This form, all information provided and responses are public documents per Alaska Public Records ACT AS 40.25

Date: 1/13/20

License #/Type: 3461

Beverage Dispensary-Tourism AS 04.11.400(d)

Licensee: The Landing Lights, LLC

Address: Valdez Airport Terminal

DBA: Puddle Jumpers Saloon

AMCO Case #: 20-0034

This is a notice to you as licensee that an alleged violation has occurred. If the Alcoholic Beverage Control Board decides to act against your license, under the provisions of AS 44.62.330 - AS 44.62.630 (Administrative Procedures Act) you will receive an Accusation and Notice of your right to an Administrative Hearing.

Note: This is not an accusation or a criminal complaint.

On 1-9-20, I conducted a walk through of your licensed premises. A foosball table was present on the premises. You have a restaurant designation permit which does not permit you entertainment on the premises. These types of activities were discussed with you back in August-September 2016 when you had a pool table on the premises. Discussed in May 2018 and October 2019 was the need for stanchions so that your premises can be defined. Also discussed was the placement of the civil penalty sign placement with the stanchions. As of January 2020, you have not placed stanchions to define your premises nor have you placed the civil penalty sign as discussed.

Your attention is directed to 3AAC 304.715: Application for designation, AS 04.21.065: Posting of warning signs, AS 04.21.030: Responsibility of licensee, agents and employees and AS 04.16.150: Licensee responsible for violations

You are directed to respond in writing to this Notice of Violation within 10 days of receipt to explain what action you have taken to prevent a re-occurrence of this violation. FAILURE TO RESPOND TO THIS NOTICE OF VIOLATION WITHIN 10 DAYS WILL RESULT IN YOUR APPEARANCE, EITHER IN PERSON OR TELEPHONICALLY, BEFORE THE ABC BOARD AT THEIR NEXT REGULARLY SCHEDULED BOARD MEETING.

\*Please send your response to the address below and include your alcohol license number in your response.

3 AAC 304.525 (B) provides that upon receipt of a Notice of Violation, a licensee may request to appear before the Director and be heard regarding the Notice of Violation. The request must be made within ten days after receipt of the Notice and the Director must grant an appearance within ten days after receipt of a request. A Licensee shall respond, either orally or in writing, to the Notice.

Alcohol & Marijuana Control Office **ATTN: Enforcement** 550 W. 7th Ave, Suite 1600 Anchorage, Alaska 99501 amco.enforcement@alaska.gov

Issuing Investigator: J. Hamilton

Received by:

SIGNATURE:

J.R. Hamilton

SIGNATURE:

Delivered VIA: Mail

Date:

Puddle Jumpers Saloon P.O. Box 1999 Valdez AK 99686

Joe Hamilton, Investigator 550 W 7<sup>th</sup> Ave., Ste 1600 Anchorage, AK 99501

Subject: NOV case 20-0034 on license number 3461

aren ablis

Hi Joe,

Sorry to see this violation come thru. I can tell you with confidence it has been inside the bar since the last violation you have attached. I will tell you that we have been closed for the winter since Nov 3<sup>rd</sup> of 2019. We will be reopening in March 2020. I can only surmise that it was removed under two possible scenarios. One being In the first week of December we had some plumbing work done and I believe it is possible that the contractor moved it out of the way so that the sinks and ice machine could be moved into the bar area while new grease trap was installed. The other was after the work was done, I had a cleaning crew come in and do deep cleaning and it may have been moved to clean carpets. I don't know if you notice that the bar is completely empty and none functionable currently. It has been this way since early November of 2019. There is no stock or supplies of any kind on the premise.

I am giving the game away or taking it to dumpster so that there is no more issue with the machine. This will be done before the end of the month. I am currently looking for someone to take it away. I will notify you by email with pictures for proof, possibly sooner than later. Is it possible to remove the violation because we have not been open, and I am sure it was never put in the area for the publics pleasure? You can contact Ravn Air or Valdez U-Drive to verify we have not been open. Or contact the city of Valdez of whom is my landlord. They have offices throughout the whole building, and they can verify I have been closed.

I hope I have given you enough information to grant a waiver on this violation because we were not open and had been closed for over two months.

I will be leaving Alaska on January 29<sup>th</sup> until March 9<sup>th</sup> for medical reasons. I will be out of touch and hope this can be resolved upon my return if not before I leave. Thank you for your time and consideration in the matter. I value and respect my liquor licenses and hope not to see violations attached to them.

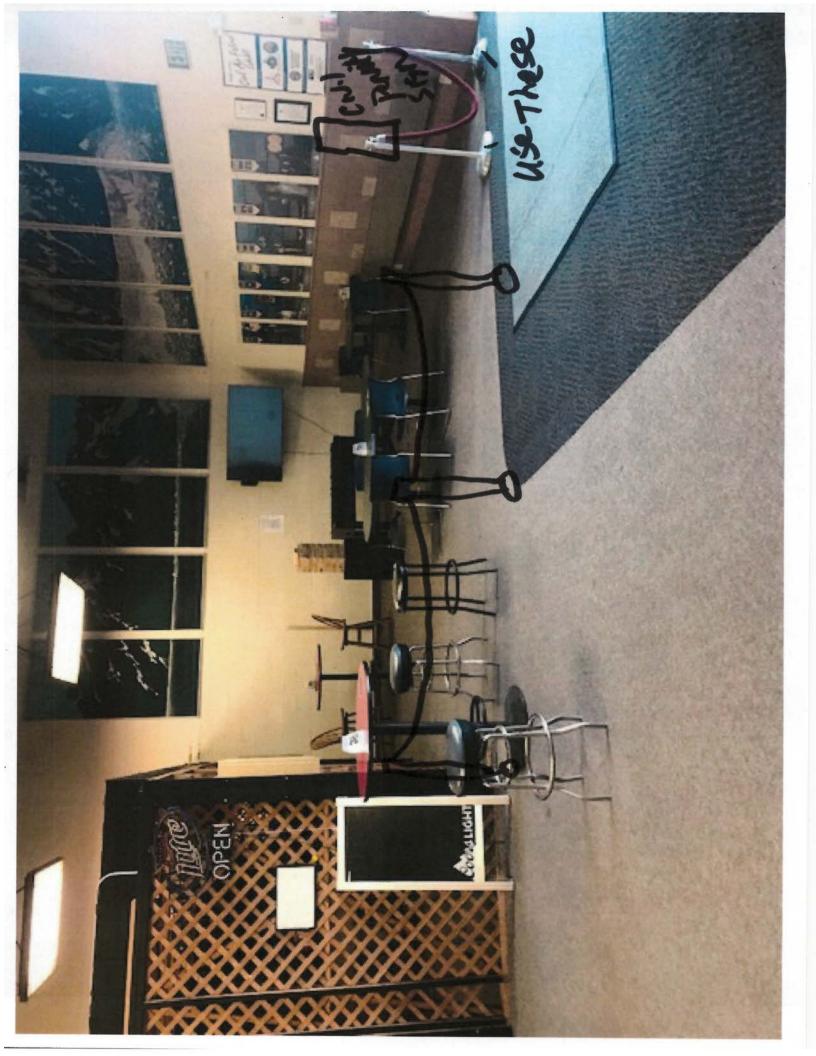
Sincerely,

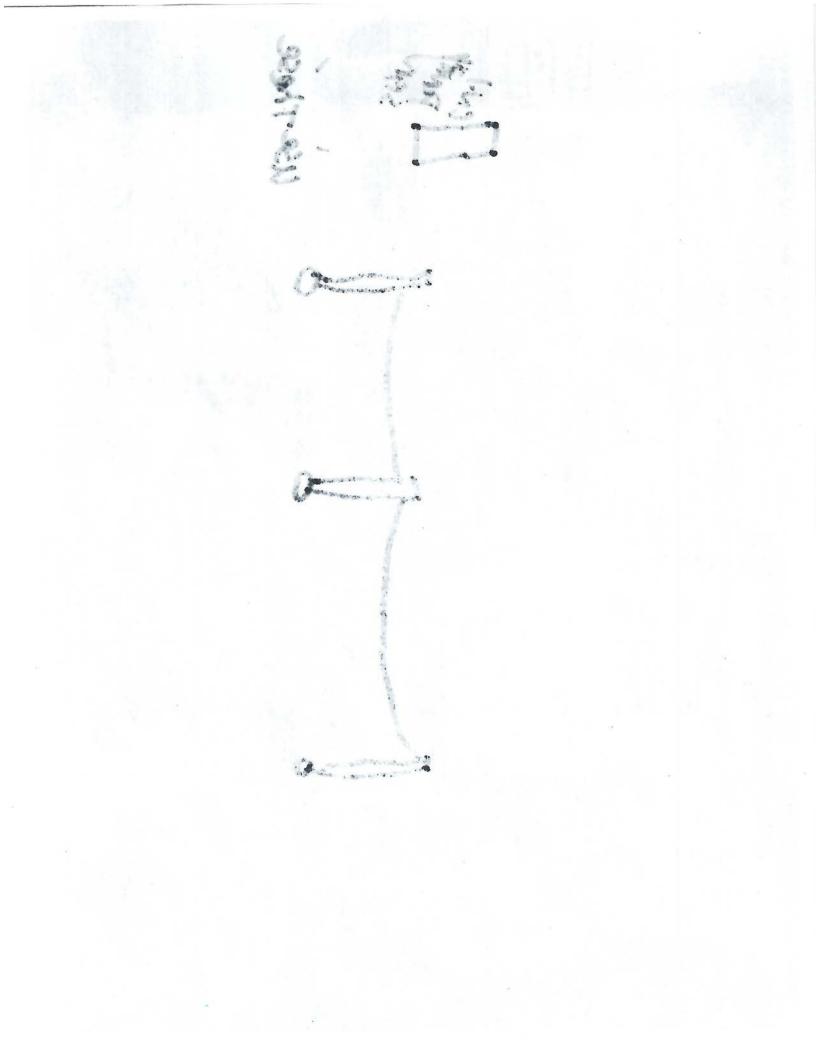
Karen Ables















# Department of Commerce, Community, and Economic Development Alcoholic Beverage Control Board 550 W. 7<sup>th</sup> Ave, Suite 1600, Anchorage, AK 99501 Tel. (907) 269-0350

LICENSED PREMISES INSPECTION RE		19	-159	97
Drawing DDA	Case No.	-	50,950.5	5.6
Premises, DBA	Date:	0.0	ne:	
PUDDLE JUMPERS SALOON	10/16/19	16	30	
Address (Street No. RR No. etc., City, Zip code) VALDEZ AIRPORT TERMINAL, VALDEZ, AK	Type of License	D)/	<b>TO!!!</b>	nio.
	BEVERAGE DISPENSA	RY -	100	RISM
	ı <b>.</b>		0720573 <b>6</b> 74	ress.
3461	Requested	Follo	w-U	р
Important Notice Any item determined to be unsatisfactory must be corrected or the Alcoholic Be suspend or revoke the license in accordance with A	everage Control Board S 04.11.370(3)	may	take	action to
GENERAL ITEMS		Vac	No	See Comments
1. Is the DBA the same as what is indicated in the ABC master file? 3 AAC 304	185	1	140	Comments
2. License posted correctly? 3 AAC 304.185, pg. 101 / AS 04.11.630	. 100	1		
3. Does the premises have a restaurant designation permit? 3 AAC 304.745		1		
4. Does the licensee employ persons under 21 years of age? AS 23.10.355			1	
5. Warning signs posted? AS 04.21.065		1		
<ol> <li>Do employees/supervisors have proof of current alcohol server training? AS 04.21.025(c), 3AAC304.465</li> </ol>		·	1	See Comments
7. Do you expect to be closed more than 30 days in the near future? 3AAC304.	170 AAC 204 10E		<b>V</b>	
8. Do premises appear safe / neat? AS 04.21.030(2)	170 AAC 304.195	,	1	
9. Is any off-premises storage area approved by the board? AS 04.21.060(1)		1	1	
10. Have there been any physical changes to the licensed premises? 3 AAC 30-	1 195(a)		<b>√</b>	
11. Is a duplicate license required/appropriately displayed? AS 04.11.090(e)	4. 100(C)		✓	N/A
12. If new license inspection, will they meet the 240 hour operation requirement	nte by the and of the			
calendar year? AS 04.11.330 (3) AAC 304.170 (j) (1-7)				N/A
13. Is the license premise in a Rural area? (50 miles from a statewide road systematical systematics of systematical syste	em)		1	
MISCELLANEOUS				
14. Does the facility have the number of rooms required by Statute? AS 04.11.4	100			
15. Is there an operating restaurant or are there kitchens in the majority of the rooms? A				
COMMENTS:	0 04.11.400			1
5 CURRENTLY NOT CURRENT FOR SERVER EDUCATION, BUT WILL RENEW BE	FORE SHE RENEWS H	ERI	IQU	OR LICENSE
SUBMITT DIAGRAM WITH WHAT THE LICENSE PREMISES ACTUALLY IS. NEED TO	PUT UP STANTIONS	тог	FFI	NE THE
LICENSED PREMISES	or or or or arriono			TE THE
EIGENGED I NEMIGEG				
Owner/Manager/Agent Name: Contact # Investigator Name:				
	oe Hamilton			
Driver's License Number / Date of Birth: Investigators Signatur	4 11 11			
ADL 6552384 12-21-59	J.K. Harris	1	Z	
Alcohol Server Education Card Number / Expiration Date:		-	-	

Special Investigator I

NONE



# Department of Commerce, Community, and Economic Development Alcoholic Beverage Control Board 550 W. 7th Ave, Suite 1600, Anchorage, AK 99501 Tel. (907) 269-0350

# LICENSED PREMISES INSPECTION REPORT

The section would	<b>24</b>	-	Case	NO. 18-	048	5
Premises, DBA			Date:			
PUDDLE JUMPERS 5/2/18						1700
						1700
VALDEZ AIRPORT TERMINAL, VALDEZ, AK	y, Zip code)		Type of Lice		400	1 11 100/->
License or Permit Number	Type of Inspection	n.	Beverage Dispe	risary-rourism	A5 0	4.11.400(g)
3461	I ype of hispectic	Routine	Requested	☐ Folio	ssaz I I	n
0401		rtant Notice			/VV-C	þ
Any item determined to be unsatis susper		ted or the Alcohol	ic Beverage Control th AS 04.11.370(3)	Board may	take	action to
GENERAL ITEMS				Yes	No	See Comments
1. Is the DBA the same as what is in	dicated in the ABC ma	aster file? 3 AAC	304.185	1	1	Comments
2. License posted correctly? 3 AAC			30 11 100	1		
3. Does the premises have a restaur			5	1		
4. Warning signs posted? AS 04.21			THE HEAVE FOR	1		X
<ol> <li>Do employees/supervisors have p AS 04.21.025(c), 3AAC304.465</li> </ol>		server training?		1		
6. Do you expect to be closed more	than 30 days in the ne	ar future? 3AAC	304.170	BUX DE	1	
7. Do premises appear safe / neat?			ZWZ W ZWZ ZWZ ZWZ ZWZ ZWZ ZWZ ZWZ ZWZ Z	1	m	
3. Is any off-premises storage area		? AS 04.21.060(	1)	1		
9. Have there been any physical cha		1				
10. Is a duplicate license required/ap						
<ul><li>11. Does the facility have the number</li><li>12. Is there an operating restaurant or a</li><li>13. Did licensee have any questions</li></ul>	re there kitchens in the n	najority of the room	s? AS 04.11.400			
COMMENTS:						
DISCUSSED BETTER PLACEMENT (	OF WARNING SIGNS. [	DIDN'T HAVE ANY	AT THE ENTRANCE	TO THE RE	STA	URANT
DWNER WAS CONTACTED AND ADVI	SED THEY NEED A STA	NTION FOR CIVIL	SIGN AND NO ALCO	HOL BEYO	ND '	THIS
POINT. NEEDS TO BE LOCATED NEA	R ENTRANCE TO REST	AURANT			1200200	3 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2
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4					Se .	
Owner/Manager/Agent Name: BARTENDER HANNA CURRY		Investigator Nam				_
Driver's License Number / Date of Birth:		Investigators Sig	natur			
ADL 7343360 2-4-95		investigators olg	IR. Hamlton			
Alcohol Server Education Card Number / Exp	iration Date: 24-21	Rank or Title:	SPECIAL INVESTIGATO	NR 1		<del>-</del> 7=7x0 <del></del>
Contact Number:	-141	,	J. LOINE HIVEOTIGATE	als I		-
		1				

From:

Hamilton, Joe (CED)

To:

Hoelscher, James C (CED); Franklin, Cynthia A (CED); Johnston, Naomi A (CED)

Subject:

Fwd: Notice of Violation for Puddle Jumper Saloon!

Date: Attachments: Friday, September 23, 2016 7:52:08 AM Puddle Jumpers Diagram for AMCO.pdf

ATT00001.htm

#### Sent from my iPhone

#### Begin forwarded message:

From: "Karen Ables" <ables@cvinternet.net>

To: "Hamilton, Joe (CED)" <joe.hamilton@alaska.gov>
Subject: Notice of Violation for Puddle Jumper Saloon!

#### Cynthia,

After having a very informative conversation with officer Hamilton I will be correcting the following error noted in my violation. In the existing area that is covered under my permit there are two tables. One is a foosball table and the other a pool table. They do not belong to me and I am not able to get a hold of the owner "Hank Baron" he is with a vending company in Anchorage, however, I have currently asked my maintenance person to build me ply wood covers to cloak the tables. This will dis-allow anyone to play on the tables but rather use them as tables. Please note that at any point I never knew I was breaking rules and regulations of AMCO. I apologize for the mix up. I am attaching a new diagram showing the covered tables. Please let me know if you have any questions.

Sincerely, Karen Ables Puddle Jumpers Saloon

#### STATE OF ALASKA ALCOHOL BEVERAGE CONTROL BOARD Licensed Premises Die

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Puddle Jumpers Salbon 300 air port Road Valden AK Valden Proneer Gerport

(3AAC 304.525)

This form, all information provided and responses are public documents per Alaska Public Records ACT AS 40.25

Date: 2/7/20 License #/Type: 2706 Club

Licensee: V.F.W. Post #9981 Address: 12870 Old Seward Hwy, Suite 109, Anchorage, AK

DBA: V.F.W. Post #9981 AMCO Case #: 20-0030

This is a notice to you as licensee that an alleged violation has occurred. If the Alcoholic Beverage Control Board decides to act against your license, under the provisions of AS 44.62.330 - AS 44.62.630 (Administrative Procedures Act) you will receive an Accusation and Notice of your right to an Administrative Hearing.

Note: This is not an accusation or a criminal complaint.

On 1-6-20, after receiving an anonymous complaint about advertising to the public, AMCO reviewed your Facebook feed. There are multiple events scheduled at your location in which you are soliciting public patronage. On 1-11-20, Inv. Hamilton went to your location to attend a fund-raiser for the American Cancer Society and Team Ice Eagles. Hamilton was asked at the door if he was a member to which he stated he wasn't. The name he provided was written down. Once inside the establishment there were at about 36 silent auctions for alcoholic products: Spirits, wine and beer. A review of AMCO permits revealed V.F.W. did not have a signed auction permit and you were auctioning prohibited items. Lastly, Hamilton ordered and paid for a Bud Light 12-oz canned beer from Heather L. Brevak. On 1-31-20, Hamilton again went to your establishment for Steak Night. He was asked by Brevak if he was a member to which he replied he wasn't. Brevak then stated she needed to look for someone to sign Hamilton in. The gentlemen next to Hamilton said he would sign him in. No introduction was made. A short time later, Hamilton didn't see who had sponsored him. A Bud Light 12-oz canned beer and a shot of Makers Mark was purchased from Brevak. On 2-2-20, Hamilton again returned. He was not asked if he was a member when he ordered a beer. A Bud Light 12-oz can of beer and a shot of Crown Royal was ordered and furnished, by bartender Lisa M. Montoya Shortly into the visit, Ginger Hubbard asked Hamilton if he was a veteran. He replied he was. Hubbard then asked Post Commander Robert Nelson to get him a temporary membership card to which he did. Nelson asked Hamilton to put his name on the card. Nelson dated the card to expire on 31 July 2020, signed it and gave it back to Hamilton. When he gave Hamilton the card. No identification was asked for. On the way out, Hamilton observed a paper on a table by the exit with a bunch of squares on it. When he asked the gentleman what it was for, he told Hamilton it was all filled up. When asked how it worked, he began by reading a few scores on the sheet. After not really explaining how it worked, he advised Hamilton a person could win up to six thousand dollars. Nelson later admitted that he knew about the football sheets but was not sure if people were gathering money on the premises.

Your attention is directed to AS 04.11.110: Club license (three counts), AS 04.16.045: Obligation to enforce restrictions on licensed premises (three counts), AS 04.16.150: Licensee responsible for violations (three counts), AS 04.21.030: Responsibility of licensee, agents and employees (three counts) and 3AAC 304.699: Wine auction permit

It should be noted that this club has been given notices of violations in 2009, 2011 and 2012 for sale to non-club members.

You are directed to respond in writing to this Notice of Violation within 10 days of receipt to explain what action you have taken to prevent a re-occurrence of this violation. FAILURE TO RESPOND TO THIS NOTICE OF VIOLATION WITHIN 10 DAYS WILL RESULT IN YOUR APPEARANCE, EITHER IN PERSON OR TELEPHONICALLY, BEFORE THE ABC BOARD AT THEIR NEXT REGULARLY SCHEDULED BOARD MEETING.

\*Please send your response to the address below and include your alcohol license number in your response.

3 AAC 304.525 (B) provides that upon receipt of a Notice of Violation, a licensee may request to appear before the Director and be heard regarding the Notice of Violation. The request must be made within ten days after receipt of the Notice and the Director must grant an appearance within ten days after receipt of a request. A Licensee shall respond, either orally or in writing, to the Notice.

Alcohol & Marijuana Control Office ATTN: Enforcement 550 W. 7<sup>th</sup> Ave, Suite 1600 Anchorage, Alaska 99501 amco.enforcement@alaska.gov

Issuing Investigator: J. Hamilton Received by:

SIGNATURE: J.R. Hamilton SIGNATURE

Delivered VIA: Mail Date:



AMCO FEB 1 9 2020

17February 2020

AMCO Case # 20-0030

License #/Type 2706 Club

To: Enforcement Office

Subject: Notice of Violation

In response to your Notice of Violations received on 8 February 2020:

VFW Post 9981 was made aware that these violations on February 4<sup>th</sup>. Coincidentally, a Bartenders meeting had already been scheduled for later in the week to discuss more strict procedures for vetting members before they were served at the bar. Mr. Hamilton attended this meeting on Sunday, February 9<sup>th</sup> and briefed the bartenders and supervisors on the details of the violations, answered many questions and offered advice on how we could ensure total compliance in the future. Regardless of the eventual adjudication of this case, I would like to specifically commend Mr. Hamilton on his professionalism and his willingness to take the time to speak with us on a Sunday.

Founded in 1996, Veterans of Foreign Wars Post 9981 is part of a private, non-profit national fraternal organization that was established to strengthen comradeship among Alaskan veterans of foreign wars, assist worthy members, perpetuate the memory and history of deceased Alaskan veterans, help veterans obtain VA benefits and assist their surviving spouses and orphans. We do not retain any profit from revenues and donate 100% of our profits to the above causes. We receive no government funding of any kind. Average weekly revenues from all sources are typically in the \$1,500 - \$2,500 range.

Officers and most employees of the Post are volunteers and serve without compensation of any sort. The one exception – typical of most VFW posts – is the hiring of a few part time professional bartenders to assist our mostly volunteer staff that tends bar and prepares food for special occasions. The Post and its new officers have been in the process of reorganizing and restoring the financial stability of the Post over the past several months. This effort has included the dismissal of our former Bar Manager, more strict financial controls and a more aggressive new membership program that encourages current members to introduce their qualified friends to the advantages of joining VFW. This somewhat "overly enthusiastic" membership drive, rather than a deliberate effort to violate Alaska Statutes, seems to have been the core problem in our recent violations.

Management pledges to redouble its efforts to operate correctly and henceforth obey all Alaska Statutes and AMCO policies that pertain to the operation of a Private Non-Profit Club. Should there be any question or confusion about an applicable AS, we will immediately seek professional or AMCO advice. Our specific response to each violation follows:

AS 04.11.110 Club License (three counts): No excuse. "Honorary Membership" cards will no longer be issued. Our policy of issuing temporary memberships for prospective members has been rescinded and we will no longer honor outstanding cards. All Post officers and bartenders have been briefed on this new policy

AS 04.16.045 Obligations to enforce restrictions on licensed premises (three counts): No excuse. A 100% Membership ID check is now in effect. Bartenders have received additional instruction on how to check membership cards and the correct procedures for signing in guests that are sponsored by a member in good standing. A notice to this effect has also been posted on the front door of the Club. Bartenders and Members have been remined that guests can no longer exchange their own money for food or drink.

AS 04.16.150 Licensee responsible for violations (three counts): No excuse. New policies and procedures should eliminate any further occurrences.

AS 04.21.030 Responsibility of Licensee, agents and employees (three counts): Door checks and other procedures should prevent the chance of a non-member or unsponsored guest from slipping through at large events. Those volunteers or employees that are assigned to check identification at the door will receive additional training. In the future, anyone that is not immediately recognizable as a bonafide member of the Post will be challenged during large events.

Count 3AAC 304.699; Wine Auction Permit: We are now aware that wine may not be included in raffles or auctions without first obtaining a permit. Permits will be requested and hard liquor and beer will never be included in any item offered.

The Bar Manager, all Bartenders and all Post Officers have been briefed on the content of this response and a copy will be made available upon request of any Post Member.

Sincerely, Skip Nelson

Commander, VFW Post 9981

Cc: File

(3AAC 304.525)

This form, all information provided and responses are public documents per Alaska Public Records ACT AS 40.25

Date: 2/13/20 License #/Type: 2300 Beverage Dispensary

Licensee: Down East Saloon, LLC Address: 3125 E. End Road, Homer, AK

DBA: Down East Saloon AMCO Case #: 20-0210

This is a notice to you as licensee that an alleged violation has occurred. If the Alcoholic Beverage Control Board decides to act against your license, under the provisions of AS 44.62.330 - AS 44.62.630 (Administrative Procedures Act) you will receive an Accusation and Notice of your right to an Administrative Hearing.

Note: This is not an accusation or a criminal complaint.

You have an unreported change of ownership, details are as follows:

Change in ownership:

Justin Cole 100% Ownership to 0% Ownership
Justin Cole Living Trust 0% Ownership to 100% Ownership

Date of unreported change of officers/ownership: 10/17/2018

Your attention is referred to Sec. 04.11.050: Reports required of corporations

You are directed to respond in writing to this Notice of Violation within 10 days of receipt to explain what action you have taken to prevent a re-occurrence of this violation. FAILURE TO RESPOND TO THIS NOTICE OF VIOLATION WITHIN 10 DAYS WILL RESULT IN YOUR APPEARANCE, EITHER IN PERSON OR TELEPHONICALLY, BEFORE THE ABC BOARD AT THEIR NEXT REGULARLY SCHEDULED BOARD MEETING.

\*Please send your response to the address below and include your alcohol license number in your response.

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Alcohol & Marijuana Control Office ATTN: Enforcement 550 W. 7<sup>th</sup> Ave, Suite 1600 Anchorage, Alaska 99501

amco.enforcement@alaska.gov

Issuing Investigator: J. Hamilton Received by:

SIGNATURE: J.R. Hamilton SIGNATURE:

Delivered VIA: Email Date:

#### **DOWN EAST SALOON LLC**

3125 East End Homer, AK 99603 (907) 235-6002

February 14, 2020

AMCO Enforcement Joe Hamilton 550 W 7<sup>th</sup> Ave, Suite 1600 Anchorage, AK 99501

Re: Liquor License 2300, Down East Saloon, LLC

Joe:

Thank you for taking my call this morning.

Regarding the Notice of Violation. Down East Saloon LLC owns the bar. The single member ownership belongs to Justin Cole. On his own, Justin decided to create a Living Trust for his heirs. Therefore, the "member" changed from Justin Cole to Justin Cole Living Trust.

We sent in our renewal paper work and check, which was cashed immediately, in October 2019. Not hearing back from AMCO that there was a conflict with our license, we called to find out where our license was in January 2020. We were then told about the "conflict" of ownership. We were also told that Justin's Executor of his Estate would have to be finger-printed and a background check done before the license would be issued. His Executor had just been finger-printed and a background check done with the Homer Police a week prior to this incident. I was informed that was not good enough and that he would have to go through the process again at a \$45 charge which we were to send to you.

With the above said, we chose to put the "member" back to Justin Cole through the State to clarify and make this whole incident simpler. We have been dealing with Randi Baker who seems to forget everything we've discussed with each phone call.

This has cost me hours of time, as well as my bookkeepers time, with no resolution. All I want to do is have my liquor license reissued in the state it was in the beginning. The check was cashed so there should be no additional problem. We have complied with everything we were asked to do per Randi Baker.

I thank you for your help and quick resolve in this matter.

Justin Cole

Member

Down East Saloon LLC

(3AAC 304.525)

This form, all information provided and responses are public documents per Alaska Public Records ACT AS 40.25

Date: 2/13/20

License #/Type: 2300

**Beverage Dispensary** 

Licensee: Down East Saloon, LLC

Address: 3125 E. End Road, Homer, AK

DBA: Down East Saloon

AMCO Case #: 20-0210

This is a notice to you as licensee that an alleged violation has occurred. If the Alcoholic Beverage Control Board decides to act against your license, under the provisions of AS 44.62.330 - AS 44.62.630 (Administrative Procedures Act) you will receive an Accusation and Notice of your right to an Administrative Hearing.

Note: This is not an accusation or a criminal complaint.

You have an unreported change of ownership, details are as follows:

Change in ownership:

Justin Cole 100% Ownership to 0% Ownership
Justin Cole Living Trust 0% Ownership to 100% Ownership

Date of unreported change of officers/ownership: 10/17/2018

Your attention is referred to Sec. 04.11.050: Reports required of corporations

#### \*Please send your response to the address below and include your alcohol license number in your response.

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Alcohol & Marijuana Control Office ATTN: Enforcement 550 W. 7<sup>th</sup> Ave, Suite 1600 Anchorage, Alaska 99501

Issuing Investigator: J. Hamilton

Received by:

SIGNATURE:

J.R. Hamilton

SIGNATURE:

Delivered VIA: Email

Date:

updated 4/23/19

January 8, 2020

Entity #10049110 Down East Saloon LLC

#### To Whom It May Concern:

I am faxing you a Notice of Change of Officials for the Down East Saloon LLC. After sending my renewal application to the ABC Board for my liquor license in September 2019, I was just informed that I needed to have the Executor of my Living Trust finger printed and a background check done. This person is not in the State of Alaska at this time.

With that said, I need to get my license renewed and the only way I can do this is to take out the ownership from my Trust, and to put it back to my name, Justin Cole.

This is a time-sensitive issue and I was in hopes that I could be faxed back a copy of the Notice of Change with your stamp on it. I need to return the stamped copy to the ABC Board as soon as possible.

Thank you for your help in this matter. If further information is needed, please call me at 907-299-6177. Return fax number is 907-226-3443.

Justin Cole

Down East Saloon LLC

Sole Member





Corporations Section

State Office Building, 333 Willoughby Avenue, 9th Floor

PO Box 110806, Juneau, AK 99811-0806 Phone: (907) 465-2550 · Fax: (907) 465-2974

Email: corporations@alaska.gov Website: Corporations, Alaska, Gov. COR

RECEIVED Juneau

JAN 08 2020

**CBPL** 

## **Notice of Change of Officials**

### Domestic Limited Liability Company (AS 10.50)

- This Notice of Change of Officials form is only for Domestic Limited Liability Companies and is used to report changes between biennial reporting periods in: members, managers, and percentage of interest held.
- This Notice of Change of Officials will not be filed if the entity's biennial report is not current. To verify the entity's biennial report due date, go online to www.Corporations.Alaska.Gov and select Search Corporations Database
- Standard processing time for complete and correct filings submitted to this office is approximately 10-15 business days. All fillings are reviewed in the date order they are received.

1.	Important: AS 10.50.7						
		nestic Limited Liability Company is required to notify this office when the AS 10.50.765	ere is a change of officials.				
	Failure to r business i	meet this requirement may result in involuntary dissolution of the entity in the State of Alaska.	s authority to transact				
	The Dome	estic Limited Liability Company is to keep and make available the recor	rds of the official(s) changes				
	Fee:	\$25 Nonrefundable Filing Fee (CORF)	3 AAC 16.065(b)				

3. Entity Information:

AS 10.50,765

Alaska Entity Number:

10049110

08-491

Rev 07/25/17

D-LLC Change of Officials 1 of 2

4.	REMOVE from Record:			AS 10	).50.765(l	b)	
	The following officials (members and, if applicable, managers) will be completely removed fro as a result of this filling:  Tustin Gle, AS Tustine Name:  Name: Name:  Name: Name: Name:					D 020	
	ti all blincial is not being removed	from record, then list them i	n item #5 below (wi	ith their current in	ormation	).	
5.	ALL Current Officials:	and the same of th	Market Street	AS 10	.50.765(b	o) 	
	<ul> <li>Must provide all members</li> <li>Members <u>must</u> own a % o</li> <li>An LLC may be managed</li> </ul>	ALL remaining and new office to the member who owns a % who own 5% or more of the file LLC. A member may be by a manager if provided in a coowns a % of the LLC.	of the LLC. — AS LLC. — AS 10.50. a manager if the L	3 10.50.155(b) 765 (b) LC is manager m	anaged.		
	<ul> <li>List ALL officials and their current information to be on record.</li> <li>Manager will only be accepted if the entity is manager-managed per the articles.</li> <li>BOLD fields are required.</li> </ul>						
	FULL LEGAL NAME	COMPLETE	MAILING ADDRES	s	% OWNED	MEMBER	
	Justin Cole	33-730 Justin's Ct,	Loner, AKG	7.663	(00	X	
					, , , , , , , , , , , , , , , , , , ,		
<u>→</u>	If necessary, use the following s	upplement page and include	all information requ	lired above in Iter	n #5.	1.,(1.,7,00	
6.	Required Signature:			AS	10.50.84	0	
	The Notice of Change of Officials manager managed (AS 10.50.840 documents filed with the commiss of a class A misdemeanor.  Signature:  Printed Name:	J(a)(1)); or an attomey-in-fac	<u>t</u> (AS 10,50,840(c)) person to be false ii	Persons who sid	175	ity	

08-491

Rev 07/25/17

D-LLC Change of Officials 2 of 2

(3AAC 304.525)

This form, all information provided and responses are public documents per Alaska Public Records ACT AS 40.25

Date: 2/18/20

License #/Type: 3219

Package Store

Licensee: LeeFamily Corporation

Address: 601 W. 36th Ave, Anchorage, AK

DBA: Mom & Pops Grocery & Liquor

AMCO Case #: 20-0221

This is a notice to you as licensee that an alleged violation has occurred. If the Alcoholic Beverage Control Board decides to act against your license, under the provisions of AS 44.62.330 - AS 44.62.630 (Administrative Procedures Act) you will receive an Accusation and Notice of your right to an Administrative Hearing.

Note: This is not an accusation or a criminal complaint.

On 2-18-20, Anchorage Code Enforcement notified this office that they had completed an inspection of your premises on 2-13-20. Noted were two violations of conditions that the Alcohol Control Board placed on the license on 9-4-18. Specifically, your selling single serve flavored beer called "Sniki-Tiki", which contains 9.9% alcohol, for \$1.99 each, when they should be sold for \$2.49 each. Later that day, an enforcement officer went back to your establishment and selected the Sniki-Tiki beverage and asked for four shooters of Burnett's vodka. The clerk acknowledged that he was only allowed to sell two but stated she looked okay and sold her four.

Your attention is directed to AS 04.11.330(a)(6): Denial of license or permit renewal, AS 04.11.370 (a)(3) & (11) and AS 04.16.150: Licensee responsible for violations

You are directed to respond in writing to this Notice of Violation within 10 days of receipt to explain what action you have taken to prevent a re-occurrence of this violation. FAILURE TO RESPOND TO THIS NOTICE OF VIOLATION WITHIN 10 DAYS WILL RESULT IN YOUR APPEARANCE, EITHER IN PERSON OR TELEPHONICALLY, BEFORE THE ABC BOARD AT THEIR NEXT REGULARLY SCHEDULED BOARD MEETING.

\*Please send your response to the address below and include your alcohol license number in your response.

3 AAC 304.525 (B) provides that upon receipt of a Notice of Violation, a licensee may request to appear before the Director and be heard regarding the Notice of Violation. The request must be made within ten days after receipt of the Notice and the Director must grant an appearance within ten days after receipt of a request. A Licensee shall respond, either orally or in writing, to the Notice.

Alcohol & Marijuana Control Office ATTN: Enforcement 550 W. 7th Ave, Suite 1600 Anchorage, Alaska 99501 amco.enforcement@alaska.gov

Issuing Investigator: J. Hamilton

Received by:

SIGNATURE:

J.R. Hamilton

SIGNATURE:

Delivered VIA: Email

Date: 2/18/20

From: <u>Edward Lee</u>

To: <u>Davies, Jason M (CED)</u>

**Subject:** Mom and pop

**Date:** Thursday, March 12, 2020 3:10:49 PM

Hello my name is Edward and I've sent a letter on 2/24. I can send you it again on here for the response.

Our sniki-tinki was a broken down 12 pack can and was sold at 2.49\$ and the 4 shooters that was sold was a judgmental call. We have fix this issue by inspecting every customer such as;to see if they been intoxicated, to see if they are driving or walking by looking at our cameras that are facing the parking lot and checking valid I.D. We also put a sign up to our customer saying "limit of 2 shooters". I just want to say it's unfair that we have to price these items high and limit people while they can go right across the street or anywhere else and buy whatever they want. My prices are still higher than everyone around me. I'm the only one who got this moa out of the 9 Liqour store within walking distance.



# Department of Commerce, Community, and Economic Development

ALCOHOL & MARIJUANA CONTROL OFFICE 550 West 7th Avenue, Suite 1600 Anchorage, AK 99501 Main: 907.269.0350

September 4, 2018

LeeFamily Corporation
DBA Mom & Pops Grocery & Liquor
601 W. 36<sup>th</sup> Avenue, Suites 3 & 4
Anchorage, AK 99503

Re: Mom & Pops Grocery & Liquor, License #3219

Dear LeeFamily Corporation:

At the August 14, 2018, meeting of the Alcoholic Beverage Control Board in Denali, Alaska, the board renewed your package store license with conditions requested by the Municipality of Anchorage. The conditions imposed are as follows:

- 1. No single-serving container of beer or malt beverage may be sold or offered for sale that has an alcohol content equal to or greater than 8.0% for less than \$2.49 per container.
- 2. Glass bottles of products shall not be sold if plastic container options for the same product are available locally.
- 3. No single-serving of an alcoholic beverage other than beer or wine may be sold or offered for sale in a container having a capacity of approximately 50 ml or 1.6 oz, more or less, commonly known as miniatures, shooters, or airplane serving size bottles, for a price less than \$1.70 per container.
- 4. Licensee shall not sell more than two "shooters" to any one customer, per day.
- 5. All shooters and single-serving containers of beer or malt beverage in containers of 16 ounces or less shall be labeled with a sticker or other identifying mark to identify the location of the point of sale.
- 6. The conditions recommended by the Assembly herein shall only be applicable to license #3219 while it is located at 601 W 36<sup>th</sup> Ave., Suites 3 and 4, in Anchorage. If the license is transferred to a different location all conditions shall be lifted from license #3219; however this does not prevent the Anchorage Assembly from recommending the same or other conditions be imposed on the license at the time it reviews an application to transfer the location of license #3219.
- 7. The licensee shall, in good faith, execute and comply with a Memorandum of Understanding (MOU) between Midtown Community Council (MCC) and LEEFAMILY CORPORATION DBA MOM AND POP'S GROCERY AND LIQUOR, regarding licensee's operations, practices, and effect on the area. The MOU conditions shall have no binding effect on the Assembly or the Municipality of Anchorage.

Your license will be sent to you shortly.

Please contact alcohol.licensing@alaska.gov with any questions.

Sincerely,

Erika McConnell

Euha M'Connell

Director

cc: License File

Municipality of Anchorage

### Service Request & Case Summary Report

Report Date: 2/14/2020 1:29 PM

Service Request #: 198351

Address: 601 W 36TH AVE STE 000003, Anchorage

Location:

Legal Description: PIEDMONT TERRACE BLK 4A LT 1B G:1630

Request Date: 2/14/2020

Agency:

Land Use Enforcement

Status:

Open

Priority:

MED

#### **Complaint Description:**

Conditional Use Approval Violation

#### Contacts:

Contact Type

Name

**Phone** 

Customer

Richard Fern

(907)343-8039

Mom & Pops Grocery and Liquor is before the assembly for an alcohol license renewal. This is an old, prior to conditional use approval license. However, the State of Alaska Alcohol Beverage Control Board issued a letter on September 4th, 2018 imposing five conditions on the license at the request of the municipality. The five conditions were 1) No single serving container of beer or malt liquor may be sold or offered for sale that has an alcohol content equal to or greater than 8.0% for less than \$2.49 per container. 2) Glass bottles of products shall not be sold if plastic container options for the same product are available locally. 3) No single serving of an alcoholic beverage other than beer or wine may be sold or offered for sale in a container having capacity of approximately 50ml or 1.6 oz, more or less, commonly known as miniatures, shooters, or airplane serving size bottles for a price less than \$1.70 per container. 4) Licensee shall not sell more than two "shooters" to any one customer per day. 5) All shooters and single serving containers of beer or malt beverage in containers of 16 ounces or less shall be labeled with a with a sticker or other identifying mark to identify the location of the point of sale. A complaint was passed to Land Use Enforcement from the Assembly Chair that some of these provisions were being violated.

#### **Hearings Completed:**

Hearing Type

Docket#

Hearing Date Result

Inspections:

Inspector / Inspection Type

Result

Inspected On

Log Entries:

Date

Log Type

**Employee** 

02/14/2020

Inspection

R.Fern

# Service Request & Case Summary Report

Report Date: 2/14/2020 1:29 PM

Date Log Type Employee

02/13/2020

Log

E.Quiboloy-R

At approximately 10:30 a.m., Officer Brad Larson and I conducted an on-site inspection.

#### Discoveries:

- 1. Single serve flavored beer cans called "Snlki-Tiki" with alcohol content of 9.9% were \$1.99 each. The manager changed the amount immediately to \$2.49 per container.
- 2. The manager orders products in plastic container if available.
- 3. Shooters are sold for \$1.99 each.
- 4. Manager and counter worker said that they sell one or two shooters per customer per day.
- 5. Manager said that single-serving containers of beer or malt beverage are marked at the bottom with a sharple prior to leaving the store. (EPQ)

02/13/2020 Inspection B.Larson

On 2/13/2020 at about 1030 hours, Officer ElaineQuiboloy-Reid and I inspected Mom and Pops Grocery a Liquor for compliance withtheir conditional use. We met themanager Eddy Lee. Below are the fivecriteria that we inspected along with the results.

- No single-serving container of beer or maltbeverage may be sold or offered for sale that has an alcohol content equal toor greater that 8.0% for less than \$2.49 per container.
   Result: We found single-serve 16 oz. cans of Sniki-Tiki flavored beer, 9.9% alcohol, for \$1.99 per can. Lee immediately changed the amount to \$2.49 per can. Hescanned a can to show the change.
- Glass bottles of products shall not be sold ifplastic container options for the same product are available locally.
   Result: Lee said he orders plastic if available
- No single-serving of an alcoholic beverage otherthan beer or wine may be sold or offered for sale in a container havingcapacity of approximately 50 ml or 1.6 oz, more or less, commonly known asminiatures, shooters, or airplaneserving size bottles, for a price Result: Their shooters are \$1.99 each.
- Licensee shall not sell more that two "shooters" to any one customer, per day. Result: Lee said they will sell one or two to a customerin a day.
- All shooters and single-serving containers ofbeer or malt beverage in containers of 16 ounces or less shall be labeled with a sticker
  or other identifying mark to identify the location of the point ofsale.
  Result: None of the single-servings were marked. Lee said they mark the bottom of the container with a check mark or initials with a
  sharple before the customerleaves the store.

-Bradley Larson

02/14/2020

Log

R.Fern

# Service Request & Case Summary Report Report Date: 2/14/2020 1:29 PM

After conferring with Officers Quiboloy-Reid and Larson that they had confirmed a violation of the first condition that beer or malt liquor with an alcohol content of 8% or greater not be offered for less than \$2.49 per container, although corrected on site at the time of inspection, I conducted a follow up visit to the store on 2-13-20 at approximately 340 PM and behaved as a regular paring customer. I approached the counter with a 16 oz. can of Sniki Tiki Punch Cocktail that has an alcohol content of 9.9%, and asked for "shooters" of vockta. The counter with a 16 oz. can of Sniki Tiki Punch Cocktail that has an alcohol content of 9.9%, and asked for "shooters" of vockta. The counter with a 16 oz. can of Sniki Tiki Punch Cocktail that has an alcohol content of 9.9% and asked for "shooters" of vockta. The counter with a 16 oz. can of Sniki Tiki Punch Cocktail that has an alcohol content of 9.9% and asked for "shooters" of vocktail that has an alcohol content of 9.9% and asked for "shooters" of vocktail that has an alcohol content of 9.9% and asked for "shooters" of vocktail that has an alcohol content of 9.9% and asked for "shooters" of vocktail that has an alcohol content of 9.9% and asked for "shooters" of vocktail that has an alcohol content of 9.9% and asked for "shooters" of vocktail that has an alcohol content of 9.9% and asked for "shooters" of vocktail that has an alcohol content of 9.9% and asked for "shooters" of vocktail that has an alcohol content of 9.9% and asked for "shooters" of vocktail that has an alcohol content of 9.9% and asked for "shooters" of vocktail that has an alcohol content of 9.9% and asked for "shooters" of vocktail that has an alcohol content of 9.9% and asked for "shooters" of vocktail that has an alcohol content of 9.9% and asked for "shooters" of vocktail that has an alcohol content of 9.9% and 9.9% a attendant, an Asian male in his approximately late twenties, offered selections from a bottle rack to the customer's left side of the counter. I selected four "shooter" bottles of Burnetts vodka. The counter attendant then said he was only supposed to allow two shooters but said that I looked "ok" and proceeded with the sale in violation of condition four of the September 4, 2018 conditions letter. He then proceeded to use a sharple type pen to make a mark on the bottom of all five containers. The total of the sale was \$10.45 with the canned cocktall at \$2.49 and the "shooters" at \$1.99 each which was in accordance with the conditions letter. A copy of the receipt is attached but the time listed is incorrect reading 4:22 pm. A photo of the marked containers is also attached.

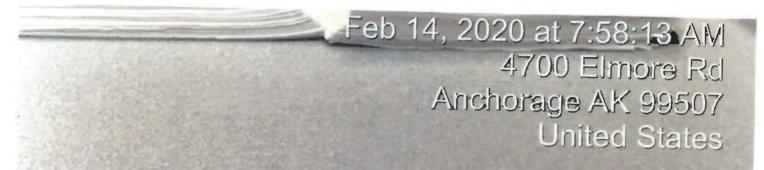
Mom & Pop's Grocery 601 West 36th Ave Anchorase, AK

O2/13/2020 222222 #1945 4:22PN SERV. 02 0002

\$2.49 4 @ \$1.99 \$7.96 DPT.O1

DPT. O1

\*\*\*TOTAL CASH Change \$10.45 \$20.00 \$9.55





(3AAC 304.525)

This form, all information provided and responses are public documents per Alaska Public Records ACT AS 40.25

Date: 2/27/20 License #/Type: 3745 Restaurant/Eating Place

Licensee: Two Seas, Inc. Address: 3535 College Road, Suite 103 Fairbanks, AK 99709

DBA: College Town Pizzeria AMCO Case #: AM 20-0270

This is a notice to you as licensee that an alleged violation has occurred. If the Alcoholic Beverage Control Board decides to act against your license, under the provisions of AS 44.62.330 - AS 44.62.630 (Administrative Procedures Act) you will receive an Accusation and Notice of your right to an Administrative Hearing.

Note: This is not an accusation or a criminal complaint.

On Wednesday February 12, 2020 an inspection was conducted at your establishment. Front counter employee Terrell Ford was asked to produce proof of alcohol server education. Mr. Ford stated that he did not have one and had not pursued the alcohol server training. Mr. Ford acknowledged working for College Town Pizzeria for nearly six (6) months and had served customers alcohol on several occasions.

Your attention is directed to the following:

AS 04.21.025(c) Alcohol server education course.

AS 04.21.030 Responsibility of licensees, agents, and employees.

AS 04.16.150 Licensee responsible for violations.

As part of your response please provide a copy of your employee: Terrell Ford's alcohol server education card.

You are directed to respond in writing to this Notice of Violation within 10 days of receipt to explain what action you have taken to prevent a re-occurrence of this violation. FAILURE TO RESPOND TO THIS NOTICE OF VIOLATION WITHIN 10 DAYS WILL RESULT IN YOUR APPEARANCE, EITHER IN PERSON OR TELEPHONICALLY, BEFORE THE ABC BOARD AT THEIR NEXT REGULARLY SCHEDULED BOARD MEETING.

\*Please send your response to the address below and include your alcohol license number in your response.

3 AAC 304.525 (B) provides that upon receipt of a Notice of Violation, a licensee may request to appear before the Director and be heard regarding the Notice of Violation. The request must be made within ten days after receipt of the Notice and the Director must grant an appearance within ten days after receipt of a request. A Licensee shall respond, either orally or in writing, to the Notice.

Alcohol & Marijuana Control Office ATTN: Enforcement 550 W. 7<sup>th</sup> Ave, Suite 1600 Anchorage, Alaska 99501 amco.enforcement@alaska.gov

Issuing Investigator: H. Conway Received by:

SIGNATURE: To SIGNATURE:

Delivered VIA: Mail Date:

 From:
 Randy Clavell

 To:
 Davies, Jason M (CED)

**Subject:** Re: College Town Pizzeria, #3745 Notice of Violation

**Date:** Thursday, March 12, 2020 6:29:38 PM

Sorry for the late response.

I have attached a copy of Terrell's TAPS card. It is our normal policy that each employee obtain a TAPS card within 30 days of hire. We usually note class times on the schedule for any new employee. I am not sure as to how Terrell slipped through and didn't attend the class. I am a huge believer that training is paramount. I will even give employees questions to ask during the class.

To insure that this does not happen again in the future I have added each employees taps card expiration date next to their name on the schedule. Also we are having employees that do not serve alcohol obtain a card. These Steps will allow us to see that each employee is in compliance every single week.

Randy Clavell

Sent from my iPhone

On Mar 12, 2020, at 1:54 PM, Davies, Jason M (CED) <jason.davies@alaska.gov> wrote:

To Whom It May Concern,

On 2/27/2020 Investigator Conway mailed a notice of violation to 3535 College Road Suite 303, Fairbanks, AK 99709 and was signed and accepted on 03/2/2020. It is required by the licensee to send a response after ten days of receiving the notice of violation. This is a reminder that a response must be received by this office by the end of business today. If we do not receive a response either by email (you may respond to this email) or mail, another notice of violation will be sent for failure to communicate. If you have any questions, please call or email.

Regards,

<image001.png>

Jason M. Davies Criminal Justice Technician II AMCO Enforcement Alcohol & Marijuana Control Office

550 W. 7<sup>th</sup> Ave, Suite 1600 Anchorage, AK 99501 Office (907) 754-3410 jason.davies@alaska.gov



**EXPIRES ON** 

(3AAC 304.525)

This form, all information provided and responses are public documents per Alaska Public Records ACT AS 40.25

Date: 3/3/20 License #/Type: 5455

Beverage Dispensary-Tourism AS 04.11.400(d)

Licensee: JSJ Group, LLC Address: 5000 W. International Airport Road, Anchorage, AK

DBA: Sushi on the Fly AMCO Case #: 20-0287

This is a notice to you as licensee that an alleged violation has occurred. If the Alcoholic Beverage Control Board decides to act against your license, under the provisions of AS 44.62.330 - AS 44.62.630 (Administrative Procedures Act) you will receive an Accusation and Notice of your right to an Administrative Hearing.

Note: This is not an accusation or a criminal complaint.

You have an unreported change of ownership. Details are as follows:

Change in ownership:

Jung D Kim – originally 51%, changed to 100% Jeffrey W. Graham- Originally 49%, changed to 0%

Date of unreported change of officers/ownership: 02/21/2019 or that we have record of changing

Your attention is referred to Sec. 04.11.050: Reports required of corporations.

You are directed to respond in writing to this Notice of Violation within 10 days of receipt to explain what action you have taken to prevent a re-occurrence of this violation. FAILURE TO RESPOND TO THIS NOTICE OF VIOLATION WITHIN 10 DAYS WILL RESULT IN YOUR APPEARANCE, EITHER IN PERSON OR TELEPHONICALLY, BEFORE THE ABC BOARD AT THEIR NEXT REGULARLY SCHEDULED BOARD MEETING.

\*Please send your response to the address below and include your alcohol license number in your response.

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Alcohol & Marijuana Control Office ATTN: Enforcement 550 W. 7<sup>th</sup> Ave, Suite 1600 Anchorage, Alaska 99501 amco.enforcement@alaska.gov

Issuing Investigator: J. Hamilton Received by:

SIGNATURE: J.R. Hamilton SIGNATURE:

Delivered VIA: Mail Date:

From: Shawn Kim

To: <u>Davies, Jason M (CED)</u>; <u>CED AMCO Enforcement (CED sponsored)</u>

**Subject:** Re: Sushi on the Fly, #5455 notice of violation **Date:** Thursday, March 19, 2020 1:04:17 PM

Attachments: <u>image001.png</u>

#### Hello,

In order to get compliant, we switched our ownership shares back to its original split and fixed errors on our license renewal application.

Please contact me at 907-444-3987 if you need any further information.

#### Shawn

On Thu, Mar 19, 2020 at 9:47 AM Davies, Jason M (CED) < <u>iason.davies@alaska.gov</u>> wrote:

To Whom It May Concern,

On 3/3/2020 AMCO mailed you a notice of violation which was received on 3/4/2020. It is required by the licensee to send a response after ten days of receiving the notice of violation. This is a reminder that a response must be received by this office by the end of business today. If we do not receive a response either by email (you may respond to this email) or mail, another notice of violation will be sent for failure to communicate. If you have any questions, please call or email.

Regards,



Jason M. Davies Criminal Justice Technician II

AMCO Enforcement Alcohol & Marijuana Control Office

550 W. 7<sup>th</sup> Ave, Suite 1600

Anchorage, AK 99501 Office (907) 754-3410 jason.davies@alaska.gov

(3AAC 304.525)

This form, all information provided and responses are public documents per Alaska Public Records ACT AS 40.25

Date: 3/3/20

License #/Type: 5860

Brewery

Licensee: Magnetic North Brewing Co, LLC

Address: 8861 Golovin St, Anchorage, AK 99507

DBA: Magnetic North Brewing Co

AMCO Case #: AM20-284

This is a notice to you as licensee that an alleged violation has occurred. If the Alcoholic Beverage Control Board decides to act against your license, under the provisions of AS 44.62.330 - AS 44.62.630 (Administrative Procedures Act) you will receive an Accusation and Notice of your right to an Administrative Hearing.

Note: This is not an accusation or a criminal complaint.

On 3/3/20 Investigator Hamilton and I were performing an initial inspection on Magnetic North Brewing Co at 8861 Golovin in Anchorage. We met with the owner, Jeremiah Christian. There was 14, 5 gallon carboys of fermenting beer and 11, 5 gallon kegs or beer ready for sale on the premises. Christian admitted that it was manufactured on the premises prior to being licensed. The beer was poured out and Christian was given a brewery license.

You are directed to respond in writing to this Notice of Violation within 10 days of receipt to explain what action you have taken to prevent a re-occurrence of this violation. FAILURE TO RESPOND TO THIS NOTICE OF VIOLATION WITHIN 10 DAYS WILL RESULT IN YOUR APPEARANCE, EITHER IN PERSON OR TELEPHONICALLY, BEFORE THE ABC BOARD AT THEIR NEXT REGULARLY SCHEDULED BOARD MEETING.

\*Please send your response to the address below and include your alcohol license number in your response.

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**Alcohol & Marijuana Control Office ATTN: Enforcement** 550 W. 7th Ave, Suite 1600 Anchorage, Alaska 99501 amco.enforcement@alaska.gov

Issuing Investigator: J. Rukes

Received by:

SIGNATURE:

SIGNATURE:

Delivered VIA: Mail - email

Date:

From: <u>Jeremiah</u>

To: <u>CED AMCO Enforcement (CED sponsored)</u>

**Subject:** Re: License# 8560

**Date:** Wednesday, March 4, 2020 1:05:42 PM

Yes, after sitting down and doing the math, dumping 125 gallons or 16,000 oz divided by 12 oz pours at \$7 per glass comes to \$9,300 potential sales.

As far the cost of materials, I will gather those receipts and email them in the morning.

Thank you for your Time, Jeremiah Christian (907)891-7406 Magnetic North Brewing Co

On Mar 4, 2020, at 11:47 AM, CED AMCO Enforcement (CED sponsored) <a href="mailto:amco.enforcement@alaska.gov">amco.enforcement@alaska.gov</a> wrote:

Good Afternoon Jeremiah,

Please clear up for our department where the figure of \$9300 comes from. When we talked during the initial inspection you stated \$2000 worth of materials. When we spoke yesterday afternoon the figure was \$5000. This morning the figure is now \$9300.

Is this figure just the raw materials or does it include potential sales and labor? Please provide receipts within 3 business days. I have included a formal records request that lists the regulations that provide the authority.

Thanks,

<image002.png>

**From:** Jeremiah [mailto:magnorthbrewing@gmail.com]

Sent: Wednesday, March 4, 2020 11:06 AM

To: CED AMCO Enforcement (CED sponsored) <a href="mailto:amco.enforcement@alaska.gov">amco.enforcement@alaska.gov</a>

**Subject:** License# 8560

#### License # 5860

In response to the violation on March the 3<sup>rd</sup>. I had interpreted title 4 to read as you may not sell alcohol before you have a license. I was then informed that it is also to include manufacture. I then poured \$9,300.00 of product down the drain. Going forward, to prevent a re-occurrence of this I will not manufacture, bottle or sell alcohol before a license is issued.

Thank you for your Time, Jeremiah Christian (907)891-7406 Magnetic North Brewing Co

<Records Request AMCO.DOCX>

(3AAC 304.525)

This form, all information provided and responses are public documents per Alaska Public Records ACT AS 40.25

Date: 3/4/20 License #/Type: 4966 Beverage Dispensary-Tourism AS 04.11.400(d)

Licensee: SF Alaska, LP Address: 16707 N. Tongass Highway, Ketchikan, AK

DBA: Salmon Falls Lodge AMCO Case #: 20-0303

This is a notice to you as licensee that an alleged violation has occurred. If the Alcoholic Beverage Control Board decides to act against your license, under the provisions of AS 44.62.330 - AS 44.62.630 (Administrative Procedures Act) you will receive an Accusation and Notice of your right to an Administrative Hearing.

Note: This is not an accusation or a criminal complaint.

You have completed an unapproved transfer of controlling interest. Details are as follows:

#### Change in ownership:

SF Alaska Manager, LLC- originally 100%, changed to 6%
John C. Loeffler— originally 0% listed as affiliate only, now removed
ACG INVESTMENTS- originally 0%, not listed, changed to 1.67%
Caliber Hospitality LLC- originally 0%, not listed, changed to 10.33%
CDIF LLC- originally 0%, not listed, changed to 56%
GREG ESPIN- originally 0%, not listed, changed to 4%
Heavlin Management Company LLC- originally 0%, not listed, changed to 7.33%
Leslie Hospitality Consulting LLC - originally 0%, not listed, changed to 6.67%
LOWELL PETERSON- originally 0%, not listed, changed to 1.33%
TIM BLACKWELL - originally 0%, not listed, changed to 6.67%

Date of unapproved transfer: 03-09-2016

Your attention is referred to AS 04.11.040(c): Board Approval of Transfers

You are directed to respond in writing to this Notice of Violation within 10 days of receipt to explain what action you have taken to prevent a re-occurrence of this violation. FAILURE TO RESPOND TO THIS NOTICE OF VIOLATION WITHIN 10 DAYS WILL RESULT IN YOUR APPEARANCE, EITHER IN PERSON OR TELEPHONICALLY, BEFORE THE ABC BOARD AT THEIR NEXT REGULARLY SCHEDULED BOARD MEETING.

\*Please send your response to the address below and include your alcohol license number in your response.

3 AAC 304.525 (B) provides that upon receipt of a Notice of Violation, a licensee may request to appear before the Director and be heard regarding the Notice of Violation. The request must be made within ten days after receipt of the Notice and the Director must grant an appearance within ten days after receipt of a request. A Licensee shall respond, either orally or in writing, to the Notice.

Alcohol & Marijuana Control Office ATTN: Enforcement 550 W. 7<sup>th</sup> Ave, Suite 1600 Anchorage, Alaska 99501 amco.enforcement@alaska.gov

Issuing Investigator: J. Hamilton Received by:

SIGNATURE: J.R. Hamilton SIGNATURE:

Delivered VIA: Mail Date:

From: <u>Matthew Herod</u>

To: <u>Davies, Jason M (CED)</u>; <u>chris@caliberco.com</u>

Cc: Hamilton, Joe (CED); Hoelscher, James C (CED); Jennifer Schrader

**Subject:** RE: Salmon Falls Lodge, #4966, notice of violation.

**Date:** Thursday, March 19, 2020 10:21:41 AM

Attachments: <u>image001.png</u>

Jason-

Nice speaking with you on the phone. We are currently working with AMCO and below are bullet points that lead to this situation.

- Submitted Liquor License on time to AMCO
- Discovered change in ownership had not been communicated to AMCO therefore they could not approve our license
- Changed ownership structure back to original format so Liquor License could be approved through the CBPL.
- Was told over the phone by Randi Baker (AMCO) that as long as we submitted paperwork by March 13<sup>th</sup> we would be fine.
- Submitted change in ownership and updated tourism statement to AMCO on March 12
- Called AMCO on March 13 to verify they have received our paperwork, to which they replied they were glad I called because they would not have seen it in the queue.
- Was told by AMCO we will be on the agenda on March 31<sup>st</sup> for liquor license approval.
- Once we approved we will follow the correct steps to change ownership and notify AMCO.

Please let me know if you need more information.

Thanks,

Matt

**From:** Davies, Jason M (CED) [mailto:jason.davies@alaska.gov]

**Sent:** Thursday, March 19, 2020 10:52 AM **To:** chris@caliberco.com; Matthew Herod

**Cc:** Hamilton, Joe (CED); Hoelscher, James C (CED) **Subject:** Salmon Falls Lodge, #4966, notice of violation.

To Whom It May Concern,

On 3/3/2020 AMCO mailed you a notice of violation. The certified mail has been ready for pickup at your local post office since March  $11^{th}$ , 2020. I have attached a copy of the notice of violation to this email. It is required by the licensee to send a response after ten days of receiving the notice of violation. If we do not receive a response either by email (you may respond to this email) or mail, another notice of violation will be sent for failure to communicate. If you have any

questions, please call or email.

Regards,



Jason M. Davies Criminal Justice Technician II AMCO Enforcement Alcohol & Marijuana Control Office

550 W. 7<sup>th</sup> Ave, Suite 1600 Anchorage, AK 99501 Office (907) 754-3410 jason.davies@alaska.gov