

ALASKA DIVISION OF INSURANCE
Surplus Lines Brokers
Quarterly Report

Surplus Lines Broker Firm	For the _____ quarter, 20____	Broker Firm License No.
Mailing Address		
Contact Name	Phone:	Email:

Attach all applicable forms before filing, i.e. Report of Surplus Lines Transaction forms and Statement of Exempt Premiums.
If no business written, do not file this form.

Due dates for quarterly reports and payments of premium tax and filing fees:

June 1..... January, February, and March

September 1..... April, May, and June

December 1..... July, August, and September

March 1 October, November, and December

If the due date falls on a weekend or holiday, the report, tax, and fees will be due the next business day

Effective 7/21/11 for all policies where the home state is Alaska, the tax will be calculated on 100% of the policy premium at Alaska's tax rate of 2.7% and filing fee of 1% regardless of whether the policy is multi-state or not.

Quarter Gross Premium	\$ _____
Less: Return Premium	\$ _____
Less: Exempt Premium	\$ _____
Net Premium subject to tax & fees	\$ _____

	Premium Tax 2.7%	Filing Fee 1%
Gross Tax & Fees Due:	\$ _____	\$ _____
Less: credit from prior quarter	\$ _____	\$ _____
Net Tax & Fee Payable	\$ _____	\$ _____

If an admitted company is part of a surplus lines subscription policy, just the filing fee is due on the admitted premium.

Surplus Lines Broker Attestation

I, _____, certify that I am a surplus lines broker of the above-named surplus lines broker license, and that this Quarterly Report of premiums, taxes and fees is complete, true and correct and includes all premiums, taxes and fees on surplus lines insurance written, procured, or received in this state on properties, risks, or exposure located or to be performed in this state and out-of-this-state where the home state of the insured is Alaska for the quarter ending _____.

Date

Signature and Title

Method of Payment: 3 AAC 21.570 requires surplus lines brokers pay all premium taxes by using the Automated Clearing House (ACH) debit or credit payment system. Make two payments each quarter, one for premium tax and one for filing fees.

Penalties: Penalties will be assessed for filing the Quarterly Report after the due date and paying the premium tax and filing fees after the due date or not by ACH.

Compliance with the required due dates for payments is determined by the date the ACH payment is received in the State of Alaska's bank account. Instructions are available at <https://www.commerce.alaska.gov/ins/INSACHDebit/ACHLoginScreen.aspx>. The payment will be rejected by the receiving bank if the submission contains inaccurate information and the payer will be subject to a penalty if the subsequent payment is received after the due date.

This Quarterly Report May Be Mailed To:

First Class Mail (Including Registered and Certified)
 Alaska Division of Insurance
 P.O. Box 110805
 Juneau, AK 99811-0805

OR

Express Mail ONLY
 Alaska Division of Insurance
 333 Willoughby Avenue, 9th Floor
 Juneau, AK 99801

OR THIS QUARTERLY REPORT MAY BE EMAILED TO: courtney.reeves@alaska.gov