MEMORANDUM

TO: Alcoholic Beverage Control Board  DATE: June 10, 2021
FROM: Kristina Serezhenkov, OLE  RE: #4811 Alaska Yacht Charters

Requested Action: Reinstatement and renewal application

Statutory and Regulatory Authority:
AS 04.06.090(b): “The board shall review all applications for licenses made under this title and may order the director to issue, renew, revoke, transfer, or suspend licenses and permits authorized under this title.”

AS 04.11.540: “…If a complete application for renewal has not been filed by February 28 or the required fees and the penalty fees have not been paid by that date, the license expires at 12:00 midnight February 28.”

3 AAC 304.160(f): “The board will deny a request for reinstatement submitted under (e) of this section if (1) the license became available in accordance with 3 AAC 304.100(2) and was issued to a different applicant, unless the limit of licenses under AS 04.11.400 has not been reached; or (2) the board finds that the failure to timely file or pay was caused by (A) the licensee's failure to notify the board of a change of the licensee's mailing address; (B) a transfer of ownership of the business for which the license was issued without written approval of the board in violation of AS 04.11.040; (C) a lease of the licensed business to another person in violation of AS 04.11.450(c); or (D) any other action of the licensee whether active or tacit that the board finds constitutes a failure to lawfully operate the business for which the license was issued.”

Staff Rec.: Evaluate reinstatement request in accordance with 3 AAC 304.160(f).

Background: During the 2020/2021 licensing period, a completed renewal application was not submitted by the February 28, 2020 deadline. A letter with notice to submit a transfer application and with a renewal reminder was emailed on December 16, 2019. An incomplete renewal application was received on January 2, 2020. AMCO staff requested corrections via email on February 26, 2020. A reminder email and expiration warning notice was emailed on March 6, 2020. There was no response and the license was expired on March 12, 2020 with notice mailed to the licensee the same day. The licensee has submitted a request for reinstatement, paid all required fees and the renewal application was deemed complete on June 1, 2021.
Attachments:  Request for Reinstatement
AB-17
Renewal Reminder & Notice to Submit Transfer Application
Status Email
Warning Email
Expiration Letter
Department of Commerce, Community, and Economic Development
ALCOHOL AND MARIJUANA CONTROL OFFICE

After completing our charter season in 2019 my wife Debbie Bennett and I decided, after consulting with our lawyer, we should change the form of our business Alaska Yacht Charters from a partnership to an LLC. After doing this we gave notice to the State of Alaska to obtain a new business license in the name Alaska Yacht Charters LLC. The ownership of the business stayed the same. In early December we notified the Alcohol and Marijuana Control Office of this change.

On December 16, 2019 we received a letter from Carrie Craig, Records and Licensing Supervisor in the Control Office that we would need to transfer our liquor license from the partnership to the LLC. I called Ms. Craig and she pointed out that our license was going to expire on February 28 and that if we did not submit the required fees and renewal application by December 31 we would have to pay a $500 fine. Further she pointed out that we could not make the transfer by that date. As I wanted to avoid the fine I asked her what we should do. She told me to send the renewal application in the name of the Partnership and a check for the amount due.

On March 12 of 2020 we received a notice that our license had expired indicating:

*Because a complete application for renewal and/or the required fees were not filed with the Alcohol & Marijuana Control Office (AMCO) by February 28, 2020, your liquor license expired at midnight, February 28, 2020, per AS 04.11.540.*

By this time Debbie and I, as well as most of our competitors were looking at the very likely possibility that we would not be doing any business chartering in Alaska that year and were considering selling the Alaskan Story our 90 foot Westport motor/yacht. Canada shortly thereafter closed its borders and is appeared that our clients for the summer would not be able to travel into Alaska.

We have always made certain that we abided by the laws of the State of Alaska and the Federal Government. Many of our competitors have not: Few have liquor licenses. As soon as we found out that the license was required we obtained it.

“Simply the best crewed yacht charter in Alaska”
We believed we had complied with the requirements by sending the check for payment in full for the fees and Debbie was certain that she had sent the application. It only seemed reasonable that if the check had arrived without the application it would not have been cashed.

We now realize that the mistake Debbie made was in sending the check and the application for renewal not to Alaska Yacht Charters, a General Partnership but to Alaska Yacht Charters LLC. Given the chaos created by the sudden explosion of the pandemic across the land and the expectation that our business was probably not going to survive I failed to respond timely to the warnings from your office.

Geoffrey Wilson
Alaska Yacht Charters LLC
PO box 11301
Bainbridge Island, WA 98110
Alaska Alcoholic Beverage Control Board

Master Checklist: Renewal Liquor License Application

<table>
<thead>
<tr>
<th>Document</th>
<th>Received</th>
<th>Completed</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>AB-17: Renewal Application</td>
<td>5/7/21</td>
<td>6-1-2021</td>
<td></td>
</tr>
<tr>
<td>App and License Fees</td>
<td>6-1-2021</td>
<td>6-1-2021</td>
<td>5/7/21 Reinstatement Fee Paid</td>
</tr>
<tr>
<td>Supplemental Document</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tourism/Rec Site Statement</td>
<td></td>
<td></td>
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<tr>
<td>AB-25: Supplier Cert (WS)</td>
<td></td>
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<tr>
<td>AB-29: Waiver of Operation</td>
<td></td>
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<tr>
<td>AB-30: Minimum Operation</td>
<td></td>
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<tr>
<td>AB-33: Restaurant Affidavit</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>COI / COC / 5 Star</td>
<td>6-1-2021</td>
<td>6-1-2021</td>
<td>needed: 5-Star revd exp 12-3-2023</td>
</tr>
<tr>
<td>FP Cards &amp; Fees / AB-08a</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Late Fee</td>
<td>6-1-21</td>
<td>6-1-2021</td>
<td>receipt # 100073781</td>
</tr>
</tbody>
</table>

Names on FP Cards:

<table>
<thead>
<tr>
<th>CBPL Entity Printout included?</th>
<th>Yes</th>
<th>No</th>
<th>N/A</th>
</tr>
</thead>
<tbody>
<tr>
<td>Business License Copy included?</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Background(s) Completed & Date: [ ]

Special Consideration: [ ]

Board Meeting Date: [ ]

LGB Sent Date: [ ]

LGB Deadline Date: [ ]

LGB 1 Name: [ ]

LGB 2 Name: [ ]

[Master Checklist: Renewal] (rev 09/21/2020)
### Establishment Contact Information

| Licensee (Owner): | Geoffrey Wilson - Debbie Bennett | License #: | 4811 |
| License Type: | Common Carrier - Seasonal |
| Doing Business As: | Alaska Yaht Charter |
| Premises Address: | Rainbow Lodge |
| Local Governing Body: | |
| Community Council: | |

If your mailing address has changed, write the NEW address below:

| Mailing Address: | PO Box 1130 |
| City: | Bremerton WA |
| State: | WA |
| ZIP: | 98310 |

### Section 1 – Licensee Contact Information

**Contact Licensee:** The individual listed below must be listed in Section 2 or 3 as an Official/Owner/Shareholder of your entity and must be listed on CBPL with the same name and title.

This person will be the designated point of contact regarding this license, unless the Optional contact is completed.

| Contact Licensee: | Geoffrey A. Wilson | Contact Phone: | (206) 780-0822 |
| Contact Email: | AYCSTORY @ GMAIL.COM |

**Optional:** If you wish for AMCO staff to communicate with anyone other than the Contact Licensee about your license, list them below:

| Name of Contact: | Debbie Bennett | Contact Phone: | (206) 780-0822 |
| Contact Email: | AYCSTORY @ GMAIL.COM |

| Name of Contact: | |
| Contact Phone: | |
| Contact Email: | |

[Form AB-17] (rev09/23/2020)
Section 2 – Entity or Community Ownership Information

Sole Proprietors should skip this Section.
Use the link from Corporations, Business and Professional Licensing (CBPL) below to assist you in finding the Entity #.
https://www.commerce.alaska.gov/cbp/main/search/entities

<table>
<thead>
<tr>
<th>Alaska CBPL Entity #:</th>
</tr>
</thead>
</table>

READ BEFORE PROCEEDING: Any new or changes to Shareholders (10% or more), Managers, Corporate Officers, Board of Directors, Partners, Controlling Interest or Ownership of the business license must be reported to the ABC Board within 10 days of the change and must be accompanied by a full set of fingerprints on FBI-approved card stock, AB-08a’s, payment of $48.25 for each new officer with a date-stamped copy of the CBPL change per AS 04.11.045, 50 & 55, or a Notice of Violation will be issued to your establishment and your application will be returned.

The only exception to this is a Corporation who can meet the requirements set forth in AS 04.11.050(c).

DO NOT LIST OFFICERS OR TITLES THAT ARE NOT REQUIRED FOR YOUR ENTITY TYPE.

- Corporations of any type including non-profit must list ONLY the following:
  - All shareholders who own 10% or more stock in the corporation
  - Each President, Vice-President, Secretary, and Managing Officer regardless of percentage owned

- Limited Liability Corporations, of any type must list ONLY the following:
  - All Members with an ownership interest of 10% or more
  - All Managers (of the LLC, not the DBA) regardless of percentage owned

- Partnerships of any type, including Limited Partnerships must list ONLY the following:
  - Each Partner with an interest of 10% or more
  - All General Partners regardless of percentage owned

Important Note: All entries below must match our records, or your application will be returned per AS 04.11.270, 3 AAC 304.105. You must list full legal names, all required titles, phone number, percentage of shares owned (if applicable) and a full mailing address for each official of your entity whose information we require. If more space is needed: attach additional completed copies of this page. Additional information not on this page will be rejected.

<table>
<thead>
<tr>
<th>Name of Official:</th>
<th>Phone:</th>
<th>% Owned:</th>
</tr>
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<tbody>
<tr>
<td>Title(s):</td>
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<tr>
<td>Mailing Address:</td>
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<tr>
<td>City:</td>
<td>State:</td>
<td>ZIP:</td>
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<tr>
<td>Mailing Address:</td>
<td></td>
<td></td>
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<tr>
<td>City:</td>
<td>AMCO</td>
<td>AMCO</td>
</tr>
</tbody>
</table>

[Form AB-17] (rev09/23/2020)
Section 3 – Sole Proprietor Ownership Information

Corporations, LLC’s and Partnerships of ALL kinds should skip this section.

READ BEFORE PROCEEDING: Any new or changes to the ownership of the business license must be reported to the ABC Board within 10 days of the change and must be accompanied by a full set of fingerprints on FBI approved cardstock, AB-08a’s, payment of $48.25 for each new owner or officer and a date stamped copy of the CBP change per AS 04.11.045, or a Notice of Violation will be issued to your establishment and your application will be returned.

Important Note: All entries below must match our records, or your application will be returned per AS 04.11.270, 3 AAC 304.105. You must list full legal names, phone number, and mailing address for each owner or partner whose information we require.

If more space is needed, attach additional copies of this page. Additional owners not listed on this page will be rejected.

This Individual is an: [ ] Applicant [ ] Affiliate

<table>
<thead>
<tr>
<th>Name:</th>
<th>Contact Phone:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Geoffrey Wilson</td>
<td>(907) 280-0822</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Mailing Address:</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>PO Box 11301</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>City:</th>
<th>State:</th>
<th>ZIP:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bainbridge IS</td>
<td>WA</td>
<td>98110</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Email:</th>
<th></th>
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</thead>
<tbody>
<tr>
<td><a href="mailto:AHCSTORY@GMAIL.COM">AHCSTORY@GMAIL.COM</a></td>
<td></td>
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</table>

This Individual is an: [ ] Applicant [ ] Affiliate

<table>
<thead>
<tr>
<th>Name:</th>
<th>Contact Phone:</th>
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<tbody>
<tr>
<td>Debbie Bennett</td>
<td>(206) 780-0922</td>
</tr>
</tbody>
</table>

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<td><a href="mailto:AHCSTORY@GMAIL.COM">AHCSTORY@GMAIL.COM</a></td>
<td></td>
</tr>
</tbody>
</table>

Section 4 – License Operation

Check ONE BOX for EACH CALENDAR YEAR that best describes how this liquor license was operated:

1. The license was regularly operated continuously throughout each year. (Year-round)

2. The license was only operated during a specific season each year. (Seasonal)
   If your operation dates have changed, list them below:
   
   May 15 to Sept 15

3. The license was only operated to meet the minimum requirement of 240 total hours each calendar year.
   A complete AB-50: Proof of Minimum Operation Checklist, and all documentation must be provided with this form.

4. The license was not operated at all or was not operated for at least the minimum requirement of 240 total hours each year, during one or both calendar years. A complete Form AB-29: Waiver of Operation Application and corresponding fees must be submitted with this application for each calendar year during which the license was not operated.

If you have not met the minimum number of hours of operation in 2020, you are not required to pay the fees, however a complete AB-29 is required with Section 2 marked “OTHER” and COVID is listed as the reason.

Section 5 – Violations and Convictions

Have ANY Notices of Violation been issued for this license OR has ANY person or entity in this application been convicted of a violation of Title 04, 3 AAC 304 or a local ordinance adopted under AS 04.21.010 in 2019 or 2020?

If you checked YES, you MUST attach a list of all Notices of Violation and/or Convictions per AS 04.11.270(a)(2)

If you are unsure if you have received any Notices of Violation, contact the office before submitting this form.
Section 6 – Certifications

As an applicant for a liquor license renewal, I declare under penalty of perjury that I have read and am familiar with AS 04 and 3 AAC 304, and that this application, including all accompanying schedules and statements, are true, correct, and complete.

- I agree to provide all information required by the Alcoholic Beverage Control Board or requested by AMCO staff in support of this application and understand that failure to do so by any deadline given to me by AMCO staff will result in this application being returned and potentially expired if I do not comply with statutory or regulatory requirements.
- I certify that all current licensees (as defined in AS 04.11.260) and affiliates have been listed on this application, and that in accordance with AS 04.11.450, no one other than the licensee(s) has a direct or indirect financial interest in the licensed business.
- I certify that this entity is in good standing with Corporations, Business and Professional Licenses (CBPL) and that all entity officials and stakeholders are current and accurately listed, and I have provided AMCO with all required changes of Shareholders (10% or more), Managers, Corporate Officers/Board of Directors, Partners, Controlling Interest or Ownership of the business license, and have provided all required documents for any new or changes in officers.
- I certify that all licensees, agents, and employees who sell or serve alcoholic beverages or check identification of patrons have completed an alcohol server education course approved by the ABC Board and keep current, valid copies of their course completion cards on the licensed premises during all working hours, if applicable for this license type as set forth in AS 04.21.025 and 3 AAC 304.465.
- I certify that I have not altered the functional floor plan or reduced or expanded the area of the licensed premises, and I have not changed the business name from what is currently approved and on file with the Alcoholic Beverage Control Board.

I certify on behalf of myself or of the organized entity that I have not made any false statement on this form or any other form provided by AMCO is grounds for rejection of renewal of this application or revocation of any license issued.

Signature of licensee

Signature of Notary Public

Printed name of licensee

Notary Public in and for the State of:

My commission expires: __________

Subscribed and sworn to before me this ___ day of May, 2021.

Restaurant/Eating Place applications must include a completed AB-33: Restaurant Receipts Affidavit
Recreational Site applications must include a completed Recreational Site Statement
Tourism applications must include a completed Tourism Statement
Wholesale applications must include a completed AB-25: Supplier Certification

All renewal and supplemental forms are available online

Any application that is not complete or does not include ALL required completed forms and fees will not be processed and will be returned per AS 04.11.270, 3 AAC 304.105.

FOR OFFICE USE ONLY

<table>
<thead>
<tr>
<th>License Fee:</th>
<th>$</th>
<th>Application Fee:</th>
<th>$ 300.00</th>
<th>Misc. Fee:</th>
<th>$ 1000</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Fees Due:</td>
<td>AMCO $ 1000</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

JUN. 1 2021

AMCO

MAY 7 2021
<table>
<thead>
<tr>
<th>Subject</th>
<th>Y</th>
<th>N</th>
<th>N/A</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. DISPLAY OF NUMBERS, NAME, MARKINGS: Name, hailing port, proper size, current AK sticker. State vessels - 33 CFR 173. Documented vessels - 46 CFR 60.</td>
<td>X</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>2. NAVIGATION LIGHTS: Proper navigation lights and all around anchor light. 33 CFR 84, COLREGS R2, Rule 20 and 23.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>3. SOUND PRODUCING DEVICE: Vessels less than 12 m. (39.4 ft) require means to make efficient sound: whistle, horn, or other. 72 COLREGS Rule 33.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>4. REGISTRATION OR DOCUMENTATION: AK registration or Documentation must be current &amp; onboard. 46 CFR 67, 33 CFR 173.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>5. HULL IDENTIFICATION NUMBER or OFFICIAL Number: HIN permanently marked-33 CFR 181.23 or Official # permanently affixed-46 CFR 67.121. If monohull &lt; 20 ft, CG Capacity Plate visible. 33 CFR 183.23.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>6. USCG LICENSE: On board, current, licensed for area of operation &amp; tonnage. 46 CFR 15.605 &amp; 905, 46 CFR 26.20 &amp; 15.401.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>Note: TWIC no longer required for UPV operations.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>7. TWO LICENSED OPERATORS. Operator aware of requirement for adequate watches for voyages &gt;12 hrs. 46 USC 8104(b).</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>8. PFDs: ONE APPROVED READILY AVAILABLE TYPE for commercial TYPE V (Type V must be worn when not in enclosed space) of appropriate size for each person on board. Inspect Type V cylinder. 46 CFR 25.25.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>9. EACH Type I or V PFD equipped with retro reflective material (31 sq. in.) in good serviceable condition. 46 CFR 25.25-15.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>10. RING BUOY: At least One CG approved 20 inches or greater on vessels 25 ft or greater. Immediately available with GRAB LINE, serviceable condition. 46 CFR 25.25-5 (d).</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>11. VISUAL DISTRESS SIGNALS (VDS) INTERNATIONAL. Minimum 3 day/night flares/aerial rockets or approved signal, NOT EXPIRED. Watertight containers. 33 CFR 175.101/103/105.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>12. FIRE EXTINGUISHERS: CG approved, proper number &amp; size, mounted, gauges or current inspection tags. 46 CFR 25.30.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>13. BACKFIRE FLAME ARRESTOR: For gasoline engines only, approved type, properly installed, and clean. 46 CFR 25.33.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>14. VENTILATION: Gas engines w/ closed compartments. WARNING 1 label by ignition switch. Installed blower must be operable - list. 46 CFR 25.40.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>15. FUEL SYSTEM: Tanks secure, Over 7 gallons are considered permanent &amp; must be grounded &amp; vented. Approved hose in good condition, no leaks. 33 CFR 183.514, 524, 528, &amp; 572.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>16. POLLUTION PLACARD: Vessels 26 ft. &amp; over winchmanship compartment. 33 CFR 155.450.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>17. ELECTRICAL SYSTEMS: Batteries secure, terminals covered, well organized wiring, proper fuses/circuit breaker. 33 CFR 183.420.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>18. MARINE SANITATION DEVICE – (MSD) Installed MSDs must be approved and operable, overboard discharge secured by acceptable method. 33 CFR 159.7.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>19. GALLEY / HEATING SYSTEMS: Secure system, proper tank installation. No flammable material nearby. 46 CFR 25.45.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>20. MARINE TRASH PLACARD: Must be displayed on vessels 26 ft. and over. 33 CFR 151.59.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>21. OVERALL VESSEL CONDITION: Bilge &amp; Equipment area clean, well maintained. Not overloaded or overpowered. Hull sound. No visible rot.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>22. CHARTS &amp; PUBLICATIONS: International Rules apply. Charts, Coast Pilot, light list, tide &amp; current tables or extracts on board. 46 CFR 26.05-4.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>23. COMMUNICATIONS: Power driven vessel 65.6 ft (20 m) or over: Radiotelephone (VHF-FM) required. 33 CFR 26.03. Note: FCC Station license not required for typical UPV unless Intl’ voyage.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>24. WASTE MANAGEMENT PLAN: Ocean going vessels 40 ft or greater must have written plan. 33 CFR 151.57. Ocean going = greater than 3 miles beyond boundary line.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>25. SAFETY ORIENTATION GIVEN BEFORE EACH VOYAGE. 46 CFR 26.03 (or instructional placard provided).</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>26. EMERGENCY INSTRUCTIONS POSTED. 46 CFR 26.03. Instruction should cover rough weather, man overboard, and fire.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>27. PASSENGER COUNTS TAKEN. 46 USC 3502. Note: If 100 GT or greater, master must prepare and pass ashore voyage plan with crew and passenger list. 46 CFR 26.03-9.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>28. OPERATOR AWARE OF PROPER ACCIDENT AND CASUALTY REPORTING. 46 CFR 4.05.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>29. DRUG TESTING PROGRAM IN EFFECT: (Pre-employment, random, periodic, probable cause, serious casualty) 46 CFR 16.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>29a. CREW MEMBERS in safety sensitive position enrolled in drug testing program 46 CFR 16.210.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>29b. MEANS FOR 2 HR ALCOHOL TEST ON BOARD following serious marine incident. 46 CFR 16.240.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>30a. If 100 GT or greater (12 pack), operating more than 3 miles seaward of territorial sea base line, must have EBBIRB CAT 1, float free EPIRB on board and registered. 46 CFR 25.10-10.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>30b. If 100 GT or greater (12 pack), must have survival craft for ocean voyage with capacity for all on board. 46 CFR 25.25-17.</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
<tr>
<td>30c. If 100 GT or greater (12 pack), 3 life rings 20 in or greater. 46 CFR 25.25-5 (d)</td>
<td>N</td>
<td>N</td>
<td>N/A</td>
</tr>
</tbody>
</table>
A voluntary UPV dockside examination has been completed. However a UPV Safety Decal cannot be issued due to the following deficiencies (note deficiency by item number, with an explanation, and identify any Extremely Hazardous Conditions (EHC)). Use additional or back of sheet as required.

When these deficiencies are corrected, please call this number to schedule a re-examination:
Examiner Name: ___________________________ Phone Number: ___________________________

Congratulations! Your vessel is in compliance with all applicable UPV regulations. The decal is valid for 2 years provided that all regulatory and operating requirements remain current. Please keep this form on board and show it to the Coast Guard if the vessel is boarded.

I CERTIFY that I have personally examined the vessel and find it meets the requirements of this report at the time of the examination.

Examiner Printed Name: ___________________________ Examiner Signature: ___________________________

By accepting this UPV decal I pledge to always maintain my vessel and equipment to the standard of safety qualified for during this examination. I will remove this decal if the vessel is sold or the vessel no longer meets these requirements.

Vessel Representative Signature: ___________________________ Date: 05/17/2021
### 5 STAR SAFETY REQUIREMENTS:

Optional Examination:
One Star awarded for each requirement satisfied.

- **1.** Vessel has a safety training program and the training program is documented.
  - **Y** ✓✓
- **2.** Vessel has high capacity bilge pump and audible high water alarm. Alarm must be heard in all parts of vessel. Test alarm and pump.
  - **Y** ✓✓
- **3.** Portable handheld 5 watt VHF FM Radio or properly functioning Satellite telephone. Test operation.
  - **Y** ✓✓
- **4.** Properly mounted and registered 406 MHZ Cat. I or Cat. II EPIRB. Tested monthly.
  - **Y** ✓
- **5.** CG Approved life raft or Inflatable Buoyant Apparatus (IBA), valise or canister type, w/ minimum capacity for all passengers carried or equivalent.  
  
  **Option A:** If operating inside the Boundary Line, a commercial quality inflatable skiff with a rated capacity for all passengers, fully inflated, in good serviceable condition, is an acceptable alternative if always carried on board and ready for immediate deployment.
  - **Y**

---

D17 UPV/5 star Website:  
http://www.alaska5star.us

---

<table>
<thead>
<tr>
<th>Was a UPV Decal Issued?</th>
<th>UPV Decal #</th>
<th>5 Star Participant</th>
<th>Number Safety Stars Earned</th>
</tr>
</thead>
<tbody>
<tr>
<td>☑ Yes ✓ No</td>
<td>G053</td>
<td>☑ Yes ❌ No</td>
<td>5</td>
</tr>
</tbody>
</table>

**DATE EXPIRES: DEC 31 2023**

---

**Examiner's Printed Name:** Drayton Parker  
**Signature:**

**Telephone Number:** 907-463-2498

**Owner/Operator Printed Name:** Charles G. Payne  
**Signature:**

**Telephone Number:**

---

**NOTICE**

This check list is furnished for your information. There is no assumption of liability of any kind for either services given or any options expressed in connection with this examination. BY ACCEPTING THE UPV DECAL, YOU ARE PLEDGING TO MAINTAIN YOUR BOAT AND EQUIPMENT TO THE STANDARDS OF SAFETY EXHIBITED DURING THE EXAMINATION. THIS EXAMINATION IS NOT AN OFFICIAL BOARDING FOR LAW ENFORCEMENT PURPOSES. It is recommended that you correct any deficiencies noted for your own safety and/or compliance with regulations. ALL INFORMATION GIVEN TO THE U.S. COAST GUARD EXAMINER IS VOLUNTARY. REMOVE THE DECAL(S) IF THIS VESSEL IS SOLD or CONDITIONS ARE NO LONGER MET.
Cristina Serezhenkov  
Licensing Examiner  

Please see attachments for transfer of Liquor License from Alaska Yacht Charters to Alaska Yacht Charters LLC. We are mailing originals with fees due and fingerprint cards.  

Debbie Bennett  
Alaska Yacht Charters LLC
LICENSING DETAILS

License #: 185144
Business Name: ALASKA YACHT CHARTERS
Status: Expired
Issue Date: 03/29/1994
Expiration Date: 12/31/2020
Mailing Address: PO BOX 11301
BAINBRIDGE IS, WA 98110-5301
Physical Address: PO BOX 11301
BAINBRIDGE IS, WA 98110-5301

Owners
GEOFFREY WILSON
DEBBIE BENNETT

Activities

<table>
<thead>
<tr>
<th>Line of Business</th>
<th>NAICS</th>
</tr>
</thead>
<tbody>
<tr>
<td>71 - Arts, Entertainment and</td>
<td>713990 - ALL OTHER AMUSEMENT AND</td>
</tr>
<tr>
<td>Recreation</td>
<td>RECREATION INDUSTRIES</td>
</tr>
</tbody>
</table>

Endorsements
No Endorsements Found

License Lapse(s)

If this business license lapsed within the last four years the lapsed periods will appear below. Lapsed periods are the unlicensed period between an expiration date and renewal date.
Good morning,

I have reviewed the renewal/reinstatement application and your letter of explanation required for reinstatement submitted 5/7/2021 for the 2020/2021 renewal period. At this time, the application is considered incomplete. Please review the following for instructions on how to complete your application.

Fees Owed:

- Submit payment for the additional fees listed below:
  - $500.00 late fee

Application Version:

- The application submitted is for the 2021/2022 renewal period and so at the top of each page of the 4 page application where 2021/2022 is written I have crossed out those years and added in the margins 2020/2021 as this is your correct renewal period.
  - Initial each of the 4 pages to indicate that the reinstatement/renewal application is for the correct renewal period of 2020/2021.

AB-17 Establishment Contact Info:

- Remove the information in the premises address line. This line should remain blank for your license type.
AB-17 Section 4- License Operation:

- The calendar years for this application are 2018 and 2019 and I have clearly marked this on the application by crossing out the wrong years (2019 and 2020) and adding the correct years. Mark one box for each calendar year 2018 and 2019.

AB-17 Section 5- Violations and Convictions:

- This question covers calendar years 2018 and 2019 and I have clearly marked this on the application by crossing out the wrong years (2019 and 2020) and adding the correct years. IF your answer is NO just place your initials near this section to indicate you are answering NO for calendar years 2018 and 2019. IF your answer is YES, correct the application box marked and provide the needed documentation as requested.

COI/5 Star:

- Under 3 AAC 304.155 you must provide an current copy of a USCG inspection document such as the USCG 5-Star Program or Certificate of Inspection (COI) for your vessel. For vessels that are not required to be inspected by the US Coast Guard and do not have a US Coast Guard Certificate of Compliance (COC-for foreign vessels), AMCO requires participation in the 5-Star Safety Program, which is a no-cost program where the US Coast Guard conducts a limited inspection of the vessel and upon a successful inspection, provides a two-year 5-Star Decal.

- If you do not have a current document (COI, 5 Star, etc) your license will not be issued until one is received but we are still able to process your renewal/reinstatement application and move it to the Board for consideration.

Just an FYI (this is not a requirement) it is noted that your business license showing the AMCO approved ownership structure is expired. A copy of the license detail for Alaska Yacht Charters #185144 is attached for your information.
Please make the necessary corrections on the attached documents and return to this email as soon as possible. To be included on the agenda for the 6/22/2021 Board meeting your application must be deemed complete by end of business on 6/4/2021. Completed documents (with the exception of fingerprint cards or payments) may be scanned and emailed, and questions or concerns may be sent to alcohol.licensing@alaska.gov.

Thank you for your immediate diligence toward completing your application.

Kristina Serezhentkov
Licensing Examiner
Alcohol and Marijuana Control Office
550 West 7th Avenue, Suite 1600
Anchorage, Alaska 99501

907-269-0359
December 16, 2019

Geoffrey Wilson & Debbie Bennett  
DBA Alaska Yacht Charters  
PO Box 11301  
Bainbridge, WA 98110

Via Email: aksong@aol.com

RE: Alaska Yacht Charters, License #4811

Dear Geoffrey Wilson & Debbie Bennett:

Our office is in receipt of your written notice regarding the change from a sole/partner proprietorship to a limited liability company. AS 04.11.040(c) states that a person may not receive or transfer controlling interest in a liquor license issued to a partnership, including a limited partnership, a limited liability organization, or a corporation under this title, except with the written consent of the board. Written consent of the board means that you will be required to complete the transfer process to the new ownership structure.

In addition, your license expires February 28, 2020 and we have not received your renewal application to date. Please complete the renewal application with the board-approved ownership structure and submit.

Renewal applications postmarked, emailed, or brought into the AMCO office after close of business at 4:00pm on December 31, 2019, will be assessed a $500.00 late fee in addition to the regular renewal fees, as set forth under AS 04.11.270(b)(3). Any incomplete application or any license application and required fees not postmarked or received in AMCO’s Anchorage office by February 28, 2020, will result in expiration of the liquor license, as set forth under AS 04.11.540.

If you have questions regarding this matter or need a copy of your renewal application, please contact alcohol.licensing@alaska.gov.

Transfer application forms, fees and instructions can be downloaded from our website at the following link: https://www.commerce.alaska.gov/web/amco/AlcoholLicenseApplication.aspx

Thank you.
Sincerely,

Carrie Craig  
Records and Licensing Supervisor  
Alcohol and Marijuana Control Office

Cc: License file
Hello Mr. Wilson:

Thanks for answering my call a bit ago. I left you a voicemail, but I figured it would be easier to write it all down for you.

I spoke with the boss, her name is Carrie. She stated that you are required complete the application as a sole proprietorship. This must be completed by COB 02/28/2020 or the license will be expired.

You have 30 days to comply with the letter that was sent in December(See attached,) which means transfer paperwork must be turned in, paid for and accepted by this office no later than COB on 03/27/2020 or action may be taken against your license.

Attached is page 2 of the application. Please mark it out, write “completed in error” and return to this office ASAP.

Thanks,

Randi Baker
Occupational Licensing Examiner
Alcohol and Marijuana Control Office
Phone: 907.269.0350
alcohol.licensing@alaska.gov
marijuana.licensing@alaska.gov

Please consider the environment before printing this e-mail.
This is a follow up to the previous email.

I must have your corrections and completed application (including fingerprints, documents and fees) in my possession no later than 03/11/2020 at 4pm or your license will be expired.

Your immediate attention to this matter is appreciated.

3 AAC 304.160, License renewals

(a) For purposes of AS 04.11.270 (b), a license renewal is timely and not delinquent if received at the board's main office or postmarked on or before December 31.

(b) For purposes of AS 04.11.540, an application for renewal of a license as described in that section is considered submitted by February 28 if the renewal application is received at the board's main office or postmarked on or before February 28.

(c) If a mailed renewal application has an illegible postmark or an undated postmark, the postmark date is rebuttably presumed to be five calendar days before the application was received in the board's office.

(d) In this section, "postmark" means the official cancellation stamp used by the United States Postal Service that records the date and place of mailing.

(e) For the purposes of AS 04.11.540, if a license has expired for failure to file a complete application for renewal by February 28 or for failure to pay the required fees and penalty fees by that date, the board will consider a written request to reinstate the license if the request is accompanied by

1. a complete application;
2. a reinstatement fee of $1,000;
3. all other required fees and penalty fees; and
4. proof of good cause for the failure to file and pay by February 28.

(f) The board will deny a request for reinstatement submitted under (e) of this section if

1. the license became available in accordance with 3 AAC 304.100
2. and was issued to a different applicant, unless the limit of licenses under AS 04.11.400 has not been reached; or
3. the board finds that the failure to timely file or pay was caused by

   (A) the licensee's failure to notify the board of a change of the licensee's mailing address;
   (B) a transfer of ownership of the business for which the license was issued without written approval of the board in violation of AS 04.11.040;
   (C) a lease of the licensed business to another person in violation of AS
From: Alcohol Licensing, CED ABC (CED sponsored)
Sent: Wednesday, February 26, 2020 2:46 PM
To: 'aksong@yahoo.com' <aksong@yahoo.com>
Cc: Alcohol Licensing, CED ABC (CED sponsored) <alcohol.licensing@alaska.gov>
Subject: Corrections for 4811

Hello Mr. Wilson:

Thanks for answering my call a bit ago. I left you a voicemail, but I figured it would be easier to write it all down for you.

I spoke with the boss, her name is Carrie. She stated that you are required complete the application as a sole proprietorship. This must be completed by COB 02/28/2020 or the license will be expired.

You have 30 days to comply with the letter that was sent in December(See attached,) which means transfer paperwork must be turned in, paid for and accepted by this office no later than COB on 03/27/2020 or action may be taken against your license.
Attached is page 2 of the application. Please mark it out, write “completed in error” and return to this office ASAP.

Thanks,

Randi Baker
Occupational Licensing Examiner
Alcohol and Marijuana Control Office
Phone: 907.269.0350
alcohol.licensing@alaska.gov
marijuana.licensing@alaska.gov

Please consider the environment before printing this e-mail.

Any guidance provided by this electronic communication is not a binding legal opinion, ruling, or interpretation that may be relied upon, but merely guidance concerning existing statutes and regulations. There may be other unique or undisclosed facts, circumstances, and information that may have changed any guidance provided in this communication.

CONFIDENTIALITY NOTICE: This communication is intended for the sole use of the individual or entity to whom it is addressed, is covered by the Electronic Communications Privacy Act (18 USC § 2510-2521), and may contain Confidential Official Use Only Information that may be exempt from public release under the Freedom of Information Act (5 USC § 552). If you are not the intended recipient, you are prohibited from disseminating, distributing, or copying any information contained in this communication.

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March 12, 2020

Geoffrey Wilson & Debbie Bennett  
PO Box 11301  
Bainbridge Island, WA 98110

Re: Expiration and Mandatory Cessation of Operation of License #4811 DBA Alaska Yacht Charters

YOUR LIQUOR LICENSE HAS EXPIRED

Dear Geoffrey Wilson & Debbie Bennett:

Because a complete application for renewal and/or the required fees were not filed with the Alcohol & Marijuana Control Office (AMCO) by February 28, 2020, your liquor license expired at midnight, February 28, 2020, per AS 04.11.540.

THE SERVICE, SALE, CONSUMPTION, MANUFACTURE, AND/OR DISTRIBUTION OF ALCOHOL ON YOUR PREMISES MUST CEASE IMMEDIATELY.

If you submitted a renewal application that was determined to be incomplete, your license has expired for failure to complete the application by the statutory deadline.

The Alcoholic Beverage Control (ABC) Board will consider a written request to reinstate the license if the request is accompanied by a complete renewal application, all required fees (including any penalties and $1000.00 reinstatement fee), and proof of good cause for the failure to file and pay by February 28, 2020, per 3 AAC 304.160(e). If you wish for this request to be considered by the ABC Board at its meeting on March 31 – April 1, 2020, all items must be complete and received by AMCO staff on or before March 13, 2020.

If you submitted a renewal application (and fees) for a license that has expired for failure to complete the application by the deadline, and if you do not wish to request that the ABC Board reinstate and renew your license, you may request a refund of your license fee by contacting AMCO staff. The $300 renewal application fee and $500 late penalty fee are non-refundable.

Completed documents (with the exception of fingerprint cards and checks) may be scanned and emailed, and any questions or concerns may be sent to alcohol.licensing@alaska.gov.

Sincerely,

Carrie Craig, Records and Licensing Supervisor  
Alcohol and Marijuana Control Office