STATE OF ALASKA - ALCOHOLIC BEVERAGE CONTROL BOARD FORM CONTROL

LICENSE NUMBER

XXXX

LIQUOR LICENSE **ISSUED** 2021 - 2022 10/26/2021

5254

LICENSE RENEWAL APPLICATION DUE DECEMBER 31, 2022 (AS 04.11.270(b))

THIS LICENSE EXPIRES MIDNIGHT FEBRUARY 28, 2023 UNLESS DATED BELOW

TYPE OF LICENSE: Common Carrier

**ABC BOARD** 

LICENSE FEE: \$1,000.00

1120

D/B/A: M/V Sikumi

Alaskan Waters

Mail Address: Coastal Alaskan Adventures Corporation PO Box 881153

Steamboat Springs, Co 80488

CITY / BOROUGH: Alaskan Waters

Other (Common Carrier)

This license cannot be transferred without permission of the Alcoholic Beverage Control Board

[ ] Special restriction - see reverse side

ISSUED BY ORDER OF THE ALCOHOLIC BEVERAGE CONTROL BOARD

04-900 (REV 9/09)

THIS LICENSE MUST BE POSTED IN A VISIBLE PLACE ON THE PREMISES

STATE OF ALASKA - ALCOHOLIC BEVERAGE CONTROL BOARD FORM CONTROL

LICENSE NUMBER

XXXX

LIQUOR LICENSE **ISSUED** 

2021 - 2022

5254

LICENSE RENEWAL APPLICATION DUE DECEMBER 31, 2022 (AS 04.11.270(b))

THIS LICENSE EXPIRES MIDNIGHT FEBRUARY 28, 2023 UNLESS DATED BELOW

TYPE OF LICENSE: Common Carrier

10/26/2021 **ABC BOARD** 

LICENSE FEE: \$1,000.00

CITY / BOROUGH: Alaskan Waters

Other (Common Carrier)

This license cannot be transferred without permission of the Alcoholic Beverage Control Board

[ ] Special restriction - see reverse side

ISSUED BY ORDER OF THE ALCOHOLIC BEVERAGE CONTROL BOARD

THIS LICENSE MUST BE POSTED IN A VISIBLE PLACE ON THE PREMISES 04-900 (REV 9/09)

D/B/A:

M/V Sikumi Alaskan Waters

Mailing Address:

Coastal Alaskan Adventures Corporation

PO Box 881153

Steamboat Springs, Co 80488



Alcohol and Marijuana Control Office 550 W 7<sup>th</sup> Avenue, Suite 1600 Anchorage, AK 99501

 $\underline{alcohol.licensing@alaska.gov}\\ \underline{https://www.commerce.alaska.gov/web/amco}$ 

Phone: 907.269.0350

#### Alaska Alcoholic Beverage Control Board

# **Master Checklist: Renewal Liquor License Application**

Doing Business As:	M/V	Sikumi			License Number:	5254
License Type:		mon Carrier				0204
Examiner:	+	istina	S.	***************************************	Transaction #:	100030944
Document		Received	Completed	Notes	#	10028738
AB-17: Renewal Appli	cation	12/31	10/26/21			
App and License Fees		12/31	10/26/21			
Supplemental Docum	ent	Received	Completed	Notes		
Tourism/Rec Site State	ement					
AB-25: Supplier Cert (\	NS)					
AB-29: Waiver of Oper	ation	12/31	10/26/21			
AB-30: Minimum Oper	ation		1-1-1			
AB-33: Restaurant Affi	davit					
COI / COC / 5 Star		6-4-21	6-4-21	need &	3. Star cur	vent
FP Cards & Fees / AB-0	)8a	12/31	10/26/21			
Late Fee			1700/4			
Names on FP Cards:	Sco	H New	man É	Justin	noody	
			A			Yes No
Selling alcohol in respo	nse to v	vritten order (pa	ckage stores)?			
Mailing address and co	ontact in	formation differ	ent than in databa	se (if yes, updat	e database)?	7
In "Good Standing" with CBPL (skip this and next question for sole proprietor)?						
Officers and stockhold	ers mato	ch CBPL and data	base (if "No", dete	ermine if transfe	er necessary)?	
LGB 1 Response:	VIR	+	LGB 2 Resp	oonse:	NIA	
Waive	Protest	Lapsed	Waiv	ve Prot	est Lapsed	



Alcohol and Manjuana Control Office 550 W 7<sup>th</sup> Avenue, Suite 1600 Anchorage, AK 99501

https://www.commerce.phone: 907.269.0350

Alaska Alcoholic Beverage Control Board

# Form AB-17: 2021/2022 License Renewal Application

- This form and any required supplemental forms must be completed, signed by the licensee, and postmarked no later than 12/31/2020 per AS 04.11.270, 3 AAC 304.160, with all required fees paid in full, or a non-refundable \$500.00 late fee applies.
- Any complete application for renewal or any fees for renewal that have not been postmarked by 02/28/2021 will be expired per AS 04.11.540,3 AAC 304.160(e).
- All fields of this application must be deemed complete by AMCO staff and must be accompanied by the required fees and all documents required, or the application will be returned without being processed, per AS 04.11.270, 3 AAC 304.105
- Receipt and/or processing of renewal payments by AMCO staff neither indicates nor guarantees in any way that an application will be deemed complete, renewed, or that it will be scheduled for the next ABC Board meeting.

#### Establishment Contact Information

	Fataniiaiiiiciir oo	iiidet iii	ormation		
Licensee (Owner):	Coastal Alaska Adventur	res Corpo	oration	License #:	<b>5708</b> 5254 JE
License Type:	Common Carrier				
Doing Business As:	M/V Sikumi				
Premises Address:	Alaskan Waters				
Local Governing Body:	None				
Community Council:	None				
your mailing address ha	s changed, write the NEW address	s below:			
Mailing Address:					
City:		State:		ZIP	1:
Contact Licensee:	Keegan McCarthy		Contact Phon	ie:  907	7-723-3006
Contact Email:	akpointer@hotmail.com				
ntional: If you wish for ANCO	staff to communicate with anyone other	than the Contr	et Licensee about y	your license list	them helow:
Name of Contact:	Chelsea McCarthy	dian the conte	Contact Phon		)-217-6359
Contact Email:	Chelsea_Wilburn@yaho	o.com			
Name of Contact:			Contact Phon	ie:	
Contact Email:					
Name of Contact:			Contact Phon	ne:	
Contact Email:					
orm AB-17] (rev09/23/2020)	,			AMCO	Page 1 of 4



#### Alaska Alcoholic Beverage Control Board

# Form AB-17: 2021/2022 License Renewal Application

#### Section 2 - Entity or Community Ownership Information

Use the link from Corporations, Business and Professional Licensing (CBPL) below to assist you in finding the Entity #.

Alaska CBPL Entity #:	10004203

: Any new or changes to Shareholders (10% or more), Managers, Corporate Officers, Board of Directors, Partners, Controlling Interest or Ownership of the business license must be reported to the ABC Board within 10 days of the change and must be accompanied by a full set of fingerprints on FBI-approved card stock, AB-08a's, payment of \$48.25 for each new officer with a date-stamped copy of the CBPL change per AS 04.11.045, 50 & 55, or a Notice of Violation will be issued to your establishment and your application will be returned.

The only exception to this is a Corporation who can meet the requirements set forth in AS 04.11.050(c).

#### DO NOT LIST OFFICERS OR TITLES THAT ARE NOT REQUIRED FOR YOUR ENTITY TYPE.

- Corporations of any type including non-profit must list ONLY the following:
  - All shareholders who own 10% or more stock in the corporation
  - Each President, Vice-President, Secretary, and Managing Officer regardless of percentage owned
- Limited Liability Corporations, of <u>any</u> type must list ONLY the following:
  - All Members with an ownership interest of 10% or more
  - All Managers (of the LLC, not the DBA) regardless of percentage owned
- Partnerships of any type, including Limited Partnerships must list ONLY the following:
  - Each Partner with an interest of 10% or more
  - All General Partners regardless of percentage owned

Important Note:

You

must list full legal names, all required titles, phone number, percentage of shares owned (if applicable) and a full mailing address for each official of your entity whose information we require If more space is needed; attach additional completed copies of this page. Additional information not on this page will be rejected.

Name of Official:	Keegan McCarthy			of the second real tel			*********
Title(s):	President	Phone:	907-723-3006	% Owr	red:	50	35
Mailing Address:	PO Box 240034	The second second second second second second second					
City:	Douglas	State:	AK	ZIP:	9982	24	

Name of Official:	Chelsea McCarthy					
Title(s):	Treasurer, vice	Phone:	970-217-6359	% Ow	ned: 50	35
Mailing Address:	PO Box 240034					
City:	Douglas	State:	AK	ZIP:	99824	

Name of Official:	Scott Newman				
Title(s):	Director	Phone:	907-723-3006	% Owned:	15
Mailing Address:	PO Box 240034, Douglas AK	, 99824		a valley or review or youth species, young spaces	A
City:		State:		ZIP:	to all the fire of the property of

Name of Official:

Justin Moody

Secretary

Phone: 907-723-3006

%owned: 15

PO Box 240034, Douglas AK, 99824

AMCO

Page 2 of 4



#### Alaska Alcoholic Beverage Control Board

## Form AB-17: 2021/2022 License Renewal Application

#### Section 3 - Sole Proprietor Ownership Information

Corporations, LLC's and Partnerships of ALL kinds should skip this section.

Any new or changes to the ownership of the business license must be reported to the ABC Board within 10 days of the change and must be accompanied by a full set of fingerprints on FBI approved cardstock, AB-08a's, payment of \$48.25 for each new owner or officer and a date stamped copy of the CBPL change per AS 04.11.045, or a Notice of Violation will be issued to your establishment and your application will be returned.

In portant Note: All entires below must match our records or your application will be returned per AS 04.11.270, 1 AAC 104.103. You must list full legal names, phone number, and mailing address for each owner or partner whose information we require.

his individual is an:	Applicant	Affiliate					
Name:				Contact Phone:			
Mailing Address:					1	***************************************	
City:			State:		ZIP:	T	
Email:							
his individual is an:	Applicant	Affiliate					
Name:				Contact Phone:			
Mailing Address:							
City:		Parada di Santa	State:		ZIP:		
Email:							
The license was regu	larly operated cor	MI that best describes ntinuously throughout ea specific season each year	ch year. (Year-roun			2019	2020
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The license was regular the license was only of your operation date.  The license was only A complete AB-30: Proceeding for the license was not a chours each year, durand corresponding fees.	operated during a ses have changed, operated to meet of of Minimum Open operated at all or wing one or both camust be submitted of the minimum operated the minimum	specific season each year list them below  to the minimum requirement of the All documents and all documents not operated for at least lendaryears. A complete finith this application for each number of hours of o	ch year (Year-round (Seasonal) at of 240 total hours mentation must be prosent the minimum region A8-29. Weiver of a calendar year during peration in 2020,	cach calendar year.  wided with this form.  puirement of 240 total  Operation Application	pay the fe	es, howe	
The license was regular the license was only of your operation date.  The license was only A complete AB-30: Proceeding for the license was not a chours each year, durand corresponding fees.	operated during a ses have changed, operated to meet of of Minimum Operated at all or wing one or both camust he submitted of the minimum operated at all or wing one or both camust he submitted of the minimum operated AB-29 is resulted to the minimum operated AB-29 is resulted.	specific season each year list them below  to the minimum requirement of the All documents and all documents not operated for at least lendaryears. A complete finith this application for each number of hours of o	ch year (Year-round (Seasonal) int of 240 total hours mentation must be prosented from A8-25. Worker of a calandar year during peration in 2020, marked "OTHER"	cath calendar year, moded with this form.  Depration Application which the license was not open you are not required to and covid is listed as t	pay the fe	ees, howe	✓ ver a
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[Form A8-17] (rev09/23/2020)

Page 3 of 4

DEC 3 1 2020

AMCO



#### Alaska Alcoholic Beverage Control Board

## Form AB-17: 2021/2022 License Renewal Application

#### Section 6 - Certifications

As an applicant for a liquor license renewal, I declare under penalty of perjury that I have read and am familiar with AS 04 and 3 AAC 304, and that this application, including all accompanying schedules and statements, are true, correct, and complete.

- I agree to provide all information required by the Alcoholic Beverage Control Board or requested by AMCO staff in support of
  this application and understand that failure to do so by any deadline given to me by AMCO staff will result in this
  application being returned and potentially expired if I do not comply with statutory or regulatory requirements.
- I certify that all current licensees (as defined in AS 04.11.260) and affiliates have been listed on this application, and that in
  accordance with AS 04.11.450, no one other than the licensee(s) has a direct or indirect financial interest in the licensed
  business.
- I certify that this entity is in good standing with Corporations, Business and Professional Licensing (CBPL) and that all entity
  officials and stakeholders are current and accurately listed, and I have provided AMCO with all required changes of
  Shareholders (10% or more), Managers, Corporate Officers/Board of Directors, Partners, Controlling Interest or Ownership of
  the business license, and have provided all required documents for any new or changes in officers.
- I certify that all licensees, agents, and employees who sell or serve alcoholic beverages or check identification of patrons
  have completed an alcohol server education course approved by the ABC Board and keep current, valid copies of their
  course completion cards on the licensed premises during all working hours, if applicable for this license type as set forth
  in AS 04.21.025 and 3 AAC 304.465.
- I certify that I have not altered the functional floor plan or reduced or expanded the area of the licensed premises, and I have not changed the business name from what is currently approved and on file with the Alcoholic Beverage Control Board.

I certify on behalf of myself or of the organized entity that I understand that providing a false statement on this form or any other form provided by AMCO is grounds for rejection or denial of this application or revocation of any license issued.

Kurn Schiller		Olio Chi
Signature of licensee	V	Signature of Notary Public
Keeign McCar	thy No	otary Public in and for the State of: Co co RASS
Printed name of licensee		My commission expires: Collinia II, 20 20
	THOMAS JOHN CHAN NOTARY PUBLIC SUBSCRIBE SARGOLORIÁR NOTARY ID 2013405500 MY COMMISSION EXPIRES OCTOR	gore me this 315T day of DEREMBER 2020

Restaurant/Eating Place applications must include a completed AB-33: Restaurant Receipts Affidavit
Recreational Site applications must include a completed Recreational Site Statement
Tourism applications must include a completed Tourism Statement
Wholesale applications must include a completed AB-25: Supplier Certification

All renewal and supplemental forms are available online

Any application that is not complete or does not include ALL required completed forms and fees will not be processed and will be returned per AS 04.11.270, 3 AAC 304.105.

#### FOR OFFICE USE ONLY

License Fee:	\$ 1000	Application Fee:	\$ 300.00	Misc. Fee:	\$
Total Fees Due:					\$ 1300

[Form AB-17] (rev09/23/2020)

Page 4 of 4

AMCO

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		أواحاسا أما	-

# UPV

# Uninspected Passenger Vessel (Maximum 6 Passengers) Safety Equipment Examination

This vessel meets all USCG Uninspected Passenger Vessel Safety Equipment requirements.

Decal No.

6559



This decal expires the last day of:

2020

2021

2022

This vessel exceeds the USCG Uninspected Passenger Vessel Safety Equipment Requirements and qualifies for the below Safety Star Rating.



Chau Farmar

# Department of Commerce, Community, and Economic Development CORPORATIONS, BUSINESS & PROFESSIONAL LICENSING

State of Alaska / Commerce / Corporations, Business, and Professional Licensing / Search & Database Download / Corporations / Entity Details

# **ENTITY DETAILS**

## Name(s)

Type Name

Legal Name Coastal Alaska Adventures, Corporation

**Entity Type:** Business Corporation

Entity #: 10004203

Status: Good Standing

AK Formed Date: 4/3/2012

**Duration/Expiration:** Perpetual

Home State: ALASKA

**Next Biennial Report Due: 1/2/2022** 

Entity Mailing Address: 10 NARROWS DRIVE, PETERSBURG, AK 99833

Entity Physical Address: 10 NARROWS DRIVE, PETERSBURG, AK 99833

# **Registered Agent**

Agent Name: Keegan McCarthy

Registered Mailing Address: PO BOX 240034, DOUGLAS, AK 99824

Registered Physical Address: 9803 NINE MILE CREEK ROAD, JUNEAU, AK 99801

## **Officials**

			⊔Snow Former
AK Entity #	Name	Titles	Owned
	Chelsea McCarthy	Shareholder, Treasurer, Vice President	35.00
	JUSTIN MOODY	Shareholder, Secretary	15.00
	Keegan McCarthy	President, Shareholder	35.00

1 of 2 4/26/2021, 2:24 PM

AK Entity #	Name	Titles	Owned
	SCOTT NEWMAN	Director, Shareholder	15.00

# **Filed Documents**

Date Filed	Туре	Filing	Certificate
4/03/2012	Creation Filing	Click to View	Click to View
4/03/2012	Initial Report	Click to View	
1/03/2014	Biennial Report	Click to View	
12/10/2015	Biennial Report	Click to View	
10/06/2017	Biennial Report	Click to View	
8/06/2018	Change of Officials	Click to View	
2/27/2020	Biennial Report	Click to View	
9/09/2020	Entity Address Change	Click to View	
2/26/2021	Change of Officials	Click to View	
3/16/2021	Change of Officials	Click to View	

 ${\tt COPYRIGHT} @ {\tt STATE} \ OF \ {\tt ALASKA} \ \cdot \underline{{\tt DEPARTMENT}} \ OF \ {\tt COMMERCE}, \ {\tt COMMUNITY}, \ {\tt AND} \ {\tt ECONOMIC} \ {\tt DEVELOPMENT} \ \cdot \\ \\$ 

From: Alcohol Licensing, CED ABC (CED sponsored)

To: Chelsea Wilburn; akpointer@hotmail.com

Cc: Alcohol Licensing, CED ABC (CED sponsored)

Subject: RE: #5254 dba MV Sikumi Incomplete Renewal

Date: Tuesday, April 27, 2021 11:25:05 AM

Attachments: AB-08a.pdf

#5254 dba MV Sikumi Incomplete Renewal.pdf

#### Good morning,

The inclusion of "Alaska Quest" was made in error by me. MV Sikumi should have been added in this sentence. I have redone the wording below:

• Under 3 AAC 304.155 you must provide a current copy of a USCG inspection document such as the USCG 5-Star Program or Certificate of Inspection (COI) for vessel MV Sikumi. For vessels that are not required to be inspected by the US Coast Guard and do not have a US Coast Guard Certificate of Compliance (COC-for foreign vessels), AMCO requires participation in the 5-Star Safety Program, which is a no-cost program where the US Coast Guard conducts a limited inspection of the vessel and upon a successful inspection, provides a two-year 5-Star Decal.

I would also like to address the NOV (Notice of Violation) information that I emailed you in the initial review. Upon re-review and after guidance from our Enforcement Unit it appears that the only notice of violation is for the change of members AS 04.11.050.

### As such you are NOT required to submit a transfer application within 30 days.

However, to add the two new members to your license record you need to update the attached renewal application page 2 in section 2 to reflect their names, titles and percentages owned as well as correct the titles and percentages owned by yourself and Keegan McCarthy. Correct the renewal application page match the CBPL ownership structure and return to alcohol.licensing@alaska.gov

#### CBPL Ownership Structure

- Chelsea McCarthy Vice President/ Treasurer- 35%
- Keegan McCarthy- President 35%
- Justin Moody-Secretary- 15%
- Scott Newman Director- 15%

In addition before we can deem the renewal application complete for each new member we will need the following submitted:

- AB-08a form (blank form attached)
- Fingerprint Card on FBI approved card stock
- \$48.25 each for a total of \$96.50

Thank you and I apologize for my error.

Kristina Serezhenkov Licensing Examiner Alcohol and Marijuana Control Office 550 West 7<sup>th</sup> Avenue, Suite 1600 Anchorage, Alaska 99501 907-269-0359

From: Chelsea Wilburn <chelsea\_wilburn@yahoo.com>

Sent: Tuesday, April 27, 2021 10:59 AM

**To:** Alcohol Licensing, CED ABC (CED sponsored) <alcohol.licensing@alaska.gov>

**Subject:** Re: #5254 dba MV Sikumi Incomplete Renewal

Hello,

Could you please clarify the following below, was this in error? as we don't owner operate or have anything to do with Alaska Quest.

• Under 3 AAC 304.155 you must provide a current copy of a USCG inspection document such as the USCG 5-Star Program or Certificate of Inspection (COI) for vessel Alaska Quest. For vessels that are not required to be inspected by the US Coast Guard and do not have a US Coast Guard Certificate of Compliance (COC-for foreign vessels), AMCO requires participation in the 5-Star Safety Program, which is a no-cost program where the US Coast Guard conducts a limited inspection of the vessel and upon a successful inspection, provides a two-year 5-Star Decal.

vessel and upon a successful inspection, provides a two-ye.

Thanks,

Chelsea McCarthy

On Tuesday, April 27, 2021, 12:35:13 PM MDT, Alcohol Licensing, CED ABC (CED sponsored) <a href="mailto:sponsored">alcohol.licensing@alaska.gov</a>> wrote:

Good morning,

I have reviewed the renewal application submitted for license #5254 MV Sikumi on 12/31/2020. At this time, the application is considered incomplete. Please review the following for instructions on how to complete your application.

COI/5 Star

Certificate of Compliance:

Under 3 AAC 304.155 you must provide a current copy of a USCG inspection document such as the USCG 5-Star Program or Certificate of Inspection (COI) for vessel Alaska Quest. For vessels that are not required to be inspected by the US Coast Guard and do not have a US

Coast Guard Certificate of Compliance (COC-for foreign vessels), AMCO requires participation in the 5-Star Safety Program, which is a no-cost program where the US Coast Guard conducts a limited inspection of the vessel and upon a successful inspection, provides a two-year 5-Star Decal.

It appears that MV Sikumi had an unreported change of members in 2021 which is a violation of AS 04.11.050 and an unreported change in controlling interest in 2021 which is a violation of AS 04.11.040(c). This has been reported to our Enforcement Unit and they will send you separate correspondence on this violation. See below AB-17 Page 2 Section 2 outline for details.

#### **AB-17**

### • Page 2 Section 2

 The information provided in this section does not match the records maintained by Corporations, Business and Professional Licensing (CBPL)

## - CBPL Ownership Structure

- Chelsea McCarthy Vice President/ Treasurer- 35%
- Keegan McCarthy- President 35%
- Justin Moody- Secretary- 15%
- Scott Newman Director- 15%

## - AMCO Ownership Structure

- Chelsea McCarthy Secretary/ Treasurer- 50%
- Keegan McCarthy- President 50%

It is required that you submit a Transfer License Application within 30 days of this notice (5/27/2021) or action may be taken against your license. Forms, fees and instructions can be found on our website at this link:

<a href="https://www.commerce.alaska.gov/web/amco/">https://www.commerce.alaska.gov/web/amco/</a> A fingerprint card will be needed for both Justin Moody and Scott Newman with the transfer application.

The AB-08a forms and fingerprint cards submitted with the renewal application for Chelsea McCarthy and Keegan McCarthy are not needed for the renewal process.

Please make the necessary actions and respond to this email no later than close of business May 7, 2021. Completed documents (with the exception of fingerprint cards or payments) may be scanned and emailed, and questions or concerns may be sent to <a href="mailto:alcohol.licensing@alaska.gov">alaska.gov</a>.

Thank you for your immediate diligence toward completing your application.

Kristina Serezhenkov

Licensing Examiner

Alcohol and Marijuana Control Office

550 West 7<sup>th</sup> Avenue, Suite 1600

Anchorage, Alaska 99501

907-269-0359

From: Alcohol Licensing, CED ABC (CED sponsored)

To: <u>Chelsea Wilburn</u>

Cc: Alcohol Licensing, CED ABC (CED sponsored)

Subject: RE: #5254 dba MV Sikumi Incomplete Renewal- Just need fingerprint cards and fees

**Date:** Monday, August 9, 2021 8:51:37 AM

#### Good morning,

Upon review the renewal application for #5254 dba MV Sikumi only needs the fingerprint cards and fees for Justin Moody and Scott Newman to be deemed complete. If you not already mailed in the fingerprint cards please do so as soon as possible. You may mail in the fingerprint background check fee for \$96.50 (\$48.25 per person) or contact our office to make the payment over the phone at 907-269-0350.

The next board meeting is scheduled for 10/26/2021. Your renewal application must be deemed complete by close of business 10/8/2021 to make it on the agenda for this board meeting.

Thank you,

Kristina Serezhenkov Licensing Examiner Alcohol and Marijuana Control Office 550 West 7<sup>th</sup> Avenue, Suite 1600 Anchorage, Alaska 99501

From: Alcohol Licensing, CED ABC (CED sponsored) <alcohol.licensing@alaska.gov>

Sent: Wednesday, August 4, 2021 10:21 AM

To: Chelsea Wilburn < chelsea wilburn@yahoo.com>

**Cc:** Alcohol Licensing, CED ABC (CED sponsored) <alcohol.licensing@alaska.gov>

Subject: RE: #5254 dba MV Sikumi Incomplete Renewal

Good morning,

We cannot accept faxed or scanned fingerprints. Please mail the actual cards to our office at:

AMCO 500 W 7<sup>th</sup> Avenue Ste 1600 Anchorage, AK 99501

I will review your application shortly and let you know if anything else is needed.

Thank you,

Kristina Serezhenkov Licensing Examiner Alcohol and Marijuana Control Office 550 West 7<sup>th</sup> Avenue, Suite 1600 Anchorage, Alaska 99501

From: Chelsea Wilburn < chelsea wilburn@yahoo.com>

Sent: Wednesday, August 4, 2021 8:12 AM

**To:** Alcohol Licensing, CED ABC (CED sponsored) < <u>alcohol.licensing@alaska.gov</u>>

**Subject:** Re: #5254 dba MV Sikumi Incomplete Renewal

Please let us know what else is needed - we have the finger print cards- last time I mailed them and scanned them, you accepted the scanned and mailed me back the originals- You have received the scanned format-and stated you need the originals mailed this time - would a fax work in order for them to be confidential?

Thanks,

Chelsea McCarthy, M.A., CCC-SLP Speech-Language Pathologist Alaska's Midnight Sun Therapy Services, LLC

Owner at Custom Alaska Cruises Chelsea McCarthy Booking Manager/Cruise Planner www.sikumi.com 970-217-6359

On Monday, May 3, 2021, 05:59:00 PM MDT, Alcohol Licensing, CED ABC (CED sponsored) <a href="mailto:sponsored">alcohol.licensing@alaska.gov</a>> wrote:

Good afternoon,

As long the documentation and fingerprint card, fee etc... is received by 6/4/2021 I will be able to schedule the renewal application on the next board meeting which is slated for 6/22/2021.

I would say if you can get the information to us by or before 6/4/2021 that is a good timeframe to work with.

Thank you,

Kristina Serezhenkov

Licensing Examiner

Alcohol and Marijuana Control Office

550 West 7<sup>th</sup> Avenue, Suite 1600

Anchorage, Alaska 99501

From: Chelsea Wilburn < chelsea wilburn@yahoo.com >

**Sent:** Monday, May 3, 2021 10:59 AM

To: Alcohol Licensing, CED ABC (CED sponsored) <a href="mailto:alcohol.licensing@alaska.gov">alcohol.licensing@alaska.gov</a>

Subject: Re: #5254 dba MV Sikumi Incomplete Renewal

The Sikumi is currently out in the field and out of service and has been since April 20. They will be out until at least May 20. Justin Moody is on the vessel and is unable to get Finger Print cards until he returns from the boat. As well as- the sticker for our 5 star cert is on the boat currently, so until it returns into service I can't get a copy to send to you. What is our timeframe to provide you with this information?

Thanks,

Chelsea McCarthy, M.A., CCC-SLP

Speech-Language Pathologist

Alaska's Midnight Sun Therapy Services, LLC

Owner at Custom Alaska Cruises

Chelsea McCarthy
Booking Manager/Cruise Planner
www.sikumi.com

970-217-6359

On Tuesday, April 27, 2021, 01:25:12 PM MDT, Alcohol Licensing, CED ABC (CED sponsored) <a href="mailto:slope-sponsored">alcohol.licensing@alaska.gov</a>> wrote:

## Good morning,

The inclusion of "Alaska Quest" was made in error by me. MV Sikumi should have been added in this sentence. I have redone the wording below:

Under 3 AAC 304.155 you must provide a current copy of a USCG inspection document such as the USCG 5-Star Program or Certificate of Inspection (COI) for vessel MV Sikumi. For vessels that are not required

From: Alcohol Licensing, CED ABC (CED sponsored)

To: <u>Chelsea Wilburn</u>

Cc: Alcohol Licensing, CED ABC (CED sponsored)

Subject: RE: #5254 dba MV Sikumi Incomplete Renewal- Just need fingerprint cards and fees- Follow UP

**Date:** Thursday, September 16, 2021 12:02:00 PM

#### Good morning,

I am following up on your renewal application for #5254 dba MV Sikumi. We have not yet received the fingerprint cards or the fees. Have these been submitted yet?

Thank you,

Kristina Serezhenkov Licensing Examiner Alcohol and Marijuana Control Office 550 West 7<sup>th</sup> Avenue, Suite 1600 Anchorage, Alaska 99501

From: Alcohol Licensing, CED ABC (CED sponsored) <alcohol.licensing@alaska.gov>

**Sent:** Monday, August 9, 2021 11:35 AM

**To:** Chelsea Wilburn <chelsea\_wilburn@yahoo.com>

Cc: Alcohol Licensing, CED ABC (CED sponsored) <alcohol.licensing@alaska.gov>

Subject: RE: #5254 dba MV Sikumi Incomplete Renewal- Just need fingerprint cards and fees

#### Good morning,

A temporary license can only be issued once the renewal application is deemed complete so I am unable to send you one yet. However, I am sending a letter from our Director Glen Klinkhart, that you may use in lieu of a temporary license. Once I have deemed your renewal application complete I will email you an actual temporary license.

Thank you,

From: Alcohol Licensing, CED ABC (CED sponsored)

To: <u>Chelsea Wilburn</u>

Cc: Alcohol Licensing, CED ABC (CED sponsored)

Subject: RE: #5254 dba MV Sikumi Incomplete Renewal- Just need fingerprint cards and fees- Follow UP

**Date:** Tuesday, September 21, 2021 4:17:17 PM

#### Good afternoon,

Neither the payment of \$96.50 or the two fingerprint cards were received in our office as of today. As weeks have gone and we have not gotten the cards of the payment by you may need to resubmit both. If you enclosed a payment with the fingerprint cards I advise that you check with your bank to see if the payment has been withdrawn.

Thank you,

Kristina Serezhenkov Licensing Examiner Alcohol and Marijuana Control Office 550 West 7<sup>th</sup> Avenue, Suite 1600 Anchorage, Alaska 99501

From: Chelsea Wilburn <chelsea\_wilburn@yahoo.com>

Sent: Friday, September 17, 2021 6:27 AM

To: Alcohol Licensing, CED ABC (CED sponsored) <alcohol.licensing@alaska.gov>

Subject: Re: #5254 dba MV Sikumi Incomplete Renewal- Just need fingerprint cards and fees- Follow

UP

These were mailed weeks ago and show received.

Thanks.

Chelsea McCarthy, M.A., CCC-SLP Speech-Language Pathologist Alaska's Midnight Sun Therapy Services, LLC

Owner at Custom Alaska Cruises Chelsea McCarthy Booking Manager/Cruise Planner www.sikumi.com 970-217-6359

On Thursday, September 16, 2021, 02:02:55 PM MDT, Alcohol Licensing, CED ABC (CED sponsored) <a href="mailto:sequence-alcohol.licensing@alaska.gov">alcohol.licensing@alaska.gov</a> wrote:

Good morning,

I am following up on your renewal application for #5254 dba MV Sikumi. We have not yet received the fingerprint cards or the fees. Have these been submitted yet?

Thank you,

Kristina Serezhenkov

Licensing Examiner

Alcohol and Marijuana Control Office

550 West 7<sup>th</sup> Avenue, Suite 1600

Anchorage, Alaska 99501

From: Alcohol Licensing, CED ABC (CED sponsored) <a href="mailto:sponsored">alcohol.licensing@alaska.gov</a>>

Sent: Monday, August 9, 2021 11:35 AM

To: Chelsea Wilburn < chelsea wilburn@yahoo.com>

Cc: Alcohol Licensing, CED ABC (CED sponsored) <a href="mailto-alcohol.licensing@alaska.gov">alcohol.licensing@alaska.gov</a>

Subject: RE: #5254 dba MV Sikumi Incomplete Renewal- Just need fingerprint cards and fees

Good morning,

A temporary license can only be issued once the renewal application is deemed complete so I am unable to send you one yet. However, I am sending a letter from our Director Glen Klinkhart, that you may use in lieu of a temporary license. Once I have deemed your renewal application complete I will email you an actual temporary license.

Thank you,

Kristina Serezhenkov

Licensing Examiner

Alcohol and Marijuana Control Office

550 West 7<sup>th</sup> Avenue, Suite 1600

Anchorage, Alaska 99501

From: Chelsea Wilburn < <a href="mailto:chelsea wilburn@yahoo.com">chelsea wilburn@yahoo.com</a>>

**Sent:** Monday, August 9, 2021 11:22 AM

To: Alcohol Licensing, CED ABC (CED sponsored) <a href="mailto:alcohol.licensing@alaska.gov">alcohol.licensing@alaska.gov</a>

Subject: Re: #5254 dba MV Sikumi Incomplete Renewal- Just need fingerprint cards and fees

While this is processing can you resend our temp license for the interim please.

Thanks.

Chelsea McCarthy, M.A. CCC-SLP

Speech-Language Pathologist

Owner/Manager Custom Alaska Cruises

970-217-6359

www.sikumi.com

On Aug 9, 2021, at 10:51 AM, Alcohol Licensing, CED ABC (CED sponsored) <a href="mailto:sponsored">alcohol.licensing@alaska.gov</a> wrote:

Good morning,

Upon review the renewal application for #5254 dba MV Sikumi only needs the fingerprint cards and fees for Justin Moody and Scott Newman to be deemed complete. If you not already mailed in the fingerprint cards please do so as soon as possible. You may mail in the fingerprint background check fee for \$96.50 (\$48.25 per person) or contact our office to make the payment over the phone at 907-269-0350.

The next board meeting is scheduled for 10/26/2021. Your renewal application must be deemed complete by close of business 10/8/2021 to make it on the agenda for this board meeting.

Thank you,

Kristina Serezhenkov

Licensing Examiner

Alcohol and Marijuana Control Office

550 West 7<sup>th</sup> Avenue, Suite 1600

Anchorage, Alaska 99501

From: Alcohol Licensing, CED ABC (CED sponsored) <a href="mailto:alcohol.licensing@alaska.gov">alcohol.licensing@alaska.gov</a>

Sent: Wednesday, August 4, 2021 10:21 AM

To: Chelsea Wilburn < chelsea wilburn@yahoo.com >

Cc: Alcohol Licensing, CED ABC (CED sponsored) <alcohol.licensing@alaska.gov>

Subject: RE: #5254 dba MV Sikumi Incomplete Renewal

Good morning,

We cannot accept faxed or scanned fingerprints. Please mail the actual cards to our office at:

**AMCO** 

500 W 7<sup>th</sup> Avenue Ste 1600

Anchorage, AK 99501

I will review your application shortly and let you know if anything else is needed.

Thank you,

Kristina Serezhenkov

## Licensing Examiner

Alcohol and Marijuana Control Office

550 West 7<sup>th</sup> Avenue, Suite 1600

Anchorage, Alaska 99501

From: Chelsea Wilburn < <a href="mailto:chelsea\_wilburn@yahoo.com">chelsea\_wilburn@yahoo.com</a>>

Sent: Wednesday, August 4, 2021 8:12 AM

To: Alcohol Licensing, CED ABC (CED sponsored) <a href="mailto:alcohol.licensing@alaska.gov">alcohol.licensing@alaska.gov</a>

Subject: Re: #5254 dba MV Sikumi Incomplete Renewal

Please let us know what else is needed - we have the finger print cards- last time I mailed them and scanned them, you accepted the scanned and mailed me back the originals- You have received the scanned format-and stated you need the originals mailed this time - would a fax work in order for them to be confidential?

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Speech-Language Pathologist

Alaska's Midnight Sun Therapy Services, LLC

Owner at Custom Alaska Cruises

Chelsea McCarthy
Booking Manager/Cruise Planner
www.sikumi.com

970-217-6359

On Monday, May 3, 2021, 05:59:00 PM MDT, Alcohol Licensing, CED ABC (CED sponsored) <a href="mailto:sponsored">alcohol.licensing@alaska.gov</a>> wrote:

Good afternoon,

As long the documentation and fingerprint card, fee etc... is received by 6/4/2021 I will be able to schedule the renewal application on the next board meeting which is slated for 6/22/2021.

I would say if you can get the information to us by or before 6/4/2021 that is a good timeframe to work with.

Thank you,

Kristina Serezhenkov

Licensing Examiner

Alcohol and Marijuana Control Office

550 West 7<sup>th</sup> Avenue, Suite 1600

Anchorage, Alaska 99501

From: Chelsea Wilburn < <a href="mailto:chelsea\_wilburn@yahoo.com">chelsea\_wilburn@yahoo.com</a>>

**Sent:** Monday, May 3, 2021 10:59 AM

To: Alcohol Licensing, CED ABC (CED sponsored) <a href="mailto:alcohol.licensing@alaska.gov">alcohol.licensing@alaska.gov</a>

Subject: Re: #5254 dba MV Sikumi Incomplete Renewal

The Sikumi is currently out in the field and out of service and has been since April 20. They will be out until at least May 20. Justin Moody is on the vessel and is unable to get Finger Print cards until he returns from the boat. As well as- the sticker for our 5 star cert is on the boat currently, so until it returns into service I can't get a copy to send to you. What is our timeframe to provide you with this information?

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Chelsea McCarthy, M.A., CCC-SLP

Speech-Language Pathologist

Alaska's Midnight Sun Therapy Services, LLC

Owner at Custom Alaska Cruises

Chelsea McCarthy Booking Manager/Cruise Planner www.sikumi.com

970-217-6359

On Tuesday, April 27, 2021, 01:25:12 PM MDT, Alcohol Licensing, CED ABC (CED sponsored) <a href="mailto:sponsored">alcohol.licensing@alaska.gov</a>> wrote:

## Good morning,

The inclusion of "Alaska Quest" was made in error by me. MV Sikumi should have been added in this sentence. I have redone the wording below:

• Under 3 AAC 304.155 you must provide a current copy of a

USCG inspection document such as the USCG 5-Star Program or Certificate of Inspection (COI) for vessel MV Sikumi. For vessels that are not required to be inspected by the US Coast Guard and do not have a US Coast Guard Certificate of Compliance (COC-for foreign vessels), AMCO requires participation in the 5-Star Safety Program, which is a no-cost program where the US Coast Guard conducts a limited inspection of the vessel and upon a successful inspection, provides a two-year 5-Star Decal.

I would also like to address the NOV (Notice of Violation) information that I emailed you in the initial review. Upon re-review and after guidance from our Enforcement Unit it appears that the only notice of violation is for the change of members AS 04.11.050.

As such you are NOT required to submit a transfer application within 30 days.

However, to add the two new members to your license record you need to update the attached renewal application page 2 in section 2 to reflect their names, titles and percentages owned as well as correct the titles and percentages owned by yourself and Keegan McCarthy. Correct the renewal application page match the CBPL ownership structure and return to <a href="mailto:alcohol.licensing@alaska.gov">alaska.gov</a>:

## - CBPL Ownership Structure

- Chelsea McCarthy Vice President/ Treasurer- 35%
- Keegan McCarthy- President 35%
- Justin Moody- Secretary- 15%

#### • Scott Newman – Director- 15%

In addition before we can deem the renewal application complete for each new member we will need the following submitted:

- AB-08a form (blank form attached)
- Fingerprint Card on FBI approved card stock
- \$48.25 each for a total of \$96.50

Thank you and I apologize for my error.

Kristina Serezhenkov

Licensing Examiner

Alcohol and Marijuana Control Office

550 West 7<sup>th</sup> Avenue, Suite 1600

Anchorage, Alaska 99501

907-269-0359

From: Chelsea Wilburn < chelsea wilburn@yahoo.com >

Sent: Tuesday, April 27, 2021 10:59 AM

To: Alcohol Licensing, CED ABC (CED sponsored) <a href="mailto:alcohol.licensing@alaska.gov">alcohol.licensing@alaska.gov</a>

Subject: Re: #5254 dba MV Sikumi Incomplete Renewal

Hello,

Could you please clarify the following below, was this in error? as we don't owner operate or have anything to do with Alaska Quest.

 Under 3 AAC 304.155 you must provide a current copy of a USCG inspection document such as the USCG 5-Star Program or Certificate of Inspection (COI) for vessel Alaska Quest. For vessels that are not required to be inspected by the US Coast Guard and do not have a US Coast Guard Certificate of Compliance (COC-for foreign vessels), AMCO requires participation in the 5-Star Safety Program, which is a no-cost program where the US Coast Guard conducts a limited inspection of the vessel and upon a successful inspection, provides a two-year 5-Star Decal.

Thanks,

Chelsea McCarthy

On Tuesday, April 27, 2021, 12:35:13 PM MDT, Alcohol Licensing, CED ABC (CED sponsored) <a href="mailto:alcohol.licensing@alaska.gov">alcohol.licensing@alaska.gov</a> wrote:

Good morning,

I have reviewed the renewal application submitted for license #5254 MV Sikumi on 12/31/2020. At this time, the application is considered incomplete. Please review the following for instructions on how to complete your application.

#### COI/5 Star

#### Certificate of Compliance:

 Under 3 AAC 304.155 you must provide a current copy of a USCG inspection document such as the USCG 5-Star Program or Certificate of Inspection (COI) for vessel Alaska Quest. For vessels that are not required to be inspected by the US Coast Guard and do not have a US Coast Guard Certificate of Compliance (COC-for foreign vessels), AMCO requires participation in the 5-Star Safety Program, which is a no-cost program where the US Coast Guard conducts a limited inspection of the vessel and upon a successful inspection, provides a two-year 5-Star Decal.

It appears that MV Sikumi had an unreported change of members in 2021 which is a violation of AS 04.11.050 and an unreported change in controlling interest in 2021 which is a violation of AS 04.11.040(c). This has been reported to our Enforcement Unit and they will send you separate correspondence on this violation. See below AB-17 Page 2 Section 2 outline for details.

#### **AB-17**

## Page 2 Section 2

 The information provided in this section does not match the records maintained by Corporations,
 Business and Professional Licensing (CBPL)

### CBPL Ownership Structure

- Chelsea McCarthy Vice President/ Treasurer- 35%
- Keegan McCarthy- President 35%
- Justin Moody- Secretary- 15%
- Scott Newman Director- 15%

### AMCO Ownership Structure

- Chelsea McCarthy Secretary/ Treasurer- 50%
- Keegan McCarthy- President 50%

It is required that you submit a Transfer License Application within 30 days of this notice (5/27/2021) or action may be taken against your license. Forms, fees and instructions can be found on our website at this link:

https://www.commerce.alaska.gov/web/amco/ A fingerprint card will be needed for both Justin Moody and Scott Newman

The AB-08a forms and fingerprint cards submitted with the renewal application for Chelsea McCarthy and Keegan McCarthy are not needed for the renewal process.

with the transfer application.

Please make the necessary actions and respond to this email no later than close of business May 7, 2021. Completed documents (with the exception of fingerprint cards or payments) may be scanned and emailed, and questions or concerns may be sent to <a href="mailto:alcohol.licensing@alaska.gov">alaska.gov</a>.

Thank you for your immediate diligence toward completing your application.

Kristina Serezhenkov

Licensing Examiner

Alcohol and Marijuana Control Office

550 West 7<sup>th</sup> Avenue, Suite 1600

Anchorage, Alaska 99501

907-269-0359