# **Notice of Violation**

(3AAC 304.525)

This form, all information provided and responses are public documents per Alaska Public Records ACT AS 40.25

Date:

Licensee:

DBA:

License #/Type: Address: AMCO Case #:

This is a notice to you as licensee that an alleged violation has occurred. If the Alcoholic Beverage Control Board decides to act against your license, under the provisions of AS 44.62.330 - AS 44.62.630 (Administrative Procedures Act) you will receive an Accusation and Notice of your right to an Administrative Hearing.

Note: This is not an accusation or a criminal complaint.

You are directed to respond in writing to this Notice of Violation within 10 days of receipt to explain what action you have taken to prevent a re-occurrence of this violation. FAILURE TO RESPOND TO THIS NOTICE OF VIOLATION WITHIN 10 DAYS WILL RESULT IN YOUR APPEARANCE, EITHER IN PERSON OR TELEPHONICALLY, BEFORE THE ABC BOARD AT THEIR NEXT REGULARLY SCHEDULED BOARD MEETING.

\*Please send your response to the address below and include your alcohol license number in your response.

3 AAC 304.525 (B) provides that upon receipt of a Notice of Violation, a licensee may request to appear before the Director and be heard regarding the Notice of Violation. The request must be made within ten days after receipt of the Notice and the Director must grant an appearance within ten days after receipt of a request. A Licensee shall respond, either orally or in writing, to the Notice.

Alcohol & Marijuana Control Office ATTN: Enforcement 550 W. 7<sup>th</sup> Ave, Suite 1600 Anchorage, Alaska 99501 <u>amco.enforcement@alaska.gov</u>

Issuing Investigator:

SIGNATURE:

J.R. Hamilton

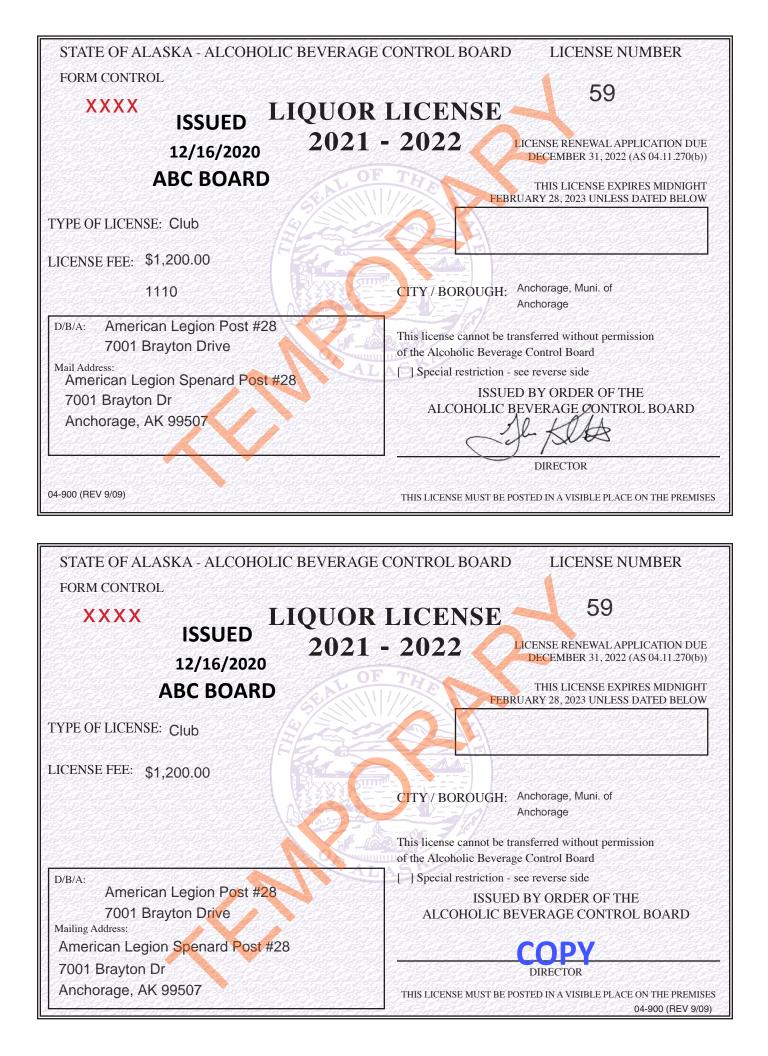
Delivered VIA:

and the second se

Received by:

SIGNATURE:

Date:





#### Alaska Alcoholic Beverage Control Board

## **Master Checklist: Renewal Liquor License Application**

Doing Business As:	License Number:	
License Type:		
Examiner:	Transaction #:	

Document	Received	Completed	Notes
AB-17: Renewal Application			
App and License Fees			

Supplemental Document	Received	Completed	Notes
Tourism/Rec Site Statement			
AB-25: Supplier Cert (WS)			
AB-29: Waiver of Operation			
AB-30: Minimum Operation			
AB-33: Restaurant Affidavit			
COI / COC / 5 Star			
FP Cards & Fees / AB-08a			
Late Fee			

Names on FP Cards:		
	Yes	No
Selling alcohol in response to written order (package stores)?		
Mailing address and contact information different than in database (if yes, update database)?		
In "Good Standing" with CBPL (skip this and next question for sole proprietor)?		
Officers and stockholders match CBPL and database (if "No", determine if transfer necessary)?		
LGB 1 Response: LGB 2 Response:		
Waive Protest Lapsed Waive Protest Lapsed		
[Master Checklist: Renewal] (rev 09/20/2018)	Pag	e <b>1</b> of <b>1</b>



### Alaska Alcoholic Beverage Control Board Form AB-17: 2021/2022 License Renewal Application

- This form and any required supplemental forms must be completed, signed by the licensee, and postmarked no later than 12/31/2020 per AS 04.11.270, 3 AAC 304.160, with all required fees paid in full, or a non-refundable \$500.00 late fee applies.
- Any complete application for renewal or any fees for renewal that have not been postmarked by 02/28/2021 will be expired per AS 04.11.540,3 AAC 304.160(e).
- All fields of this application must be deemed complete by AMCO staff and must be accompanied by the required fees and all documents required, or the application will be returned without being processed, per AS 04.11.270, 3 AAC 304.105
- Receipt and/or processing of renewal payments by AMCO staff neither indicates nor guarantees in any way that an application will be deemed complete, renewed, or that it will be scheduled for the next ABC Board meeting.

Establishment Contact Information					
Licensee (Owner):	American Legion Post # 28 License #: 59				
License Type:	Club				
Doing Business As:	American Legion Post #28				
Premises Address:	7001 Brayton Dr. Anchorage, AK 99507				
Local Governing Body:	Municipality of Anchorage.				
Community Council:	Abbott Loop Community Council				

#### If your mailing address has changed, write the NEW address below:

Mailing Address:			
City:	State:	ZIP:	

#### Section 1 – Licensee Contact Information

**Contact Licensee:** The individual listed below must be listed in Section 2 or 3 as an Official/Owner/Shareholder of your entity and must be listed on CBPL with the same name and title.

This person will be the designated point of contact regarding this license, unless the Optional contact is completed.

Contact Licensee:	Michael J. Harward	Contact Phone:	907.529.4357.
Contact Email:	havward mire amail. com	۱.	
	1		

Optional: If you wish for AMCO staff to communicate with anyone other than the Contact Licensee about your license, list them below:

Name of Contact:	David Todd	Contact Phone:	907.529.0704
Contact Email:	todd d m1965 e gmail.com		
	. 0		

Name of Contact:	Kirk Waldhaus	Contact Phone:	907.230.0083
Contact Email:	Kwaldhaus @ gmail.com		

Name of Contact:	Contact Phone:	
Contact Email:		

[Form AB-17] (rev09/23/2020)



#### Alaska Alcoholic Beverage Control Board

## Form AB-17: 2021/2022 License Renewal Application

#### Section 2 – Entity or Community Ownership Information

#### Sole Proprietors should skip this Section.

Use the link from Corporations, Business and Professional Licensing (CBPL) below to assist you in finding the Entity #. https://www.commerce.alaska.gov/cbp/main/search/entities

Alaska CBPL Entity #:	38770				-				
			 		-		-		

**READ BEFORE PROCEEDING:** Any new or changes to Shareholders (10% or more), Managers, Corporate Officers, Board of Directors, Partners, Controlling Interest or Ownership of the business license must be reported to the ABC Board within <u>10 days</u> of the change and <u>must be accompanied by</u> a full set of fingerprints on FBI-approved card stock, AB-08a's, payment of \$48.25 for <u>each new officer</u> with a date-stamped copy of the CBPL change per AS 04.11.045, 50 & 55, or a Notice of Violation will be issued to your establishment and your application will be returned.

The only exception to this is a Corporation who can meet the requirements set forth in AS 04.11.050(c).

#### DO NOT LIST OFFICERS OR TITLES THAT ARE NOT REQUIRED FOR YOUR ENTITY TYPE.

- Corporations of *any* type *including non-profit* must list ONLY the following:
  - All shareholders who own 10% or more stock in the corporation
  - o Each President, Vice-President, Secretary, and Managing Officer regardless of percentage owned
- Limited Liability Corporations, of <u>any</u> type must list ONLY the following:
  - All Members with an ownership interest of 10% or more
  - All Managers (of the LLC, not the DBA) regardless of percentage owned
  - Partnerships of any type, including Limited Partnerships must list ONLY the following:
    - Each Partner with an interest of 10% or more
    - o All General Partners regardless of percentage owned

Important Note: All entries below must match our records, or your application will be returned per AS 04.11.270, 3 AAC 304.105. You must list full legal names, all required titles, phone number, percentage of shares owned (if applicable) and a full mailing address for each official of your entity whose information we require. If more space is needed: attach additional completed copies of this page. Additional information not on this page will be rejected.

Name of Official:	Michael Hayward				
Title(s):	President	Phone:	907.529.4357	% Owr	ned: O
Mailing Address:	12021 Seashare Pl				
City:	Anchorage	State:	AK	ZIP:	99515
	5				
Name of Official:	David Todd				
Title(s):	Vie Preside	Phone:	907.529.0704	% Owr	ned:
Mailing Address:	32.44 E 144th Ave	2			
City:	Androrage	State:	AK	ZIP:	99516
	5				
Name of Official:	Dale Chubin				
Title(s):	Vico President	Phone:	907.947.6933	`% Owr	ned: 🔿
Mailing Address:	1700 E 57th Circle		· · · · · ·		
City:	Anchorage	State:	AK	ZIP:	99507



## Alaska Alcoholic Beverage Control Board Form AB-17: 2021/2022 License Renewal Application

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Use the link from Corporations, Business and Professional Licensing (CBPL) below to assist you in finding the Entity #. <u>https://www.commerce.alaska.gov/cbp/main/search/entities</u>

Alaska CBPL Entity #:		
READ BEFORE PROCEEDING:	Any new or changes to Shareholders (10% or more), Managers, Corporate Officers, Board of	
Directors, Partners, Controlling Interest or Ownership of the business license must be reported to the ABC Board within 10 days		
the change and must be accompanied by a full set of fingerprints on FBI-approved card stock, AB-08a's, payment of \$48.25 for		
each new officer with a date-	stamped copy of the CBPL change per AS 04.11.045, 50 & 55, or a Notice of Violation will be issued	d to
your establishment and your	application will be returned.	

The only exception to this is a Corporation who can meet the requirements set forth in AS 04.11.050(c).

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  - $\circ$   $\;$  All shareholders who own 10% or more stock in the corporation
  - o Each President, Vice-President, Secretary, and Managing Officer regardless of percentage owned
- Limited Liability Corporations, of *any* type must list ONLY the following:
  - All Members with an ownership interest of 10% or more
  - All Managers (of the LLC, not the DBA) regardless of percentage owned
  - Partnerships of any type, including Limited Partnerships must list ONLY the following:
    - o Each Partner with an interest of 10% or more
    - All General Partners regardless of percentage owned

Important Note: All entries below must match our records, or your application will be returned per AS 04.11.270, 3 AAC 304.105. You must list full legal names, all required titles, phone number, percentage of shares owned (if applicable) and a full mailing address for each official of your entity whose information we require. If more space is needed: attach additional completed copies of this page. Additional information not on this page will be rejected.

Title(s):SecretaryPhone:967.230.0083% Owned:Mailing Address:13/26 Cape Circle	Name of Official:	Kirk Waldhaus				
Mailing Address:	Title(s):	Secretary / Director	Phone:	907.230.0083	% Own	ied:
	Mailing Address:	Director	-	•		
City: Anchorage State: AK ZIP: 995	City:		State:	AK	ZIP:	99515

Name of Official:		
Title(s):	Phone:	% Owned:
Mailing Address:		
City:	State:	ZIP:

Name of Official:		
Title(s):	Phone:	% Owned:
Mailing Address:		
City:	State:	ZIP:



Alaska Alcoholic Beverage Control Board

## Form AB-17: 2021/2022 License Renewal Application

#### Section 3 – Sole Proprietor Ownership Information

#### Corporations, LLC's and Partnerships of ALL kinds should skip this section.

**READ BEFORE PROCEEDING:** Any new or changes to the ownership of the business license must be reported to the ABC Board within <u>10 days</u> of the change and must be accompanied by a full set of fingerprints on FBI approved cardstock, AB-08a's, payment of \$48.25 for <u>each **new** owner or officer</u> and a date stamped copy of the CBPL change per AS 04.11.045, or a Notice of Violation will be issued to your establishment and your application will be returned.

Important Note: All entries below must match our records, or your application will be returned per AS 04.11.270, 3 AAC 304.105. You must list full legal names, phone number, and mailing address for each owner or partner whose information we require. If more space is needed, attach additional copies of this page. Additional owners not listed on this page will be rejected.

This individual is an: Applicant Affiliate Name: **Contact Phone: Mailing Address:** City: State: ZIP: Email: This individual is an: Affiliate Applicant **Contact Phone:** Name: Mailing Address: ZIP: City: State: Email:

#### **Section 4 – License Operation**

<b>Che</b> 1.	eck ONE BOX for EACH CALENDAR YEAR that best describes how this liquor license was operated: The license was regularly operated continuously throughout each year. (Year-round)	2019	2020
2.	The license was <b>only operated during a specific season</b> each year. (Seasonal) If your operation dates have changed, list them below: toto		
3.	The license was only operated to meet the minimum requirement of 240 total hours each calendar year. <u>A complete AB-30: Proof of Minimum Operation Checklist, and all documentation must be provided with this form.</u>		
4.	The license was not operated at all or was not operated for at least the minimum requirement of 240 total hours each year, during one or both calendaryears. <u>A complete Form AB-29: Waiver of Operation Application</u> and corresponding fees must be submitted with this application for each calendar year during which the license was not operated.		

If you have not met the minimum number of hours of operation in 2020, you are not required to pay the fees, however a complete AB-29 is required with Section 2 marked "OTHER" and COVID is listed as the reason.

#### **Section 5 – Violations and Convictions**

Have ANY Notices of Violation been issued for this license OR has ANY person or entity in this application been convicted of a violation of Title 04, 3AAC 304 or a local ordinance adopted under AS 04.21.010 in 2019 or 2020?

If you checked YES, you MUST attach a list of all Notices of Violation and/or Convictions per AS 04.11.270(a)(2)

If you are unsure if you have received any Notices of Violation, contact the office before submitting this form.

Yes

х

No



### Alaska Alcoholic Beverage Control Board Form AB-17: 2021/2022 License Renewal Application

#### Section 6 – Certifications

As an applicant for a liquor license renewal, I declare under penalty of perjury that I have read and am familiar with AS 04 and 3 AAC 304, and that this application, including all accompanying schedules and statements, are true, correct, and complete.

- I agree to provide all information required by the Alcoholic Beverage Control Board or requested by AMCO staff in support of this application and understand that failure to do so by any deadline given to me by AMCO staff will result in this application being returned and potentially expired if I do not comply with statutory or regulatory requirements.
- I certify that all current licensees (as defined in AS 04.11.260) and affiliates have been listed on this application, and that in accordance with AS 04.11.450, no one other than the licensee(s) has a direct or indirect financial interest in the licensed business.
- I certify that this entity is in good standing with Corporations, Business and Professional Licensing (CBPL) and that all entity officials and stakeholders are current and accurately listed, and I have provided AMCO with all required changes of Shareholders (10% or more), Managers, Corporate Officers/Board of Directors, Partners, Controlling Interest or Ownership of the business license, and have provided all required documents for any new or changes in officers.
- I certify that all licensees, agents, and employees who sell or serve alcoholic beverages or check identification of patrons have completed an alcohol server education course approved by the ABC Board and keep current, valid copies of their course completion cards on the licensed premises during all working hours, <u>if applicable for this license type</u> as set forth in AS 04.21.025 and 3 AAC 304.465.
- I certify that I have not altered the functional floor plan or reduced or expanded the area of the licensed premises, and I have not changed the business name from what is currently approved and on file with the Alcoholic Beverage Control Board.

I certify on behalf of myself or of the organized entity that I understand that providing a false statement on this form or any other form provided by AMCO is grounds for rejection or denial of this application or revocation of any license issued.

NADIA PAUL Notary Public Signature of licensee gnature of Notary Public State of Alaska My Commission Expires May 26, 2024 or the State of: A 28 Printed name of licensee My commission expires: May Subscribed and sworn to before me this 3 day of

Restaurant/Eating Place applications must include a completed AB-33: Restaurant Receipts Affidavit Recreational Site applications must include a completed Recreational Site Statement Tourism applications must include a completed Tourism Statement Wholesale applications must include a completed AB-25: Supplier Certification

#### All renewal and supplemental forms are available online

Any application that is not complete or does not include ALL required completed forms and fees will not be processed and will be returned per AS 04.11.270, 3 AAC 304.105.

#### FOR OFFICE USE ONLY

License Fee:	\$	Application Fee:	\$ 300.00	Misc. Fee:	\$
	Total Fees Due:			\$	

## Department of Commerce, Community, and Economic Development CORPORATIONS, BUSINESS & PROFESSIONAL LICENSING

State of Alaska / Commerce / Corporations, Business, and Professional Licensing / Search & Database Download / Corporations / Entity Details

# ENTITY DETAILS

# Name(s)

Туре	Name	
Entity Type: 1 Entity #: 3 Status: 0 AK Formed Date: 7 Duration/Expiration: F Home State: 7 Next Biennial Report Due: 7		ST #28, THE AMERICAN LEGION, INC.
		Nonprofit Corporation
		3877D
		Good Standing
		11/12/1957
		Perpetual
		ALASKA
		7/2/2021
		7001 BRAYTON DR, ANCHORAGE, AK 99507
Entity Phy	sical Address:	7001 BRAYTON DR #28, ANCHORAGE, AK 99507
Registered /	Agent	
	Agent Name:	GALEN RICK
Registered Ma	ailing Address:	7001 BRAYTON DR, ANCHORAGE, AK 99507

Registered Physical Address: 7001 BRAYTON DR, ANCHORAGE, AK 99507

## Officials

□Show Former

AK Entity #	Name	Titles	Owned
	DALE CHUBIN	Vice President	
	David Todd	Vice President	
	GALEN RICK	Director	

AK Entity #	Name	Titles	Owned
	Kirk Waldhaus	Secretary, Director	
	Martin Carlton	Treasurer	
	Michael Hayward	President	
	NATE MCKAY	Director	
	PHIL PARKS	Director	

# **Filed Documents**

Date Filed	Туре	Filing	Certificate
11/12/1957	Creation Filing		
11/09/1987	Biennial Report		
7/19/1990	Biennial Report	Click to View	
6/10/1991	Biennial Report		
8/30/1993	Biennial Report	Click to View	
5/22/1995	Biennial Report	Click to View	
6/18/1997	Biennial Report	Click to View	
9/17/1999	Biennial Report		
6/20/2001	Biennial Report	Click to View	
6/30/2003	Agent Change	Click to View	
6/30/2003	Agent Change	Click to View	
6/30/2003	Biennial Report	Click to View	
11/17/2006	Biennial Report	Click to View	
8/20/2007	Biennial Report	Click to View	
9/19/2007	Restated (NO AMENDMENT)	Click to View	Click to View
6/09/2011	Biennial Report	Click to View	
6/14/2011	Agent Change	Click to View	
6/11/2012	Biennial Report	Click to View	
11/04/2013	Biennial Report	Click to View	
4/06/2015	Biennial Report	Click to View	
8/29/2017	Biennial Report	Click to View	
7/26/2018	Change of Officials	Click to View	
5/23/2019	Biennial Report	Click to View	
7/22/2019	Agent Change	Click to View	
12/10/2019	Change of Officials	Click to View	

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## Department of Commerce, Community, and Economic Development

ALCOHOL & MARIJUANA CONTROL OFFICE

550 West Seventh Avenue, Suite 1600 Anchorage, AK 99501 Main: 907.269.0350

December 16, 2020

Owner: American Legion Spenard Post #28 DBA: American Legion Post #28 Via Email: <u>haywardmj@gmail.com</u> ; <u>galen.f.rick@gmail.com</u> ; <u>toddm1965@gmail.com</u> ; <u>kwaldhaus@gmail.com</u>

Re: Liquor License # 59 DBA: American Legion Post #28

Craig but must ultimately be approved by the Board.

Dear Applicant:

I have received your application for renewal of your liquor license. Our staff has reviewed your application after receiving your application and required fees. Your renewal documents appear to be in order, and I have determined that your application is complete for purposes of AS 04.11.510, and AS 04.11.520. As previously discussed, the requested medical waiver was approved by supervisor Carrie

Your application is now considered complete and will be sent electronically to your local governing body, your community council if your proposed premises is in Anchorage or certain locations in the Matanuska-Susitna Borough, and to any non-profit agencies who have requested notification of applications. The local governing body will have 60 days to protest the renewal of your license or waive protest.

Your application will be scheduled for the **1/5/2020** board meeting for Alcoholic Beverage Control Board consideration.

The Zoom link and call-in number for the meeting will be posted on our home page. The board will not grant or deny your application at the meeting unless your local government waives its right to protest per AS 04.11.480(a).

Please feel free to contact us via email at <u>alcohol.licensing@alaska.gov</u> if you have any questions.

Sincerely,

Nathanael D Hall Occupational Licensing Examiner