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STATE OF ALASKA  
DEPARTMENT OF COMMERCE & ECONOMIC DEVELOPMENT  
DIVISION OF INSURANCE

In re: )  
)  
APPLICATION FOR LICENSE )  
OF ANTHONY E. LUCERO, )  
701 Fifth Avenue )  
Suite 2000 )  
Seattle, WA 98104. )  
\_\_\_\_\_ )

Case No. LD94-03

STATEMENT OF ISSUES

David J. Walsh, Director, Division of Insurance (division), Department of  
Commerce and Economic Development, State of Alaska, states:

1. On or about March 14, 1994, an application for an individual nonresident  
insurance producer license from Anthony E. Lucero, (Mr. Lucero) was received by the  
division.

2. According to the application, Mr. Lucero is currently licensed in the state  
of Washington, and has been so licensed since January 1982, under license no.  
LUCERAE534PT.

3. Although question 11 (e) on the application regarding felony conviction  
was answered in the negative. Mr. Lucero did submit a notarized statement with  
supporting documents relating that he was convicted of the offenses of interstate  
transportation of forged and falsely made securities, in violation of 18 USC 2314, and

1 aiding and abetting, in violation of 18 USC 2, as charged in 13 counts of an indictment  
2 filed September 7, 1977. The Judgment and Probation/Commitment Order issued  
3 December 28, 1977 in United States District Court, District of New Mexico, sentenced  
4 Mr. Lucero to five years confinement and five years probation after completion of the  
5 term of confinement. On July 3, 1981, Mr. Lucero was released from custody on  
6 parole in Seattle, Washington. On March 30, 1989, by order of the court, Mr. Lucero  
7 was discharged from probation and the proceedings of the case were terminated.  
8

9 4. AS 21.27.410(a)(7) provides that the director may refuse to issue a  
10 license if the applicant has been convicted of a felony.

11 5. Pursuant to AS 21.27.020(a), 21.27.020(b)(6), and 21.27.410(a)(7), the  
12 application of Anthony E. Lucero for a nonresident insurance producer license is  
13 hereby denied.  
14

15 DATED this 24th day of May, 1994.

16  
17 DAVID J. WALSH  
18 Director  
19 Division of Insurance

20 By *Thelma Snow Walker*  
21 Thelma Snow Walker  
22 Deputy Director

23 SOIAELPBK  
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