

Department of Commerce, Community, and Economic Development

ALCOHOL AND MARIJUANA CONTROL OFFICE 550 West 7th Avenue, Suite 1600 Anchorage, AK 99501 Main: 907.269.0350

MEMORANDUM

TO: Alcoholic Beverage Control Board DATE: July 9, 2019

FROM: Erika McConnell, Director RE: 1536 The Sluice Box

Requested Action:

Reinstatement, renewal, and transfer of license; approval of 1st and 2nd waivers; request for room service under AS 04.11.090(d); request for waiver of late fees

Statutory and Regulatory Authority: AS 04.06.090(b): "The board shall review all applications for licenses made under this title and may order the director to issue, renew, revoke, transfer, or suspend licenses and permits authorized under this title."

AS 04.11.040(a): "A license issued under this title may not be transferred to another person except with the written consent of the board."

AS 04.11.540: "...If a complete application for renewal has not been filed by February 28 or the required fees and the penalty fees have not been paid by that date, the license expires at 12:00 midnight February 28."

- 3 AAC 304.160(f): "The board will deny a request for reinstatement submitted under (e) of this section if
 - (1) the license became available in accordance with 3 AAC 304.100(2) and was issued to a different applicant, unless the limit of licenses under AS 04.11.400 has not been reached; or
 - (2) the board finds that the failure to timely file or pay was caused by
 - (A) the licensee's failure to notify the board of a change of the licensee's mailing address:
 - (B) a transfer of ownership of the business for which the license was issued without written approval of the board in violation of AS 04.11.040;
 - (C) a lease of the licensed business to another person in violation of AS 04.11.450(c); or
 - (D) any other action of the licensee whether active or tacit that the board finds constitutes a failure to lawfully operate the business for which the license was issued."

AS 04.11.090(d): "The area designated as the licensed premises under a beverage dispensary license issued to a hotel, motel, resort, or similar business that caters to the traveling public as a substantial part of its business may include the dining room, banquet room, guests' rooms, and other public areas approved by the board."

1536 The Sluice Box ABC Board July 9, 2019 Page 2

Staff Rec.: Evaluate reinstatement request in accordance with 3 AAC 304.160(f); evaluate the request for room service as allowed under 04.11.090(d); deny request for waiver of late fees

Background: During the last renewal period for the 2017/2018 licensing period, it came to AMCO's attention that the licensee had transferred ownership of the license without board approval due to the death of one of the two entity owners on July 1, 2016. The licensee was informed by email on February 28, 2017, that there were some corrections required on the renewal application and that a transfer application was required as well. The licensee did not submit the corrections or the transfer application. However the AMCO staff member also did not follow up—an expiration letter was never sent to the licensee and the license was never expired in the database. Technically this license expired in the spring of 2017. An expiration and cessation of operations letter was sent on March 12, 2019.

Because the license was never expired in the database, a 2019/2020 renewal application was sent to the licensee who apparently had been operating for all of 2017 and 2018. During review of the 2019/2020 renewal application, this situation came to light.

The licensee has submitted a request for reinstatement, a transfer application to change controlling interest of Alaska Adventure Services LLC, the entity that holds the liquor license, from Robert Kelley 50% (deceased) and Coleen Kelley 50% to Coleen Kelley 100%, a complete renewal application, waiver applications for 2017 and 2018, and all required fees. The licensee is requesting a waiver of late fees.

Alaska Adventure Services LLC requests that the board approve service of alcohol in their guest rooms under AS 04.11.090(d). On March 21, 2016, the most recent premises diagram for license 1536 was approved with room service. The transfer application shows that this license qualifies as a business that caters to the traveling public as a substantial part of its business.

Attachments: Request for reinstatement

Transfer application Renewal application for 2017/2018 Renewal application for 2019/2020

Waiver applications

April 10, 2019

Alcohol and Marijuana Control Office (AMCO) 550 W 7th Avenue, Suite 1600 Anchorage, AK 99501

Re: Response to Expiration & Mandatory Cessation of Operation of License #1536 DBA The Sluice Box

Dear Ms. McConnell.

Request for Reinstatement

I wish to reinstate my license by submitting the requested documentation to substantiate the circumstances involving the loss of my license.

- In June 2016, my husband and I were purchasing The Gracious House Lodge property, which included The Sluice Box bar. We entered an operating agreement until the AMCO Board approved our liquor license transfer application. We were hoping it would be approved at the June meeting.
- On June 21, 2016 my husband was airlifted from the lodge, suffering from a heart attack and subsequently died July 1 in the State of Washington. His unexpected death was a shock and has been a challenge to overcome.
- When inquiring about progress of the transfer application, I was told there was "a heavy caseload related to the legalization of marijuana that year" and our transfer application was delayed to July. In July, review of the transfer application was again postponed until August. In August, owner David Gratias went to Anchorage to inquire about the delay again (closing of the property was contingent on transfer of the liquor license) and transfer of the license was granted, apologies offered, and he brought back needed paperwork to close on the property.
- Upon return home from the lodge in November 2016, I found paperwork that had been mailed to me in order to renew my license that I was just granted in August. I completed the application (December 2016), indicated by my name as owner 100% (since my husband had died) under Alaska Adventure Services, LLC and sent in the application with money for an "all year" license. In filling out the renewal application (my first time) and the being that our location is remote on the Denali Highway, the nearest city Cantwell is 52 miles away and Paxon is 82 miles away. I left section 1 "community council box" empty, as there is no community there, as we are outside city limits.
- A letter was sent to me February 28, 2017 saying my application was incomplete. A
 bullet point indicated I needed to fill in community council box, I did. What I failed to
 see that day (there was no bullet point & I believe I focused on what was needed), was a
 request to apply for a transfer application. At that time, I was back at work full time at

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ALCOHOL MARIJUANA CONTROL OFFICE STATE OF ALASKA

UAA as a Professor of Nursing and must admit, trying to put my life back together from the sudden loss of my husband, teach & be a solo business owner.

- I had no further correspondence from AMCO after February 2017, my check had been cashed, online I saw my liquor license was posted active until December 2018. Being a new business owner, I had my State and Borough licenses posted, and did not realize I had no alcohol license posted. I had the previous license posted and don't recall ever getting a copy of my actual license in August to post.
- I am confident that had I received the letter that was sent to me this March 12, 2019 that was dated February 15, 2017 – that had in large red font centered on the page:

LIQUOR LICENSE EXPIRES FEBRUARY 28, 2017

I would have completed all required paperwork at that time and this current situation would be non-existent. I ask you to please consider the life changing circumstances I was in the midst of, miscommunication of notification that my license would expire on February 28, 2017 and reinstate my license.

- I ask you to please consider that the late fees be waived. Upon receipt of my 2019-2020 renewal application, now I learn was sent in error, I completed my application in a timely manner, made many phone calls and emails related to clarifying being asked to transfer ownership from Alaska Adventure Services, LLC with my husband and my name to Alaska Adventure Services, LLC to my name only. I received conflicting answers on how to proceed between the AMCO office and Professional Licensing office on this subject.
- We were all under the impression that I had an active license. I was to fly to Juneau (Feb 19-20, 2019) to speak to the Board in February and appeal the decision to forfeit the license and to ask for a time extension to submit the transfer application (correspondence dated Feb 6, 2019).
- I was then notified in February that no license had been issued (I cried on that phone
 call) and I should not travel to Juneau. I was told I would receive further instructions on
 how to proceed and if I did not hear by March 1, to contact AMCO. I did follow up and
 this is a part of the needed paperwork required.
- I sent checks that were cashed for license renewal in December 2016 \$2,700.00 for my 2017/2018 license and \$1,550.00 in Dec 2018 for my 2019/2020 license renewal.

Please find requested documents:

- Form AB-01: Transfer License Application
 - Please note, the Postmaster in Cantwell does not have an expiration date for her commission. See attached email to confirm this.
- Form AB-07: Public Notice Posting Affidavit
- Advertising Format used
- Advertising Affidavit from Last Frontier Mediactive, LLC
- Form AB-02: Premises Diagram
- Form AB-09: Statement of Financial Interest
- Form AB-11: Creditors Affidavit



- Form AB-17: 2017-2018 Renewal License Application, a scanned copy of original application with "community council" completed.
- Form AB-29: Waiver of Operation Application 2017
- Form AB-29: Waiver of Operation Application 2018

Also requested

- LLC Operating Agreement
- LLC Articles of Organization
- LLC Certificate of Organization
- Warranty Deed
- Bill of Sale/right to premises

Thank you for taking into consideration my circumstances, the communication challenges along the way and my willingness to correct this situation with AMCO. Thank you for answering my many phone calls, emails and assisting me in submitting necessary paperwork. A special thanks to John for the time he spent reviewing my paperwork for accuracy and answering many emails.

My business is small (4 of us), I am trying to build a family business that will allow travelers and fellow Alaskans to enjoy beauty of the Denali Highway. The revenue from the Sluice Box is instrumental to our success. I ask you to please reinstate my beverage dispensary license.

Please let me know if you are in need of any further information/paperwork.

Very Sincerely,

Colleen M Kelley, member // Alaska Adventure Services, LLC

DBA The Sluice Box





alcohol.licensing@alaska.gov

https://www.commerce.alaska.gov/web/amco

Phone: 907.269.0350

Alaska Alcoholic Beverage Control Board

Form AB-01: Transfer License Application

What is this form?

This transfer license application form is required for all individuals or entities seeking to apply for the transfer of ownership and/or location of an existing liquor license. Applicants should review **Title 04** of **Alaska Statutes** and **Chapter 304** of the **Alaska Administrative Code**. All fields of this form must be completed, per AS 04.11.260, AS 04.11.280, AS 04.11.290, and 3 AAC 304.105.

This form must be completed and submitted to AMCO's main office, along with all other required forms and documents, before any license application will be considered complete.

Section 1 - Transferor Information

Enter information for the current licensee and licensed establishment. Licensee: Alaska Adventure Services, LLC License #: 1536 License Type: Beverage Dispensary Statutory Reference: AS.04.11.090 Doing Business As: The Sluice Box Premises Address: MilePost 82.2 Denali Highway City: Outside City Limits State: AK ZIP: n/a Matanuska-Susitna Borough Local Governing Body: Transfer Type: Regular transfer Transfer with security interest Involuntary retransfer OFFICE USE ONLY Complete Date: Transaction #: **Board Meeting Date:** License Years: Issue Date: BRE:





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Alaska Alcoholic Beverage Control Board

Form AB-01: Transfer License Application

Section 2 - Transferee Information

Enter information for the ne	w applicant and/or location seeking to	be licensed.								
Licensee:	Alaska Adventure Service	laska Adventure Services, LLC								
Doing Business As:	The Sluice Box	ne Sluice Box								
Premises Address:	MilePost 82.2 Denali Hig	lePost 82.2 Denali Highway								
City:	Outside City Limits	State:	AK		ZIP:	n/a				
Community Council:	no community council									
Mailing Addunces	0077 NIAA I I I I									
Mailing Address:	2877 N Weadow Lakes I	877 N Meadow Lakes Dr								
City:	Wasilla	State:	AK		ZIP:	99623				
Designated Licensee:	Colleen Kelley	***************************************								
Contact Phone:	t Phone: 907.203.1357 Business Phone: 907.20			203.1057						
Contact Email:			***************************************	J						
Seasonal License?	If "Yes", write your s			d:		/, Aug, Sept, Oct				
Premises to be licensed is: an existing facility	a new building	a propose	ed building							
The next two questions mus	t be completed by <u>beverage dispensa</u>	ry (including	tourism) and pa	ackage stor	<u>e</u> applica	ints only:				
What is the distance of the outer boundaries of the	ne shortest pedestrian route from the the nearest school grounds? Include the	public entra	nce of the build	ing of your	propose	d premises to				
approximately 5	2 miles									
	ne shortest pedestrian route from the e nearest church building? Include the				propose	d premises to				
approximately 5	2 miles									
[Form AB-01] (rev 10/10/2016)		DEC	CEUMED		desired to the second	Page 2 of 7				

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Alaska Alcoholic Beverage Control Board

Form AB-01: Transfer License Application

Section 4 - Sole Proprietor Ownership Information

If more space is needed, ple	eted by any <u>sole proprietor</u> who is app ase attach a separate sheet with the r ust be completed for each licensee and	equired infor	mation.	to Section 5.					
	applicant affiliate								
Name:	N/A								
Address:									
City:		State:		ZIP:					
This individual is an: applicant affiliate									
Name:	N/A	49-24							
Address:									
City:		State:		ZIP:					
Section 5 – Entity Ownership Information This section must be completed by any entity, including a corporation, limited liability company (LLC), partnership, or limited partnership, that is applying for a license. Sole proprietors should skip to Section 6. If more space is needed, please attach a separate sheet with the required information. If the applicant is a corporation, the following information must be completed for each stockholder who owns 10% or more of the stock in the corporation, and for each president, vice-president, secretary, and managing officer. If the applicant is a limited liability organization, the following information must be completed for each member with an ownership interest of 10% or more, and for each manager. If the applicant is a partnership, including a limited partnership, the following information must be completed for each partner with an interest of 10% or more, and for each general partner.									
Entity Official:	Colleen Kelley		YP-1-						
Title(s):	member	Phone:	907.203.1357	% Owned:	100				

State:

KISUSIVED ALCOHOL MAHUUANA CUNTROL OFFICE

AK

Wasilla

2877 N Meadow Lakes Dr

Address:

City:

99623

ZIP:



alcohol.licensing@alaska.gov

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Alaska Alcoholic Beverage Control Board

Form AB-01: Transfer License Application

Entity Official:	N/A	15,740			-				
Title(s):			Phon	e:			% Ow	ned:	
Address:									
City:			State	:			ZIP:		
Entity Official:	N/A			· · · · · · · · · · · · · · · · · · ·				7.5(*)	
Title(s):	***************************************		Phon	e:			% Ow	ned:	
Address:						1			
City:			State	: [~		ZIP:		
Entity Official:	N/A						***************************************		
Title(s):		***	Phone	e:		T	% Owi	ned:	
Address:	1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -			1		L		l	
City:			State	:			ZIP:		
This subsection must be comp standing with the Alaska Divis Alaska. DOC Entity #:	sion of Corporations (D	that is a corp OC) and have AK Formed	a registe	red agent wi	no is an i	individual	resident	of the sta	n good te of
***************************************	10037798		Date:	04.19.20		Home State:		AK	
Registered Agent:	Colleen Kelley			Agent's P	none:	907.2	03.13	57	
Agent's Mailing Address:	120111111111111111111111111111111111111		Dr	1		T		T	
City:	Wasilla	State:		AK		ZIP:		99623	}
Residency of Agent:								Yes	No
ls your corporation or L	LC's registered agent a	n individual re	sident of	the state of A	Alaska?			V	
[Form AB-01] (rev 10/10/2016)		民民	GEIN					Pag	e 4 of 7



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Alaska Alcoholic Beverage Control Board

Form AB-01: Transfer License Application

Section 6 - Other Licenses

ership and financial interest in other alcoholic beverage businesses:	Yes
Does any representative or owner named as a transferee in this application have any direct or indirect financial interest in any other alcoholic beverage business that does business in or is licensed in Alaska?	
"Yes", disclose which individual(s) has the financial interest, what the type of business is, and if licensed in tense number(s) and license type(s):	Alaska, wi
Section 7 – Authorization	
Section 7 – Authorization munication with AMCO staff:	Yes
	Yes
nunication with AMCO staff: Does any person other than a licensee named in this application have authority to discuss this license with	Yes
Does any person other than a licensee named in this application have authority to discuss this license with AMCO staff?	Yes
Does any person other than a licensee named in this application have authority to discuss this license with AMCO staff?	Yes
Does any person other than a licensee named in this application have authority to discuss this license with AMCO staff?	Yes

[Form AB-01] (rev 10/10/2016)

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ALCOHOL MARIJUANA CONTROL OFFICE STATE OF ALASKA



Alcohol and Marijuana Control Office 550 W 7th Avenue, Suite 1600 Anchorage, AK 99501 alcohol.licensing@alaska.gov

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Alaska Alcoholic Beverage Control Board

Form AB-01: Transfer License Application

Section 8 - Transferor Certifications

Additional copies of this page may be attached, as needed, for the controlling interest of the current licensee to be represented. I declare under penalty of perjury that the undersigned represents a controlling interest of the current licensee. I additionally certify that I, as the current licensee (either the sole proprietor or the controlling interest of the currently licensed entity) have examined this application, approve of the transfer of this license, and find the information on this application to be true, correct, and complete. Signature of transferor Colleen M Kelley Printed name of transferor Subscribed and sworn to before me this 28 day of March Notary Public in and for the State of Alaska. My commission expires: _ POSTMASTER/NOTARY PUBLIC PER USPS ASM 112.2 Signature of transferor N/A Printed name of transferor Subscribed and sworn to before me this ____ day of _______, 20____ Signature of Notary Public Notary Public in and for the State of ______. My commission expires: _____

APR 11 2019

ALCOHOL MARIJUANA CONTROL OFFICE STATE OF ALASKA



Alcohol and Marijuana Control Office 550 W 7th Avenue, Suite 1600 Anchorage, AK 99501 alcohol.licensing@alaska.gov

https://www.commerce.alaska.gov/web/amco

Phone: 907.269.0350

Alaska Alcoholic Beverage Control Board

Form AB-01: Transfer License Application

Section 9 - Transferee Certifications

3036131	. o indistrict destineations	
Read each line below, and then sign your inition	als in the box to the right of each statement:	Initials
I certify that all proposed licensees (as defined	in AS 04.11.260) and affiliates have been listed on this application.	Ĉw-
I certify that all proposed licensees have been	listed with the Division of Corporations.	Car-
I certify that I understand that providing a false for rejection or denial of this application or rev	statement on this form or any other form provided by AMCO is grounds ocation of any license issued.	Cur
patron will complete an approved alcohol serve serving alcoholic beverages, will carry or have a	es who sell or serve alcoholic beverages or check the identification of a er education course, if required by AS 04.21.025, and, while selling or evailable to show a current course card or a photocopy of the card er education course, if required by 3 AAC 304.465.	CW
I agree to provide all information required by the	ne Alcoholic Beverage Control Board in support of this application.	CMV-
As an applicant for a liquor license, I declare un that this application, including all accompanying	der penalty of perjury that I have read and am familiar with AS 04 and 3 AA g schedules and statements, is true, correct, and complete.	C 304, and
Signature of transferee Colleen M Kelley	+	
Printed name Subscril	ped and sworn to before me this 28 day of March	,20 19.
POSTMASTER/NOTARY PUBLIC PER USPS ASM 112.2	Signature of N Notary Public in and for the State of My commission expires:	



550 W 7th Avenue, Suite 1600

alcohol.licensing@alaska.gov https://www.commerce.alaska.gov/web/amco

Alcohol and Marijuana Control Office

Phone: 907.269.0350

Anchorage, AK 99501

Alaska Alcoholic Beverage Control Board

Form AB-02: Premises Diagram

What is this form?

A detailed diagram of the proposed licensed premises is required for all liquor license applications, per AS 04.11.260 and 3 AAC 304.185. Your diagram must include dimensions and must show all entrances and boundaries of the premises, walls, bars, fixtures, and areas of storage, service, consumption, and manufacturing. If your proposed premises is located within a building or building complex that contains multiple businesses and/or tenants, please provide an additional page that clearly shows the location of your proposed premises within the building or building complex, along with the addresses and/or suite numbers of the other businesses and/or tenants within the building or building complex.

The second page of this form is not required. Blueprints, CAD drawings, or other clearly drawn and marked diagrams may be submitted in lieu of the second page of this form. The first page must still be completed, attached to, and submitted with any supplemental diagrams. An AMCO employee may require you to complete the second page of this form if additional documentation for your premises diagram is needed.

This form must be completed and submitted to AMCO's main office before any license application will be considered complete.

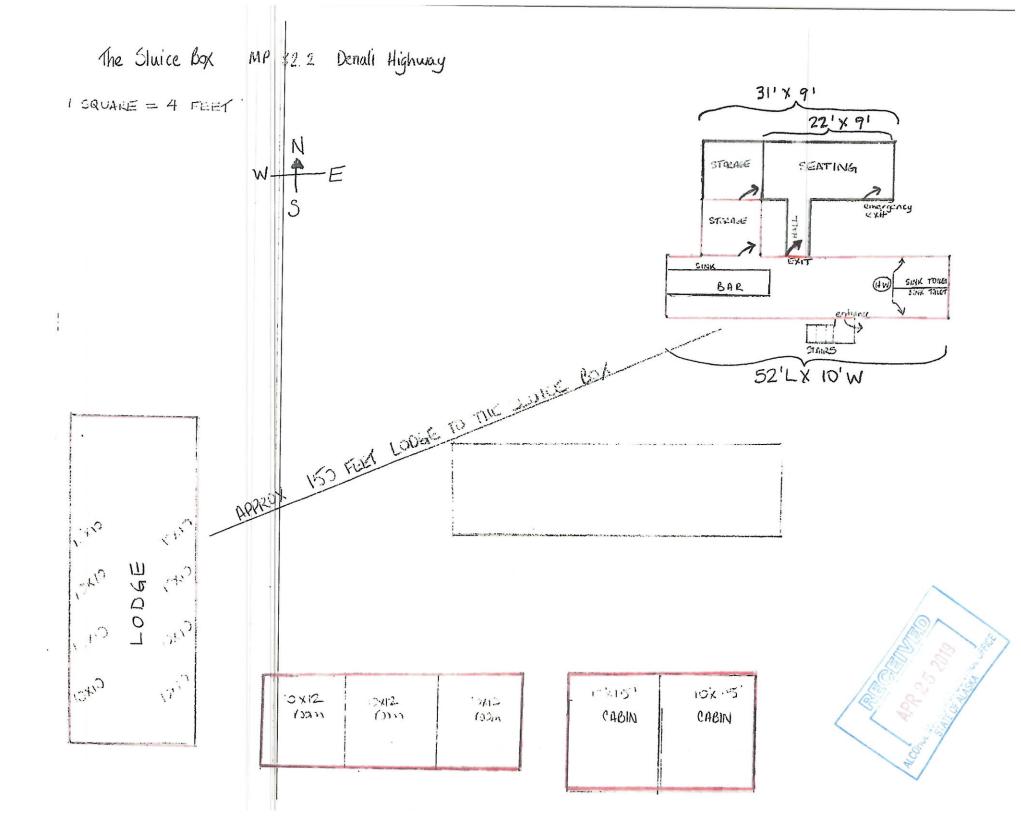
		Yes	No
I have attached blueprints, page of this form.	CAD drawings, or other supporting documents in addition to, or in lieu of, the second	V	

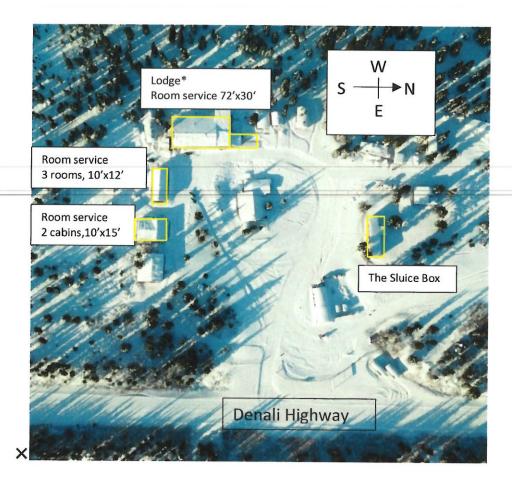
Section 1 - Establishment Information

Enter information for the business seeking to be licensed, as identified on the license application.

Licensee:	Alaska Adventure Services, LLC License Number: 1536						
License Type:	Beverage Dispensary				_		
Doing Business As:	The Sluice Box						
Premises Address:	Mile 82.2 Denali Highway				- VA - size in a control		
City:	outside city limits	State:	AK	ZIP:	N/A		







*The Lodge has a deck (~24L'x12W') that has rails around it for safety. Signs indicating "no alcohol allowed beyond deck" will be posted. Employees will monitor deck to ensure alcohol does not leave premises.





Church, John D (CED)

From:

Colleen Kelley <clearwatermountainlodge@gmail.com>

Sent:

Tuesday, April 16, 2019 6:03 PM

To: Subject: Alcohol Licensing, CED ABC (CED sponsored)
Re: John - paperwork from Colleen, license #1536

Categories:

John

Hi John,

If a guest staying in the lodge and would like a beverage from the Sluice Box, they have the ability to order from an employee working in the lodge. All employees have TAPS training. The beverage is served to them in the main lodge.

Cheers!
Colleen





www.clearwatermountainlodge.com | 907.203.1057 <u>Facebook:</u> Clearwater Mountain Lodge, Denali Highway. Alaska Member Denali Chamber of Commerce & Alaska CHARR

On Tue, Apr 16, 2019 at 4:38 PM Alcohol Licensing, CED ABC (CED sponsored) alcohol.licensing@alaska.gov> wrote:

Hi Colleen,

I can add the Property Assessment Notice to your application as additional documentation linking the deed and the physical address.



alcohol.licensing@alaska.gov https://www.commerce.alaska.gov/web/amco

Phone: 907.269.0350

Alaska Alcoholic Beverage Control Board

Form AB-17: Renewal License Application

What is this form?

This renewal license application form is required for all individuals or entities seeking to apply for renewal of an existing liquor license that will expire on December 31, 2016. All fields of this form must be complete and correct, or the application will be returned to you in the manner in which it was received, per AS 04.11.270 and 3 AAC 304.105. The Community Council field only needs to be verified/completed by licensees whose establishments are located within the Municipality of Anchorage or outside of city limits within the Matanuska-Susitna Borough.

This form must be completed correctly and submitted to the Alcohol & Marijuana Control Office (AMCO)'s main office, along with all other required documents and fees, before any renewal license application will be considered complete.

Licensee:	iness seeking to have its license renev Alaska Adventure Services, LLC			License #:	1536
License Type:	Beverage Dispensary-Seasonal			Statute:	AS 04.11.090
Doing Business As:	The Sluice Box				
Premises Address:	Mile 82.2 Denali Hwy			socialistic de la constantina della constantina	
Local Governing Body:	Matanuska-Susitna Borough				
Community Council:				444	
Mailing Address:	2877 N Meadow	Lakes 10	rive		, e-1500 co
City:	Wasilla	State:	AK	ZIP:	99623
Enter information for the lice	nsee who will be designated as the pr	imanuncint of	contact record	ina shin anniinasin	
antel illioinibilioni ioi the lice	iisee willo will be designated as the pr	imary point or	contact regard	ing this application	n and the license.
Designated Licensee:	Δ				
	Colleen Kelley 217.781.1316	Business P		907.273.	C077
Designated Licensee:	Colleen Kelley		hone:	907.273.	C077
Designated Licensee: Contact Phone:	Colleen Kelley 217.781.1316	l lge@gmz	rhone:		C077



alcohol.licensing@alaska.gov

https://www.commerce.alaska.gov/web/amco

Phone: 907.269.0350

Alaska Alcoholic Beverage Control Board

Form AB-17: Renewal License Application

Section 2 - Sole Proprietor Ownership Information

more space is needed, ple	ease attach a separate she	<u>r</u> who is applying for a license. Entiti et with the required information. licensee and each affiliate (spouse).	es should skip to Section 3.	
	applicant affili			
Name:				
Address:				
City:		State:	ZIP:	
Email:				
Contact Phone:				
Name: Address:				
his individual is an:	applicant affili	iate		
City:	+	State:	ZIP:	
Email:				
Contact Phone:		11.00		
his subsection must be co tanding with the Alaska Di	mpleted by any licensee th	entity Ownership Information or LLC. Corporation or LLC. Corporation or LLC. Partnerships may skip to Page 3.	ons and LLCs are required to	be in good to Section
	100377	98		****
Alaska DOC Entity #:				
	ions:		,	Yes No
laska Division of Corporat	tions: I standing with the Alaska D	Division of Corporations?	[Yes No



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Phone: 907.269.0350

Alaska Alcoholic Beverage Control Board

Form AB-17: Renewal License Application

This subsection must be completed by any <u>entity</u>, including a corporation, limited liability company (LLC), partnership, or limited partnership, that is applying for renewal. If more space is needed, please attach additional completed copies of this page.

- If the applicant is a <u>corporation</u>, the following information must be completed for each stockholder who owns 10% or more of the stock in the corporation, and for each president, vice-president, secretary, and managing officer.
- If the applicant is a <u>limited liability organization</u>, the following information must be completed for each member with an ownership interest of 10% or more, and for each manager.
- If the applicant is a <u>partnership</u>, including a <u>limited partnership</u>, the following information must be completed for each <u>partner</u> with an interest of 10% or more, and for each <u>general partner</u>.

Entity Official:	Collee	ก	Kelley			-		40 VA
Title(s):	member		1	Phone:	907. 357. 4531	% Owi	ned:	100
Address:	2877	N	Meadou	Lakes				
City:	Wasila			State:	AK	ZIP:	99	623
Entity Official:								
Title(s):				Phone:		% Owr	ned:	
Address:			-					
City:				State:		ZIP:		
F-Air-Off-i-I			·····					
Entity Official:								
Title(s):				Phone:		% Owr	ed:	
Address:								
City:				State:		ZIP:		
Entity Official:								
							-	
Title(s):				Phone:	1	% Own	ed:	
Address:								
City:				State:		ZIP:		***
Entity Official:								
Title(s):				Phone:		% Own	ed:	
Address:								
City:	1	1270		State:		ZIP:		

[Form AB-17] (rev 10/25/2016)

DEC 2 8 2016



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Phone: 907.269.0350

Alaska Alcoholic Beverage Control Board

Form AB-17: Renewal License Application

Section 4 – Authorization		
Communication with AMCO staff:	Yes	No
Does any person other than a licensee named in this application have authority to discuss this license with AMCO staff?		V
If "Yes", disclose the name of the individual and the reason for this authorization:		_
Section 5 – License Operation Check the box that best describes your liquor license operations in calendar years 2015 and 2016:		
The license was regularly operated continuously throughout each year, for 8 or more hours each day.]
The license was regularly operated during a specific season each year, for 8 or more hours each day.		_ }
The license was only operated to meet the minimum requirement of 30 days each year, 8 hours each day. If this box is checked, an AMCO employee will contact you after reviewing your application.		
The license was not operated at all or was not operated for at least the minimum requirement of 30 days each year, 8 hours each day, during one or both of the calendar years. If this box is checked, an AMCO employee will contact you after reviewing your application.		
Section 6 – Convictions		
Applicant convictions in calendar years 2015 and 2016:	Yes	No
Has any person named in this application been convicted of a violation of Title 04, of 3 AAC 304, or a local ordinance adopted under AS 04.21.010 in the calendar years 2015 or 2016?		1
If "Yes", list all convictions:	*****	\neg

[Form AB-17] (rev 10/25/2016)

Page 4 of 5



alcohol.licensing@alaska.gov

https://www.commerce.alaska.gov/web/amco

Phone: 907.269.0350

Alaska Alcoholic Beverage Control Board

Form AB-17: Renewal License Application

Section 7 - Certifications

Read each line belo	ow, and then sign your in	nitials in the box to th	e right of each state	ement:	Initial
	rent licensees (as define organized entity, that al				
certify on behalf o	f myself or of the organiz vided by AMCO is ground	zed entity that I under ds for rejection or den	stand that providing	g a false statement on t n or revocation of any li	his form or cense issued.
certify that in acco	ordance with AS 04.11.45 ness.	0, no one other than	the licensee(s) has a	direct or indirect finance	cial interest
and I have not chan	not altered the functiona ged the business name o pholic Beverage Control E	or the ownership (incli	d or expanded the a uding officers or stal	rea of the licensed prer seholders) from what is	nises, currently
	not violated any restricti n of a condition or restri				has not been CHK
B AAC 304, and that provide all informat	a liquor license renewal, t this application, includir tion required by the Alco ne given to me by AMCO	ng all accompanying s holic Beverage Contro	chedules and staten	nents, is true, correct, a f this application and u	nd complete. I agree to nderstand that failure to
Signature of license	e <i>0</i>			Signature of Notary Pub	olic
Colleen	M Kelley		Notary Public in and	for the State of AL	ASKA
Printed name of lice	ensee	Tawnya Notary Pu	ICIAL SEAL M. WeGracken blic-State of Alasta Expires 11/15/2020	My commission expires	11/15/2020
	Subs 2500.00	cribed and sworn to b	before me this $\underline{33}$	day of DECEMB	
License Fee:	\$ 1250:00	Filing Fee:	\$ 200.00	TOTAL:	\$ 2700,00
	0.00 – if received or p			TOTAL	7 1430.00
Miscellaneous			,, =		
					1

[Form AB-17] (rev 10/25/2016)

Page 5 of 5

DEC 2 8 2016

From: Colleen <partee1s@aol.com>

To: partee1s <partee1s@aol.com>; alcohol.licensing <alcohol.licensing@alaska.gov>

Subject: Re: need instructions on seasonal to all year round license

Date: Wed, Dec 7, 2016 1:38 pm

Hello,

Update: I got through to the office today & found out what I need to do.

Please accept this email as notification that I am changing my license to "all year round."

I will be sending a check in with my "renewal license application" forms for the amount of \$2700.00.

Thank you,

Colleen Kelley, member

Alaska Adventure Services, LLC

License #1536

----Original Message-----

From: Čolleen <

To: alcohol.licensing <

Sent: Tue, Dec 6, 2016 12:22 pm

Subject: need instructions on seasonal to all year round license

Hello,

I would like instructions on how to renew my beverage dispensary - from "Seasonal" license to "all year round."

Many thanks, I have my renewal form and am ready to mail in, I just need instructions on how to do that.

Thank you,

Colleen Kelley, member

Alaska Adventure Services, LLC

License # 1536







alcohol.licensing@alaska.gov

https://www.commerce.alaska.gov/web/amco

Phone: 907.269.0350

Alaska Alcoholic Beverage Control Board

Form AB-17: 2019/2020 Renewal License Application

What is this form?

This renewal license application form is required for all individuals or entities seeking to apply for renewal of an existing liquor license that will expire on December 31, 2018. All fields of this form must be complete and correct, or the application will be returned to you in the manner in which it was received, per AS 04.11.270 and 3 AAC 304.105. The Community Council field only should be verified/completed by licensees whose establishments are located within the Municipality of Anchorage or outside of city limits within the Matanuska-Susitna Borough.

This form must be completed correctly and submitted to the Alcohol & Marijuana Control Office (AMCO)'s main office, along with all other required documents and fees, before any renewal license application will be considered complete. Receipt and/or processing of renewal payments by AMCO staff neither indicates nor guarantees that an application will be considered complete, or that a license will be renewed.

	ection 1 – Establishmen						
Enter information for the bus	siness seeking to have its license renew	ed. If any po	pulated information	n is inco	rrect, plea	ase contact AMCO.	
Licensee:	Alaska Adventure Services, LLC			Licens	se #:	1536	
License Type:	Beverage Dispensary			Legal Ref.:		AS 04.11.090	
Doing Business As:	The Sluice Box						
Premises Address:	Mile 82.2 Denali Hwy						
Local Governing Body:	Matanuska-Susitna Borough	***************************************					
Community Council:	No community council	No community council					
Mailing Address:	Mailing Address: 2877 N Meadow Lakes Drive						
City:	Wasilla State: AK ZIP: 99623					99623	
Enter information for the individual who will be designated as the primary point of contact regarding this application. This individual must be a licensee who is required to be listed in and authorized to sign this application.							
Contact Licensee:	Colleen M Kelley Contact Pho		Contact Phon	e:	907.203.1357		
Contact Email:	Contact Email: clearwatermountainlodge@gmail.com						
Optional: If you wish for AMCO staff to communicate with individual who is <u>not a licensee</u> named on this form (eg: legal counsel) about this application and other matters pertaining to the license, please provide that person's contact information in the fields below.							
Name of Contact:	N/A		Contact Phon	e:			
Contact Email:							



Alaska Alcoholic Beverage Control Board

Form AB-17: 2019/2020 Renewal License Application

Section 2 - Entity or Community Ownership Information

This top subsection must be completed by any licensee that is a <u>corporation</u> or <u>LLC</u>. Corporations and LLCs are required to be in good standing with the Alaska Division of Corporations, Business & Professional Licensing (CBPL). This number is neither your EIN/tax ID number, nor your business license number. You may view your entity's status or find your CBPL entity number by vising the following site: https://www.commerce.alaska.gov/cbp/main/search/entities

General partnerships and local governments should skip to the second half of this page. Licensees who directly hold a license as an individual or individuals should skip to Section 3.

Alaska CBPL Entity #: 10	0037798
--------------------------	---------

You must ensure that you are able to certify the following statement before signing your initials in the box to the right:

Initials

I certify that this entity is in good standing with CBPL and that all current entity officials and stakeholders (listed below) are also currently and accurately listed with CBPL.



This subsection must be completed by any **community** or **entity**, including a corporation, limited liability company, partnership, or limited partnership, that is applying for renewal. If more space is needed, please attach additional completed copies of this page.

- If the applicant is a <u>corporation</u>, the following information must be completed for each <u>stockholder who owns 10% or more</u> of the stock in the corporation, and for each <u>president</u>, <u>vice-president</u>, <u>secretary</u>, and <u>managing officer</u>.
- If the applicant is a <u>limited liability organization</u>, the following information must be completed for each <u>member with an</u> ownership interest of 10% or more, and for each <u>manager</u>.
- If the applicant is a <u>partnership</u>, including a limited partnership, the following information must be completed for each <u>partner</u> with an interest of 10% or more, and for each <u>general partner</u>.

Important Note: The information provided in the below fields (including spelling of names, specific titles, and percentages held) must match that which is listed with CBPL. If one individual holds multiple titles mentioned in the bullets above, all titles must be listed for that individual on this application and with CBPL. Failure to list all required titles constitutes an incomplete application.

that individual on this app	olication and with CBPL. Failure to	o list all required titles	constitutes an incomp	lete applicati	on.		
Name of Official:	Colleen M Kelley						
Title(s):	member	Phone:	907.203.1357	% Ow	% Owned: 100%		
Mailing Address:	2877 N Meadow Lakes	Drive					
City:	Wasilla	State:	AK	ZIP:	ZIP: 99623		
Name of Official:							
Title(s):		Phone:		% Owi	% Owned:		
Mailing Address:						L	
City:		State:		ZIP:			
Name of Official:			***************************************				
Title(s):		Phone:		% Owned:			
Mailing Address:			.1				
City:		State:		ZIP:			

[Form AB-17] (rev 09/17/2018) License #1536 DBA The Sluice Box APR 2 5 2019

ALCOHUL MARIJUANA CUNTRUL OFFICE STATE OF ALASKA

Page 2 of 4



Alaska Alcoholic Beverage Control Board

Form AB-17: 2019/2020 Renewal License Application

Section 3 - Sole Proprietor Ownership Information

This section must be completed by any licensee who directly holds the license as an individual or multiple individuals and is applying for license renewal. If more space is needed, please attach a separate sheet that includes all of the required information. Entities should skip to Section 4. The following information must be completed for each licensee and each affiliate (spouse). This individual is an: applicant affiliate (spouse) Name: N/A **Contact Phone:** Mailing Address: City: State: ZIP: Email: This individual is an: applicant affiliate (spouse) Name: N/A **Contact Phone:** Mailing Address: City: State: ZIP: Email: Section 4 - Alcohol Server Education This section must be completed only by the holder of a beverage dispensary, club, or pub license or conditional contractor's permit. The holders of all other license types should skip to Section 5. Read the line below, and then sign your initials in the box to the right of the statement: Initials I certify that all licensees, agents, and employees who sell or serve alcoholic beverages or check identification of a patron CAL have completed an alcohol server education course approved by the ABC Board and keep current, valid copies of their cmk course completion cards on the licensed premises during all working hours, as set forth in AS 04.21.025 and 3 AAC 304.465. **Section 5 - License Operation** Check a single box for each calendar year that best describes how this liquor license was operated: 2017 2018 The license was regularly operated continuously throughout each year. The license was regularly operated during a specific season each year. The license was only operated to meet the minimum requirement of 240 total hours each calendar year. If this box is checked, a complete copy of Form AB-30: Proof of Minimum Operation Checklist, and all necessary documentation must be provided with this application. The license was not operated at all or was not operated for at least the minimum requirement of 240 total hours each year, during one or both of the calendar years. If this box is checked, a complete copy of Form AB-29: Waiver of Operation Application and corresponding fees must be submitted with this application for each calendar year during which the license was not operated for at least the minimum requirement, unless a complete copy of the form (including fees) has already been submitted for that year.

APR 2 5 2019

ALCOHUL MARIJUANA CULTRIOL OFFICE STATE OF ALASKA



Alaska Alcoholic Beverage Control Board

Form AB-17: 2019/2020 Renewal License Application

Section 6 – Violations and Convictions							
Applicant violations and convictions in calendar years 2017 and 2018:	Yes No						
Have any notices of violation (NOVs) been issued to this licensee in the calendar years 2017 or 2018?	X						
Has any person or entity named in this application been convicted of a violation of Title 04, of 3 AAC 304, or a loc ordinance adopted under AS 04.21.010 in the calendar years 2017 or 2018?	cal x						
If "Yes" to either of the previous two questions, attach a separate page to this application listing all NOVs and/	or convictions.						
Section 7 – Certifications							
Read each line below, and then sign your initials in the box to the right of each statement:	Initials						
I certify that all current licensees (as defined in AS 04.11.260) and affiliates have been listed on this application, in accordance with AS 04.11.450, no one other than the licensee(s) has a direct or indirect financial interest in the licensed business.	and that						
I certify that I have not altered the functional floor plan or reduced or expanded the area of the licensed premises, and I have not changed the business name or the ownership (including officers, managers, general partners, or stakeholders) from what is currently approved and on file with the Alcoholic Beverage Control Board.							
I certify on behalf of myself or of the organized entity that I understand that providing a false statement on this form or any other form provided by AMCO is grounds for rejection or denial of this application or revocation of any license issued.							
As an applicant for a liquor license renewal, I declare under penalty of perjury that I have read and am familiar with AS 04 and 3 AAC 304, and that this application, including all accompanying schedules and statements, is true, correct, and complete. I agree to provide all information required by the Alcoholic Beverage Control Board or AMCO staff in support of this application and understand that failure to do so by any deadline given to me by AMCO staff will result in this application being returned to me as incomplete.							
Signature of Notary Public Signature of Notary Public							
Colleen M Kelley Printed name of licensee OFFICIAL SEAL Tables and for the State of A1021CR Note Public State of licensee							
Subscribed and sworn to before me this 25th day of April	, 20						
Yes No Seasonal License? If "Yes", write your six-month operating period: May, June, July, Aug, Sept,Oct							
\$1250.00 \$300.00	\$1550.00						
License Fee: \$ 2500.00 Application Fee: \$ 300.00 TOTAL:	\$ 2800:00						
Miscellaneous Fees:							
GRAND TOTAL (if different than TOTAL): \$	1550.00						

[Form AB-17] (rev 09/17/2018) License #1536 DBA The Sluice Box



Details

ENTITY DETAILS

Name(s)

Type

Name

Legal Name

Alaska Adventure Services, LLC

Entity Type: Limited Liability Company

Entity #: 10037798

Status: Good Standing

AK Formed Date: 4/19/2016

Duration/Expiration: Perpetual

Home State: ALASKA

Next Biennial Report Due: 1/2/2020

Entity Mailing Address: 2877 N MEADOW LAKES DR, WASILLA, AK 99623

Entity Physical Address: 2877 N MEADOW LAKES DR, WASILLA, AK 99623

Registered Agent

Agent Name: Colleen M. Kelley

Registered Mailing Address: 2877 N MEADOW LAKES DR, WASILLA, AK 99623

Registered Physical Address: 2877 N MEADOW LAKES DR, WASILLA, AK 99623

Officials

AK Entity # Name Titles Owned

Colleen Kelley Member 100.00

Filed Documents

Date Filed 4/19/2016	Type Creation Filing	Filing Click to View	Certificate Click to View
4/19/2016	Initial Report	Click to View	Ollow to View
3/22/2018	Biennial Report	Click to View	
1/14/2019	Agent Change	Click to View	



alcohol.licensing@alaska.gov https://www.commerce.alaska.gov/web/amco

Phone: 907.269.0350

Alaska Alcoholic Beverage Control Board

Form AB-29: Waiver of Operation Application

What is this form?

This form is the means by which a licensee may request that the Alcoholic Beverage Control (ABC) Board waive the operating requirement of AS 04.11.330(a)(3) or (d). If a recreational site license has not been operated at least once in a calendar year, or if a license of any other type has not been operated for at least 240 hours in a calendar year, then a complete copy of this form and the corresponding fees must be submitted for that calendar year, per 3 AAC 304.170.

This application must be accompanied by a non-refundable waiver application fee of:

- for a 1st request, an amount equal to ½ the applicable biennial license fee; or
- for a 2nd or subsequent request, double the amount of the fee paid for the previous waiver application.

The ABC Board will determine whether, through no fault of the licensee or because the premises are under construction, the licensed premises could not be operated for the required time during the calendar year. The ABC Board may impose conditions along with the approval of an application for waiver, and it may deny a third or subsequent application for waiver. If an application for waiver is denied, an application for license renewal for the succeeding license period will be denied by the Board. In addition to the waiver application fee, the applicant must pay a late fee of \$1,000 for an application that is received too late for Board consideration at its meeting before November 30 of the year for which the waiver is requested. Please check AMCO's website for meeting agenda deadlines.

Please note that a licensee must submit a separate completed copy of this form and pay a separate corresponding fee for <u>each license</u> and for <u>each calendar year</u> during which a license was not operated in compliance with AS 04.11.330.

Section 1 - Establishment Information

Enter information for the license that has not been operated for the time required under AS 04.11.330. Licensee: Alaska Adventure Service, LLC License Number: 1536 License Type: Beverage Dispensary DBA: The Sluice Box Premises Address: MP 82.2 Denali Highway City: outside city limits State: Alaska ZIP: n/a Local Governing Body: Matanuska-Susitna Borough Section 2 - Request Number and Calendar Year 2nd Request 3rd Request 1st Request Other Request for Calendar Year 2017 [Form AB-29] (rev 09/27/2018) Page 1 of 2



[Form AB-29] (rev 09/27/2018)

Alcohol and Marijuana Control Office 550 W 7th Avenue, Suite 1600 Anchorage, AK 99501

alcohol.licensing@alaska.gov https://www.commerce.alaska.gov/web/amco

Phone: 907.269.0350

Page 2 of 2

Alaska Alcoholic Beverage Control Board

Form AB-29: Waiver of Operation Application

Section 3 - Reason for Non-operation

	to why the licensed pre						
- My license was not iss - I did not recieve notice	ued due to need of tra	insfer applicat	ion (Form AB	-01) after	my husband die	d.	
- I did not a get license	from previous renewal	period.	JII Walch 12,	2019)			
- The license was not o	perated.	•					
The following must be con		ction 4 – C			ecal governing hos	he.	
					cai governing boo	ıy:	
Read the line below, and t	nen sign your initials in t	he box to the r	ight of the stat	ement:			Initials
I certify that I will provide a ABC Board consideration o	true copy of this applic f this application.	ation to the loc	al governing b	ody listed o	on Page 1 of this f	orm prior to	Cuk
As a liquor licensee, I decla	re under penalty of perju	ry that this form	m, including all	Q	nts, is true, correct	isu	
Mound II	1/12 1 0/	NOT	ARY	J		A	35Ka.
Printed name of licensee	KALLEY	* PUE	200	My cor	mmission expires:	Mg 20	0,202
Timed hame of ficelisee	s	ubscribed and	to before	e me this $\underline{\mathcal{L}}$	ay of Q	nil	_ 20 <u>19</u> .
		Office	Use Only			THE MEDICAL PROPERTY.	

RECEIVED



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Phone: 907.269.0350

Alaska Alcoholic Beverage Control Board

Form AB-29: Waiver of Operation Application

What is this form?

This form is the means by which a licensee may request that the Alcoholic Beverage Control (ABC) Board waive the operating requirement of AS 04.11.330(a)(3) or (d). If a recreational site license has not been operated at least once in a calendar year, or if a license of any other type has not been operated for at least 240 hours in a calendar year, then a complete copy of this form and the corresponding fees must be submitted for that calendar year, per 3 AAC 304.170.

This application must be accompanied by a non-refundable waiver application fee of:

- for a 1st request, an amount equal to ½ the applicable biennial license fee; or
- for a 2nd or subsequent request, double the amount of the fee paid for the previous waiver application.

The ABC Board will determine whether, through no fault of the licensee or because the premises are under construction, the licensed premises could not be operated for the required time during the calendar year. The ABC Board may impose conditions along with the approval of an application for waiver, and it may deny a third or subsequent application for waiver. If an application for waiver is denied, an application for license renewal for the succeeding license period will be denied by the Board. In addition to the waiver application fee, the applicant must pay a late fee of \$1,000 for an application that is received too late for Board consideration at its meeting before November 30 of the year for which the waiver is requested. Please check AMCO's website for meeting agenda deadlines.

Please note that a licensee must submit a separate completed copy of this form and pay a separate corresponding fee for <u>each license</u> and for <u>each calendar year</u> during which a license was not operated in compliance with AS 04.11.330.

Section 1 - Establishment Information

Enter information for the license that has not been operated for the time required under AS 04.11.330. Licensee: Alaska Adventure Service, LLC License Number: 1536 License Type: Beverage Dispensary DBA: The Sluice Box MP 82.2 Denali Highway Premises Address: City: outside city limits State: Alaska ZIP: n/a **Local Governing Body:** Matanuska-Susitna Borough Section 2 - Request Number and Calendar Year 1st Request 3rd Request 2nd Request Other Request for Calendar Year 2018 [Form AB-29] (rev 09/27/2018) Page 1 of 2



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Phone: 907.269.0350

https://www.commerce.alaska.gov/web/amco

Alaska Alcoholic Beverage Control Board

Form AB-29: Waiver of Operation Application

Section 3 - Reason for Non-operation

	ı 3 – Keaso		-	ition		
Provide an explanation as to why the licensed p Wy license was not issued due to need of t I did not recieve notice that my license exp I did not a get license from previous renew The license was not expected.	transfer applica	tion (For	m AR-01) after	r my husband di	ed.	
- The license was not operated.	•					
						1
		***			and the same of th	
Se	ection 4 –	Certifi	cations			
The following must be completed for establishm	ents located wit	hin the b	oundaries of a l	ocal governing bo	ody:	
Read the line below, and then sign your initials in	n the box to the	right of tl	ne statement:			Initials
I certify that I will provide a true copy of this appl ABC Board consideration of this application.	ication to the lo	cal gover	ning body listed	on Page 1 of this	form prior to	Chr
As a liquor licensee, I declare under penalty of per	iury that this for	m. includ	ing all attachme	nts is true correc	ct and complet	•
	MINIMULE	KHOU!			ct, and complet	e.
land Kelly	60.10	mission So	Bell ON	tinkbe	Lines	
Signature of licensee	NO	OTARY	Notar	Public in and for	the State of Ala	aska.
COLLEGY M KELLEY	* PI	UBLIC	My co	mmission expires	arg 20	0,202
Printed name of licensee	TIME ATE	OF AL	Military Control of the Control of t		J	
	Subscribed and	sworm to	before me this	5 day of 0	piil	_, 20 <u></u>
	Office	Use Only				
Waiver Application Fee:	Late Fee:			Transaction #:		
[Form AB-29] (rev 09/27/2018)			REGE	NVISIO	Pa	age 2 of 2