Notice of Violation
(3AAC 304.525)
This form, all information provided and responses are public documents per Alaska Public Records ACT AS 40.25

Date: 1/17/19
License #:Type: Beverage Dispensary - Tourism / 2791
Licensee: Vivian & Nicholas Trimble
Address: 39 Mud Bay Road, Haines, AK
DBA: Fort Seward Lodge
AMCO Case #: AM19-0104

This is a notice to you as licensee that an alleged violation has occurred. If the Alcoholic Beverage Control Board decides to act against your license, under the provisions of AS 44.62.330 - AS 44.62.630 (Administrative Procedures Act) you will receive an Accusation and Notice of your right to an Administrative Hearing.

Note: This is not an accusation or a criminal complaint.

You have an unauthorized change of ownership, which will require a transfer. You were originally notified on 2/28/17, the last time you renewed, of this discrepancy.

Ownership in Database: Vivian Trimble & Nicholas Trimble (Sole Proprietor)
Ownership in CBPL: Fort Seward Lodge LLC, formally Rising Wolf Resort – an LLC entity
Officers: Nicholas Trimble, Member Manager 50%
Viviane Trimble, Member 50%

Your attention is referred to AS 04.11.040: Board approval of transfers

You are directed to respond in writing to this Notice of Violation within 10 days of receipt to explain what action you have taken to prevent a re-occurrence of this violation. FAILURE TO RESPOND TO THIS NOTICE OF VIOLATION WITHIN 10 DAYS WILL RESULT IN YOUR APPEARANCE, EITHER IN PERSON OR TELEPHONICALLY, BEFORE THE ABC BOARD AT THEIR NEXT REGULARLY SCHEDULED BOARD MEETING.

*Please send your response to the address below and include your alcohol license number in your response.

3 AAC 304.525 (B) provides that upon receipt of a Notice of Violation, a licensee may request to appear before the Director and be heard regarding the Notice of Violation. The request must be made within ten days after receipt of the Notice and the Director must grant an appearance within ten days after receipt of a request. A Licensee shall respond, either orally or in writing, to the Notice.

Alcohol & Marijuana Control Office
ATTN: Enforcement
550 W. 7th Ave, Suite 1600
Anchorage, Alaska 99501
amco.enforcement@alaska.gov

Issuing Investigator: J. Hamilton
SIGNATURE: [Signature]
Delivered VIA: Mail

Received by: [Signature]
Date: 
Alaska Alcoholic Beverage Control Board

Tourism Statement Form

- A new, transfer, or renewal application for a Tourism Site License must be accompanied by this form which explains how the establishment satisfies the requirements listed under AS 04.11.400 (d), 3 AAC 304.325.
- You must provide complete responses to all questions or your application will be returned and will not be scheduled for the next ABC board meeting until the application is deemed complete by AMCO staff.
- All questions must be answered on this form. Do not attach other documents, or they will be returned.
- Do not provide documents and/or statements not requested.

**Mandatory Points to be Included**

1. Explain how issuance of a liquor license at your establishment has/will keep encouraging tourism:

   See attached

2. Explain how the facility was or will be improved in accordance with this application: (this includes planned renovations, repairs, updates to the facility/grounds)

   See attached
3. Who operates the Liquor License?

See attached

4. Do you offer room rentals to the traveling public? Yes [x] No [ ] (if no, skip to question 5)

   a. If so, how many of these rooms are available?

   b. Do any of the rental rooms have kitchen facilities (such as: a separate sink for food preparation and refrigeration/cooking appliance devices, including a microwave)? Yes [ ] No [x]

      i. If yes, how many of the rental rooms have kitchen facilities that meet this definition?

         See attached

   c. Do you stock alcoholic beverages in guest rooms? Yes [ ] No [x]

5. Is your facility located within an airport terminal? Yes [ ] No [x]

6. Does your establishment include a dining facility? Yes [x] No [ ]

7. Are additional amenities available to your guests through your establishment? Yes [ ] No [ ]

   a. If Yes, list your available amenities that directly pertain to this license type:

      See attached

AMCO

DEC 14 2020
2019/2020 Tourism Statement

#2791 dba Fort Seward Lodge Renewal Application

1. **Explain how the issuance of a liquor license at your establishment has/will encourage tourism.**

   The central focus of the business plan for the Fort Seward Lodge involves catering to out of town tourists, local, and regional guests. The licensees will continue to run advertising in travel/tourism publications and directories as well as through electronic methods, including an online presence via property specific website and other relevant platforms.

   **Explain how the facility was/will be constructed or improved in accordance with this application.**

   This facility is located at 39 Mud Bay Road in Haines. As such it is ideal for travelers who want an overnight stay in a beautiful location conveniently located in Haines. This building was built in 1906. This is a national historic monument and it takes constant upkeep and repairs yearly to maintain the business.

2. **Who operates the facility for which a liquor license is being applied?**

   Nicholas Trimble, and Vivian Trimble operate the facility, restaurant and liquor license as sole proprietors.

3. **Do you offer room rentals to the traveling public?** Yes.

4. **If so, how many of these rooms are available? Do any of the rental rooms have kitchen facilities (defined as: a separate sink for food preparation along with refrigeration and cooking appliance devices, including a microwave)? If yes, how many of the rental rooms have kitchen facilities that meet this definition? Do you stock alcoholic beverages in guest rooms?**

   There are 10 rooms for rent at the Fort Seward Lodge. 3 of the rooms are equipped with kitchen facilities. We do not stock alcoholic beverages in the rooms.
5. **Does your establishment include a dining facility?**

Yes. The Fort Seward Lodge offers breakfast, lunch, dinner, and snacks daily in a comfortable setting.

6. **Are additional amenities available to your guests through your establishment (eg: guided tours or trips, rental equipment for guests, other activities that attract tourists)?**

Yes, Fort Seward Lodge does provide tourist type amenities to its guests such as hiking, river rafting. We also recommend other tours, and have a list on our website of close by tours, trips, and rentals, for our guests. The Fort Seward Lodge will continue to operate the facility as a tourist facility. In short, all of those things, which are routinely done by businesses in Alaska to encourage tourism, will continue to be done by the operators of the facility.
STATE OF ALASKA - ALCOHOLIC BEVERAGE CONTROL BOARD

FORM CONTROL

XXXX

ISSUED
2/26/2021

LIQUOR LICENSE
2021 - 2022

ABC BOARD

LICENSE NUMBER

2791

LICENSE RENEWAL APPLICATION DUE
DECEMBER 31, 2022 (AS 04.11.270(b))

THIS LICENSE EXPIRES MIDNIGHT
FEBRUARY 28, 2023 UNLESS DATED BELOW

TYPE OF LICENSE: Beverage Dispens

LICENSE FEE: $2,500.00

1106

D/B/A: Fort Seward Lodge
39 Mud Bay Rd.

Mail Address:
Vivian Trimble & Nicholas Trimble
PO Box 307
Haines, AK 99827

CITY / BOROUGH: Haines
Haines, City & Borough

This license cannot be transferred without permission of the Alcoholic Beverage Control Board

[] Special restriction - see reverse side

ISSUED BY ORDER OF THE
ALCOHOLIC BEVERAGE CONTROL BOARD

DIRECTOR

THIS LICENSE MUST BE POSTED IN A VISIBLE PLACE ON THE PREMISES

04-900 (REV 9/09)

STATE OF ALASKA - ALCOHOLIC BEVERAGE CONTROL BOARD

FORM CONTROL

XXXX

ISSUED
2/26/2021

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2021 - 2022

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PO Box 307
Haines, AK 99827

CITY / BOROUGH: Haines
Haines, City & Borough

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ISSUED BY ORDER OF THE
ALCOHOLIC BEVERAGE CONTROL BOARD

COPY

DIRECTOR

THIS LICENSE MUST BE POSTED IN A VISIBLE PLACE ON THE PREMISES

04-900 (REV 9/09)
Alaska Alcoholic Beverage Control Board

Master Checklist: Renewal Liquor License Application

<table>
<thead>
<tr>
<th>Doing Business As:</th>
<th>Fort Seward Lodge</th>
<th>License Type:</th>
<th>Beverage Dispensary - Tourism</th>
</tr>
</thead>
<tbody>
<tr>
<td>License Number:</td>
<td>2791</td>
<td>Transaction #:</td>
<td></td>
</tr>
</tbody>
</table>

| Examiner:          | Kris             | 3593          |                               |

**Document**

<table>
<thead>
<tr>
<th>Document</th>
<th>Received</th>
<th>Completed</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>AB-17: Renewal Application</td>
<td>12/14</td>
<td>2/26/2021</td>
<td></td>
</tr>
<tr>
<td>App and License Fees</td>
<td>12/14</td>
<td>2/26/2021</td>
<td></td>
</tr>
</tbody>
</table>

**Supplemental Document**

<table>
<thead>
<tr>
<th>Document</th>
<th>Received</th>
<th>Completed</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tourism/Rec Site Statement</td>
<td>12/14</td>
<td>2/26/2021</td>
<td></td>
</tr>
<tr>
<td>AB-25: Supplier Cert (WS)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>AB-29: Waiver of Operation</td>
<td>12/14</td>
<td>2/25/2021</td>
<td></td>
</tr>
<tr>
<td>AB-30: Minimum Operation</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>AB-33: Restaurant Affidavit</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>COI / COC / 5 Star</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>FP Cards &amp; Fees / AB-08a</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Late Fee</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Names on FP Cards:**

<table>
<thead>
<tr>
<th>YES</th>
<th>NO</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

- Selling alcohol in response to written order (package stores)?
  - Yes [ ] No [ ]

- Mailing address and contact information different than in database (if yes, update database)?
  - Yes [ ] No [ ]

- In “Good Standing” with CBPL (skip this and next question for sole proprietor)?
  - Yes [ ] No [ ]

- Officers and stockholders match CBPL and database (if “No”, determine if transfer necessary)?
  - Yes [ ] No [ ]

**LGB 1 Response:**

- Waive [ ] Protest [ ] Lapsed [ ]

- Haines [ ] City [ ] Borough [ ]

**LGB 2 Response:**

- Waive [ ] Protest [ ] Lapsed [ ]

- N/A [ ]

[Master Checklist: Renewal] (rev 09/20/2018)
Alaska Alcoholic Beverage Control Board

Form AB-17: 2021/2022 License Renewal Application

- This form and any required supplemental forms must be completed, signed by the licensee, and postmarked no later than 12/31/2020 per AS 04.11.270, 3 AAC 304.160, with all required fees paid in full, or a non-refundable $500.00 late fee applies.
- Any complete application for renewal or any fees for renewal that have not been postmarked by 02/28/2021 will be expired per AS 04.11.540, 3 AAC 304.160(e).
- All fields of this application must be deemed complete by AMCO staff and must be accompanied by the required fees and all documents required, or the application will be returned without being processed, per AS 04.11.270, 3 AAC 304.105.
- Receipt and/or processing of renewal payments by AMCO staff neither indicates nor guarantees in any way that an application will be deemed complete, renewed, or that it will be scheduled for the next ABC Board meeting.

<table>
<thead>
<tr>
<th>Establishment Contact Information</th>
</tr>
</thead>
<tbody>
<tr>
<td>Licensee (Owner): Vivian Trimble, Nicholas Trimble</td>
</tr>
<tr>
<td>License Type: Beverage Dispensary Tourism</td>
</tr>
<tr>
<td>Doing Business As: Fort Seward Lodge</td>
</tr>
<tr>
<td>Premises Address: 39 Mud Bay Rd, Haines, AK 99827</td>
</tr>
<tr>
<td>Local Governing Body: Haines City Borough</td>
</tr>
<tr>
<td>Community Council:</td>
</tr>
</tbody>
</table>

If your mailing address has changed, write the NEW address below:

| Mailing Address: |
| City: |
| State: |
| ZIP: |

Section 1 – Licensee Contact Information

Contact Licensee: The individual listed below must be listed in Section 2 or 3 as an Official/Owner/Shareholder of your entity and must be listed on CBPL with the same name and title.
This person will be the designated point of contact regarding this license, unless the Optional contact is completed.

| Contact Licensee: Nicholas Trimble | Contact Phone: 406-396-9665 |
| Contact Email: nicks@seabra-heli.com |

Optional: if you wish for AMCO staff to communicate with anyone other than the Contact Licensee about your license, list them below:

| Name of Contact: Enoch Daffey | Contact Phone: 274-3385 |
| Contact Email: ashawcrosse@clawfirm.org |

| Name of Contact: |
| Contact Phone: |
| Contact Email: |

| Name of Contact: |
| Contact Phone: |
| Contact Email: |
Alaska Alcoholic Beverage Control Board
Form AB-17: 2021/2022 License Renewal Application

Section 2 – Entity or Community Ownership Information

Sole Proprietors should skip this Section.

Use the link from Corporations, Business and Professional Licensing (CBPL) below to assist you in finding the Entity #:
https://www.commerce.alaska.gov/cbp/main/search/entities

**READ BEFORE PROCEEDING:** Any new or changes to Shareholders (10% or more), Managers, Corporate Officers, Board of Directors, Partners, Controlling Interest or Ownership of the business license must be reported to the ABC Board within 10 days of the change and must be accompanied by a full set of fingerprints on FBI-approved card stock, AB-08a’s, payment of $48.25 for each new officer with a date-stamped copy of the CBPL change per AS 04.11.045, 50 & 55, or a Notice of Violation will be issued to your establishment and your application will be returned.

The only exception to this is a Corporation who can meet the requirements set forth in AS 04.11.050(c).

**DO NOT LIST OFFICERS OR TITLES THAT ARE NOT REQUIRED FOR YOUR ENTITY TYPE.**

- **Corporations of any type including non-profit** must list ONLY the following:
  - Each shareholder who own 10% or more stock in the corporation
  - Each President, Vice-President, Secretary, and Managing Officer regardless of percentage owned

- **Limited Liability Corporations, or any type** must list ONLY the following:
  - All Members with an ownership interest of 10% or more
  - All Managers (of the LLC, not the DBA) regardless of percentage owned

- **Partnerships of any type, including Limited Partnerships** must list ONLY the following:
  - Each Partner with an interest of 10% or more
  - All General Partners regardless of percentage owned

**Important Note:** All entries below must match our records, or your application will be returned per AS 04.11.270, 3 AAC 304.105. You must list full legal names, all required titles, phone number, percentage of shares owned (if applicable) and a full mailing address for each official of your entity whose information we require. If more space is needed: attach additional completed copies of this page. Additional information not on this page will be rejected.

| Name of Official: |  |  |  |
|-------------------|-----------------|-----------------|
| Title(s):         | Phone:          | % Owned:        |
| Mailing Address:  |                 |                 |
| City:             | State:          | ZIP:            |

| Name of Official: |  |  |  |
|-------------------|-----------------|-----------------|
| Title(s):         | Phone:          | % Owned:        |
| Mailing Address:  |                 |                 |
| City:             | State:          | ZIP:            |

| Name of Official: |  |  |  |
|-------------------|-----------------|-----------------|
| Title(s):         | Phone:          | % Owned:        |
| Mailing Address:  |                 |                 |
| City:             | State:          | ZIP:            |

**AMCO**

**DEC 14 2020**
Section 3 – Sole Proprietor Ownership Information

Corporations, LLC’s and Partnerships of ALL kinds should skip this section.

READ BEFORE PROCEEDING: Any new or changes to the ownership of the business license must be reported to the ABC Board within 10 days of the change and must be accompanied by a full set of fingerprints on FBI approved cardstock, AB-08a’s, payment of $48.25 for each new owner or officer and a date stamped copy of the CBPL change per AS 04.11.045, or a Notice of Violation will be issued to your establishment and your application will be returned.

Important Note: All entries below must match our records, or your application will be returned per AS 04.11.270, 3 AAC 304.105. You must list full legal names, phone number, and mailing address for each owner or partner whose information we require. If more space is needed, attach additional copies of this page. Additional owners not listed on this page will be rejected.

This individual is an: Applicant  Affiliate

Name: Vivian Trimble  Contact Phone: 609 497 0715
Mailing Address: 528 Patton Ave.
City: Princeton  State: NJ  ZIP: 08540
Email: vivimase@aol.com

This individual is an: Applicant  Affiliate

Name: Nicholas Trimble  Contact Phone: 406 376 9665
Mailing Address: P.O. Box 307
City: Hauness  State: WY  ZIP: 99827
Email: fatseawardodge@gmail.com

Section 4 – License Operation

Check ONE BOX for EACH CALENDAR YEAR that best describes how this liquor license was operated:

1. The license was regularly operated continuously throughout each year. (Year-round)
   - 2019  - 2020

2. The license was only operated during a specific season each year. (Seasonal)
   If your operation dates have changed, list them below:
   [Dates]

3. The license was only operated to meet the minimum requirement of 240 total hours each calendar year. A complete AB-30: Proof of Minimum Operation Checklist, and all documentation must be provided with this form.
   - 2019  - 2020

4. The license was not operated at all or was not operated for at least the minimum requirement of 240 total hours each year, during one or both calendar years. A complete Form AB-29: Waiver of Operation Application and corresponding fees must be submitted with this application for each calendar year during which the license was not operated.
   - 2019  - 2020

If you have not met the minimum number of hours of operation in 2020, you are not required to pay the fees, however a complete AB-29 is required with Section 2 marked "OTHER" and COVID is listed as the reason.

Section 5 – Violations and Convictions

Have ANY Notices of Violation been issued for this license OR has ANY person or entity in this application been convicted of a violation of Title 04, 3AAC 304 or a local ordinance adopted under AS 04.21.010 in 2019 or 2020?

Yes  No

If you checked YES, you MUST attach a list of all Notices of Violation and/or Convictions per AS 04.11.270(a)(2)

If you are unsure if you have received any Notices of Violation, contact the office before submitting this form.

[Form AB-17] (rev09/23/2020)
Alaska Alcoholic Beverage Control Board

Form AB-17: 2021/2022 License Renewal Application

Section 6 – Certifications

As an applicant for a liquor license renewal, I declare under penalty of perjury that I have read and am familiar with AS 04 and 3 AAC 304, and that this application, including all accompanying schedules and statements, are true, correct, and complete.

- I agree to provide all information required by the Alcoholic Beverage Control Board or requested by AMCO staff in support of this application and understand that failure to do so by any deadline given to me by AMCO staff will result in this application being returned and potentially expired if I do not comply with statutory or regulatory requirements.
- I certify that all current licensees (as defined in AS 04.11.260) and affiliates have been listed on this application, and that in accordance with AS 04.11.450, no one other than the licensee(s) has a direct or indirect financial interest in the licensed business.
- I certify that this entity is in good standing with Corporations, Business and Professional Licensing (CBPL) and that all entity officials and stakeholders are current and accurately listed, and I have provided AMCO with all required changes of Shareholders (10% or more), Managers, Corporate Officers/Board of Directors, Partners, Controlling Interest or Ownership of the business license, and have provided all required documents for any new or changes in officers.
- I certify that all licensees, agents, and employees who sell or serve alcoholic beverages or check identification of patrons have completed an alcohol server education course approved by the ABC Board and keep current, valid copies of their course completion cards on the licensed premises during all working hours, if applicable for this license type as set forth in AS 04.21.025 and 3 AAC 304.465.
- I certify that I have not altered the functional floor plan or reduced or expanded the area of the licensed premises, and I have not changed the business name from what is currently approved and on file with the Alcoholic Beverage Control Board.

I certify on behalf of myself or of the organized entity that I understand that providing a false statement on this form or any other form provided by AMCO is grounds for rejection or denial of this application or revocation of any license issued.

[Signature]
[Printed name]

[Signature]
[Printed name]

Restaurant/Eating Place applications must include a completed AB-33: Restaurant Receipts Affidavit
Recreational Site applications must include a completed Recreational Site Statement
Tourism applications must include a completed Tourism Statement
Wholesale applications must include a completed AB-25: Supplier Certification

All renewal and supplemental forms are available online

Any application that is not complete or does not include ALL required completed forms and fees will not be processed and will be returned per AS 04.11.270, 3 AAC 304.105.

FOR OFFICE USE ONLY

<table>
<thead>
<tr>
<th>License Fee:</th>
<th>$2500</th>
<th>Application Fee:</th>
<th>$300.00</th>
<th>Misc. Fee:</th>
<th>$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Fees Due:</td>
<td>$2800</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
MEMO TO ABC BOARD

LAW OFFICES OF ERNOUF & COFFEY, PC

Phone: (907) 274-3385

E-mails:
dcoffey@eclawfirm.org

TO: ABC BOARD
FROM: AMANDA SHAWCROSS
RE: RENEWAL APPLICATION
    Fort Seward Lodge Beverage Dispensary Tourism #2791
DATE: 12/10/20

The purpose of this Memo is to submit the enclosed renewal application for the year 2021/2022, and waiver of operation form for 2020, in regards to Beverage Dispensary Tourism license #2791 owned by Vivian Trimble and Nicholas Trimble d.b.a. Fort Seward Lodge.

With regard to the renewal please be advised that in the year 2019 our client had a family medical illness, and so they only operated for a period of 3 months from the beginning of February until May 3rd. They plan on operating their normal schedule in 2021 once the Covid-19 situation is resolved.

Please contact Nicholas Trimble at the time of processing this renewal and Waiver for payment by credit card over the phone for the necessary filing fee associated with this filing.

If you have any questions regarding the renewal application, please call our offices.

Thank you for your time and courtesies in this regard.
LICENSE DETAILS

License #: 1111519

Business Name: FORT SEWARD LODGE

Status: Expired

Issue Date: 01/23/2019

Expiration Date: 12/31/2019

Mailing Address: PO BOX 307
HAINES, AK 99842-0307

Physical Address: 39 MUD BAY ROAD
HAINES, AK 99827-3030

Owners

NICHOLAS M TRIMBLE
VIVIANE T TRIMBLE

Activities

Line of Business | NAICS
--- | ---
72 - Accommodation and Food Services | 721110 - HOTELS (EXCEPT CASINO HOTELS) AND MOTELS

Endorsements

No Endorsements Found

License Lapse(s)

If this business license lapsed within the last four years the lapsed periods will appear below. Lapsed periods are the unlicensed period between an expiration date and renewal date.
No Lapses on record for the last 4 years.
Alaska Department of Commerce, Community, and Economic Development

Division of Corporations, Business, and Professional Licensing
PO Box 110806, Juneau, AK 99811-0806

This is to certify that

Fort Seward Lodge

PO Box 307, Haines, AK 99842-0307

owned by

Nicholas Trimble; Vivian Trimble

is licensed by the department to conduct business for the period

February 25, 2021 to December 31, 2022
for the following line(s) of business:

72 - Accommodation and Food Services

This license shall not be taken as permission to do business in the state without having complied with the other requirements of the laws of the State or of the United States.

This license must be posted in a conspicuous place at the business location. It is not transferable or assignable.

Julie Anderson
Commissioner