Notice of Violation

(3AAC 306.805)

This form, all information provided and responses are public documents per Alaska Public Records Act AS 40.25

Date: 1/14/19

License #/Type: 10026

AMCO Case#:

Standard Cultivation

Designated Licensee: James Barrett

DBA: Rainforest Farms LLC

Premises Address: 5763 Glacier Highway, Juneau, AK 99801

Mailing Address: PO Box 21714, Juneau, AK 99802

This is a notice to you as licensee that an alleged violation has occurred. If the Marijuana Control Board decides to act against your license, under the provisions of AS 44.62.330 - AS 44.62.630 (Administrative Procedures Act) you will receive an Accusation and Notice of your right to an Administrative Hearing.

Note: This is not an accusation or a criminal complaint.

As of 1/11/19, Rainforest Farms LLC, 10026, Standard Cultivation, you were delinquent on your marijuana excise tax liability.

You have 30 days to resolve this matter with the Department of Revenue. If the delinquency is not resolved, an accusation will be brought to the Marijuana Control Board.

Your attention is directed to: AS 17.38.010(b)(2) legitimate, taxpaying business people, and not criminal actors, will conduct sales of marijuana; 3 AAC 306.480. Marijuana tax to be paid; 3 AAC 306.810. Suspension or revocation of license; AS 43.61.030(b). Marijuana cultivation facility fails to pay tax; AS 43.05.230(e) DOR can publish list of taxpayer(s) who failed to pay their taxes.; 15 AAC 61.020. License revocation and suspension.

3 AAC 306.805 provides that upon receipt of a Notice of Violation, a licensee may request to appear before the board and be heard regarding the Notice of Violation. The request must be made within ten days after receipt of the Notice. A licensee may respond, either orally or in writing, to the Notice.

IT IS RECOMMENDED THAT YOU RESPOND IN WRITING TO DOCUMENT YOUR RESPONSE FOR THE MARIJUANA CONTROL BOARD.

*Please send your response to the address below and include your Marijuana Establishment License Number in your response.

Alcohol & Marijuana Control Office ATTN: Enforcement 550 W. 7th Ave, Suite 1600 Anchorage, Alaska 99501 amco.enforcement@alaska.gov

Issuing Investigator: J. Hoelscher

SIGNATURE:

Received by: SIGNATURE:

Delivered VIA: Email

Date:

Explanation for NOV Dated 1/14/19 Rainforest Farms, LLC License #10026

Marijuana Control Board,

This letter is to inform you of the NOV license #10026 received on 1/14/19 and an explanation as to how we resolved the matter.

This violation occurred due to late payment on our taxes. As man of you know, the lack of banking services make it difficult to physically bring cash payments from Juneau (location of our facility) to Anchorage where the cash payment drop box is.

In this situation, we were not able to physically get to Anchorage on time for this tax payment. So, I was finally able to find a 3rd party service to write the check and send the payment in the mail. We had resolved this matter within 30 days.

Furthermore, we have started using this 3rd party service to help us make payments more timely as they have the ability to send checks in the mail. As a result, we do not see making payments in cash to be a problem in the future.

Please let me know if you have any further questions about this.

Sincerely, James Barrett

Alcohol & Marijuana Control Office

License Number: 10026

License Status: Active-Operating

License Type: Standard Marijuana Cultivation Facility

Doing Business As: RAINFOREST FARMS, LLC

Business License Number: 1032787

Designated Licensee: James Barrett

Email Address: rainforestjames@gmail.com

Local Government: Juneau (City and Borough of)

Community Council:

Latitude, Longitude: 58.356750, -134.500043

Physical Address: 5763 Glacier Highway Juneau, AK 99801 UNITED STATES

Licensee #1

Type: Entity

Alaska Entity Number: 10025351

Alaska Entity Name: Rainforest Farms, LLC

Phone Number: 907-957-4751

Email Address: rainforestjames@gmail.com

Mailing Address: PO Box 21714 Juneau, AK 99802 UNITED STATES

Entity Official #2

Type: Individual

Name: Michael Healy

Phone Number: 907-973-2337

Email Address: michealrhealy@gmail.com

Mailing Address: PO Box 946 Skagway, AK 99840 UNITED STATES

Entity Official #1

Type: Individual Name: James Barrett

Phone Number: 907-957-4751

Email Address: rainforestjames@gmail.com

Mailing Address: PO Box 21714 Juneau, AK 99802 UNITED STATES

Entity Official #3

Type: Individual

Name: Giono Barrett

Phone Number: 907-209-5310

Email Address: rainforestgiono@gmail.com

Mailing Address: PO Box 21714 Juneau, AK 99802 UNITED STATES

Note: No affiliates entered for this license.

License #10026 **Initiating License Application** 5/24/2019 2:16:34 PM



Alcohol and Marijuana Control Office 550 W 7th Avenue, Suite 1600 Anchorage, AK 99501 <u>marijuana.licensing@alaska.gov</u> <u>https://www.commerce.alaska.gov/web/amco</u> Phone: 907.269.0350

Alaska Marijuana Control Board Form MJ-20: Renewal Application Certifications

What is this form?

This renewal application certifications form is required for all marijuana establishment license renewal applications. Each person signing an application for a marijuana establishment license must declare that he/she has read and is familiar with AS 17.38 and 3 AAC 306. A person other than a licensee may not have direct or indirect financial interest (as defined in 3 AAC 306.015(e)(1)) in the business for which a marijuana establishment license is issued, per 3 AAC 306.015(a).

This form must be completed and submitted to AMCO's main office <u>by each licensee</u> (as defined in 3 AAC 306.020(b)(2)) before any license renewal application will be considered complete.

Section 1 – Establishment Information

Enter information for the licensed establishment, as identified on the license application.

Licensee:	Rainforest Farms, LLC	License	Number:	10026	3
License Type:	Standard Marijuana Cultivation Fac	ility		_	
Doing Business As:	Rainforest Farms, LLC		54 1		
Premises Address:	5763 Glacier Highway				
City:	Juneau	State:	AK	ZIP:	99801

Section 2 – Individual Information

Enter information for the individual licensee who is completing this form.

Name.	Giono Barrell	
Title:	Member	

Section 3 – Violations & Charges	
Read each line below, and then sign your initials in the box to the right of any applicable statements:	Initials
I certify that I have not been convicted of any criminal charge in the previous two calendar years.	CV
I certify that I have not committed any civil violation of AS 04, AS 17.38, or 3 AAC 306 in the previous two calendar years.	GD
I certify that a notice of violation has not been issued for this license.	
Sign your initials to the following statement <u>only if you are unable to certify one or more of the above statements</u> :	Initials
I have attached a written explanation for why I cannot certify one or more of the above statements, which includes the type of violation or offense, as required under 3 AAC 306.035(b).	(X)
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Section 4 – Certifications

Read each line below, and then sign your initials in the box to the right of each statement:

I certify that no person other than a licensee listed on my marijuana establishment license renewal application has a direct or indirect financial interest, as defined in 3 AAC 306.015(e)(1), in the business for which the marijuana establishment license has been issued.

I certify that I meet the residency requirement under AS 43.23 or I have submitted a residency exception affidavit (MJ-20a) along with this application.

I certify that this establishment complies with any applicable health, fire, safety, or tax statute, ordinance, regulation, or other law in the state.

I certify that the license is operated in accordance with the operating plan currently approved by the Marijuana Control Board.

I certify that I am operating in compliance with the Alaska Department of Labor and Workforce Development's laws and requirements pertaining to employees.

I certify that I have not violated any restrictions pertaining to this particular license type, and that this license has not been operated in violation of a condition or restriction imposed by the Marijuana Control Board.

I certify that I understand that providing a false statement on this form, the online application, or any other form provided by or to AMCO is grounds for rejection or denial of this application or revocation of any license issued.

As an applicant for a marijuana establishment license renewal, I declare under penalty of unsworn falsification that I have read and am familiar with AS 17.38 and 3 AAC 306, and that this application, including all accompanying schedules and statements, is true, correct, and complete. I agree to provide all information required by the Marijuana Control Board in support of this application and understand that failure to do so by any deadline given to me by AMCO staff may result in additional fees or expiration of this license.

Signature of licensee

Barre Giono

Printed name of licensee

Notary Public in and for the State of Alaska

My commission expires: 02 - 14 - 2022

Subscribed and sworn to before me this 15 day of August

____, 20____



[Form MJ-20] (rev 4/24/2019)

License #_10026

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Initials

AMCO Received 8/16/2019



Alaska Marijuana Control Board Form MJ-20: Renewal Application Certifications

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This form must be completed and submitted to AMCO's main office by each licensee (as defined in 3 AAC 306.020(b)(2)) before any license renewal application will be considered complete.

Section 1 – Establishment Information

Enter information for the licensed establishment, as identified on the license application.

Licensee:	Rainforest Farms, LLC	License	Number:	10026	6
License Type:	Standard Marijuana Cultivation Fac	cility			
Doing Business As:	Rainforest Farms, LLC				
Premises Address:	5763 Glacier Highway				
City:	Juneau	State:	AK	ZIP:	99801

Section 2 – Individual Information

Enter information for the individual licensee who is completing this form.

Name:	James Barrett
Title:	Managing Member

Section 3 – Violations & Charges

Read each line below, and then sign your initials in the box to the right of any applicable statements:	Initials
I certify that I have not been convicted of any criminal charge in the previous two calendar years.	03
I certify that I have not committed any civil violation of AS 04, AS 17.38, or 3 AAC 306 in the previous two calendar years.	B
I certify that a notice of violation has not been issued for this license.	
Sign your initials to the following statement only if you are unable to certify one or more of the above statements:	Initials
I have attached a written explanation for why I cannot certify one or more of the above statements, which includes the type of violation or offense, as required under 3 AAC 306.035(b).	(T)
[Form MJ-20] (rev 4/24/2019)	Page 1 of 2



Section 4 – Certifications

Read each line below, and then sign your initials in the box to the right of each statement:

I certify that no person other than a licensee listed on my marijuana establishment license renewal application has a direct or indirect financial interest, as defined in 3 AAC 306.015(e)(1), in the business for which the marijuana establishment license has been issued.

I certify that I meet the residency requirement under AS 43.23 or I have submitted a residency exception affidavit (MJ-20a) along with this application.

I certify that this establishment complies with any applicable health, fire, safety, or tax statute, ordinance, regulation, or other law in the state.

I certify that the license is operated in accordance with the operating plan currently approved by the Marijuana Control Board.

I certify that I am operating in compliance with the Alaska Department of Labor and Workforce Development's laws and requirements pertaining to employees.

I certify that I have not violated any restrictions pertaining to this particular license type, and that this license has not been operated in violation of a condition or restriction imposed by the Marijuana Control Board.

I certify that I understand that providing a false statement on this form, the online application, or any other form provided by or to AMCO is grounds for rejection or denial of this application or revocation of any license issued.

As an applicant for a marijuana establishment license renewal, I declare under penalty of unsworn falsification that I have read and am familiar with AS 17.38 and 3 AAC 306, and that this application, including all accompanying schedules and statements, is true, correct, and complete. I agree to provide all information required by the Marijuana Control Board in support of this application and understand that failure to do so by any deadline given to me by AMCO staff may result in additional fees or expiration of this license.

Notary Public ALEX COX Notary Public in and for the State of Alaska Signature of licensee State of Alaska My Commission Expires Feb. 14, 2022

License # 10026

My commission expires: 02 - 14-2022

Printed name of licensee

[Form MJ-20] (rev 4/24/2019)

MASS BARKET

Subscribed and sworn to before me this 15th day of <u>August</u>, 20<u>F</u>

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Initials











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Alcohol and Marijuana Control Office 550 W 7th Avenue, Suite 1600 Anchorage, AK 99501 <u>marijuana.licensing@alaska.gov</u> <u>https://www.commerce.alaska.gov/web/amco</u> Phone: 907.269.0350

Alaska Marijuana Control Board Form MJ-20: Renewal Application Certifications

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This form must be completed and submitted to AMCO's main office <u>by each licensee</u> (as defined in 3 AAC 306.020(b)(2)) before any license renewal application will be considered complete.

ter information for the	licensed establishment, as identified on the licen	se application.			
Licensee:	Rainforest Farms, LLC		Number:	1002	6
License Type:	Standard Marijuana Cultivatio	n Facility			
Doing Business As:	Rainforest Farms, LLC				
Premises Address:	5763 Glacier Highway				
City:	Juneau	State:	AK	ZIP:	99801

Section 2 - Individual Information

Enter information for the individual licensee who is completing this form.

Name:	Michael Healy	a Sanata A
Title:	Member	

Section 3 – Violations & Charges	
Read each line below, and then sign your initials in the box to the right of any applicable statements:	Initials
I certify that I have not been convicted of any criminal charge in the previous two calendar years.	M
I certify that I have not committed any civil violation of AS 04, AS 17.38, or 3 AAC 306 in the previous two calendar years.	Mt
I certify that a notice of violation has not been issued for this license.	
Sign your initials to the following statement only if you are unable to certify one or more of the above statements:	Initials
I have attached a written explanation for why I cannot certify one or more of the above statements, which includes the type of violation or offense, as required under 3 AAC 306.035(b).	m
[Form MJ-20] (rev 4/24/2019)	Page 1 of 2



Section 4 - Certifications

Read each line below, and then sign your initials in the box to the right of each statement: Initials I certify that no person other than a licensee listed on my marijuana establishment license renewal application has a direct or indirect financial interest, as defined in 3 AAC 306.015(e)(1), in the business for which the marijuana establishment license has been issued. I certify that I meet the residency requirement under AS 43.23 or I have submitted a residency exception affidavit (MJ-20a) along with this application. I certify that this establishment complies with any applicable health, fire, safety, or tax statute, ordinance, regulation, or other law in the state. I certify that the license is operated in accordance with the operating plan currently approved by the Marijuana Control Board. I certify that I am operating in compliance with the Alaska Department of Labor and Workforce Development's laws and requirements pertaining to employees. I certify that I have not violated any restrictions pertaining to this particular license type, and that this license has not been operated in violation of a condition or restriction imposed by the Marijuana Control Board. I certify that I understand that providing a false statement on this form, the online application, or any other form provided by or to AMCO is grounds for rejection or denial of this application or revocation of any license issued.

As an applicant for a marijuana establishment license renewal, I declare under penalty of unsworn falsification that I have read and am familiar with AS 17.38 and 3 AAC 306, and that this application, including all accompanying schedules and statements, is true, correct, and complete. I agree to provide all information required by the Marijuana Control Board in support of this application and understand that failure to do so by any deadline given to me by AMCO staff may result in additional fees or expiration of this license.

licensee



Subscribed and sworn to before me this <u>19</u> day of <u>August</u> 20<u>19</u>.

Public in and for the State of Alaska

My commission expires: 00.30,2022

10026 License #

AMCO Received 8/19/2019

[Form MJ-20] (rev 4/24/2019)