STATE OF ALASKA - ALCOHOLIC BEVERAGE CONTROL BOARD

FORM CONTROL

XXXX

LIQUOR LICENSE
2021 - 2022

ISSUED
10/26/2021

ABC BOARD

LICENSE NUMBER
5254

LICENSE RENEWAL APPLICATION DUE
DECEMBER 31, 2022 (AS 04.11.270(b))

THIS LICENSE EXPIRES MIDNIGHT
FEBRUARY 28, 2023 UNLESS DATED BELOW

TYPE OF LICENSE: Common Carrier

LICENSE FEE: $1,000.00

1120

CITY / BOROUGH: Alaskan Waters
Other (Common Carrier)

This license cannot be transferred without permission
of the Alcoholic Beverage Control Board

[ ] Special restriction - see reverse side

ISSUED BY ORDER OF THE
ALCOHOLIC BEVERAGE CONTROL BOARD

DIRECTOR

THIS LICENSE MUST BE POSTED IN A VISIBLE PLACE ON THE PREMISES

04-900 (REV 9/09)

STATE OF ALASKA - ALCOHOLIC BEVERAGE CONTROL BOARD

FORM CONTROL

XXXX

LIQUOR LICENSE
2021 - 2022

ISSUED
10/26/2021

ABC BOARD

LICENSE NUMBER
5254

LICENSE RENEWAL APPLICATION DUE
DECEMBER 31, 2022 (AS 04.11.270(b))

THIS LICENSE EXPIRES MIDNIGHT
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TYPE OF LICENSE: Common Carrier

LICENSE FEE: $1,000.00

CITY / BOROUGH: Alaskan Waters
Other (Common Carrier)

This license cannot be transferred without permission
of the Alcoholic Beverage Control Board

[ ] Special restriction - see reverse side

ISSUED BY ORDER OF THE
ALCOHOLIC BEVERAGE CONTROL BOARD

COPY
DIRECTOR

THIS LICENSE MUST BE POSTED IN A VISIBLE PLACE ON THE PREMISES

04-900 (REV 9/09)

D/B/A: M/V Sikumi
Alaskan Waters

Mailing Address:
Coastal Alaskan Adventures Corporation
PO Box 881153
Steamboat Springs, Co 80488
# Master Checklist: Renewal Liquor License Application

<table>
<thead>
<tr>
<th>Doing Business As:</th>
<th>M/V Sikumi</th>
<th>License Number:</th>
<th>5254</th>
</tr>
</thead>
<tbody>
<tr>
<td>License Type:</td>
<td>Common Carrier</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Examiner:</td>
<td>Kristina S.</td>
<td>Transaction #:</td>
<td>100030944</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Document</th>
<th>Received</th>
<th>Completed</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>AB-17: Renewal Application</td>
<td>12/31</td>
<td>10/26/21</td>
<td></td>
</tr>
<tr>
<td>App and License Fees</td>
<td>12/31</td>
<td>10/26/21</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Supplemental Document</th>
<th>Received</th>
<th>Completed</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tourism/Rec Site Statement</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>AB-25: Supplier Cert (W5)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>AB-29: Waiver of Operation</td>
<td>12/31</td>
<td>10/26/21</td>
<td></td>
</tr>
<tr>
<td>AB-30: Minimum Operation</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>AB-33: Restaurant Affidavit</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>COI / COC / 5 Star</td>
<td>6-4-21</td>
<td>6-4-21</td>
<td>need 6 Star current</td>
</tr>
<tr>
<td>FP Cards &amp; Fees / AB-08a</td>
<td>12/31</td>
<td>10/26/21</td>
<td></td>
</tr>
<tr>
<td>Late Fee</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Names on FP Cards:</th>
<th>Scott Newman, Justin Moody</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Selling alcohol in response to written order (package stores)?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Mailing address and contact information different than in database (if yes, update database)?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>In “Good Standing” with CBPL (skip this and next question for sole proprietor)?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Officers and stockholders match CBPL and database (if “No”, determine if transfer necessary)?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>LGB 1 Response:</th>
<th>N / A</th>
</tr>
</thead>
<tbody>
<tr>
<td>Waive</td>
<td>Protest</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>LGB 2 Response:</th>
<th>N / A</th>
</tr>
</thead>
<tbody>
<tr>
<td>Waive</td>
<td>Protest</td>
</tr>
</tbody>
</table>

[Master Checklist: Renewal] (rev 09/20/2018)
**Establishment Contact Information**

<table>
<thead>
<tr>
<th>Licensee (Owner):</th>
<th>Coastal Alaska Adventures Corporation</th>
<th>License #:</th>
<th>5708 5254 JD</th>
</tr>
</thead>
<tbody>
<tr>
<td>License Type:</td>
<td>Common Carrier</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Doing Business As:</td>
<td>MV Sikumi</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Premises Address:</td>
<td>Alaskan Waters</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Local Governing Body:</td>
<td>None</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Community Council:</td>
<td>None</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

If your mailing address has changed, write the NEW address below:

<table>
<thead>
<tr>
<th>Mailing Address:</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>City:</td>
<td></td>
</tr>
<tr>
<td>State:</td>
<td></td>
</tr>
<tr>
<td>ZIP:</td>
<td></td>
</tr>
</tbody>
</table>

**Section 1 – Licensee Contact Information**

Contact Licensee: The individual listed below must be listed in Section 2 or 3 as an Official/Owner/Shareholder of your entity and must be listed on CBPF with the same name and title.

This person will be the designated point of contact regarding this license, unless the Optional contact is completed.

<table>
<thead>
<tr>
<th>Contact Licensee:</th>
<th>Keegan McCarthy</th>
<th>Contact Phone:</th>
<th>907-723-3006</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contact Email:</td>
<td><a href="mailto:akpointer@hotmail.com">akpointer@hotmail.com</a></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Optional: If you wish for AMCO staff to communicate with anyone other than the Contact Licensee about your license, list them below.

<table>
<thead>
<tr>
<th>Name of Contact:</th>
<th>Chelsea McCarthy</th>
<th>Contact Phone:</th>
<th>970-217-6359</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contact Email:</td>
<td><a href="mailto:Chelsea_Wilburn@yahoo.com">Chelsea_Wilburn@yahoo.com</a></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Name of Contact:</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Contact Phone:</td>
<td></td>
</tr>
<tr>
<td>Contact Email:</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Name of Contact:</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Contact Phone:</td>
<td></td>
</tr>
<tr>
<td>Contact Email:</td>
<td></td>
</tr>
</tbody>
</table>
Alaska Alcoholic Beverage Control Board
Form AB-17: 2021/2022 License Renewal Application

Section 2 – Entity or Community Ownership Information

Use the link from Corporations, Business and Professional Licensing (CBPL) below to assist you in finding the Entity #.

Alaska CBPL Entity #: 10004203

DO NOT LIST OFFICERS OR TITLES THAT ARE NOT REQUIRED FOR YOUR ENTITY TYPE.

- Corporations of any type including non-profit must list ONLY the following:
  - All shareholders who own 10% or more stock in the corporation
  - Each President, Vice-President, Secretary, and Managing Officer regardless of percentage owned

- Limited Liability Corporations, of any type must list ONLY the following:
  - All Members with an ownership interest of 10% or more
  - All Managers (of the LLC, not the DBA) regardless of percentage owned

- Partnerships of any type, including Limited Partnerships must list ONLY the following:
  - Each Partner with an interest of 10% or more
  - All General Partners regardless of percentage owned

Important Note: You must list full legal names, all required titles, phone number, percentage of shares owned (if applicable) and a full mailing address for each official of your entity whose information we require. If more space is needed: attach additional completed copies of this page. Additional information not on this page will be rejected.

<table>
<thead>
<tr>
<th>Name of Official:</th>
<th>Keegan McCarthy</th>
<th>Phone: 907-723-3006</th>
<th>% Owned: 50 35</th>
</tr>
</thead>
<tbody>
<tr>
<td>Title(s):</td>
<td>President</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mailing Address:</td>
<td>PO Box 240034</td>
<td></td>
<td></td>
</tr>
<tr>
<td>City:</td>
<td>Douglas</td>
<td>State: AK</td>
<td>ZIP: 99824</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Name of Official:</th>
<th>Chelsea McCarthy</th>
<th>Phone: 970-217-6359</th>
<th>% Owned: 50 35</th>
</tr>
</thead>
<tbody>
<tr>
<td>Title(s):</td>
<td>President, Vice</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mailing Address:</td>
<td>PO Box 240034</td>
<td></td>
<td></td>
</tr>
<tr>
<td>City:</td>
<td>Douglas</td>
<td>State: AK</td>
<td>ZIP: 99824</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Name of Official:</th>
<th>Scott Newman</th>
<th>Phone: 907-723-3006</th>
<th>% Owned: 15</th>
</tr>
</thead>
<tbody>
<tr>
<td>Title(s):</td>
<td>Director</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mailing Address:</td>
<td>PO Box 240034, Douglas AK, 99824</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Name of Official:</th>
<th>Justin Moody</th>
<th>Phone: 907-723-3006</th>
<th>% Owned: 15</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mailing Address:</td>
<td>PO Box 240034, Douglas AK, 99824</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

[Form AB-17] (rev09/23/2020)
## Section 3 - Sole Proprietor Ownership Information

Any changes to the ownership of the business license must be reported to the ABC Board within 10 days of the change and must be accompanied by a full set of fingerprints on FBI approved cardstock, AB-018a’s, payment of $48.25 for each new owner or officer and a date stamped copy of the CBPL change per AS 04.11.045, or a Notice of Violation will be issued to your establishment and your application will be returned.

**Important Note:** All entries below must match your license application. All information will be recorded per AS 06.11.120, 3 AAC 704.105. You must list full legal names, phone number, and mailing address for each owner or partner whose information we require.

If more space is needed, attach additional copies of this page. Additional owners not listed on this page will be rejected.

<table>
<thead>
<tr>
<th>This individual is an</th>
<th>Applicant</th>
<th>Affiliate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mailing Address:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>City:</td>
<td>State:</td>
<td>ZIP:</td>
</tr>
<tr>
<td>Email:</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>This individual is an</th>
<th>Applicant</th>
<th>Affiliate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mailing Address:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>City:</td>
<td>State:</td>
<td>ZIP:</td>
</tr>
<tr>
<td>Email:</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

## Section 4 - License Operation

1. The license was regularly operated continuously throughout each year (Year-round)
   - [ ] 2019
   - [ ] 2020

2. The license was only operated during a specific season each year (Seasonal)
   - [ ] Summer
   - [ ] Winter
   - [ ] Other: ________________ to ________________

3. The license was only operated to meet the minimum requirement of 240 total hours each calendar year. A complete AB-30: Proof of Minimum Operation Checklist, and all documentation must be provided with this form.
   - [ ] Yes
   - [ ] No

4. The license was not operated at all or was not operated for at least the minimum requirement of 240 total hours each year, during one or both calendar years. A complete AB-29: Waiver of Operation Application and corresponding fees must be submitted with this application for each calendar year during which the license was not operated.
   - [ ] Yes
   - [ ] No

If you have not met the minimum number of hours of operation in 2020, you are not required to pay the fees, however a complete AB-29 is required with Section 2 marked "OTHER" and COVID-19 is listed as the reason.

## Section 5 - Violations and Convictions

Have ANY Notices of Violation been issued for this license OR has ANY person or entity in this application been convicted of a violation of Title 04, 3 AAC 304 or a local ordinance adopted under AS 04.21.010 in 2019 or 2020?

- [ ] Yes
- [ ] No

If you answered YES, you MUST attach a list of all Notices of Violation and/or Convictions per AS 04.11.270(d)(2)

If you are unsure if you have received any Notices of Violation, contact the office before submitting this form.
Alaska Alcoholic Beverage Control Board
Form AB-17: 2021/2022 License Renewal Application

Section 6 - Certifications

As an applicant for a liquor license renewal, I declare under penalty of perjury that I have read and am familiar with AS 04 and 3 AAC 304, and that this application, including all accompanying schedules and statements, are true, correct, and complete.

- I agree to provide all information required by the Alcoholic Beverage Control Board or requested by AMCO staff in support of this application and understand that failure to do so by any deadline given to me by AMCO staff will result in this application being returned and potentially expired if I do not comply with statutory or regulatory requirements.
- I certify that all current licensees (as defined in AS 04.11.260) and affiliates have been listed on this application, and that in accordance with AS 04.11.450, no one other than the licensee(s) has a direct or indirect financial interest in the licensed business.
- I certify that this entity is in good standing with Corporations, Business and Professional Licensing (CBPL) and that all entity officials and stakeholders are current and accurately listed, and I have provided AMCO with all required changes of Shareholders (10% or more), Managers, Corporate Officers/Board of Directors, Partners, Controlling Interest or Ownership of the business license, and have provided all required documents for any new or changes in officers.
- I certify that all licensees, agents, and employees who sell or serve alcoholic beverages or check identification of patrons have completed an alcohol server education course approved by the ABC Board and keep current, valid copies of their course completion cards on the licensed premises during all working hours, if applicable for this license type as set forth in AS 04.21.025 and 3 AAC 304.465.
- I certify that I have not altered the functional floor plan or reduced or expanded the area of the licensed premises, and I have not changed the business name from what is currently approved and on file with the Alcoholic Beverage Control Board.

I certify on behalf of myself or of the organized entity that I understand that providing a false statement on this form or any other form provided by AMCO is grounds for rejection or denial of this application or revocation of any license issued.

Signature of Licensee
____________________________

Notary Public
____________________________

Printed name of Licensee
____________________________

Notary Public in and for the State of ________________

My commission expires: December 31, 2021

Restaurant/Eating Place applications must include a completed AB-33: Restaurant Receipts Affidavit
Recreational Site applications must include a completed Recreational Site Statement
Tourism applications must include a completed Tourism Statement
Wholesale applications must include a completed AB-25: Supplier Certification

All renewal and supplemental forms are available online.

Any application that is not complete or does not include ALL required completed forms and fees will not be processed and will be returned per AS 04.11.270, 3 AAC 304.105.

FOR OFFICE USE ONLY

<table>
<thead>
<tr>
<th>License Fee:</th>
<th>$1000.00</th>
<th>Application Fee:</th>
<th>$300.00</th>
<th>Misc. Fee:</th>
<th>$1200</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Fees Due:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
UPV
Uninspected Passenger Vessel
(Maximum 6 Passengers)
Safety Equipment Examination

This vessel meets all USCG Uninspected Passenger Vessel Safety Equipment requirements.

Decal No. 6559

This decal expires the last day of:

2020
2021
2022
2023

This vessel exceeds the USCG Uninspected Passenger Vessel Safety Equipment Requirements and qualifies for the below Safety Star Rating.

U.S. Department of Homeland Security

AMCO Received 6/4/2021
ENTITY DETAILS

Name(s)

<table>
<thead>
<tr>
<th>Type</th>
<th>Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>Legal Name</td>
<td>Coastal Alaska Adventures, Corporation</td>
</tr>
</tbody>
</table>

Entity Type: Business Corporation

Entity #: 10004203

Status: Good Standing

AK Formed Date: 4/3/2012

Duration/Expiration: Perpetual

Home State: ALASKA

Next Biennial Report Due: 1/2/2022

Entity Mailing Address: 10 NARROWS DRIVE, PETERSBURG, AK 99833

Entity Physical Address: 10 NARROWS DRIVE, PETERSBURG, AK 99833

Registered Agent

Agent Name: Keegan McCarthy

Registered Mailing Address: PO BOX 240034, DOUGLAS, AK 99824

Registered Physical Address: 9803 NINE MILE CREEK ROAD, JUNEAU, AK 99801

Officials

<table>
<thead>
<tr>
<th>AK Entity #</th>
<th>Name</th>
<th>Titles</th>
<th>Owned</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Chelsea McCarthy</td>
<td>Shareholder, Treasurer, Vice President</td>
<td>35.00</td>
</tr>
<tr>
<td></td>
<td>JUST N MOODY</td>
<td>Shareholder, Secretary</td>
<td>15.00</td>
</tr>
<tr>
<td></td>
<td>Keegan McCarthy</td>
<td>President, Shareholder</td>
<td>35.00</td>
</tr>
<tr>
<td>Date Filed</td>
<td>Type</td>
<td>Filing</td>
<td>Certificate</td>
</tr>
<tr>
<td>-----------</td>
<td>--------------------</td>
<td>-------------------</td>
<td>-------------------</td>
</tr>
<tr>
<td>4/03/2012</td>
<td>Creation Filing</td>
<td>Click to View</td>
<td>Click to View</td>
</tr>
<tr>
<td>4/03/2012</td>
<td>Initial Report</td>
<td>Click to View</td>
<td></td>
</tr>
<tr>
<td>1/03/2014</td>
<td>Biennial Report</td>
<td>Click to View</td>
<td></td>
</tr>
<tr>
<td>12/10/2015</td>
<td>Biennial Report</td>
<td>Click to View</td>
<td></td>
</tr>
<tr>
<td>10/06/2017</td>
<td>Biennial Report</td>
<td>Click to View</td>
<td></td>
</tr>
<tr>
<td>8/06/2018</td>
<td>Change of Officials</td>
<td>Click to View</td>
<td></td>
</tr>
<tr>
<td>2/27/2020</td>
<td>Biennial Report</td>
<td>Click to View</td>
<td></td>
</tr>
<tr>
<td>9/09/2020</td>
<td>Entity Address Change</td>
<td>Click to View</td>
<td></td>
</tr>
<tr>
<td>2/26/2021</td>
<td>Change of Officials</td>
<td>Click to View</td>
<td></td>
</tr>
<tr>
<td>3/16/2021</td>
<td>Change of Officials</td>
<td>Click to View</td>
<td></td>
</tr>
</tbody>
</table>
Good morning,

The inclusion of “Alaska Quest” was made in error by me. MV Sikumi should have been added in this sentence. I have redone the wording below:

- Under 3 AAC 304.155 you must provide a current copy of a USCG inspection document such as the USCG 5-Star Program or Certificate of Inspection (COI) for vessel MV Sikumi. For vessels that are not required to be inspected by the US Coast Guard and do not have a US Coast Guard Certificate of Compliance (COC-for foreign vessels), AMCO requires participation in the 5-Star Safety Program, which is a no-cost program where the US Coast Guard conducts a limited inspection of the vessel and upon a successful inspection, provides a two-year 5-Star Decal.

I would also like to address the NOV (Notice of Violation) information that I emailed you in the initial review. Upon re-review and after guidance from our Enforcement Unit it appears that the only notice of violation is for the change of members AS 04.11.050.

As such you are NOT required to submit a transfer application within 30 days.

However, to add the two new members to your license record you need to update the attached renewal application page 2 in section 2 to reflect their names, titles and percentages owned as well as correct the titles and percentages owned by yourself and Keegan McCarthy. Correct the renewal application page match the CBPL ownership structure and return to alcohol.licensing@alaska.gov.
**CBPL Ownership Structure**

- Chelsea McCarthy – Vice President/ Treasurer - 35%
- Keegan McCarthy – President 35%
- Justin Moody – Secretary - 15%
- Scott Newman – Director - 15%

In addition before we can deem the renewal application complete for each new member we will need the following submitted:

- AB-08a form (blank form attached)
- Fingerprint Card on FBI approved card stock
- $48.25 each for a total of $96.50

Thank you and I apologize for my error.

Kristina Serezhkov
Licensing Examiner
Alcohol and Marijuana Control Office
550 West 7th Avenue, Suite 1600
Anchorage, Alaska 99501
907-269-0359

---

**From:** Chelsea Wilburn <chelsea_wilburn@yahoo.com>
**Sent:** Tuesday, April 27, 2021 10:59 AM
**To:** Alcohol Licensing, CED ABC (CED sponsored) <alcohol.licensing@alaska.gov>
**Subject:** Re: #5254 dba MV Sikumi Incomplete Renewal

Hello,

Could you please clarify the following below, was this in error? as we don’t owner operate or have anything to do with Alaska Quest.
Under 3 AAC 304.155 you must provide a current copy of a USCG inspection document such as the USCG 5-Star Program or Certificate of Inspection (COI) for vessel Alaska Quest. For vessels that are not required to be inspected by the US Coast Guard and do not have a US Coast Guard Certificate of Compliance (COC-for foreign vessels), AMCO requires participation in the 5-Star Safety Program, which is a no-cost program where the US Coast Guard conducts a limited inspection of the vessel and upon a successful inspection, provides a two-year 5-Star Decal.

Thanks,

Chelsea McCarthy

On Tuesday, April 27, 2021, 12:35:13 PM MDT, Alcohol Licensing, CED ABC (CED sponsored) <alcohol.licensing@alaska.gov> wrote:

Good morning,

I have reviewed the renewal application submitted for license #5254 MV Sikumi on 12/31/2020. At this time, the application is considered incomplete. Please review the following for instructions on how to complete your application.

COI/5 Star

Certificate of Compliance:

- Under 3 AAC 304.155 you must provide a current copy of a USCG inspection document such as the USCG 5-Star Program or Certificate of Inspection (COI) for vessel Alaska Quest. For vessels that are not required to be inspected by the US Coast Guard and do not have a US
Coast Guard Certificate of Compliance (COC-for foreign vessels), AMCO requires participation in the 5-Star Safety Program, which is a no-cost program where the US Coast Guard conducts a limited inspection of the vessel and upon a successful inspection, provides a two-year 5-Star Decal.

It appears that MV Sikumi had an unreported change of members in 2021 which is a violation of AS 04.11.050 and an unreported change in controlling interest in 2021 which is a violation of AS 04.11.040(c). This has been reported to our Enforcement Unit and they will send you separate correspondence on this violation. See below AB-17 Page 2 Section 2 outline for details.

**AB-17**

- **Page 2 Section 2**
  - The information provided in this section does not match the records maintained by Corporations, Business and Professional Licensing (CBPL)
    - **CBPL Ownership Structure**
      - Chelsea McCarthy – Vice President/ Treasurer- 35%
      - Keegan McCarthy- President 35%
      - Justin Moody- Secretary- 15%
      - Scott Newman – Director- 15%
    
    - **AMCO Ownership Structure**
      - Chelsea McCarthy – Secretary/ Treasurer- 50%
      - Keegan McCarthy- President 50%
It is required that you submit a Transfer License Application within 30 days of this notice (5/27/2021) or action may be taken against your license. Forms, fees and instructions can be found on our website at this link: https://www.commerce.alaska.gov/web/amco/ A fingerprint card will be needed for both Justin Moody and Scott Newman with the transfer application.

The AB-08a forms and fingerprint cards submitted with the renewal application for Chelsea McCarthy and Keegan McCarthy are not needed for the renewal process.

Please make the necessary actions and respond to this email no later than close of business May 7, 2021. Completed documents (with the exception of fingerprint cards or payments) may be scanned and emailed, and questions or concerns may be sent to alcohol.licensing@alaska.gov.

Thank you for your immediate diligence toward completing your application.

Kristina Serezhenkov
Licensing Examiner
Alcohol and Marijuana Control Office
550 West 7th Avenue, Suite 1600
Anchorage, Alaska 99501
907-269-0359
Good morning,

Upon review the renewal application for #5254 dba MV Sikumi only needs the fingerprint cards and fees for Justin Moody and Scott Newman to be deemed complete. If you not already mailed in the fingerprint cards please do so as soon as possible. You may mail in the fingerprint background check fee for $96.50 ($48.25 per person) or contact our office to make the payment over the phone at 907-269-0350.

The next board meeting is scheduled for 10/26/2021. Your renewal application must be deemed complete by close of business 10/8/2021 to make it on the agenda for this board meeting.

Thank you,

Kristina Serezhenkov
Licensing Examiner
Alcohol and Marijuana Control Office
550 West 7th Avenue, Suite 1600
Anchorage, Alaska 99501
We cannot accept faxed or scanned fingerprints. Please mail the actual cards to our office at:

AMCO
500 W 7th Avenue Ste 1600
Anchorage, AK 99501

I will review your application shortly and let you know if anything else is needed.

Thank you,

Kristina Serezhenkov
Licensing Examiner
Alcohol and Marijuana Control Office
550 West 7th Avenue, Suite 1600
Anchorage, Alaska 99501

From: Chelsea Wilburn <chelsea_wilburn@yahoo.com>
Sent: Wednesday, August 4, 2021 8:12 AM
To: Alcohol Licensing, CED ABC (CED sponsored) <alcohol.licensing@alaska.gov>
Subject: Re: #5254 dba MV Sikumi Incomplete Renewal

Please let us know what else is needed - we have the finger print cards- last time I mailed them and scanned them, you accepted the scanned and mailed me back the originals- You have received the scanned format-and stated you need the originals mailed this time - would a fax work in order for them to be confidential?

Thanks,

Chelsea McCarthy, M.A., CCC-SLP
Speech-Language Pathologist
Alaska’s Midnight Sun Therapy Services, LLC

Owner at Custom Alaska Cruises
Chelsea McCarthy
Booking Manager/Cruise Planner
On Monday, May 3, 2021, 05:59:00 PM MDT, Alcohol Licensing, CED ABC (CED sponsored) <alcohol.licensing@alaska.gov> wrote:

Good afternoon,

As long the documentation and fingerprint card, fee etc… is received by 6/4/2021 I will be able to schedule the renewal application on the next board meeting which is slated for 6/22/2021.

I would say if you can get the information to us by or before 6/4/2021 that is a good timeframe to work with.

Thank you,

Kristina Serezhenkov
Licensing Examiner
Alcohol and Marijuana Control Office
550 West 7th Avenue, Suite 1600
Anchorage, Alaska 99501

From: Chelsea Wilburn <chelsea_wilburn@yahoo.com>
Sent: Monday, May 3, 2021 10:59 AM
To: Alcohol Licensing, CED ABC (CED sponsored) <alcohol.licensing@alaska.gov>
Subject: Re: #5254 dba MV Sikumi Incomplete Renewal
Hi Kristina,

The Sikumi is currently out in the field and out of service and has been since April 20. They will be out until at least May 20. Justin Moody is on the vessel and is unable to get Finger Print cards until he returns from the boat. As well as- the sticker for our 5 star cert is on the boat currently, so until it returns into service I can't get a copy to send to you. What is our timeframe to provide you with this information?

Thanks,

Chelsea McCarthy, M.A., CCC-SLP
Speech-Language Pathologist
Alaska's Midnight Sun Therapy Services, LLC

Owner at Custom Alaska Cruises

Chelsea McCarthy
Booking Manager/Cruise Planner
www.sikumi.com
970-217-6359

On Tuesday, April 27, 2021, 01:25:12 PM MDT, Alcohol Licensing, CED ABC (CED sponsored) <alcohol.licensing@alaska.gov> wrote:

Good morning,

The inclusion of “Alaska Quest” was made in error by me. MV Sikumi should have been added in this sentence. I have redone the wording below:

- Under 3 AAC 304.155 you must provide a current copy of a USCG inspection document such as the USCG 5-Star Program or Certificate of Inspection (COI) **for vessel MV Sikumi.** For vessels that are not required
Good morning,

I am following up on your renewal application for #5254 dba MV Sikumi. We have not yet received the fingerprint cards or the fees. Have these been submitted yet?

Thank you,

Kristina Serezhenkov
Licensing Examiner
Alcohol and Marijuana Control Office
550 West 7th Avenue, Suite 1600
Anchorage, Alaska 99501

Good morning,

A temporary license can only be issued once the renewal application is deemed complete so I am unable to send you one yet. However, I am sending a letter from our Director Glen Klinkhart, that you may use in lieu of a temporary license. Once I have deemed your renewal application complete I will email you an actual temporary license.

Thank you,
Good afternoon,

Neither the payment of $96.50 or the two fingerprint cards were received in our office as of today. As weeks have gone and we have not gotten the cards or the payment by you may need to resubmit both. If you enclosed a payment with the fingerprint cards I advise that you check with your bank to see if the payment has been withdrawn.

Thank you,

Kristina Serezhnentkov
Licensing Examiner
Alcohol and Marijuana Control Office
550 West 7th Avenue, Suite 1600
Anchorage, Alaska 99501

These were mailed weeks ago and show received.

Thanks,

Chelsea McCarthy, M.A., CCC-SLP
Speech-Language Pathologist
Alaska's Midnight Sun Therapy Services, LLC

Owner at Custom Alaska Cruises
Chelsea McCarthy
Booking Manager/Cruise Planner
Good morning,

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Licensing Examiner
Alcohol and Marijuana Control Office
550 West 7th Avenue, Suite 1600
Anchorage, Alaska 99501

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Thank you,

Kristina Serezhenkov
Licensing Examiner
Alcohol and Marijuana Control Office
550 West 7th Avenue, Suite 1600
Anchorage, Alaska 99501

From: Chelsea Wilburn <chelsea_wilburn@yahoo.com>
Sent: Monday, August 9, 2021 11:22 AM
To: Alcohol Licensing, CED ABC (CED sponsored) <alcohol.licensing@alaska.gov>
Subject: Re: #5254 dba MV Sikumi Incomplete Renewal- Just need fingerprint cards and fees

While this is processing can you resend our temp license for the interim please.

Thanks.

Chelsea McCarthy, M.A. CCC-SLP
On Aug 9, 2021, at 10:51 AM, Alcohol Licensing, CED ABC (CED sponsored)
<alcohol.licensing@alaska.gov> wrote:

Good morning,

Upon review the renewal application for #5254 dba MV Sikumi only needs the fingerprint cards and fees for Justin Moody and Scott Newman to be deemed complete. If you not already mailed in the fingerprint cards please do so as soon as possible. You may mail in the fingerprint background check fee for $96.50 ($48.25 per person) or contact our office to make the payment over the phone at 907-269-0350.

The next board meeting is scheduled for 10/26/2021. Your renewal application must be deemed complete by close of business 10/8/2021 to make it on the agenda for this board meeting.

Thank you,

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Licensing Examiner
Alcohol and Marijuana Control Office
Good morning,

We cannot accept faxed or scanned fingerprints. Please mail the actual cards to our office at:

AMCO
500 W 7th Avenue Ste 1600
Anchorage, AK 99501

I will review your application shortly and let you know if anything else is needed.

Thank you,

Kristina Serezhkenkov
Please let us know what else is needed - we have the finger print cards- last time I mailed them and scanned them, you accepted the scanned and mailed me back the originals- You have received the scanned format-and stated you need the originals mailed this time - would a fax work in order for them to be confidential?

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Speech-Language Pathologist  
Alaska's Midnight Sun Therapy Services, LLC  

Owner at Custom Alaska Cruises  

Chelsea McCarthy  
Booking Manager/Cruise Planner  
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970-217-6359
Good afternoon,

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I would say if you can get the information to us by or before 6/4/2021 that is a good timeframe to work with.

Thank you,

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Alcohol and Marijuana Control Office
550 West 7th Avenue, Suite 1600
Anchorage, Alaska 99501

From: Chelsea Wilburn <chelsea_wilburn@yahoo.com>
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To: Alcohol Licensing, CED ABC (CED sponsored) <alcohol.licensing@alaska.gov>
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I would also like to address the NOV (Notice of Violation) information that I emailed you in the initial review. Upon re-review and after guidance from our Enforcement Unit it appears that the only notice of violation is for the change of members AS 04.11.050.

As such you are NOT required to submit a transfer application within 30 days.

However, to add the two new members to your license record you need to update the attached renewal application page 2 in section 2 to reflect their names, titles and percentages owned as well as correct the titles and percentages owned by yourself and Keegan McCarthy. Correct the renewal application page match the CBPL ownership structure and return to alcohol.licensing@alaska.gov:

- **CBPL Ownership Structure**
  - Chelsea McCarthy – Vice President/ Treasurer- 35%
  - Keegan McCarthy- President 35%
  - Justin Moody- Secretary- 15%
Scott Newman – Director - 15%

In addition before we can deem the renewal application complete for each new member we will need the following submitted:

- AB-08a form (blank form attached)
- Fingerprint Card on FBI approved card stock
- $48.25 each for a total of $96.50

Thank you and I apologize for my error.

Kristina Serezhenkov
Licensing Examiner
Alcohol and Marijuana Control Office
550 West 7th Avenue, Suite 1600
Anchorage, Alaska 99501
907-269-0359

From: Chelsea Wilburn <chelsea_wilburn@yahoo.com>
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Certificate of Compliance:

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AB-17
- Page 2 Section 2
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  • Scott Newman – Director- 15%

• AMCO Ownership Structure
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  • Keegan McCarthy- President 50%

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Please make the necessary actions and respond to this email no later than close of business May 7, 2021. Completed documents (with the exception of fingerprint cards or payments) may be scanned and emailed, and questions or concerns may be sent to alcohol.licensing@alaska.gov.
Thank you for your immediate diligence toward completing your application.

Kristina Serezhenkov
Licensing Examiner
Alcohol and Marijuana Control Office
550 West 7th Avenue, Suite 1600
Anchorage, Alaska 99501
907-269-0359