



STATE OF ALASKA
DEPARTMENT OF
COMMERCE
COMMUNITY AND
ECONOMIC DEVELOPMENT

Sean Parnell, Governor
Emil Notti, Commissioner
Lynne Smith, Director

Division of Corporations, Business and Professional Licensing

DATE: August 10, 2009

TO: Board of Registration for Architects, Engineers, and Land Surveyors

THROUGH: Brian K. Howes, Acting Chief Investigator

FROM: John R. Savage, Investigator

SUBJECT: Investigative Report for the August 27 and 28, 2009, meeting. This report reflects the status of investigative files up to and including August 10, 2009.

If you have any questions regarding this report, please feel free to contact me directly at 269-8176.

OPEN CASES

Case Number	Date Opened	Complaint
0100-07-002	03/30/07	Contested License Denial (Juneau Issue)
0100-08-006	12/22/08	Violation of Licensing Regulation
0101-09-002	07/14/09	Violation of Licensing Regulation
0102-09-002	03/27/09	Violating Professional Ethics
0102-09-004	04/24/09	Violation of Licensing Regulation
0102-09-009	07/17/09	Violation of Licensing Regulation
0103-09-005	07/07/09	Unlicensed Practice/Activity (Unlic Indiv)
0103-09-008	07/09/09	Unlicensed Practice/Activity (Unlic Indiv)
0104-09-001	01/12/09	Negligence

0106-08-001	01/24/08	Malpractice
0107-07-024	07/11/07	Unlicensed Practice/Activity (Corp Reg)
0107-08-009	03/21/08	Unlicensed Practice/Activity (Corp Reg)
0107-09-001	02/06/09	Unlicensed Practice/Activity (Corp Reg)
0107-09-002	06/03/09	Unlicensed Practice/Activity (Corp Reg)
0107-09-003	06/04/09	Unlicensed Practice/Activity (Corp Reg)
0107-09-004	06/05/09	Unlicensed Practice/Activity (Corp Reg)
0107-09-005	06/16/09	Unlicensed Practice/Activity (Corp Reg)
0107-09-006	08/05/09	Unlicensed Practice/Activity (Corp Reg)
0107-09-007	08/05/09	Unlicensed Practice/Activity (Corp reg)
0152-09-005	04/22/09	License Application Problem
0152-09-008	08/18/09	License Application Problem

Closed Cases

Case Number	Date Closed	Disposition
0101-09-001	05/15/09	Unlicensed Practice/Activity Compliance
0102-09-001	06/18/09	Violating-Prof Ethics No Action/No Violation
0102-09-003	05/15/09	Violation of Licensing Regulation Compliance/Advisement Letter
0102-09-005	06/05/09	Violation of Licensing Regulation Advisement Letter

The first four numbers of the case number indicate the profession; the professional codes are as follows:

0100	Architect	0106	Corporate Authorization
0101	Unlicensed Architect	0107	Unlicensed Corporate Authorization
0102	Engineer	0150	Architect Applicant
0103	Unlicensed Engineer	0152	Engineering Applicant
0104	Land Surveyor	0154	Land Surveyor Applicant
0105	Unlicensed Land Surveyor		

0102-09-006	06/05/09	Violation of Licensing Regulation Advisement Letter
0102-09-007	06/09/09	Violation of Licensing Regulation Advisement Letter
0102-09-008	06/15/09	Violation of Licensing Regulation Advisement Letter
0102-09-010	07/22/09	Violation of Licensing Regulation Advisement Letter
0103-09-001	06/09/09	Unlicensed Practice/Activity Compliance/Advisement Letter
0103-09-006	08/05/09	Unlicensed Practice/Activity Compliance
0103-09-007	08/05/09	Unlicensed Practice/Activity Compliance
0104-02-004	06/25/09	Incompetence License Action
0104-04-001	07/14/09	Negligence License Action
0152-09-006	05/12/09	License Application Problem No Action/No Violation
0152-09-007	06/17/09	License Application Problem No Action/No Violation

DRAFT FILINGS PENDING ATTORNEY GENERAL ACTION

0105-02-002 Allegation of land surveying by an unregistered individual.
Presently in Litigation. AG is Karen Hawkins. (Case Opened
10/29/02)

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