Notice of Violation

(3AAC 304.525)

This form, all information provided and responses are public documents per Alaska Public Records ACT AS 40.25

Date: 07-19-2018 License #/Type: Package Store-Community

Licensee: City of Klawock

Address: 6488-8 Klawock/Hollis Hwy, Klawock

DBA: Klawock Liquor Store AMCO Case #: AB18000844

This is a notice to you as licensee that an alleged violation has occurred. If the Alcoholic Beverage Control Board decides to act against your license, under the provisions of AS 44.62.330 - AS 44.62.630 (Administrative Procedures Act) you will receive an Accusation and Notice of your right to an Administrative Hearing.

Note: This is not an accusation or a criminal complaint.

During routine licensed premises inspection of Klawock Liquor Store it was found Becky Sutherland's alcohol server education card (TAP) had expired on 03-05-2018. The manager Donn'l Marvin-Looney had a valid TAP card and informed investigators she would have Becky complete the test on-line that day. On 07-19-2018 at 1734 hours I received an email with a certificate of completion of the TAP class for Becky Sutherland.

This is a violation of AS 04.21.025(c) and 3AAC304.465	

This is the second violation of the same statute/regulation within 12 months. On 08-10-2017 three employees were found to not have valid alcohol server education cards.

You are directed to respond in writing to this Notice of Violation within 10 days of receipt to explain what action you have taken to prevent a re-occurrence of this violation. FAILURE TO RESPOND TO THIS NOTICE OF VIOLATION WITHIN 10 DAYS WILL RESULT IN YOUR APPREARANCE, EITHER IN PERSON OR TELEPHONICALLY, BEFORE THE ABC BOARD AT THEIR NEXT REGULARLY SCHEDULED BOARD MEETING.IT IS RECOMMENDED THAT YOU RESPOND IN WRITING TO DOCUMENT YOUR RESPONSE FOR THE MARIJUANA CONTROL BOARD.

*Please send your response to the address below and include your alcohol license number in your response.3

AAC 304.525 (B) provides that upon receipt of a Notice of Violation, a licensee may request to appear before the Director and be heard regarding the Notice of Violation. The request must be made within ten days after receipt of the Notice and the Director must grant an appearance within ten days after receipt of a request. A Licensee shall respond, either orally or in writing, to the Notice..

Alcohol & Marijuana Control Office ATTN: Enforcement 550 W. 7th Ave, Suite 1600 Anchorage, Alaska 99501 amco.enforcement@alaska.gov

Issuing Investigator: S. Johnson Received by:

SIGNATURE: SIGNATURE:

Delivered VIA: Mail Date:

Article #7012 3460 0000 2166 5335

From: Leslie Isaacs

To: <u>Davies, Jason M (CED)</u>
Cc: <u>Johnson, Steven M (CED)</u>

Subject: RE: Klawock Liquor Store, License 3442, NOV AB18000844

Date: Thursday, September 20, 2018 3:37:36 PM

Attachments: NOV response AB18000844.pdf

Dear Sirs/Madams.

Here is the response for the NOV 18-000844

I am sorry for the delay in getting this to you. We did rectify the cause for violation the very same day we received the notice. We have now created a plan so that this will not happen again.

If you have any further questions please feel free to contact me.

Leslie Isaacs City Administrator

-----Original Message-----

From: Davies, Jason M (CED) [mailto:jason.davies@alaska.gov]

Sent: Thursday, September 20, 2018 1:31 PM

To: Leslie Isaacs

Cc: Johnson, Steven M (CED)

Subject: Klawock Liquor Store, License 3442, NOV AB18000844

Afternoon,

On 07/19/2018 Investigator Johnson mailed a Notice of Violation certified and it was signed by a Lyon Galackianoff?? on 07/36/2018. You were required to respond within 10 days of receiving the Notice of Violation. You may respond to my email or mail it directly to the address in my signature.

Regards,



Jason M. Davies Criminal Justice Technician I AMCO Enforcement Alcohol & Marijuana Control Office

550 W. 7th Ave, Suite 1600 Anchorage, AK 99501 Office (907) 754-3410 jason.davies@alaska.gov



Alcohol and Marijuana Control Office 550 W 7th Avenue, Suite 1600 Anchorage, AK 99501 <u>alcohol.licensing@alaska.gov</u> <u>https://www.commerce.alaska.gov/web/amco</u>

Phone: 907.269.0350

Alaska Alcoholic Beverage Control Board

Master Checklist: Renewal Liquor License Application

Doing Business As:	Klaw	Klawock Liquor Store			License Number:	3442	
License Type:	Pack	age Store - 0	Community	en.,			
Examiner:			JOHN .		Transaction #:	1175782	
Document		Received	Completed	Notes			
AB-17: Renewal Applic	ation	11/8	12/9				
App and License Fees		11/8	1118				
Supplemental Docum	ent	Received	Completed	Notes			
Tourism/Rec Site State	ement				,		
AB-25: Supplier Cert (\	NS)				,		
AB-29: Waiver of Oper	ation						
AB-30: Minimum Oper	ation						
AB-33: Restaurant Affi	davit						
COI / COC / 5 Star							
FP Cards & Fees / AB-C)8a						
Late Fee					-		
Names on FP Cards:	Ī						
						Yes No	
Selling alcohol in response to written order (package stores)?							
Mailing address and contact information different than in database (if yes, update database)?							
In "Good Standing" with CBPL (skip this and next question for sole proprietor)?							
Officers and stockholders match CBPL and database (if "No", determine if transfer necessary)?							
LGB 1 Response: LGB 2 Response:							
Waive	Protest	Lapsed	- Wai	ve Prot	est Lapsed		



Alaska Alcoholic Beverage Control Board

Alcohol and Marijuana Control Office 550 W 7th Avenue, Suite 1600 Anchorage, AK 99501 alcohol.licensing@alaska.gov

https://www.commerce.alaska.gov/web/amco

Phone: 907.269.0350

Package Store License

Form AB-17b: 2020/2021 Renewal License Application

What is this form?

This renewal license application form is required for all individuals or entities seeking to apply for renewal of an existing package store liquor license that is due to renew by December 31, 2019. All fields of this form must be complete and correct, or the application will be returned to you in the manner in which it was received, per AS 04.11.270 and 3 AAC 304.105. The Community Council field only should be verified/completed by licensees whose establishments are located within the Municipality of Anchorage or outside of city limits within the Matanuska-Susitna Borough.

This form must be completed and submitted to AMCO's main office before any license renewal application will be reviewed. Receipt and/or processing of renewal payments by AMCO staff neither indicates nor guarantees that an application will be considered complete, or that a license will be renewed.

	ection 1 – Establishment				L AMCO	
Enter information for the bus Licensee:	iness seeking to have its license renew City of Klawock	red. If any pop		cense #:	3442	
License Type:	Package Store-Community					
Doing Business As:	Klawock Liquor Store					
Premises Address:	6488-8 Klawock/Hollis Hwy					
Local Governing Body:	City of Klawock					
Community Council:	None					
Mailing Address:	P.O. Box 41	69				
City:	Klawock	State:	Alaska	ZIP:	99925	
	ividual who will be designated as the puired to be listed in and authorized to			his applicat	ion. This individual	
Contact Licensee:	Leslie Isaa	cs	Contact Phone:	907	- 755-2261	
Contact Email:	lisaacs@cityaf	Klaw	ock.com			
Optional: If you wish for AMC about this application and oth	/ () CO staff to communicate with an individual formatters pertaining to the license, p	dual who is <u>no</u>	t a licensee named or	n this form (information	eg: legal counsel) n in the fields below.	
Name of Contact:			Contact Phone:			

AMCO

Page 1 of 4

Contact Email:



Alaska CBPL Entity #:

Form AB-17b: 2020/2021 Package Store Renewal License Application

Section 2 – Entity or Community Ownership Information

Licensees who directly hold a license as an individual or individuals should skip to Section 3. General partnerships and local governments should skip to the second half of this page. All licensees that are <u>corporations</u> or <u>LLCs</u> must complete this section. Corporations and LLCs are required to be in good standing with the Alaska Division of Corporations, Business & Professional Licensing (CBPL). The CBPL Entity # below is neither your EIN/tax ID number, nor your business license number. You may view your entity's status or find your CBPL entity number by vising the following site: https://www.commerce.alaska.gov/cbp/main/search/entities

You must ensure that you are able to certify the following statement before signing your initials in the box to the right: Initials							
I certify that this entity is in good standing with CBPL and that all current entity officials and stakeholders (listed below) are also currently and accurately listed with CBPL.							
This subsection must be completed by any community or entity, including a corporation, limited liability company, partnership, or limited partnership, that is applying for renewal. If more space is needed, please attach additional completed copies of this page. If the applicant is a corporation, the following information must be completed for each shareholder who owns 10% or more of the stock in the corporation, and for each president, vice-president, secretary, and managing officer. If the applicant is a limited liability organization, the following information must be completed for each member with an ownership interest of 10% or more, and for each manager. If the applicant is a partnership, including a limited partnership, the following information must be completed for each partner with an interest of 10% or more, and for each general partner.							
that individual on this applic ALL of your qualifying officia	ation provided in the below fiel th CBPL. If one individual holds ation and with CBPL. Failure to als, additional copies of this pa	multiple titles ment list all required title	tioned in the s constitute:	bullets above,	all titles m	ust be liste	d for
Name of Official:	Donald X	Lickerse		一上	d		
Title(s):	Mayor	Phone:	9077	55-2261	% Own	ed:	
Mailing Address:	PO-Box 46	9	100.		L		
City:	Klawak	State:	Alas	ka	ZIP:	999	25
Name of Official:	Rudolph	Smith	Jr.	£8			
Title(s):	Vice-Mayor	Phone:	907	55-2261	% Owne	ed:	
Mailing Address:	P.O. Box 16	7-		03 20 07			\neg
City:	Klawock	State:	Alas	ka	ZIP:	7990	25
						, , ,	
Name of Official:	Leslie Isa	acs			W700 10 10 10 10 10 10 10 10 10 10 10 10 1		
Title(s):	City Administrator	Phone:	907 7	55-2261	% Owne	ed:	
Mailing Address:	P.D. BOX 469						
City:	Klawock	State:	A/a	ska	ZIP:	9998	15
Form AB-17b] (rev 9/17/2019) icense # 3442 DBA Klawock Liqu	uor Store	DEC 09	2019	Д	MCO	Page 2	of 4

NOV - 8 2019



Alaska Alcoholic Beverage Control Board

Form AB-17b: 2020/2021 Package Store Renewal License Application

Section 3 - Sole Proprietor Ownership Information

Entities, such as corporations or LLCs, should skip this section. This section must be completed by any licensee who directly holds the license as an <u>individual or multiple individuals</u> and is applying for license renewal. If more space is needed, please attach a separate sheet that includes all of the required information.

The following information must be completed for each licensee and each affiliate. affiliate This individual is an: applicant **Contact Phone:** Name: **Mailing Address:** ZIP: State: City: Email: affiliate This individual is an: applicant **Contact Phone:** Name: **Mailing Address:** ZIP: State: City: Email: Section 4 - Alcohol Server Education Initials Read the line below, and then sign your initials in the box to the right of the statement: I certify that all licensees, agents, and employees who sell or serve alcoholic beverages or check identification of a patron have completed an alcohol server education course approved by the ABC Board and keep current, valid copies of their course completion cards on the licensed premises during all working hours, as set forth in AS 04.21.025 and 3 AAC 304.465. **Section 5 – License Operation** 2019 Check a single box for each calendar year that best describes how this liquor license was operated: 2018 The license was regularly operated continuously throughout each year. The license was regularly operated during a specific season each year. The license was only operated to meet the minimum requirement of 240 total hours each calendar year. If this box is checked, a complete copy of Form AB-30: Proof of Minimum Operation Checklist, and all necessary documentation must be provided with this application. The license was not operated at all or was not operated for at least the minimum requirement of 240 total hours each year, during one or both of the calendar years. If this box is checked, a complete copy of Form AB-29: Waiver of Operation Application and corresponding fees must be submitted with this application for each calendar year during which the license was not operated for at least the minimum requirement, unless a complete copy of the form (including fees) has already been submitted for that year.

[Form AB-17b] (rev 9/17/2019) License # 3442 DBA Klawock Liquor Store AMCO Page 3 of 4



Alaska Alcoholic Beverage Control Board

Form AB-17b: 2020/2021 Package Store Renewal License Application

		Section 6 - W	ritten Orders			
Written orders in caler	dar years 2020 and 2	2021:		Water the second	Yes	No
Do you intend to sell al calendar years 2020 an		d ship them to another	location in response	to written solicitation in		\boxtimes
	Secti	ion 7 – Violatio	ns and Convi	ctions		
Applicant violations ar	d convictions in cale	ndar years 2018 and 20)19:		Yes	No
Have any notices of vio	lation (NOVs) been is	sued for <u>this license</u> in	the calendar years 20	018 or 2019?	\times	
		cation been convicted o e calendar years 2018 o		04, of 3 AAC 304, or a local		
If "Yes" to either of the	e previous two quest	ions, attach a separate	page to this applicat	ion listing all NOVs and/or o	convictio	ns.
		Section 8 – C	ertifications			
Read each line below,	and then sign your ir	nitials in the box to the	right of each statem	ent:		Initials
I certify that all current in accordance with AS licensed business.	: licensees (as defined 04.11.450, no one ot	d in AS 04.11.260) and a her than the licensee(s	affiliates have been li) has a direct or indir	sted on this application, and ect financial interest in the	l that	LA
and I have not changed	d the business name o	al floor plan or reduced or the ownership (included and on file with the	ling officers, manage	a of the licensed premises, rs, general partners, or ontrol (ABC) Board.		LD
I certify on behalf of m any other form provide	yself or of the organiaed by AMCO is ground	zed entity that I unders ds for rejection or denia	tand that providing a I of this application o	false statement on this form r revocation of any license is	or sued.	KJ
3 AAC 304, and that the provide all information	is application, including required by the Alcongramy deadline given to the Alcongramy deadline given to the Alcongram deadline	ng all accompanying sch holic Beverage Control o me by AMCO staff will	nedules and statement Board or AMCO staff I was a statement of the stateme	e read and am familiar with Ants, is true, correct, and comin support of this application tion being returned to me as mature of Notary Public r the State of	plete. I ag n and und	gree to derstand
	Sub	Scribed and sworn to be	7 Expires October 18 101	y commission expires:	-	20 9.
Seasonal License?	Yes No	scribed and sworn to be	six-month operating			
License Fee:	\$ 1500.00	Application Fee:	\$ 300.00	TOTAL: \$	1800.00	
Miscellaneous Fe	es:	1				*****
GRAND TOTAL (if	different than TOT	TAL):				- 11

[Form AB-17b] (rev 9/17/2019) License # 3442 DBA Klawock Liquor Store Page 4 of 4

City of Klawock Liquor License Renewal Application 2020/2021 List of Notice of Violations for the calendar years 2018 and 2019

• AMCO Case #AB18000844

Notice of Violation

(3AAC 304,525)

This form, all information provided and responses are public documents per Alaska Public Records ACT AS 40.25

Date: 07-19-2018

License #/Type: Package Store-Community

Licensee: City of Klawock

Address: 6488-8 Klawock/Hollis Hwy, Klawock

DBA: Klawock Liquor Store

AMCO Case #: AB18000844

This is a notice to you as licensee that an alleged violation has occurred. If the Alcoholic Beverage Control Board decides to act against your license, under the provisions of AS 44.62.330 - AS 44.62.630 (Administrative Procedures Act) you will receive an Accusation and Notice of your right to an Administrative Hearing.

Note: This is not an accusation or a criminal complaint.

During routine licensed premises inspection of Klawock Liquor Store it was found Becky Sutherland's alcohol server education card (TAP) had expired on 03-05-2018. The manager Donn'l Marvin-Looney had a valid TAP card and informed investigators she would have Becky complete the test on-line that day. On 07-19-2018 at 1734 hours I received an email with a certificate of completion of the TAP class for Becky Sutherland.

This is a violation of AS 04.21.025(c) and 3AAC304.465

This is the second violation of the same statute/regulation within 12 months. On 08-10-2017 three employees were found to not have valid alcohol server education cards.

You are directed to respond in writing to this Notice of Violation within 10 days of receipt to explain what action you have taken to prevent a re-occurrence of this violation. FAILURE TO RESPOND TO THIS NOTICE OF VIOLATION WITHIN 10 DAYS WILL RESULT IN YOUR APPREARANCE, EITHER IN PERSON OR TELEPHONICALLY, BEFORE THE ABC BOARD AT THEIR NEXT REGULARLY SCHEDULED BOARD MEETING.IT IS RECOMMENDED THAT YOU RESPOND IN WRITING TO DOCUMENT YOUR RESPONSE FOR THE MARIJUANA CONTROL BOARD.

*Please send your response to the address below and include your alcohol license number in your response.3

AAC 304.525 (B) provides that upon receipt of a Notice of Violation, a licensee may request to appear before the Director and be heard regarding the Notice of Violation. The request must be made within ten days after receipt of the Notice and the Director must grant an appearance within ten days after receipt of a request. A Licensee shall respond, either orally or in writing, to the Notice..

Alcohol & Marijuana Control Office ATTN: Enforcement 550 W. 7th Ave, Suite 1600 Anchorage, Alaska 99501 amco.enforcement@alaska.gov

Issuing Investigator: S. Johnson Received by:

SIGNATURE: SIGNATURE:

Delivered VIA: Mail Date:

Article #7012 3460 0000 2166 5335

From:

Leslie Isaacs

To:

Davies, Jason M (CED)

Cc:

Johnson, Steven M (CED)

NOV response AB18000844.pdf

Subject:

RE: Klawock Liquor Store, License 3442, NOV AB18000844

Date: Attachments: Thursday, September 20, 2018 3:37:36 PM

Dear Sirs/Madams,

Here is the response for the NOV 18-000844.

I am sorry for the delay in getting this to you. We did rectify the cause for violation the very same day we received the notice. We have now created a plan so that this will not happen again.

If you have any further questions please feel free to contact me.

Leslie Isaacs City Administrator

----Original Message----

From: Davies, Jason M (CED) [mailto:jason.davies@alaska.gov]

Sent: Thursday, September 20, 2018 1:31 PM

To: Leslie Isaacs

Cc: Johnson, Steven M (CED)

Subject: Klawock Liquor Store, License 3442, NOV AB18000844

Afternoon,

On 07/19/2018 Investigator Johnson mailed a Notice of Violation certified and it was signed by a Lyon Galackianoff?? on 07/36/2018. You were required to respond within 10 days of receiving the Notice of Violation. You may respond to my email or mail it directly to the address in my signature.

Regards,



Jason M. Davies Criminal Justice Technician I AMCO Enforcement Alcohol & Marijuana Control Office

550 W. 7th Ave, Suite 1600 Anchorage, AK 99501 Office (907) 754-3410 jason.davies@alaska.gov