Notice of Violation

This form, all information provided and responses are public documents per Alaska Public Records ACT AS 40.25

Beverage Dispensary Date: 6/21/21 License #/Type: 1252

Licensee: APS Investments, LLC Address: 120 W. Bunnel Ave. Homer. AK

DBA: AJ's Oldtown Steakhouse & Tavern AMCO Case #: 21-0680

This is a notice to you as licensee that an alleged violation has occurred. If the Alcoholic Beverage Control Board decides to act against your license, under the provisions of AS 44.62.330 - AS 44.62.630 (Administrative Procedures Act) you will receive an Accusation and Notice of your right to an Administrative Hearing.

Note: This is not an accusation or a criminal complaint.

On 6-16-21, an inspection was conducted at your establishment. No civil penalty had been posted at the entrance to the establishment so patrons could see the sign either before entering or immediately upon entry into the establishment. Manager D. Oliver was asked to provide proof of current server education. Oliver provided TAP card #154994 which had expired on 5-9-20.

Your attention is directed to AS 04.21.065: Posting of warning signs. Please note that not posting or incorrectly posting your mandatory signs could result in a \$100.00 a day fine. Your attention is further directed to AS 04.21.025: Alcohol server education course, AS 04.21.030: Responsibility of licensee's, agents and employees and AS 04.16.150: Licensee responsible for violations

You are directed to respond in writing to this Notice of Violation within 10 days of receipt to explain what action you have taken to prevent a re-occurrence of this violation. FAILURE TO RESPOND TO THIS NOTICE OF VIOLATION WITHIN 10 DAYS WILL RESULT IN YOUR APPEARANCE, EITHER IN PERSON OR TELEPHONICALLY, BEFORE THE ABC BOARD AT THEIR NEXT REGULARLY SCHEDULED BOARD MEETING.

*Please send your response to the address below and include your alcohol license number in your response.

3 AAC 304.525 (B) provides that upon receipt of a Notice of Violation, a licensee may request to appear before the Director and be heard regarding the Notice of Violation. The request must be made within ten days after receipt of the Notice and the Director must grant an appearance within ten days after receipt of a request. A Licensee shall respond, either orally or in writing, to the Notice.

Alcohol & Marijuana Control Office ATTN: Enforcement 550 W. 7th Ave. Suite 1600 Anchorage, Alaska 99501 amco.enforcement@alaska.gov

Issuing Investigator: J. Hamilton

Received by:

SIGNATURE:

3 2022

Delivered VIA: Mail

Date:

updated 2/08/21

J.R. Hamilton

Tracking #: 7018 0360 0000 1428 7203



June 29, 2021

Alcohol & Marijuana Control Office Attn: Enforcement & Licensing Board 550 W. 7th Ave, Suite 1600 Anchorage, AK 99501 License: 1252

I received a notice of violation regarding our a warning sign at our front door and one of my managers, Mr. Darrel Oliver's expired TAP card. It expired during our covid shutdown on May 9, 2020. I reached out to Officer Hamilton to receive an extension to provide the required information until July 1st in order to have Mr. Darrel and another new staff member take the renewal cours.

It is my understanding from reviewing AS 04.21.065, that it is my responsibility to provide this signage at my door and a missing sign can result in a \$100 per day fine. I appreciate that Officer Hamilton provided us one immediately. I also understand that under AS 04.21.025 that it is also my responsibility that our servers and bartenders maintain unexpired alcohol server education TAPS eards.

ACTION TAKEN TO PREVENT REOCURRANCE:

drenne Julenez

Mr. Oliver attended the TAPS renewal course today and renewed his certificate. In the future, I will ensure that all servers and bartenders maintain their TAPS education. I appreciate the ability to now do this online.

We will maintain signage as required.

Sincerely,

Adrienne Sweeney

AJ's Oldtown Steakhouse

135 W. Bunnell Ave Homer, AK 99603

907-299-8019

JAN 3 2022

STATE OF ALASKA - ALCOHOLIC BEVERAGE CONTROL BOARD

LICENSE NUMBER

FORM CONTROL

XXXX

ISSUED 04/15/2022 ABC BOARD **LIQUOR LICENSE 2022 - 2023**

1252

LICENSE RENEWAL APPLICATION DUE DECEMBER 31, 2023 (AS 04.11.270(b))

TEMPORARY
THIS LICENSE EXPIRES MIDNIGHT
FEBRUARY 28, 2024 UNLESS DATED BELOW

TYPE OF LICENSE: Beverage Dispen

LICENSE FEE: \$2,500.00

1104

D/B/A: AJ's Oldtown Steakhouse &

120 W Bunnel Avenue

Mail Address:

APS Investments, LLC 135 W Bunnell Avenue Homer, AK 99603 CITY / BOROUGH: Ho

Homer

Kenai Peninsula Borough

This license cannot be transferred without permission of the Alcoholic Beverage Control Board

[] Special restriction - see reverse side

ISSUED BY ORDER OF THE ALCOHOLIC BEVERAGE CONTROL BOARD

JI JUNE TOP

DIRECTOR

04-900 (REV 7/21)

THIS LICENSE MUST BE POSTED IN A VISIBLE PLACE ON THE PREMISES

STATE OF ALASKA - ALCOHOLIC BEVERAGE CONTROL BOARD FORM CONTROL

LICENSE NUMBER

an comine

XXXX

ISSUED 04/15/2022 ABC BOARD LIQUOR LICENSE

2022 - 2023

1252

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TYPE OF LICENSE: Beverage Disper

LICENSE FEE: \$2,500.00

CITY / BOROUGH: Homer

Kenai Peninsula Borough

This license cannot be transferred without permission of the Alcoholic Beverage Control Board

[] Special restriction - see reverse side

ISSUED BY ORDER OF THE ALCOHOLIC BEVERAGE CONTROL BOARD

COPY

DIRECTOR

THIS LICENSE MUST BE POSTED IN A VISIBLE PLACE ON THE PREMISES 04-900 (REV 7/21)

D/B/A:

AJ's Oldtown Steakhouse & Tavern 120 W Bunnel Avenue

Mailing Address:

APS Investments, LLC 135 W Bunnell Avenue Homer, AK 99603



Alcohol and Marijuana Control Office 550 W 7th Avenue, Suite 1600 Anchorage, AK 99501 alcohol.licensing@alaska.gov

https://www.commerce.alaska.gov/web/amco

Phone: 907.269.0350

Alaska Alcoholic Beverage Control Board

Form AB-17: 2022/2023 General Renewal Application

This form and any required supplemental forms must be completed, signed by the licensee, and postmarked no later than 12/31/2021 per AS 04.11.270, 3 AAC 304.160, with all required fees paid in full, or a non-refundable \$500.00 late fee applies. Any complete application for renewal or any fees for renewal that have not been postmarked by 02/28/2022 will be expired per AS 04.11.540,3 AAC 304.160(e).

All fields of this application must be deemed complete by AMCO staff and must be accompanied by the required fees and all documents required, or the application will be returned without being processed, per AS 04.11.270, 3 AAC 304.105

Establishment Contact Information

Receipt and/or processing of renewal payments by AMCO staff neither indicates nor guarantees in any way that an application will be deemed complete, renewed, or that it will be scheduled for the next ABC Board meeting.

Licensee (Owner):	APS Investmen	ts.LLC	_	License #:	1252
License Type:		ensari			1202
Doing Business As:	AJ'S Oldtown		1		
Premises Address:		ell Ave			
Local Governing Body:	City of Homer (Kenai Peninsula Borough)				
Community Council:	None None				
If your mailing address has	changed, write the NEW addre	ss below:			
Mailing Address:	135 W. Bunner	e Ave)		
City:	Homer	State:	AK	ZIP:	994003
	A .		T		
Contact Licensee: The indiv must be listed on CBPL with the	Section 1 – Licenses ridual listed below must be listed in				r of your entity and
	ed point of contact regarding this li		he Optional conta	ct is completed.	
Contact Licensee:	adrienne Sue	eney	Contact Phon	e: 907	-299-8019
Contact Email:	adriennewalli		tmail.	Com	
Optional: If you wish for AMCO st	taff to communicate with anyone othe				nem below:
Name of Contact:	Alex Sweener	1	Contact Phone		-209-7570
Contact Email:	allxavieney	@ yaha	20.com		
	1 3				
Name of Contact:			Contact Phone	e:	
Contact Email:					
Name of Contact:			Contact Phone	.	
Contact Email:			Contact Filone		1000
				AMCO	
Form AB-17] (rev09/21/2021)					Page 1 of /



Alaska Alcoholic Beverage Control Board

Form AB-17: 2022/2023 License Renewal Application

Section 2 – Entity or Community Ownership Information

Sole Proprietors should skip this Section.

Use the link from Corporations, Business and Professional Licensing (CBPL) below to assist you in finding the Entity #. https://www.commerce.alaska.gov/cbp/main/search/entities

Alaska CBPL Entity #:	130372	
	1,000	

READ BEFORE PROCEEDING: Any new or changes to Shareholders (10% or more), Managers, Corporate Officers, Board of Directors, Partners, Controlling Interest or Ownership of the business license must be reported to the ABC Board within 10 days of the change and must be accompanied by a full set of fingerprints on FBI-approved card stock, AB-08a's, payment of \$48.25 for each new officer with a date-stamped copy of the CBPL change per AS 04.11.045, 50 & 55, or a Notice of Violation will be issued to your establishment and your application will be returned.

The only exception to this is a Corporation who can meet the requirements set forth in AS 04.11.050(c).

DO NOT LIST OFFICERS OR TITLES THAT ARE NOT REQUIRED FOR YOUR ENTITY TYPE.

- Corporations of <u>any</u> type including non-profit must list ONLY the following:
 - o All shareholders who own 10% or more stock in the corporation
 - o Each President, Vice-President, Secretary, and Managing Officer regardless of percentage owned

Important Note: All entries below must match our records, or your application will be returned per AS 04.11.270, 3 AAC 304.105. You

- Limited Liability Corporations, of <u>any</u> type must list ONLY the following:
 - o All Members with an ownership interest of 10% or more
 - o All Managers (of the LLC, not the DBA) regardless of percentage owned
- Partnerships of any type, including Limited Partnerships must list ONLY the following:
 - o Each Partner with an interest of 10% or more
 - o All General Partners regardless of percentage owned

must list full legal names, all required titles, phone number, percentage of shares owned (if applicable) and a full mailing address for each official of your entity whose information we require. If more space is needed: attach additional completed copies of this page. Additional information not on this page will be rejected. Name of Official: Title(s): % Owned: **Mailing Address:** City: State: ZIP: Name of Official: Title(s): Phone: % Owned: **Mailing Address:** City: State: ZIP: Name of Official: Title(s): Phone: % Owned: **Mailing Address:** City: State: ZIP:

AMCO



Alaska Alcoholic Beverage Control Board

Form AB-17: 2022/2023 License Renewal Application

Section 3 – Sole Proprietor Ownership Information

Corporations, LLC's and Partnerships of ALL kinds should skip this section.

READ BEFORE PROCEEDING: Any new or changes to the ownership of the business license must be reported to the ABC Board within 10 days of the change and must be accompanied by a full set of fingerprints on FBI approved cardstock, AB-08a's, payment of \$48.25 for each new owner or officer and a date stamped copy of the CBPL change per AS 04.11.045, or a Notice of Violation will be issued to your establishment and your application will be returned.

Important Note: All entries below must match our records, or your application will be returned per AS 04.11.270, 3 AAC 304.105. You must list full legal names, phone number, and mailing address for each owner or partner whose information we require. If more space is needed, attach additional copies of this page. Additional owners not listed on this page will be rejected. This individual is an: Applicant **Affiliate** Name: **Contact Phone:** Mailing Address: City: State: ZIP: **Email:** This individual is an: **Applicant Affiliate** Name: **Contact Phone: Mailing Address:** City: State: ZIP: Email: **Section 4 – License Operation** Check ONE BOX for EACH CALENDAR YEAR that best describes how this liquor license was operated: The license was regularly operated continuously throughout each year. (Year-round) Oxcept from Nav ch 18, 2020 - May 21, 2020
The license was only operated during a specific season each year. (Seasonal) If your operation dates have changed, list them below: to The license was only operated to meet the minimum requirement of 240 total hours each calendar year. A complete AB-30: Proof of Minimum Operation Checklist, and all documentation must be provided with this form. The license was not operated at all or was not operated for at least the minimum requirement of 240 total hours each year, during one or both calendaryears. A complete Form AB-29: Waiver of Operation Application and corresponding fees must be submitted with this application for each calendar year during which the license was not operated. If you have not met the minimum number of hours of operation in 2020 and/or 2021, you are not required to pay the fees, however a complete AB-29 is required with Section 2 marked "OTHER" and COVID is listed as the reason. Section 5 - Violations and Convictions Yes No Have ANY Notices of Violation been issued for this license OR has ANY person or entity in this application been convicted of a violation of Title 04, 3AAC 304 or a local ordinance adopted under AS 04.21.010 in 2020 or 2021? If you checked YES, you MUST attach a list of all Notices of Violation and/or Convictions per AS 04.11.270(a)(2)

If you are unsure if you have received any Notices of Violation, contact the office before submitting this form.

It see attached

AMCO



Alaska Alcoholic Beverage Control Board

Form AB-17: 2022/2023 License Renewal Application

Section 6 - Certifications

As an applicant for a liquor license renewal, I declare under penalty of perjury that I have read and am familiar with AS 04 and 3 AAC 304, and that this application, including all accompanying schedules and statements, are true, correct, and complete.

- I agree to provide all information required by the Alcoholic Beverage Control Board or requested by AMCO staff in support of
 this application and understand that failure to do so by any deadline given to me by AMCO staff will result in this
 application being returned and potentially expired if I do not comply with statutory or regulatory requirements.
- I certify that all current licensees (as defined in AS 04.11.260) and affiliates have been listed on this application, and that in accordance with AS 04.11.450, no one other than the licensee(s) has a direct or indirect financial interest in the licensed business.
- I certify that this entity is in good standing with Corporations, Business and Professional Licensing (CBPL) and that all entity
 officials and stakeholders are current and accurately listed, and I have provided AMCO with all required changes of
 Shareholders (10% or more), Managers, Corporate Officers/Board of Directors, Partners, Controlling Interest or Ownership of
 the business license, and have provided all required documents for any new or changes in officers.
- I certify that all licensees, agents, and employees who sell or serve alcoholic beverages or check identification of patrons
 have completed an alcohol server education course approved by the ABC Board and keep current, valid copies of their
 course completion cards on the licensed premises during all working hours, if applicable for this license type as set forth
 in AS 04.21.025 and 3 AAC 304.465.
- I certify that I have not altered the functional floor plan or reduced or expanded the area of the licensed premises, and I have not changed the business name from what is currently approved and on file with the Alcoholic Beverage Control Board.

I certify on behalf of myself or of the organized entity that I understand that providing a false statement on this form or any other form provided by AMCO is grounds for rejection or denial of this application or revocation of any license issued.

Signature of licensee

Signature of licensee

Notary Public In and for the State of:

Notary Public In and for the St

Restaurant/Eating Place applications must include a completed AB-33: Restaurant Receipts Affidavit
Recreational Site applications must include a completed Recreational Site Statement
Tourism applications must include a completed Tourism Statement
Wholesale applications must include a completed AB-25: Supplier Certification
Common Carrier applications must include a current safety inspection certificate

All renewal and supplemental forms are available online

Any application that is not complete or does not include ALL required completed forms and fees will not be processed and will be returned per AS 04.11.270, 3 AAC 304.105.

FOR OFFICE USE ONLY

License Fee:	\$ 2500	Application Fee:	\$ 300.00	Misc. Fee:	\$
		Total Fees Due:			\$ 28000

AMCO

ENTITY DETAILS

Name(s)

Туре	Name
Legal Name	APS Investments, LLC

Entity Type: Limited Liability Company

Entity #: 130372

Status: Good Standing

AK Formed Date: 8/30/2010

Duration/Expiration: Perpetual

Home State: ALASKA

Next Biennial Report Due: 1/2/2024

Entity Mailing Address: 135 W. BUNNELL AVE, HOMER, AK 99603

Entity Physical Address: 135 W BUNNELL AVE HOMER, AK 99603, HOMER, AK 99603

Registered Agent

Agent Name: ADRIENNE SWEENEY

Registered Mailing Address: 135 W. BUNNELL AVENUE, HOMER, AK 99603

Registered Physical Address: 135 W. BUNNELL AVENUE, HOMER, AK 99603

Officials

			☐Show Former	
AK Entity #	Name	Titles	Owned	
	Adrienne Sweeney	Member, Manager	49.00	
	Alexander Sweeney	Member	51.00	

Filed Documents

Date Filed	Туре	Filing	Certificate
8/30/2010	Creation Filing	Click to View	
8/30/2010	Initial Report	Click to View	
3/22/2012	Biennial Report	Click to View	
10/21/2013	Agent Change	Click to View	
5/10/2014	Biennial Report	Click to View	
12/02/2015	Biennial Report	Click to View	
1/01/2018	Biennial Report	Click to View	
1/01/2020	Biennial Report	Click to View	
12/14/2021	Biennial Report	Click to View	

LICENSE DETAILS

License #: 957503

Print Business License

Business Name: AJ'S OLDTOWN STEAKHOUSE & TAVERN

Status: Active

Issue Date: 04/23/2011

Expiration Date: 12/31/2022

Mailing Address: 135 W. BUNNELL AVE

HOMER, AK 99603

Physical Address: 120 W. BUNNELL AVE

HOMER, AK 99603

Owners

APS INVESTMENTS, LLC

Activities

Line of Business	NAICS	Professional License #
72 - Accommodation and Food Services	722110 - FULL-SERVICE RESTAURANTS	
72 - Accommodation and Food Services	722410 - DRINKING PLACES (ALCOHOLIC BEVERAGES)	

Endorsements

No Endorsements Found

License Lapse(s)

If this business license lapsed within the last four years the lapsed periods will appear below. Lapsed periods are the unlicensed period between an expiration date and renewal date.

No Lapses on record for the last 4 years.

Close License Detail

Print Friendly Version