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STATE OF ALASKA

DEPARTMENT OF COMMERCE, COMMUNITY, AND ECONOMIC DEVELOPMENT

DIVISION OF INSURANCE

In the Matter of:)
)
 GRIFFIN MACLEAN, INC.,)
)
 Licensee.)
_____)

Case No. D 13-02

STIPULATED AGREEMENT AND ORDER

The Division of Insurance (division) and Griffin MacLean, Inc. (Griffin), the licensee in this case, stipulate and agree that the unlicensed activity that occurred during the time Griffin's employee Robert L. Tobeck's license #60736 was in lapse status is resolved as follows:

1. On January 24, 2012, the division sent Mr. Tobeck notification to renew his license. On March 9, 2012, the division notified Mr. Tobeck that his insurance producer license had lapsed for failure to renew.
2. On September 6, 2012, the division received application requirements necessary to reinstate Mr. Tobeck's license. The division reinstated his license effective October 4, 2012. As required by the division, Mr. Tobeck submitted a notarized statement indicating whether business had been transacted while his license was in lapse status. The statement indicated that several insurance transactions occurred between March 9, 2012 and October 3, 2012, with \$27,597.75 earned in commissions, while he was not appropriately licensed. Mr. Tobeck is a salaried employee and the commissions on transactions are paid to the firm, not to him.

1 3. Griffin is responsible for ensuring that the firm and its employees comply
2 with Alaska's insurance laws and is subject to penalties under AS 21.27.440 for unlicensed
3 activity.

4 4. Griffin agrees to pay a civil penalty under AS 21.27.440 in the amount of
5 \$27,597.75, with \$17,000 suspended. The unsuspended portion of the penalty is payable within
6 three months from the time Griffin is notified that the director has signed the order approving
7 this agreement.

8 5. In the event Griffin violates Alaska's insurance laws during the next two
9 years, the suspended portion of the penalty referenced in paragraph 4 will be reinstated. The
10 firm also will be subject to any and all sanctions authorized by the insurance code including
11 imposition of additional fines or penalties.

12 6. By signing this agreement, Griffin understands and agrees that any
13 failure to comply with the conditions of this agreement will be grounds to revoke, suspend, or
14 not renew Alaska insurance licenses #7968.

15 7. Griffin understands that this agreement is not binding on the parties
16 unless and until the director signs the order approving the agreement.


17
18
19 DATED: 2-25-13

DIVISION OF INSURANCE

20
21 By: 
22 Linda Brunette
23 Program Coordinator

24 DATED: 2/12/13

GRIFFIN MACLEAN, INC.

25 By: 
26 Paul F. Dent
Compliance Officer

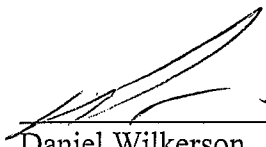
STATE OF ALASKA
DEPARTMENT OF COMMERCE, COMMUNITY AND ECONOMIC DEVELOPMENT
DIVISION OF INSURANCE
550 WEST SEVENTH AVENUE, SUITE 1560
ANCHORAGE, ALASKA 99501-3567
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Approved as to form and content:

DATED: 2/21/13


MICHAEL C. GERAGHTY
ATTORNEY GENERAL

By: 
Daniel Wilkerson
Assistant Attorney General

ORDER

IT IS ORDERED that this Stipulated Agreement and Order is adopted in full resolution of the issues in this case, and shall constitute the final order in this matter.

DATED this 28th day of February, 2013.


Bret S. Kolb
Director of Insurance

I hereby certify that a copy of the document(s) listed below was distributed to the below listed parties and files by mail or by personal delivery. The original document has been forwarded to the Juneau office of the Division of Insurance for official filing.

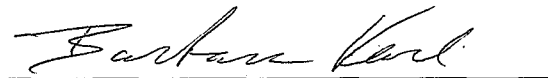
Stipulated Agreement and Order signed by the Director of Insurance on February 28, 2013 in Case No. D 13-02, In the Matter of Griffin MacLean, Inc., Licensee.

Daniel Wilkerson
Assistant Attorney General
Department of Law

Linda Brunette
Program Coordinator
Division of Insurance

Paul F. Dent
Compliance Officer
Griffin MacLean, Inc.
2300 130th Avenue NE, A203
Bellevue, WA 98005
(Certified mail)

Dated: March 1, 2013, in Anchorage, Alaska.


Barbara Karl