

Notice of Violation

(3AAC 304.525)

This form, all information provided and responses are public documents per Alaska Public Records ACT AS 40.25

Date: 1-10-18

License #/Type: #4591 / Common Carrier-Seasonal

Licensee: Holland America Line N.V., LLC

Address: Alaskan Waters

DBA: ms Noordam

AMCO Case #: AB18-0049

This is a notice to you as licensee that an alleged violation has occurred. If the Alcoholic Beverage Control Board decides to act against your license, under the provisions of AS 44.62.330 - AS 44.62.630 (Administrative Procedures Act) you will receive an Accusation and Notice of your right to an Administrative Hearing.

Note: This is not an accusation or a criminal complaint.

Licensee has an unreported change of ownership. Details are as follows:

Change in ownership:

HAL Antillen N.V. – originally 100%,
SSC Shipping and Air Services (Curacao) N.V. – now the 100% owner

Date of unreported change of ownership: 12/8/2017

Your attention is referred to AS 04.11.040: Board approval of transfers

You are directed to respond in writing to this Notice of Violation within 10 days of receipt to explain what action you have taken to prevent a re-occurrence of this violation. FAILURE TO RESPOND TO THIS NOTICE OF VIOLATION WITHIN 10 DAYS WILL RESULT IN YOUR APPEARANCE, EITHER IN PERSON OR TELEPHONICALLY, BEFORE THE ABC BOARD AT THEIR NEXT REGULARLY SCHEDULED BOARD MEETING.

***Please send your response to the address below and include your alcohol license number in your response.**

3 AAC 304.525 (B) provides that upon receipt of a Notice of Violation, a licensee may request to appear before the Director and be heard regarding the Notice of Violation. The request must be made within ten days after receipt of the Notice and the Director must grant an appearance within ten days after receipt of a request. A Licensee shall respond, either orally or in writing, to the Notice.

Alcohol & Marijuana Control Office
ATTN: Enforcement
550 W. 7th Ave, Suite 1600
Anchorage, Alaska 99501
amco.enforcement@alaska.gov

Issuing Investigator: J. Hamilton

Received by:

SIGNATURE: *JRH*

SIGNATURE:

Delivered VIA: Mail

Date:



600 University Street, Suite 3600
Seattle, WA 98101
T. 206.624.0900
F. 206.386.7500
www.stoel.com

January 18, 2018

STEPHANIE J. MEIER
D. 206.386.7546
stephanie.meier@stoel.com

VIA UPS OVERNIGHT

Alaska Alcohol & Marijuana Control Office
ATTN: Enforcement
550 West 7th Avenue, Suite 1600
Anchorage, AK 99501

Re: Notices of Violation - Holland America Line N.V. LLC
License Numbers: 4590, 4009, 4126, 4197, 4399, 4591, 5571

Dear Sir or Madam:

We represent Holland America Line N.V. LLC ("HAL"), a current Common Carrier Seasonal licensee in the State of Alaska. We are submitting this letter to address the Notices of Violation received on January 17, 2018 regarding an unreported change of ownership. On December 8, 2017, the biennial report filed by HAL's registered agent erroneously listed SSC Shipping and Air Services (Curacao) N.V. as the sole shareholder of Holland America Line N.V. LLC. The correct and unchanged 100% shareholder is HAL Antillen N.V. A Certificate of Correction addressing this incorrect filing was filed with the State of Alaska Division of Corporations, Business and Professional Licensing on January 12, 2018; a conformed copy of the certificate is enclosed for your reference.

Should you have any questions or concerns, please do not hesitate to contact me at the number above.

Best regards,

Stoel Rives LLP

A handwritten signature in blue ink that reads "Stephanie Meier".

Stephanie J. Meier

Enclosure





State of Alaska
 Division of Corporations, Business and Professional Licensing
CORPORATIONS SECTION
 PO Box 110806
 Juneau, AK 99811-0806
 Phone: (907) 465-2550
 Fax: (907) 465-2974
 Website: www.commerce.alaska.gov/occ

DO NOT STAMP ABOVE THIS BOX
 Office Use Only **CORP**

 RECEIVED
 Juneau
 JAN 12 2018
 CBPL

CERTIFICATE OF CORRECTION
 All Entity Types
 AS 10.06.920

\$25.00 Filing Fee (non-refundable)

Pursuant to Alaska Statutes 10.06.920, an entity may create a Certificate of Correction to correct a document that has been filed for record. The filing of the certificate by the commissioner does not alter the effective time of the writing being corrected and does not affect any right or liability accrued or incurred before the filing. A corporate name may not be changed or corrected with this form.

ITEM 1: Name of the Entity:

Holland America Line N.V. LLC	Alaska Entity #: 10002284
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ITEM 2: Provide the following information for the document being corrected:

Title of the original document filed for record:	Limited Liability Company 2018 Biennial Report
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Date the original document filed for record (mm/dd/yyyy format):	12/08/2017
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ITEM 3: State the correction:

On Holland America Line N.V. LLC's Limited Liability Company 2018 Biennial Report, the Manager was inadvertently filed with incorrect information as to the Manager of the company, as follows:

Name: SSC Shipping and Air Services (Curacao) N.V.
 Address: Schottegatweg Oost 44, Curacao Netherlands, Netherlands
 % Owned: 100
 Titles: Manager, Member

The correct information is as follows:

Name: HAL Antillen N.V.
 Address: Schottegatweg Oost 44, Willemstad, Curacao
 % Owned: 100
 Titles: Manager

Please make the corrections to the Original 2018 Biennial Report.

Attach an additional sheet if necessary.

RECEIVED
 JAN 19 2018
 ALCOHOL MARIJUANA CONTROL OFFICE
 STATE OF ALASKA

COPY

UNITED STATES POSTAL SERVICE

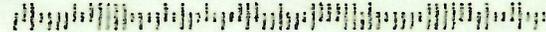


First-Class Mail
Postage & Fees Paid
USPS
Permit No. G-10

• Sender: Please print your name, address, and ZIP+4® in this box®

Alcohol & Marijuana Control Office
550 W. 7th Avenue, Suite 1600
Anchorage, AK 99501

Photo *Multiple copies*



PS Form 3811, July 2013
Domestic Return Receipt

2. Article Number (Transfer from service) **7013 2250 0000 9517 6458**

1. Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired. Print your name and address on the reverse so that we can return the card to you. Attach this card to the back of the mailpiece, or on the front if space permits.

Holland America Line N.V., LLC
600 University St, Suite 3600
ATTN: Stephanie Meier
Seattle, WA 98101

A. Signature Agent
B. Received by (Printed Name) *Stephanie Meier*
C. Date of Delivery

D. Is delivery address different from item 1? Yes No
If YES, enter delivery address below:

3. Service Type
 Certified Mail®
 Priority Mail Express™
 Registered
 Return Receipt for Merchandise
 Insured Mail
 Collect on Delivery

4. Restricted Delivery? (Extra Fee) Yes

SENDER: COMPLETE THIS SECTION

COMPLETE THIS SECTION ON DELIVERY

Holland America Line N.V., LLC
ms Noordam License 4591 Common Carrier- Seasonal

Attachment- Section 6 Violations and Convictions

On December 8, 2017, the biennial report filed by Holland America Line N.V., LLC's registered agent erroneously listed SSC Shipping and Air Services (Curacao) N.V. as the sole shareholder of Holland America Line N.V. LLC. A Certificate of Correction addressing this incorrect filing was immediately filed with the State of Alaska Division of Corporations, Business and Professional Licensing ("CBPL") on January 12, 2018.

Please see attached Notice of Violation dated January 10, 2018 and supporting documents.



Alcohol and Marijuana Control Office
 550 W 7th Avenue, Suite 1600
 Anchorage, AK 99501
alcohol.licensing@alaska.gov
<https://www.commerce.alaska.gov/web/amco>
 Phone: 907.269.0350

Alaska Alcoholic Beverage Control Board

Form AB-17: 2020/2021 Renewal License Application

What is this form?

This renewal license application form is required for all individuals or entities seeking to apply for renewal of an existing liquor license that are is due to renew by December 31, 2019. All fields of this form must be complete and correct, or the application will be returned to you in the manner in which it was received, per AS 04.11.270 and 3 AAC 304.105. The Community Council field only should be verified/completed by licensees whose establishments are located within the Municipality of Anchorage or outside of city limits within the Matanuska-Susitna Borough.

This form must be completed and submitted to AMCO's main office before any license renewal application will be reviewed. Receipt and/or processing of renewal payments by AMCO staff neither indicates nor guarantees that an application will be considered complete, or that a license will be renewed.

Section 1 – Establishment and Contact Information

Enter information for the business seeking to have its license renewed. If any populated information is incorrect, please contact AMCO.

Licensee:	Holland America Line N.V., LLC	License #:	4591
License Type:	Common Carrier - Seasonal		
Doing Business As:	ms Noordam		
Premises Address:	Alaskan Waters		
Local Governing Body:	None		
Community Council:	None		

Mailing Address:	Stoel Rives LLP, Attn: Stephanie Meier, 600 University St., Suite 3600				
City:	Seattle	State:	WA	ZIP:	98101

Enter information for the individual who will be designated as the primary point of contact regarding this application. This individual **must be a licensee** who is required to be listed in and authorized to sign this application.

Contact Licensee:	Natalya Leahy	Contact Phone:	206-386-7546
Contact Email:	bevlicensing@stoel.com		

Optional: If you wish for AMCO staff to communicate with an individual who is **not a licensee** named on this form (eg: legal counsel) about this application and other matters pertaining to the license, please provide that person's contact information in the fields below.

Name of Contact:	Attorney Stephanie Meier of Stoel Rives LLP and its employees	Contact Phone:	206-386-7546
Contact Email:	bevlicensing@stoel.com		



Form AB-17: 2020/2021 Renewal License Application

Section 2 – Entity or Community Ownership Information

Licensees who directly hold a license as an individual or individuals should skip to Section 3. General partnerships and local governments should skip to the second half of this page. All licensees that are corporations or LLCs must complete this section. Corporations and LLCs are required to be in good standing with the Alaska Division of Corporations, Business & Professional Licensing (CBPL). The CBPL Entity # below is neither your EIN/tax ID number, nor your business license number. You may view your entity's status or find your CBPL entity number by using the following site: <https://www.commerce.alaska.gov/cbp/main/search/entities>

Alaska CBPL Entity #:	10002284
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You must ensure that you are able to certify the following statement before signing your initials in the box to the right: Initials

I certify that this entity is in good standing with CBPL and that all current entity officials and stakeholders (listed below) are also currently and accurately listed with CBPL.

This subsection must be completed by any community or entity, including a corporation, limited liability company, partnership, or limited partnership, that is applying for renewal. If more space is needed, please attach additional completed copies of this page.

- If the applicant is a corporation, the following information must be completed for each *shareholder who owns 10% or more* of the stock in the corporation, and for each *president, vice-president, secretary, and managing officer*.
- If the applicant is a limited liability organization, the following information must be completed for each *member with an ownership interest of 10% or more*, and for each *manager*.
- If the applicant is a partnership, including a limited partnership, the following information must be completed for each *partner with an interest of 10% or more*, and for each *general partner*.

Important Note: The information provided in the below fields (including spelling of names, specific titles, and percentages held) must match that which is listed with CBPL. If one individual holds multiple titles mentioned in the bullets above, all titles must be listed for that individual on this application and with CBPL. Failure to list all required titles constitutes an incomplete application. You must list **ALL** of your qualifying officials, additional copies of this page or a separate sheet of paper may be submitted if necessary.

Name of Official:	HAL Antillen N.V.				
Title(s):	Member	Phone:	206-626-7069	% Owned:	100
Mailing Address:	c/o Holland America Line N.V., 450 Third Ave West				
City:	Seattle	State:	WA	ZIP:	98119

Name of Official:	Orlando Ashford				
Title(s):	Manager	Phone:	206-626-7069	% Owned:	0
Mailing Address:	450 Third Avenue West				
City:	Seattle	State:	WA	ZIP:	98119

Name of Official:	Kelly Clark				
Title(s):	Manager	Phone:	206-626-7069	% Owned:	0
Mailing Address:	450 Third Avenue West				
City:	Seattle	State:	WA	ZIP:	98119



Form AB-17: 2020/2021 Renewal License Application

Section 2 – Entity or Community Ownership Information

Licensees who directly hold a license as an individual or individuals should skip to Section 3. General partnerships and local governments should skip to the second half of this page. All licensees that are corporations or LLCs must complete this section. Corporations and LLCs are required to be in good standing with the Alaska Division of Corporations, Business & Professional Licensing (CBPL). The CBPL Entity # below is neither your EIN/tax ID number, nor your business license number. You may view your entity's status or find your CBPL entity number by visiting the following site: <https://www.commerce.alaska.gov/cbp/main/search/entities>

Alaska CBPL Entity #:	10002284
-----------------------	----------

You must ensure that you are able to certify the following statement before signing your initials in the box to the right: Initials

I certify that this entity is in good standing with CBPL and that all current entity officials and stakeholders (listed below) are also currently and accurately listed with CBPL.

This subsection must be completed by any community or entity, including a corporation, limited liability company, partnership, or limited partnership, that is applying for renewal. If more space is needed, please attach additional completed copies of this page.

- If the applicant is a corporation, the following information must be completed for each *shareholder who owns 10% or more* of the stock in the corporation, and for each *president, vice-president, secretary, and managing officer*.
- If the applicant is a limited liability organization, the following information must be completed for each *member with an ownership interest of 10% or more*, and for each *manager*.
- If the applicant is a partnership, including a limited partnership, the following information must be completed for each *partner with an interest of 10% or more*, and for each *general partner*.

Important Note: The information provided in the below fields (including spelling of names, specific titles, and percentages held) must match that which is listed with CBPL. If one individual holds multiple titles mentioned in the bullets above, all titles must be listed for that individual on this application and with CBPL. Failure to list all required titles constitutes an incomplete application. You must list **ALL** of your qualifying officials, additional copies of this page or a separate sheet of paper may be submitted if necessary.

Name of Official:	Natalya Leahy				
Title(s):	Manager	Phone:	206-626-7069	% Owned:	0
Mailing Address:	450 Third Avenue West				
City:	Seattle	State:	WA	ZIP:	98119

Name of Official:	Keith Taylor				
Title(s):	Manager	Phone:	206-626-7069	% Owned:	0
Mailing Address:	450 Third Avenue West				
City:	Seattle	State:	WA	ZIP:	98119

Name of Official:					
Title(s):		Phone:		% Owned:	
Mailing Address:					
City:		State:		ZIP:	



Form AB-17: 2020/2021 Renewal License Application

Section 3 – Sole Proprietor Ownership Information

Entities, such as corporations or LLCs, should skip this section. This section must be completed by any licensee who directly holds the license as an individual or multiple individuals and is applying for license renewal. If more space is needed, please attach a separate sheet that includes all of the required information.

The following information must be completed for each licensee and each affiliate.

This individual is an: applicant affiliate

Name:	N/A			Contact Phone:	
Mailing Address:					
City:		State:		ZIP:	
Email:					

This individual is an: applicant affiliate

Name:	N/A			Contact Phone:	
Mailing Address:					
City:		State:		ZIP:	
Email:					

Section 4 – Alcohol Server Education

This section must be completed only by the holder of a beverage dispensary, club, or pub license or conditional contractor's permit. The holders of all other license types should skip to Section 5.

Read the line below, and then sign your initials in the box to the right of the statement:

Initials

I certify that all licensees, agents, and employees who sell or serve alcoholic beverages or check identification of a patron have completed an alcohol server education course approved by the ABC Board and keep current, valid copies of their course completion cards on the licensed premises during all working hours, as set forth in AS 04.21.025 and 3 AAC 304.465.

Section 5 – License Operation

Check a **single box** for each calendar year that best describes how this liquor license was operated:

2018 2019

The license was regularly operated continuously throughout each year.

The license was regularly operated during a specific season each year.

The license was only operated to meet the minimum requirement of 240 total hours each calendar year.

If this box is checked, a complete copy of Form AB-30: Proof of Minimum Operation Checklist, and all necessary documentation must be provided with this application.

The license was not operated at all or was not operated for at least the minimum requirement of 240 total hours each year, during one or both of the calendar years.

If this box is checked, a complete copy of Form AB-29: Waiver of Operation Application and corresponding fees must be submitted with this application for each calendar year during which the license was not operated for at least the minimum requirement, unless a complete copy of the form (including fees) has already been submitted for that year.



Alaska Alcoholic Beverage Control Board

Form AB-17: 2020/2021 Renewal License Application

Section 6 - Violations and Convictions

Applicant violations and convictions in calendar years 2018 and 2019: Yes No

Have any notices of violation (NOVs) been issued for this license in the calendar years 2018 or 2019? [X] []
1/10/18 unreported change of ownership. Has any person or entity named in this application been convicted of a violation of Title 04, of 3 AAC 304, or a local ordinance adopted under AS 04.21.010 in the calendar years 2018 or 2019? [] [X]

If "Yes" to either of the previous two questions, attach a separate page to this application listing all NOVs and/or convictions.

Section 7 - Certifications

Read each line below, and then sign your initials in the box to the right of each statement: Initials

I certify that all current licensees (as defined in AS 04.11.260) and affiliates have been listed on this application, and that in accordance with AS 04.11.450, no one other than the licensee(s) has a direct or indirect financial interest in the licensed business. [NH]

I certify that I have not altered the functional floor plan or reduced or expanded the area of the licensed premises, and I have not changed the business name or the ownership (including officers, managers, general partners, or stakeholders) from what is currently approved and on file with the Alcoholic Beverage Control Board. [NL]

I certify on behalf of myself or of the organized entity that I understand that providing a false statement on this form or any other form provided by AMCO is grounds for rejection or denial of this application or revocation of any license issued. [NH]

As an applicant for a liquor license renewal, I declare under penalty of perjury that I have read and am familiar with AS 04 and 3 AAC 304, and that this application, including all accompanying schedules and statements, is true, correct, and complete. I agree to provide all information required by the Alcoholic Beverage Control Board or AMCO staff in support of this application and understand that failure to do so by any deadline given to me by AMCO staff will result in this application being returned to me as incomplete.

Signature of licensee: [Signature]
Printed name of licensee: Natalya Leahy

Signature of Notary Public: [Signature]
Notary Public in and for the State of Washington

My commission expires: 09/05/2020

Subscribed and sworn to before me this 5th day of December

Seasonal License? [X] Yes [] No If "Yes", write your six-month operating period: May - October

Table with 5 columns: License Fee (\$ 500.00), Application Fee (\$ 300.00), TOTAL (\$ 800.00), Miscellaneous Fees, GRAND TOTAL (if different than TOTAL)

