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## STATE OF ALASKA

## DEPARTMENT OF COMMERCE, COMMUNITY, & ECONOMIC DEVELOPMENT **DIVISION OF INSURANCE**

In the Matter of:	)
LEVI SEATON INSURANCE AGENCY and DANIEL LEVI SEATON,	))
Licensees.	)

Case No. D 10-13

## STIPULATED AGREEMENT AND ORDER

The Division of Insurance (division) and Levi Seaton Insurance Agency (LSIA) and Daniel Levi Seaton (Mr. Seaton), the licensees in this matter, stipulate and agree that the unlicensed activity that occurred during the time LSIA and Mr. Seaton were not appropriately licensed is resolved as follows:

- 1. On or about April 2, 2009, the division sent LSIA a renewal application for firm license #63174. On June 26, 2009, the division notified LSIA that its insurance producer license had lapsed for failure to renew. Mr. Seaton was also notified that, because of the firm lapse, he needed to take action to maintain his license #44806 in good standing. Since the division received nothing from Mr. Seaton, his license also lapsed on September 4, 2009.
- 2. On September 18, 2009 that the division received applications to reinstate LSIA and Mr. Seaton's licenses and, after all requirements for licensure were received, the division reinstated both licenses effective October 1 and 2, 2009, respectively.
- 3. As required by the division, Mr. Seaton submitted a notarized statement indicating whether business was transacted when the firm and Mr. Seaton were not

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appropriately licensed. The statement listed several insurance transactions that occurred between June 26, 2009 and September 23, 2009.

- 4. LSIA and Mr. Seaton agree to pay a civil penalty under AS 21.27.440(a) in the amount of \$2,617 for the unlicensed activity that occurred between June 26, 2009 and September 23, 2009, with \$1,000 suspended. The civil penalty is payable within three months from the time LSIA and Mr. Seaton are notified that the director has signed the order approving this agreement.
- 5. In the event LSIA and Mr. Seaton violate the Alaska insurance laws during the next two years, the suspended portion of the penalty referenced in paragraph 4 will be reinstated. LSIA and Mr. Seaton also will be subject to any and all sanctions authorized by the insurance laws including imposition of additional penalties.
- 6. By signing this agreement, LSIA and Mr. Seaton understand and agree that any failure to comply with the terms of this agreement may be grounds to revoke, suspend, or non-renew Alaska insurance licenses #63174 and #44806.
- · 7. LSIA and Mr. Seaton understand that this agreement is not binding on the parties unless and until the director signs the order approving the agreement.

DATED: 8-23.10

DIVISION OF INSURANCE

Program Coordinator

1	DATED: 8-/6-10 LEVI SEATON INSURANCE AGENCY
3	By:
4	Damel Levi Seaton Compliance Officer
5	Compliance officer
6	Approved as to form and content:
7	
8	DANIEL S. SULLIVAN ATTORNEY GENERAL
9	
10	By:
11	Daniel Wilkerson Assistant Attorney General
12	Assistant Attorney General
13	
14	<u>ORDER</u>
15	IT IS ORDERED that this Stipulated Agreement and Order is adopted in full
16	resolution of the issues in this case, and shall constitute the final order in this matter.
17	DATED this 2 day of Ougest, 2010.
18	
19	Ruda & Hall
20	Linda S. Hall Director of Insurance
21	e de la companya de l
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26	sed (RV)