Alcohol and Marijuana Control Office
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Alaska Marijuana Control Board

Form MJ-01: Marijuana Establishment Operating Plan

What is this form?

An operating plan is required for all marijuana establishment license applications. Applicants should review **Title 17.38** of **Alaska Statutes** and **Chapter 306** of the **Alaska Administrative Code.** This form will be used to document how an applicant intends to meet the requirements of those statutes and regulations. If your business has a formal operating plan, you may include a copy of that operating plan with your application, but all fields of this form must still be completed per 3 AAC 306.020(c).

What must be covered in an operating plan?

Applicants must identify how the proposed premises will comply with applicable statutes and regulations regarding the following:

- Control plan for persons under the age of 21
- Security
- Business records
- Inventory tracking of all marijuana and marijuana product on the premises
- Employee qualification and training
- Health and safety standards
- Transportation and delivery of marijuana and marijuana products
- Signage and advertising

Applicants must also complete the corresponding operating plan supplemental forms (**Form MJ-03, Form MJ-04, Form MJ-05,** or **Form MJ-06)** to meet the additional operating plan requirements for each license type.

Section 1 – Establishment & Contact Information

Enter information for the business seeking to be licensed, as identified on the license application.

Licensee:	Aurora Development Group, Inc.	**MJ License #:**	15557		
License Type:	Limited Marijuana Cultivation Facility				
Doing Business As:	Aurora Development Group, Inc.				
Premises Address:	1667Amidon Drive				
City:	Fairbanks	**State:**	Alaska	**ZIP:**	99712-2836

Mailing Address:	2017 Bluegrass Drive				
City:	Fairbanks	**State:**	Alaska	**ZIP:**	99709-5670

Designated Licensee:	Scott Wynne		
Main Phone:	907-750-8589	**Cell Phone:**	907-750-8589
Email:	aurdevcorp@gmail.com		

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Section 2 – Control Plan for Persons Under the Age of 21

2.1. Describe how the marijuana establishment will prevent persons under the age of 21 from gaining access to any portion of the licensed premises and marijuana items:

- Absolutely no one under the age of 21 shall be allowed to enter the restricted area and licensed premises at any time.
-The entire premises will be designated as a restricted access area with signage posted on and around the building stating "Restricted Access Area: Visitors Must Be Escorted" as well as signs stating, "No One Under 21 Year of Age Allowed" and "Video Surveillance in Progress".
- Employees will check identification of every individual seeking to enter the facility to ensure that they are over 21 years of age.
- Employees will verify identification and conform valid photographic identification showing that person is 21 years of age or older. A valid form of photographic identification includes an unexpired, unaltered passport; an unexpired, unaltered driver's license, instruction permit, or identification card of a state or territory of the United States, the District of Columbia, or a province or territory of Canada; an identification card issued by a federal or state agency authorized to issue a driver's license or identification card.

Section 3 – Security

Restricted Access Areas (3 AAC 306.710):

3.1. Describe how you will prevent unescorted members of the public from entering restricted access areas:

- Aurora Development Group, Inc. will not be open to the public and will remain locked at all times.
- Signage will be prominently displayed and state that the premises is secured, monitored and that visitors must be escorted.
- The licensed premises will be designated as a restricted access area and will be equipped with an alarm system with real time reporting to the licensees and managers cellphones.
- The exterior of the facility will be equipped with outdoor lighting to ensure the 24 hour video surveillance system can capture individuals approaching the facility and keep signage visible to the public.
- Non employees will not be allowed on to the premises. Certain individuals such as licensed retailers (given facility tours for the purpose of securing sale), contractors, security personnel and consultants will be allowed escorted access to the property by appointment or pre-arrangement only.
.- All visitors to the facility must be pre-approved and pre-scheduled by the licensees or staff managers.

3.2. Describe your recordkeeping and processes for admitting visitors into and escorting them through restricted access areas:

- All visitors to the facility, aside from emergency personnel, Fairbanks officials, agents of the MCB or local law enforcement, must be pre-approved and pre-scheduled by either a licensee or a designated manager before access to the facility will be granted.
- All visitor to the restricted area must show identification as required in 3 AAC 306.350. Scheduled visitors will be vetted by the site manager beforehand for authorized purpose of visit, age and credentials.
- As a general practice, individuals will not be allowed on the premises except to conduct official business.
- Visitors will never be allowed into quarantine, drying, or curing at any time.
- The licensee or employee checking the visitors photo ID card will create official record that will include purpose of visit, company/employer, date/time of entry and exit, responsible employee escort and age.
- All records will be maintained for four years
- The visitor will then sign into the visitor log recording their full name, date, time in and out of the facility and the purpose for the visit.
- Visitor will be issued a "Visitor" badge and clip to be worn at all times.
- Responsible employee will escort visitors and do nothing else during the duration of the visit.
- Responsible employee to visitor ratio will not exceed 1:5
- Visitor will conduct business and not remain on the premises for longer than required.
- Upon completion of business visitors will be escorted off the premises, visitor tags will be recovered, and an exit log will be created.

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3.3. Provide samples of licensee-produced identification badges that will be displayed by each licensee, employee, or agent while on the premises, and of visitor identification badges that will be worn by all visitors while in restricted access areas:

*or similar format and content

Security Alarm Systems and Lock Standards (3 AAC 306.715):

3.4. Exterior lighting is required to facilitate surveillance. Describe how the exterior lighting will meet this requirement:

- Exterior lighting shall be supplied on all four sides of the licensed premises including the three sides of the garage consisting of 4,000 lumen wall pack type lights.
- The light fixtures will keep the premises and restricted access signs well lit, and allow the exterior surveillance cameras to record individuals up to twenty (20) feet from all entry points to the building.
- The lighting fixtures will be positioned at an inaccessible height to prevent vandalism. The bulbs will be extremely bright to maximize visibility and deter crime.
- Motion activated lights will be mounted on all 4 walls of licensed facility.
- Care will be taken to ensure that outdoor lighting does not disturb neighbors.

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3.5. An alarm system is required for all license types that must be activated on all exterior doors and windows when the licensed premises is closed for business. Describe the security alarm system for the proposed premises, explain how it will meet all regulatory requirements, and outline your policies and procedures regarding the actions to be taken by a licensee, employee, or agent when the alarm system alerts of an unauthorized breach:

Each licensee and employee shall display an identification badge issued by the Aurora Development Group at all time when on the licensed premises. Aurora Development Group will install the security system on all
exterior doors and windows. The licensees will set the alarms in the "alarm on state" at the end of business each day. When the system is in an "on state" or a panic button is depressed. The alarm system provided will alert to after business hours entry of the restricted access areas (door 1, 4, and 6), and licensed premises (door 8 and 9). The bright exterior lights (4,000 lumen) will be on motion detector to facilitate surveillance camera and utilize as a security alert.

When alarm notification system and/or surveillance camera system alert the licensee to the unauthorized breach of security, the licensee will contact the Alaska State Troopers or local enforcement agency when necessary. The licensee shall notify the Department of Commerce, Community, and Economic Development, Alcohol and Marijuana Control Office as soon as reasonably practical and in any case not more than 24 hours after any unauthorized access to the premises or the establishment's knowledge of evidence or circumstances that reasonably indicate theft, diversion, or unexplained disappearance of marijuana, marijuana products, or money from the licensed premises.

The alarm and surveillance cameras systems are on battery back up so that shutting down the house power will not disable their functioning. Initially, the licensees will be trained to operate the alarm system but as the company grows other employees will be trained on the operation as well.

3.6. Describe your policies and procedures for preventing diversion of marijuana or marijuana product, including by employees:

Employees are required to perform weekly inventory counts to ensure the business records match Metrc records. Weekly counts are documented as business records. If it is discovered or suspected that theft or diversion has occurred, employees will alert management immediately. Aurora Development Group has a zero tolerance policy towards theft or diversion/inversion. Employees will complete mandatory training in identifying theft or diversion. If it has been determined that an employee is caught stealing marijuana the company will notify local law enforcement immediately, comply with all law enforcement and AMCO directives, and provide the necessary information and records for a thorough investigation. Once a theft is reported, management will update the product records in Metrc, and in the business records so as to maintain accurate and complete accounting for all marijuana in the inventory. Documentation related to any theft will be maintained as part of the business records for five (5) years and be available to AMCO.

3.7. Describe your policies and procedures for preventing loitering:

A secure lockable gate will prevent any unauthorized access. "No Trespassing" and "Private Property" signs will be prominently posted at the gate and around the property as well as signage stating that the premises is under video surveillance. The residence is in a rural setting at the end of an access road. Aurora Development Group will
implement a daily start up and close down procedures that will be followed by the initial and the last licensees or designated
employees on the premises. The procedures include monitoring and recording on the checklist of all operational systems before work begins and ends, and record on the daily basis.
In event of unauthorized access or loitering, the individuals will be told to vacate the property immediately or law enforcement will be contacted

You must be able to certify the statement below. Read the following and then sign your initials in the box to the right: Initials

3.8. I certify that if any additional security devices are used, such as a motion detector, pressure switch, and duress, panic, or hold-up alarm, to enhance security of the licensed premises, I will have written policies and procedures describing their use. NN

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Video Surveillance (3 AAC 306.720):

You must be able to certify each statement below. Read the following and then sign your initials in the corresponding box: Initials

3.9. The video surveillance and camera recording system for the licensed premises covers each restricted access area, and both the interior and exterior of each entrance to the facility. NN

3.10. Each video surveillance recording: is preserved for a minimum of 40 days, in a format that can be easily accessed for viewing (consistent with the Alcohol & Marijuana Control Office's approved format list); clearly and accurately displays the time and date; and is archived in a format that does not permit alteration of the recorded image. NN

3.11. The surveillance room or area is clearly defined on the Form MJ-02: Premises Diagram that is submitted with this application. NN

3.12. Surveillance recording equipment and video surveillance records are housed in a designated, locked, and secure area or in a lock box, cabinet, closet or other secure area where access is limited to the licensee(s), an authorized employee, and law enforcement personnel (including an agent of the Marijuana Control Board). NN

3.13. Describe how the video cameras will be placed to produce a clear view adequate to identify any individual inside the licensed premises, or within 20 feet of each entrance to the licensed premises:

Surveillance cameras will continuously monitor all doors (exteriors and interiors), and activities inside the restricted area with motion detector and ample lighting, and checked regularly to prevent obstructions. The video camera will be placed in a way that produces clear view adequate to identify any individual inside the licensed premises, or within 20 feet of entrance to the restricted area. During a power outage all video cameras and recording equipment will be run on uninterrupted power supply to ensure that they can continue to operate for at least one (1) hour.

3.14. Describe the locked and secure area where video surveillance recording equipment and original copies of surveillance records will be housed and stored, and how you will ensure the area is accessible only to authorized personnel, law enforcement, or an agent of the Marijuana Control Board. If you will be using an offsite monitoring service and offsite storage of video surveillance records, your response must include how the offsite facility will meet these security requirements:

The video surveillance recording equipment, video record, and business records will be stored in a locked and secured office in the residence are that is only accessible by the licensees, authorized person, AMCO agents or Alaska State Troopers. The business records for Aurora Development Group, Inc. will reside in a locked metal filing cabinet in such office inside the residence. The video security system will have password protection to prevent data tampering, and recorded surveillance video will be stored for a minimum of 40 days as official business records. Recordings will be time and date stamped in each frame and archived in a format that prevents alteration of the recording image. Recordings will be made available in a format suitable for review by AMCO upon request.

The surveillance room/ office will be monitored at all time as part of the security monitoring system to ensure only authorized access allowed. In the event of the unauthorized access to the surveillance room the notification system will be initiated and the security breached procedures will be followed by the licensee or site manager.

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Section 4 – Business Records

Review the requirements under 3 AAC 306.755. All licensed marijuana establishments must maintain, in a format that is readily understood by a reasonably prudent business person, certain business records.

4.1. I certify that the following business records will be maintained and kept on the licensed premises: Initials

a. **all books and records necessary to fully account for each business transaction conducted under my license for the current year and three preceding calendar years** *(records for the last six months must be maintained on the licensed premises; older records may be archived on or off-premises)*; NN

b. **a current employee list setting out the full name and marijuana handler permit number of each licensee, employee, and agent who works at the marijuana establishment;** NN

c. **the business contact information for vendors that maintain video surveillance systems and security alarm systems for the licensed premises;** NN

d. **records related to advertising and marketing;** NN

e. **a current diagram of the licensed premises, including each restricted access area;** NN

f. **a log recording the name, and date and time of entry of each visitor permitted into a restricted access area;** NN

g. **all records normally retained for tax purposes;** NN

h. **accurate and comprehensive inventory tracking records that account for all marijuana inventory activity from seed or immature plant stage until the retail marijuana or retail marijuana product is sold to a consumer, to another marijuana establishment, or destroyed;** NN

i. **transportation records for marijuana and marijuana product, as required by 3 AAC 306.750(f); and** NN

j. **registration and inspection reports of scales registered under the Weights and Measures Act, as required by 3 AAC 306.745.** NN

4.2. A marijuana establishment is required to exercise due diligence in preserving and maintaining all required records. Describe how you will prevent records and data, including electronically maintained records, from being lost or destroyed:

All electronic records including security logs, accounting logs, and all other business records will be kept securely in the cloud and backed up monthly to a secure hard drive and stored in a lockable cabinet for no less than 4 years. Hard copies and files will be stored in a lockable secured area and will be kept for no less than 4 years. Video surveillance records will be stored in a hard drive in a lockable cabinet and will be kept for no less than 40 days.

Only the licensees, authorized and designated employees will have access to the secured office where business records and surveillance equipment are stored. The facility's business records will be managed by the licensees in accordance with standard retention policies in accordance with 3 AAC 306 755 to ensure that business records are stored in a consistent, searchable and retrievable manner.

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Section 5 – Inventory Tracking of All Marijuana and Marijuana Product

Review the requirements under 3 AAC 306.730. All licensed marijuana establishments must use a marijuana inventory tracking system capable of sharing information with Metrc to ensure all marijuana cultivated and sold in the state, and each marijuana product processed and sold in the state, is identified and tracked from the time the marijuana is propagated from seed or cutting, through transfer to another licensed marijuana establishment, or use in manufacturing a marijuana product, to a completed sale of marijuana or marijuana product, or disposal of the harvest batch of marijuana or production lot of marijuana product.

You must be able to certify each statement below. Read the following and then sign your initials in the corresponding box: Initials

5.1. My marijuana establishment will be using Metrc, and if any other tracking software is used, it will be capable of sharing information with Metrc. NN

5.2. All marijuana delivered to a marijuana establishment will be weighed on a scale registered in compliance with 3 AAC 306.745. NN

5.3. My marijuana establishment will use registered scales in compliance with AS 45.75.080 (Weights and Measures Act), as required by 3 AAC 306.745. NN

Section 6 – Employee Qualification and Training

Review the requirements under 3 AAC 306.700. A marijuana establishment and each licensee, employee, or agent of the marijuana establishment who sells, cultivates, manufactures, tests, or transports marijuana or a marijuana product, or who checks the identification of a consumer or visitor, must obtain a marijuana handler permit from the board before being licensed or beginning employment at a marijuana establishment.

You must be able to certify each statement below. Read the following and then sign your initials in the corresponding box: Initials

6.1. Each licensee, employee, or agent of the marijuana establishment who sells, cultivates, manufactures, tests, or transports marijuana or marijuana product, or who checks the identification of a consumer or visitor, shall obtain a marijuana handler permit from the board before being licensed or beginning employment at the marijuana establishment. NN

6.2. Each licensee, employee, or agent who is required to have a marijuana handler permit shall keep that person's marijuana handler permit card in that person's immediate possession (or a valid copy on file on the licensed premises) when on the licensed premises. NN

6.3. Each licensee, employee, or agent who is required to have a marijuana handler permit shall ensure that that person's marijuana handler permit card is valid and has not expired. NN

6.4. Describe any in-house training that will be provided to employees and agents (apart from a marijuana handler course):

- All new employees will be trained on all company policies, procedures, and Metrc protocols.
- Refresher trainings will be provided at regular intervals.
- Cultivation Technician training will be conducted at time of hire and updated as needed.
- METRC Training by the licensee will be conducted before employee access is granted.
- Employees will be trained and expected to understand all aspects of 3 AAC 306
- All employees will receive basic security training and how to react and implement in an emergency protocol.
- The licensees will ensure that current and update copies of all regulations specific to marijuana production and sales will be available to all employees.

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Section 7 – Health and Safety Standards

Review the requirements under 3 AAC 306.735.

You must be able to certify each statement below. Read the following and then sign your initials in the corresponding box:	Initials
7.1. I understand that a marijuana establishment is subject to inspection by the local fire department, building inspector, or code enforcement officer to confirm that health or safety concerns are not present.	NN
7.2. I have policies regarding health and safety standards (including: ensuring a person with an illness or infection does not come into contact with marijuana or marijuana product; good hygienic practices; cleaning and maintenance of equipment and the premises; pest deterrence; chemical storage; sanitation principles; and proper handling of marijuana and marijuana product) and will take all reasonable measures and precautions to ensure that they are met or exceeded.	NN
7.3. I have policies to ensure that any marijuana or marijuana product that has been stored beyond its usable life, or was stored improperly, is not salvaged and returned to the marketplace.	NN
7.4. I have policies to ensure that in the event information about the age or storage conditions of marijuana or marijuana product is unreliable, the marijuana or marijuana product will be handled in accordance with 3 AAC 306.735(d).	NN

Answer "Yes" or "No" to each of the following questions:	Yes	No
7.5. Adequate and readily accessible toilet facilities that are maintained and in good repair and sanitary condition are clearly indicated on my Form MJ-02: Premises Diagram.	✓	
7.6. Convenient handwashing facilities with running water at a suitable temperature are clearly indicated on my Form MJ-02: Premises Diagram.	✓	

7.7. If you answered "No" to either 7.5 or 7.6 above, describe how toilet and/or handwashing facilities are made accessible, as required by 3 AAC 306.735(b)(2):

Section 8 – Transportation and Delivery of Marijuana and Marijuana Products

Review the requirements under 3 AAC 306.750.

8.1. Describe how marijuana or marijuana product will be prepared, packaged, and secured for shipment. Include a description of the type of locked, safe, and secure storage compartments to be used in vehicles transporting marijuana or marijuana product:

Marijuana products will be dried, cured, trimmed, and weighed. Aurora Development Group, Inc. will package bud and flower for sale to a retail marijuana store in a retail and wholesale packaging. A retail packaging will not exceed one ounce for resale to consumers without additional handling by the retail marijuana store. A wholesale packaging will not exceed five pounds for repackaging by the retail marijuana store. Packaging will comply with MCB regulations concerning
difficulty of access for minors, marketing, package markings, labeling for content, and labeling for test results. The packaging will be contamination proof and non toxic. Packaging will not use any printed images that specifically targets persons under 21 years of age and be identified by a tracking label generated by METRC. Once ready for delivery, package tags will be issued and entered into METRC tracking system. Product will be stored in a hard material containers with two combination locks in a locked transport vehicle. A trip manifest will be created prior to each shipment and will accompany marijuana product during delivery.

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You must be able to certify each statement below. Read the following and then sign your initials in the corresponding box: Initials

8.2. The marijuana establishment from which a shipment of marijuana or marijuana product originates will ensure that any individual transporting marijuana shall have a marijuana handler permit required under 3 AAC 306.700. NN

8.3. The marijuana establishment that originates the transport of any marijuana or marijuana product will use the marijuana inventory tracking system to record the type, amount, and weight of marijuana or marijuana product being transported, the name of the transporter, the time of departure and expected delivery, and the make, model, and license plate number of the transporting vehicle. NN

8.4. The marijuana establishment that originates the transport of any marijuana or marijuana product will ensure that a complete printed transport manifest on a form prescribed by the board must be kept with the marijuana or marijuana product at all times during transport. NN

8.5. During transport, any marijuana or marijuana product will be in a sealed package or container in a locked, safe, and secure storage compartment in the vehicle transporting the marijuana or marijuana product, and the sealed package will not be opened during transport. NN

8.6. Any vehicle transporting marijuana or marijuana product will travel directly from the shipping marijuana establishment to the receiving marijuana establishment, and will not make any unnecessary stops in between except to deliver or pick up marijuana or marijuana product at any other licensed marijuana establishment. NN

8.7. When the marijuana establishment receives marijuana or marijuana product from another licensed marijuana establishment, the recipient of the shipment will use the marijuana inventory tracking system to report the type, amount, and weight of marijuana or marijuana product received. NN

8.8. The marijuana establishment will refuse to accept any shipment of marijuana or marijuana product that is not accompanied by the transport manifest. NN

Section 9 – Signage and Advertising

9.1. Describe any signs that you intend to post on your establishment with your business name, including quantity, dimensions, graphics, and location on your establishment (photos or drawings may be attached):

At this time, there are no plans to place any signs on the cultivation facility.

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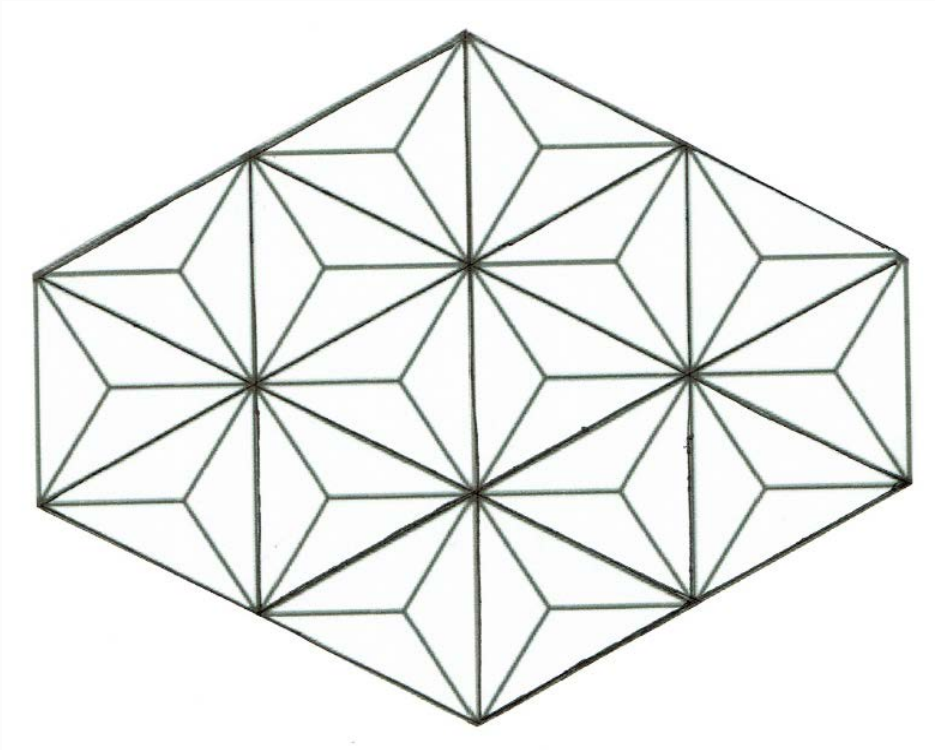
9.2. Describe any advertising you intend to distribute of your establishment. Include medium types and business logos (photos or drawings may be attached):

Aurora Development Group, Inc. will advertise via text message advertisement programs that strictly comply with regulation 3AAC306.770(i), social media outlets, local publications and radio advertisements. Any social media will be accompanied by the warming statement required in 306.570



I declare under penalty of unsworn falsification that this form, including all accompanying schedules and statements, is true, correct, and complete.

Signature of licensee

State of Alaska
NOTARY PUBLIC
J. Poehls
My Commission Expires Aug 7, 2019

Notary Public in and for the State of Alaska

NATTHAPHON NAVACHAI
Printed name of licensee

My commission expires: 8/7/2019

Subscribed and sworn to before me this 30th day of January, 2019.

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(Additional Space as Needed):

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