# STATE OF ALASKA DEPARTMENT OF COMMERCE, COMMUNITY AND ECONOMIC

# CERTIFIED MAIL $\mbox{RETURN RECEIPT REQUESTED}$

#### STATE OF ALASKA

# DEPARTMENT OF COMMERCE, COMMUNITY AND ECONOMIC DEVELOPMENT

#### DIVISION OF INSURANCE

550 W. 7<sup>th</sup> AVENUE, SUITE 1560 ANCHORAGE, ALASKA 99501-3567

Order No. LD23-07	
In the Matter of	
JOHN SYDNEY ALEXANDER	
NPN No. 19180330	
	,

## **ACCUSATION & FINAL ORDER**

Lori Wing-Heier, Director of the Division of Insurance (Division), Department of Commerce, Community, and Economic Development (DCCED), State of Alaska, states the issues on which the accusation is based as follows:

On February 24<sup>th</sup>, 2023, the Alaska Department of Commerce,
 Community and Economic Development, Division of Insurance
 (Division) received a renewal application for an Insurance Producer
 license to transact the business of Life & Health insurance for JOHN
 SYDNEY ALEXANDER (ALEXANDER), domiciled in the State of
 Texas. The initial license was issued March 23<sup>rd</sup>, 2021. The license has
 an expiration date of February 28 on odd numbered years.

JOHN SYDNEY ALEXANDER Accusation & Final Order LD23-07

27

28

2. Upon review of the renewal application, it was discovered ALEXANDER responded negatively to background question to 1B at initial licensure. ALEXANDER has indicated no criminal conviction to report to the State of Alaska. The Producer Database reflects 1033 waiver of consent from State of Texas effective May 24<sup>th</sup>, 2019, due to ALEXANDER'S criminal history. Neither the criminal history nor 1033 waiver was disclosed to the Division.

- 3. The Producer Database reflects administrative actions taken against ALEXANDER by the State of Illinois, in effect on July 28<sup>th</sup>, 2021, and by the State of Louisiana, in effect on March 3<sup>rd</sup>, 2022. At renewal, ALEXANDER responded negatively to Background Question 2, failing to report the administrative actions upon renewal. This information has not been disclosed to the Division and was not supplied in support of the renewal application in the attachment warehouse.
- 4. On February 27<sup>th</sup>, 2023, correspondence was provided to ALEXANDER providing the opportunity for ALEXANDER to voluntarily withdraw the application and provide a new, complete, and accurate application within 15 days. No response was received.
- On March 4<sup>th</sup>, 2023, and April 5<sup>th</sup>, 2023, expiration notices were provided to ALEXANDER informing that the license had expired for failure to renew.
- 6. AS 21.27.020(a) provides: "For the protection of the people of this state, the director may not issue or renew a license except in compliance with

JOHN SYDNEY ALEXANDER Accusation & Final Order LD23-07

26

27

28

this chapter and not issue a license to a person, or to be exercised by a person, found by the director to be untrustworthy, incompetent, or who has not established to the satisfaction of the director that the person is qualified under this chapter."

- 7. AS 21.27.020(b)(4) provides: "To qualify for issuance or renewal of an individual license, an applicant or licensee shall (4) be a trustworthy person."
- 8. AS 21.27.025(a) states that "(a) A licensee shall notify the director in writing not later than 30 days after a change in residence, place of business, legal name, fictitious name, or alias, mailing address, electronic mailing address, telephone number, or compliance officer. A licensee shall report to the director in writing any administrative action taken against the licensee by a governmental agency of another state, by a governmental agency of another jurisdiction, or by a financial industry regulatory authority sanction or arbitration proceeding not later than 30 days after the final disposition of the action. A licensee shall submit to the director the final order and other relevant legal documents in the action. A licensee shall report to the director any criminal prosecution of the licensee in this or another state or jurisdiction not later than 30 days after the date of filing of the criminal complaint, indictment, information, or citation in the prosecution. The licensee shall submit to the director a copy of the criminal complaint, calendaring order, and other relevant legal documents in the prosecution."

JOHN SYDNEY ALEXANDER Accusation & Final Order

9. AS 21.27.025(b) states that "(b) In addition to any other penalty provided by law, a failure to notify the director as required by this section is cause for denial, nonrenewal, suspension, or revocation of a license."

- 10. AS 21.27.040(a) states that "(a) Application for a license shall be made to the director upon forms prescribed by the director. As a part of or in connection with the application, the applicant shall furnish information concerning the applicant's identity, personal history, experience, business record, purposes, and other pertinent facts that the director may reasonably require. The applicant shall declare, subject to penalty of denial, nonrenewal, suspension, or revocation of a license issued by the director, that the statements made in or in connection with the application are true, correct, and complete to the best of the applicant's knowledge and belief…"
- 11. Pursuant to AS 21.27.025(a) and (b), ALEXANDER failed to report his criminal history at initial licensure, and failed to report the administrative actions which must be reported to the Division within 30 days. Based on the above ALEXANDER'S insurance producer renewal application is hereby DENIED.

### FINAL ORDER

IT IS HEREBY ORDERED, under the provisions of AS 21.27.020 that JOHN SYDNEY ALEXANDER's application for the Insurance Producer license in Alaska under National Producer Number (NPN) # 19180330 is DENIED for supplying inaccurate information on the

JOHN SYDNEY ALEXANDER Accusation & Final Order LD23-07

initial and renewal application for licensure. ALEXANDER may apply for licensure in Alaska after a period of 30 days has lapsed from the effective date of this order. This Order is effective the 23 day of Oct 2023. DIVISION OF INSURANCE I hereby certify that, on the 7 day of N, 2023, I mailed copies of the accusation to: JOHN SYDNEY ALEXANDER 2814 BROOKLINE DRIVE MISSOURI CITY, TEXAS 77459 United States Marnelli Rembulat JOHN SYDNEY ALEXANDER

Accusation & Final Order

LD23-07