

Sean Parnell, Governor Emil Notti, Commissioner Lynne Smith, Director

Division of Corporations, Business and Professional Licensing

DATE: January 26, 2010

TO: Board of Registration for Architects, Engineers, and Land Surveyors

THROUGH: Brian K. Howes, Chief Investigator

FROM: John R. Savage, Investigator

SUBJECT: Investigative Report for the February 11 and 12, 2010, meeting. This

report reflects the status of investigative files up to and including January

25, 2009.

If you have any questions regarding this report, please feel free to contact

me directly at 269-8176.

OPEN CASES

| Case Number | Date Opened | Complaint |
|-------------|---------------------|--|
| 0100-08-006 | 12/22/08 | Violation of Licensing Regulation |
| 0101-09-002 | 07/14/09 | Violation of Licensing Regulation |
| 0101-09-003 | 12/09/09 | Unlicensed Practice/Activity (Unlic Indiv) |
| 0102-09-002 | 03/27/09 | Violating Professional Ethics |
| 0102-09-011 | 08/11/09 | Falsified Application |
| 0102-09-019 | 11/25/09 | Lic Application Problem |
| 0102-10-004 | 01/12/10 | Action in another State |
| 0103-09-008 | 07/09/09 | Unlicensed Practice/Activity (Unlic Indiv) |
| 0104-09-005 | 12/02/09 | Negligence |
| | 550 West 7th Avenue | Suite 1500 Anchorage AK 99501 3567 |

550 West 7th Avenue, Suite 1500, Anchorage, AK 99501-3567

Telephone: (907) 269-8160 Fax: (907) 269-8195 Website: www.commerce.state.ak.us/occ

| 0106-08-001 | 01/24/08 | Malpractice |
|-------------|----------|---|
| 0107-07-024 | 07/11/07 | Unlicensed Practice/Activity (Corp Reg) |
| 0107-09-001 | 02/06/09 | Unlicensed Practice/Activity (Corp Reg) |
| 0107-09-005 | 06/16/09 | Unlicensed Practice/Activity (Corp Reg) |
| 0107-10-001 | 01/07/10 | Unlicensed Practice/Activity (Corp Reg) |
| 0107-10-002 | 01/07/10 | Unlicensed Practice/Activity (Corp Reg) |
| 0152-09-005 | 04/22/09 | License Application Problem |
| 0152-09-009 | 09/02/09 | License Application Problem |
| 0152-10-001 | 01/13/10 | Violation of Licensing Reg |

Closed Cases

| Case Number | Date Closed | Disposition |
|-------------|-------------|---|
| 0100-09-002 | 10/29/09 | Violation of Licensing Reg Advisement Letter |
| 0100-09-003 | 01/04/10 | Violation of Licensing Reg Advisement Letter |
| 0102-09-016 | 10/29/09 | Violation of Licensing Reg Advisement Letter |
| 0102-09-017 | 01/04/10 | Violation of Licensing Reg Advisement Letter |
| 0102-09-018 | 01/04/10 | Violation of Licensing Reg Advisement Letter |
| 0102-09-020 | 12/09/09 | Falsified Application Advisement Letter |

| The first four numbers of the case number indicate the profession; the professional codes are as follows: |
|---|
|---|

0100 Architect 0106 Corporate Authorization 0101 Unlicensed Architect 0107 Unlicensed Corporate Authorization 0102 Engineer 0150 Architect Applicant 0103 Unlicensed Engineer 0152 Engineering Applicant 0104 Land Surveyor 0154 Land Surveyor Applicant 0105 Unlicensed Land Surveyor

| 0102-09-021 | 12/10/09 | Lic. Application Problem No Action/No Violation |
|-------------|----------|---|
| 0102-10-001 | 01/08/10 | Falsified Application Advisement Letter |
| 0102-10-002 | 01/11/10 | Falsified Application No Action/No Violation |
| 0102-10-003 | 01/12/10 | Lic. Application Problem No Action/No Violation |
| 0104-09-004 | 11/30/09 | Lic. Application Problem No Action/No Violation |
| 0107-09-004 | 12/28/09 | Unlicensed Practice/Activity Compliance |
| 0152-09-010 | 11/20/09 | Lic. Application Problem No Action/No Violation |
| 0152-09-011 | 11/20/09 | Lic. Application Problem No Action/No Violation |

DRAFT FILINGS PENDING ATTORNEY GENERAL ACTION

| 0105-02-002 | Allegation of land surveying by an unregistered individual. Presently in Litigation. AG is Karen Hawkins. (Case Opened 10/29/02) |
|-------------|---|
| 0102-09-004 | Allegation that a Civil Engineer sealed drawings that they had no supervisory control over and was taking no responsibility for. No AG assigned yet. (Case Opened 04/24/09) |
| 0104-09-001 | A Land Surveyor conducted and recorded a survey which was in error and caused the loss of an adjacent land owner's property. No AG assigned yet. (Case Opened 01/12/09) |

| The first four numbers of the case number indicate the profession; the professional codes are as follows: | | | |
|---|--------------------------|------|------------------------------------|
| 0100 | Architect | 0106 | Corporate Authorization |
| 0101 | Unlicensed Architect | 0107 | Unlicensed Corporate Authorization |
| 0102 | Engineer | 0150 | Architect Applicant |
| 0103 | Unlicensed Engineer | 0152 | Engineering Applicant |
| 0104 | Land Surveyor | 0154 | Land Surveyor Applicant |
| 0105 | Unlicensed Land Surveyor | | |