23

24

25

26

1

2

3

4

5

6

7

8

9

## STATE OF ALASKA

## DEPARTMENT OF COMMERCE, COMMUNITY, & ECONOMIC DEVELOPMENT DIVISION OF INSURANCE

In the Matter of:	)
WILLIAM E. MOSER,	)
Licensee.	) )

Case No. D 13-10

## STIPULATED AGREEMENT AND ORDER

The Division of Insurance (division) and William E. Moser (Mr. Moser), the licensee in this case, stipulate and agree that the unlicensed activity that occurred during the time license #22861 was in lapse status is resolved as follows:

- On June 13, 2012, the division sent Mr. Moser notification to renew his 1. license. On August 24, 2012, the division notified Mr. Moser that his insurance producer license had lapsed for failure to renew.
- On January 3, 2013, the division received application requirements 2. necessary to reinstate Mr. Moser's license. The filing was deficient and it wasn't until early May that the application was completed. The division reinstated his license effective May 6, 2013. As required by the division, Mr. Moser submitted a notarized statement indicating whether any Alaska business was transacted while his license was in lapse status. The statement indicated that multiple policies were written between August 24, 2012 and May 3, 2013 while he was not appropriately licensed, and that he is a salaried employee and did not receive commissions on the transactions.

26

1	3. Prior to this instance, to the division's knowledge, Mr. Moser has not
2	engaged in unlicensed activity or otherwise violated the insurance code.
3	4. Mr. Moser agrees to pay a civil penalty under AS 21.27.440(a) of \$600
4	for the unlicensed activity that occurred between August 24, 2012 and May 3, 2013, with \$300
5	suspended. The unsuspended portion of the penalty is payable at the time Mr. Moser is notified
6 7	that the director has signed the order approving this agreement.
8	5. In the event Mr. Moser violates Alaska's insurance laws during the next
9	two years, the suspended portion of the penalty referenced in paragraph 4 will be reinstated.
10	Mr. Moser also will be subject to any and all sanctions authorized by the insurance code
11	including imposition of additional penalties.
12	6. By signing this agreement, Mr. Moser understands and agrees that any
13	failure to comply with the conditions of this agreement will be grounds to revoke, suspend, or
14	not renew Alaska insurance license #22861.
15	7. Mr. Moser understands that this agreement is not binding on the parties
16	unless and until the director signs the order approving the agreement.
17	
18	DATED: 8/28/13 DIVISION OF INSURANCE
20	C
21	By: Jenda Phune
22	Linda Brunette Program Coordinator
23	
24	
25	DATED: 8/12/2013 Whos

William E. Moser

Approved as to form and content:

DATED: 8/14/13

MICHAEL C. GERAGHTY ATTORNEY GENERAL

Ву:

Daniel Wilkerson

Assistant Attorney General

## **ORDER**

IT IS ORDERED that this Stipulated Agreement and Order is adopted in full resolution of the issues in this case, and shall constitute the final order in this matter.

DATED this 3rd day of September, 2013.

Bret S. Kolb

Director of Insurance

I hereby certify that a copy of the document(s) listed below was distributed to the below listed parties and files by mail or by personal delivery. The original document has been forwarded to the Juneau office of the Division or Insurance for official filing.

Stipulated Agreement and Order signed by the Director of Insurance on September 3, 2013 in Case No. D 13-10, In the Matter of William E. Moser, Licensee.

Daniel Wilkerson

Assistant Attorney General

Department of Law

Linda Brunette

Program Coordinator

Division of Insurance

William E. Moser 2608 Glacier Street Anchorage, AK 99508 (Certified mail)

Dated: September 3, 2013, in Anchorage, Alaska.

Barbara Karl

DISTSAWEM.PBK