on this 14th day of November, 2018, by Daniel Howard, proved to me on the basis of satisfactory evidence to be the person who appeared before me.





Signature of Notary Public

Document- Emerald Princess (Transfer)

AMCO

DEC 18 2018



Alaska Alcoholic Beverage Control Board

Form AB-01: Transfer License Application

Section 9 – Transferee Certifications

Read each line below, and then sign your initials in the box to the right of each statement:

Initials

I certify that all proposed licensees (as defined in AS 04.11.260) and affiliates have been listed on this application.



I certify that all proposed licensees have been listed with the Division of Corporations.



I certify that I understand that providing a false statement on this form or any other form provided by AMCO is grounds for rejection or denial of this application or revocation of any license issued.



I certify that all licensees, agents, and employees who sell or serve alcoholic beverages or check the identification of a patron will complete an approved alcohol server education course, if required by AS 04.21.025, and, while selling or serving alcoholic beverages, will carry or have available to show a current course card or a photocopy of the card certifying completion of approved alcohol server education course, if required by 3 AAC 304.465.



I agree to provide all information required by the Alcoholic Beverage Control Board in support of this application.



As an applicant for a liquor license, I declare under penalty of perjury that I have read and am familiar with AS 04 and 3 AAC 304, and that this application, including all accompanying schedules and statements, is true, correct, and complete.

Signature of transferee

Daniel Howard

Printed name

Subscribed and sworn to before me this _____ day of _____, 20____.

see attached
jurat

Signature of Notary Public

Notary Public in and for the State of _____.

My commission expires: _____

CALIFORNIA JURAT
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A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

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 } ss.
County of Los Angeles }

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Johnnie Mansur

Signature of Notary Public

Document- Emerald Princess (Transfer)

AMCO

DEC 18 2018



Alaska Alcoholic Beverage Control Board

Form AB-02: Premises Diagram**What is this form?**

A detailed diagram of the proposed licensed premises is required for all liquor license applications, per AS 04.11.260 and 3 AAC 304.185. Your diagram must include dimensions and must show all entrances and boundaries of the premises, walls, bars, fixtures, and areas of storage, service, consumption, and manufacturing. If your proposed premises is located within a building or building complex that contains multiple businesses and/or tenants, please provide an additional page that clearly shows the location of your proposed premises within the building or building complex, along with the addresses and/or suite numbers of the other businesses and/or tenants within the building or building complex.

The second page of this form is not required. Blueprints, CAD drawings, or other clearly drawn and marked diagrams may be submitted in lieu of the second page of this form. The first page must still be completed, attached to, and submitted with any supplemental diagrams. An AMCO employee may require you to complete the second page of this form if additional documentation for your premises diagram is needed.

This form must be completed and submitted to AMCO's main office before any license application will be considered complete.

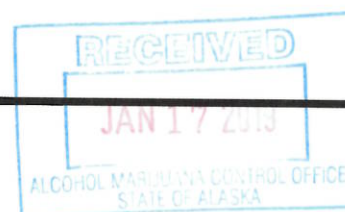
Yes No

I have attached blueprints, CAD drawings, or other supporting documents in addition to, or in lieu of, the second page of this form.

**Section 1 – Establishment Information**

Enter information for the business seeking to be licensed, as identified on the license application.

Licensee:	Princess Cruise Lines, Ltd.	License Number:	5299		
License Type:	Seasonal Common Carrier				
Doing Business As:	Royal Princess				
Premises Address:	Alaskan Waters				
City:	Various	State:	AK	ZIP:	Various





Alaska Alcoholic Beverage Control Board

Form AB-02: Premises Diagram

Section 2 – Detailed Premises Diagram

Clearly indicate the boundaries of the premises and the proposed licensed area within that property. Clearly indicate the interior layout of any enclosed areas on the proposed premises. Clearly identify all entrances and exits, walls, bars, and fixtures, and outline in red the perimeter of the areas designated for alcohol storage, service, consumption, and manufacturing. Include dimensions, cross-streets, and points of reference in your drawing. You may attach blueprints or other detailed drawings that meet the requirements of this form.

See the attached deck plans for the vessel, Royal Princess.
The proposed licensed area consists of the entire vessel, and we request that
all areas of the attached diagram be considered for approval.

Royal Princess®

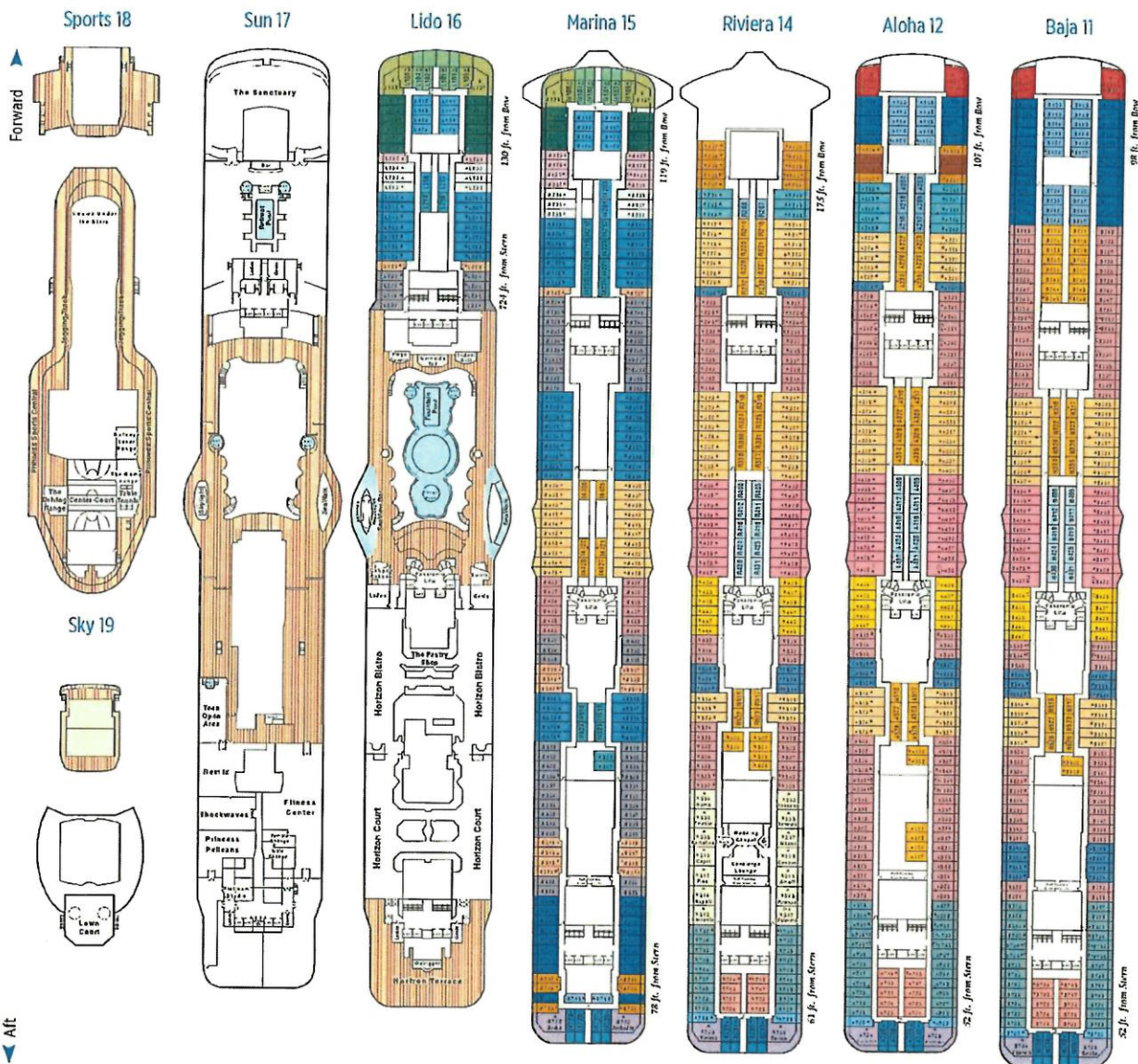
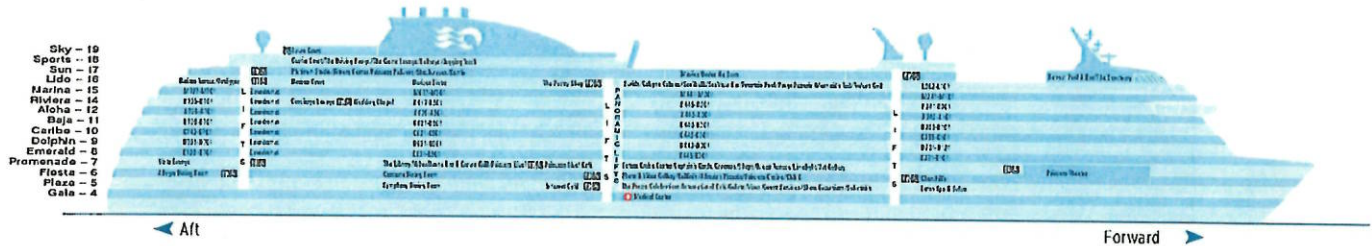
Guest Capacity: 3,560

Length: 1,083 ft.

Gross Tonnage: 141,000

Inaugural cruise: June 16, 2013

Christened by: Her Royal Highness The Duchess of Cambridge



5/24/16

For detailed deck plans & virtual tours, visit princess.com/ships

AMCO

DEC 18 2018

